

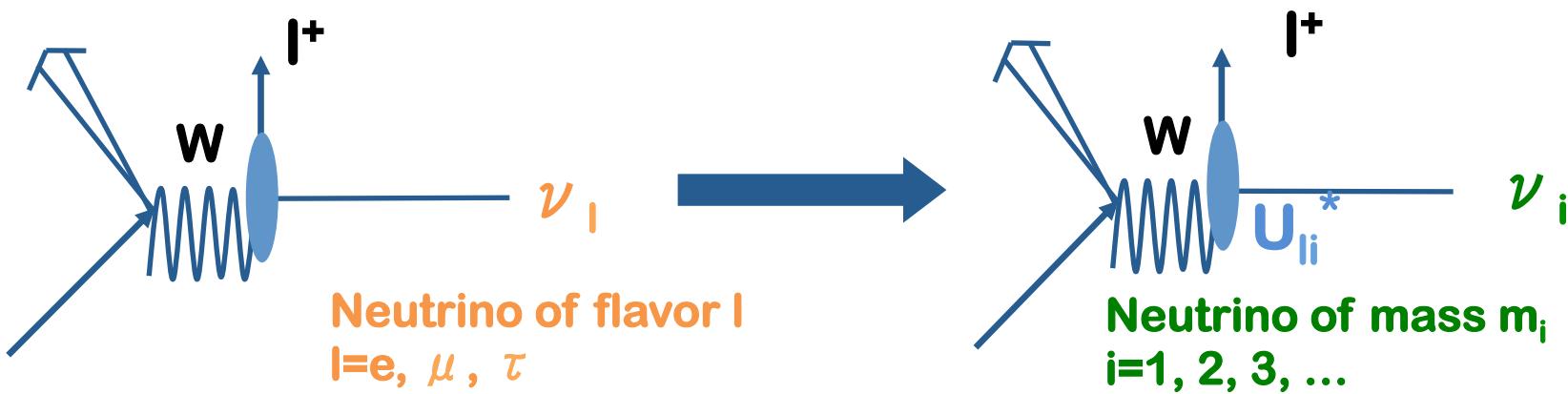
# Neutrinos: Experimental Review

**SILFAE 2012**  
**Sao Paulo, December 13<sup>th</sup> 2012**

**Thierry Lasserre**  
**CEA-Saclay**

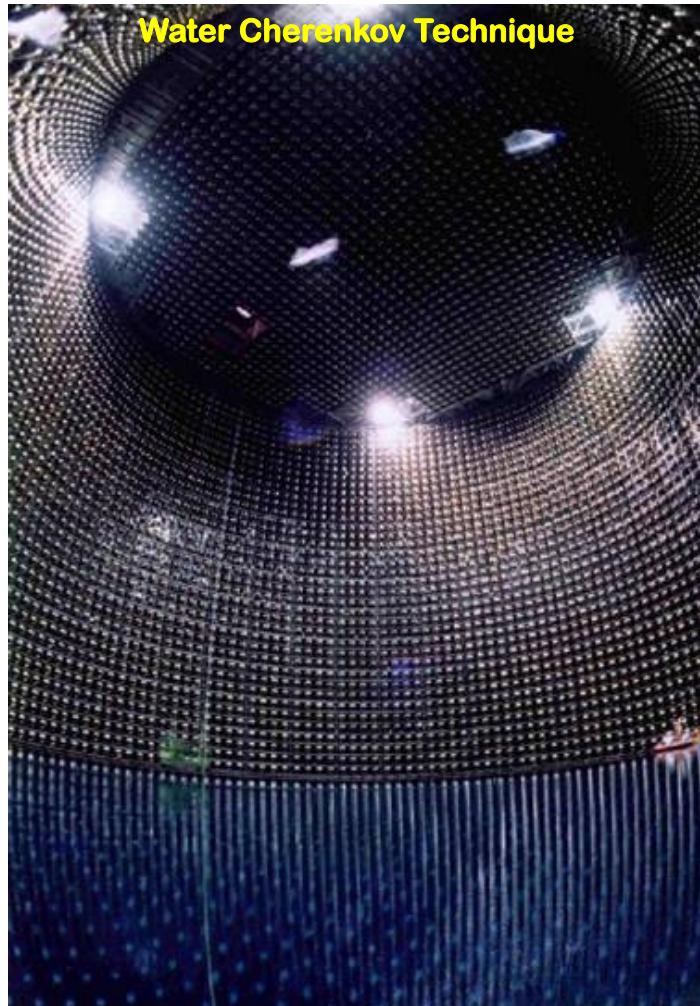
# Established Neutrino Physics

- 3 types, spin  $\frac{1}{2}$ , neutral, left handed,  $\sigma(1 \text{ MeV}) \approx 10^{-45-43} \text{ cm}^2$
- Neutrinos have tiny masses and mix:  $0.04 \text{ eV} < m_\nu < \approx 1 \text{ eV}$
- Two views on W decay:



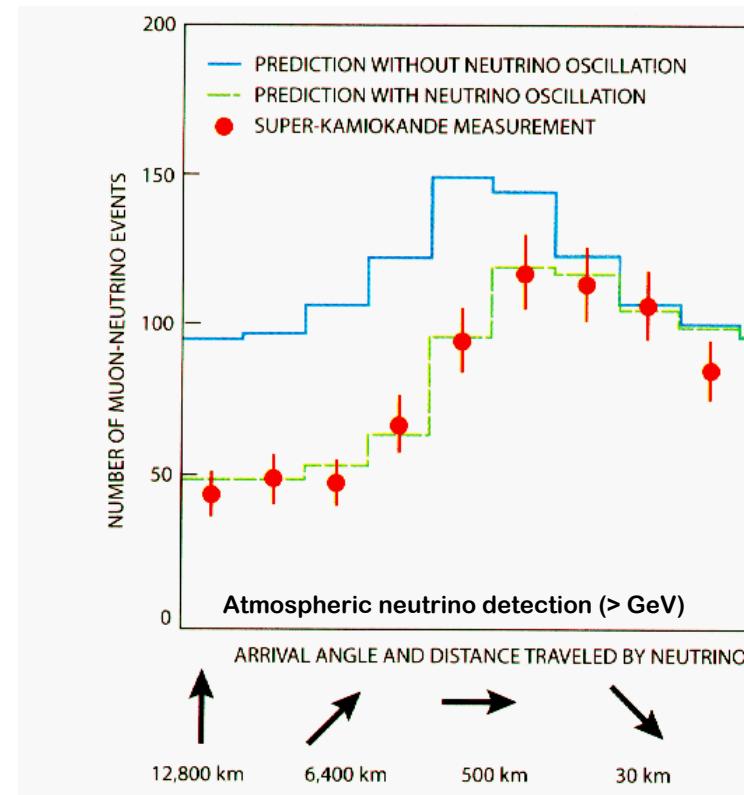
- PMNS matrix  $U$  relates mass & flavor:  $|\nu_i\rangle = \sum U_{\alpha i} |\nu_\alpha\rangle$
- A compelling evidence of physics Beyond the Standard Model

# SuperKamiokande Breakthrough (1998)



50 kt of pure water, 12 000 PMTs  
Good E-resolution  
e/μ discrimination at low energy

$$\frac{\Phi^{\text{Atm}}_{\nu_\mu}(\text{up})}{\Phi^{\text{Atm}}_{\nu_\mu}(\text{down})} = 0.54 \pm 0.04$$



**Neutrino do have mass  
and they mix (oscillation)**

# Neutrino Oscillation: Established

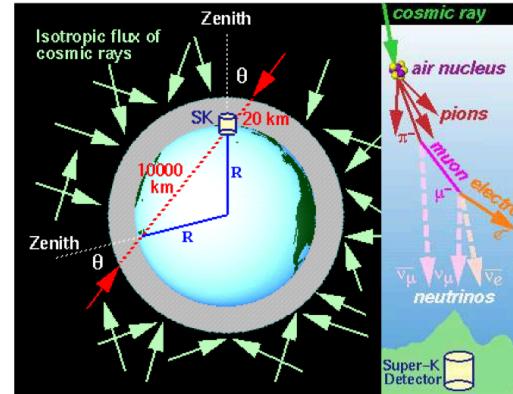
sun



reactors



atmosphere



accelerators



Homestake, SAGE, GALLEX  
SuperK, SNO, Borexino

KamLAND, CHOOZ

SuperKamiokande

K2K, MINOS, T2K

- $\nu_\mu \rightarrow \nu_\tau$  or anti- $\nu_\mu \rightarrow$  anti- $\nu_\tau$  : atmospheric & beam experiments
- $\nu_e \rightarrow \nu_{\mu, \tau}$  : solar experiments
- anti- $\nu_e \rightarrow$  anti- $\nu_{\text{other}}$  : reactor experiments
- (anti-)  $\nu_\mu \rightarrow$  (anti-)  $\nu_{\text{other}}$  : atmospheric & beam experiments
- $\nu_\mu \rightarrow \nu_e$  : beam experiments

$$P(\bar{\nu}_x \rightarrow \bar{\nu}_x) = 1 - \sin^2(2\theta_i) \sin\left(1.27 \frac{\Delta m_i^2 (\text{eV}^2) L (\text{m})}{E (\text{MeV})}\right)$$

# 3 $\nu$ Oscillation Formalism

## PMNS mixing matrix

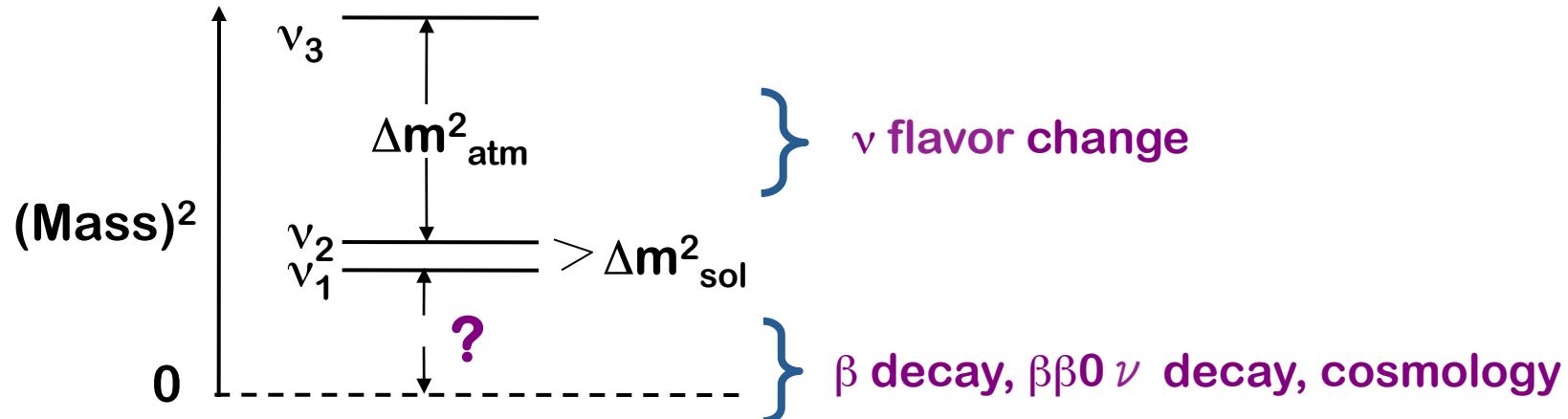
$$\begin{array}{cccc}
 \text{Atmospheric} & \text{Cross-Mixing} & \text{Solar} & \text{Majorana CP phases} \\
 \\ 
 U = \left[ \begin{array}{ccc} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{array} \right] \times \left[ \begin{array}{ccc} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{array} \right] \times \left[ \begin{array}{ccc} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{array} \right] \times \left[ \begin{array}{ccc} e^{i\alpha_1/2} & 0 & 0 \\ 0 & e^{i\alpha_2/2} & 0 \\ 0 & 0 & 1 \end{array} \right]
 \end{array}$$

$\theta_{23}$  : “atm.” mixing angle       $\theta_{13}$        $\theta_{12}$  : “solar” mixing angle  
 $\delta$  Dirac CP violating phase      2 Majorana phases  
(L violating processes)

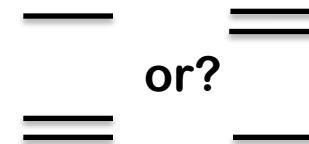
- 3 masses  $m_1, m_2, m_3$ :  $\Delta m_{\text{sol}}^2 = m_2^2 - m_1^2$  &  $\Delta m_{\text{atm}}^2 = |m_3^2 - m_1^2|$
- 3-flavour effects are suppressed since:  $\Delta m_{\text{sol}}^2 \ll \Delta m_{\text{atm}}^2$  (1/30) &  $\theta_{13} \ll 1$

# Open questions

- What are the masses of the mass eigenstates  $\nu_i$ ?



- Is the spectral pattern



$\nu^{(-)}$  behavior in matter,  $\beta\beta 0\nu$

- Is there any conserved Lepton Number (Dirac or Majorana neutrino) ?  $\beta\beta 0\nu$

- Precise measurements of the leptonic mixing matrix?

- Do the behavior of  $\nu$  violate CP?

- Is leptonic CP responsible for the matter-antimatter asymmetry?

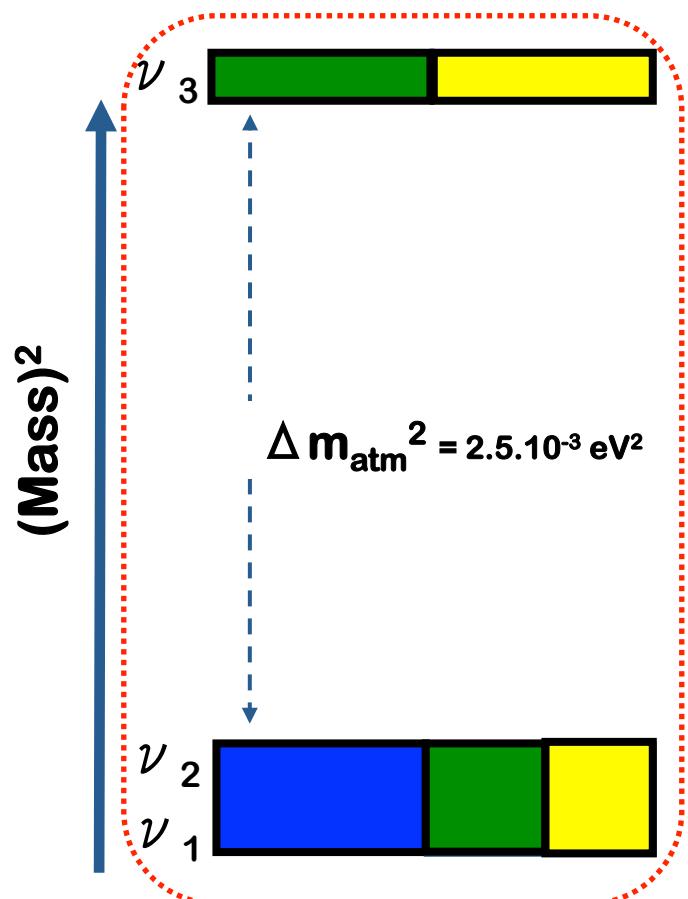
$\nu$  flavor change

- Are there additional (sterile) neutrino states  $\nu$  flavor change, Cosmology

# Question 1)

What are the leptonic  
mixing parameters?

# $\Delta m^2_{31}$ & $\theta_{23}$



$$\Delta m^2 \sim L / E$$

**Atmospheric:**

- $L \sim 10^4 \text{ km}$  &  $E \sim 1-30 \text{ GeV}$

**Reactors:**

- $L \sim 1 \text{ km}$  &  $E \sim 3 \text{ MeV}$

**Accelerators:**

- $L \sim 1000 \text{ km}$  &  $E \sim 3 \text{ GeV}$

$$\nu_e \blacksquare |U_{ei}|^2 \quad \nu_\mu \blacksquare |U_{\mu i}|^2 \quad \nu_\tau \blacksquare |U_{\tau i}|^2$$

# Addressing Atmospheric Sector

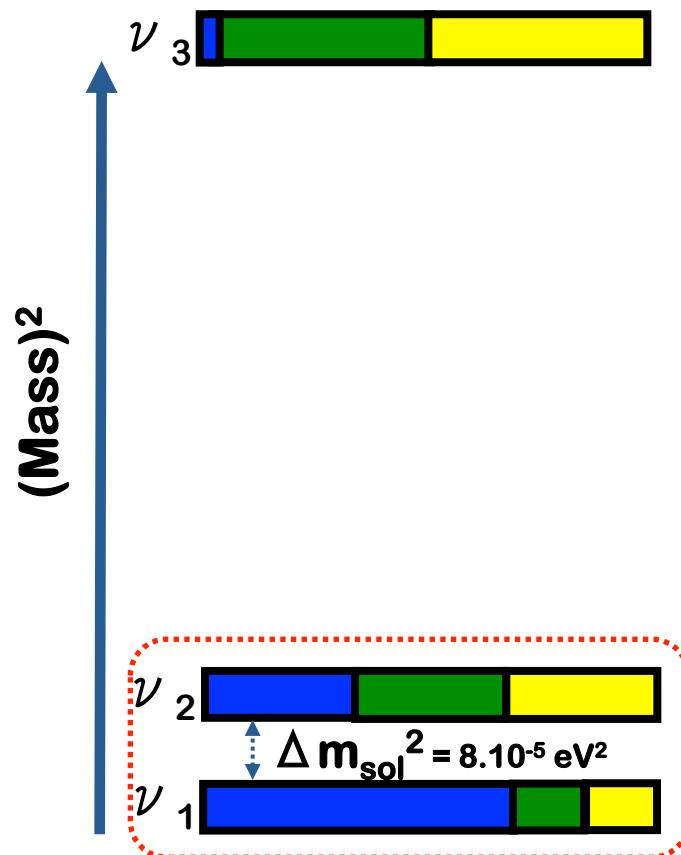
MINOS

Chooz

SuperK

Experiment	Baseline	Size	Channel
SuperK	$10-10^4$ km	$22.5\ 000\ m^3$	$\nu_\mu \rightarrow \nu_\mu$
Chooz	1 km	$5\ m^3$	$\bar{\nu}_e \rightarrow \bar{\nu}_e$
K2K	250 km	$22.5\ 000\ m^3$	$\nu_\mu \rightarrow \nu_\mu$
MINOS	730 km	5.4 ktons	

# $\Delta m_{21}^2$ & $\theta_{12}$



$L \sim 100 \text{ km}$  &  $E \sim \text{MeV}$   
Or MSW ‘flavor transition’

$$\nu_e \blacksquare |U_{ei}|^2 \quad \nu_\mu \blacksquare |U_{\mu i}|^2 \quad \nu_\tau \blacksquare |U_{\tau i}|^2$$

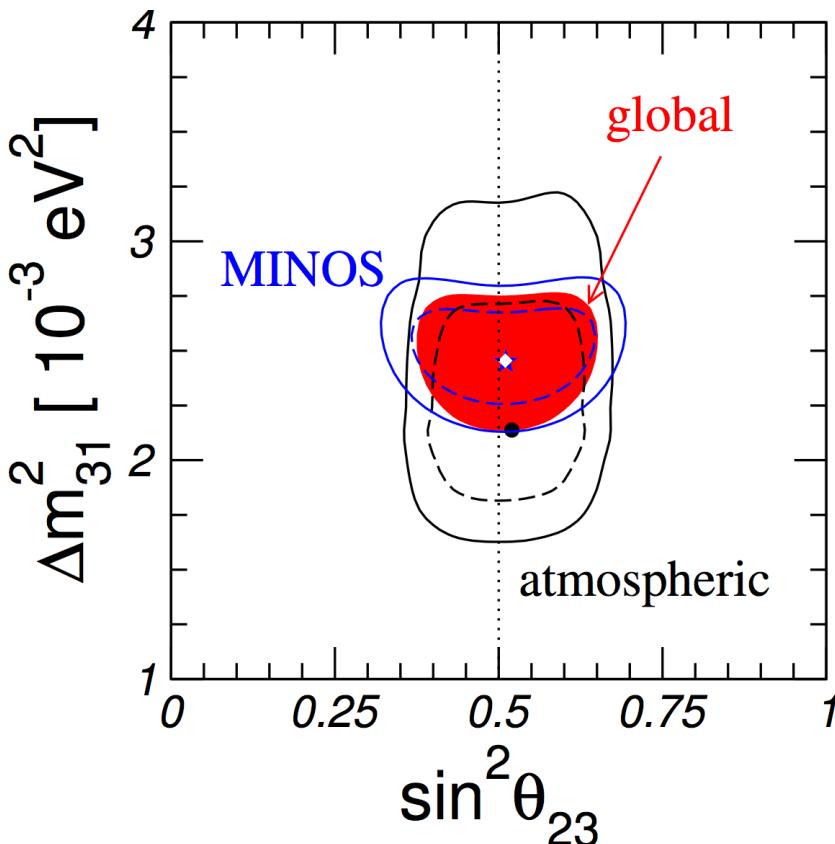
# Addressing Solar Sector

Experiment	Baseline	Size	Channel
Gallex/GNO/Sage	Sun	30-60 tons	$\nu_e \rightarrow \nu_e$
SuperK	Sun	22.500 tons	$\nu_e \rightarrow \nu_{e,\mu,\tau}$
SNO/SNO+	Sun	1000 tons	$\bar{\nu}_e \rightarrow \bar{\nu}_e$
KamLAND	150 km	1200 tons	$\nu_e \rightarrow \nu_e$
Borexino	Sun	300 tons	$\nu_e \rightarrow \nu_e$

# Leading Order Oscillation Picture

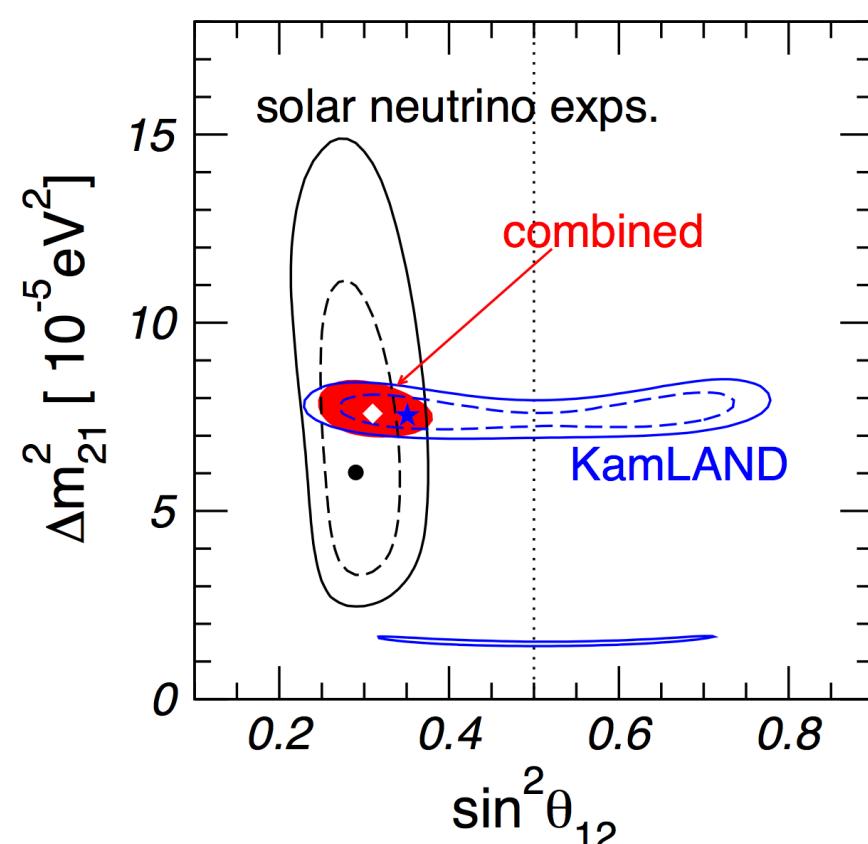
## Atmospheric Oscillation

- $\nu_\mu \rightarrow \nu_\tau$
- $\Delta m^2 \approx 10^{-3} \text{ eV}^2$
- $\sin^2(\theta) \approx 0.5$  (but non max)

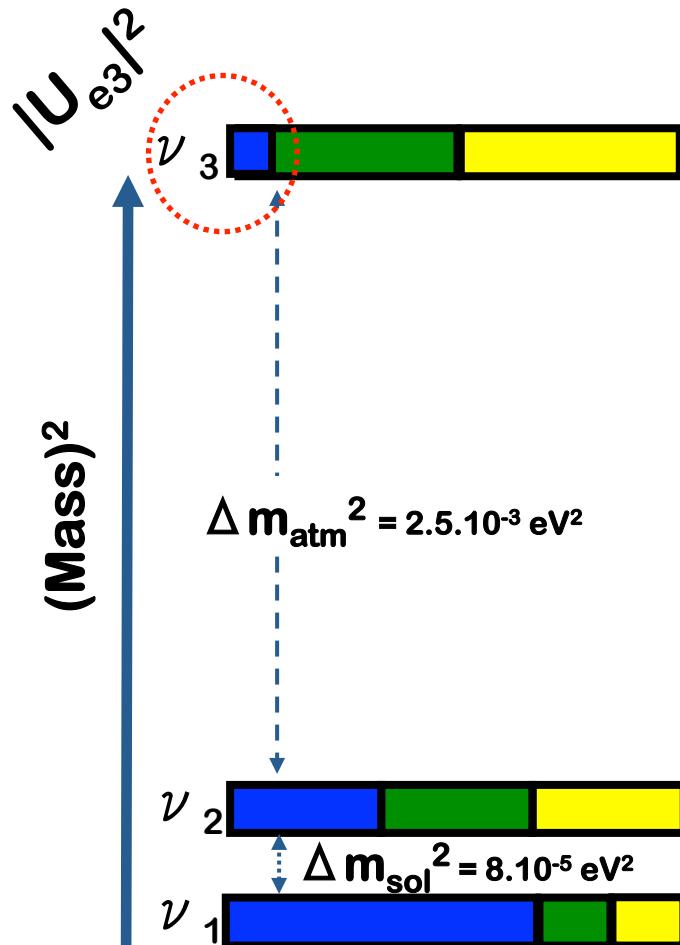


## Solar Oscillation

- $\nu_e \rightarrow \nu_{\mu, \tau}$
- $\Delta m^2 \approx 10^{-4} \text{ eV}^2$
- $\sin^2(\theta) \approx 0.3$



$\theta_{13}$



- Need to connect the  $\nu_e$  flavour with the isolated neutrino ( $\Delta m_{\text{atm}}^2$ )

- $L \sim 1 \text{ km}, E \sim \text{MeV}$

- disappearance expt. @reactor
- $\theta_{13}$  only  $\rightarrow$  'clean'

- $L \sim 1000 \text{ km}, E \sim \text{GeV}$

- accelerator experiments
- appearance expt. @Beam
- $(\theta_{13}, \text{NH/IH}, \delta_{CP})$   
 $\rightarrow$  correlations & degeneracies

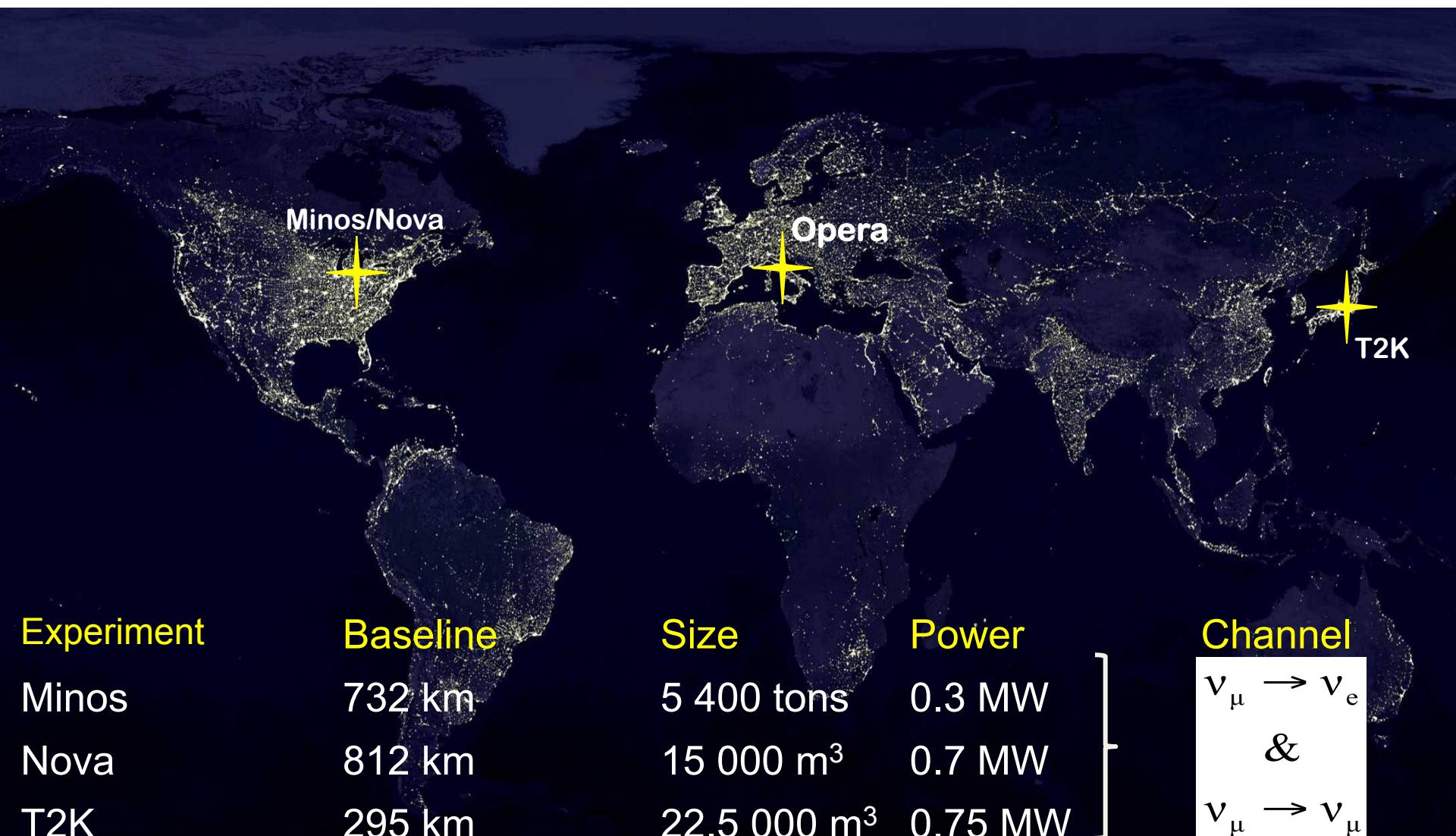
→ Complementary projects

$$\nu_e \blacksquare |U_{ei}|^2$$

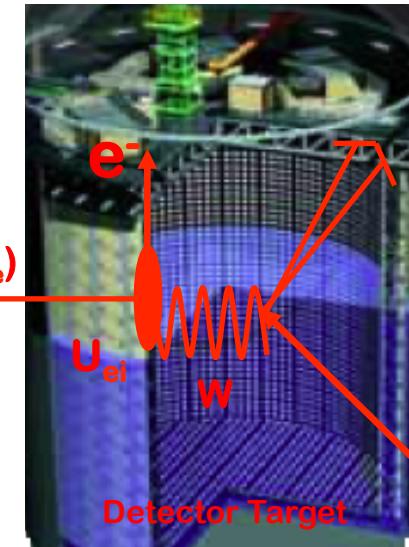
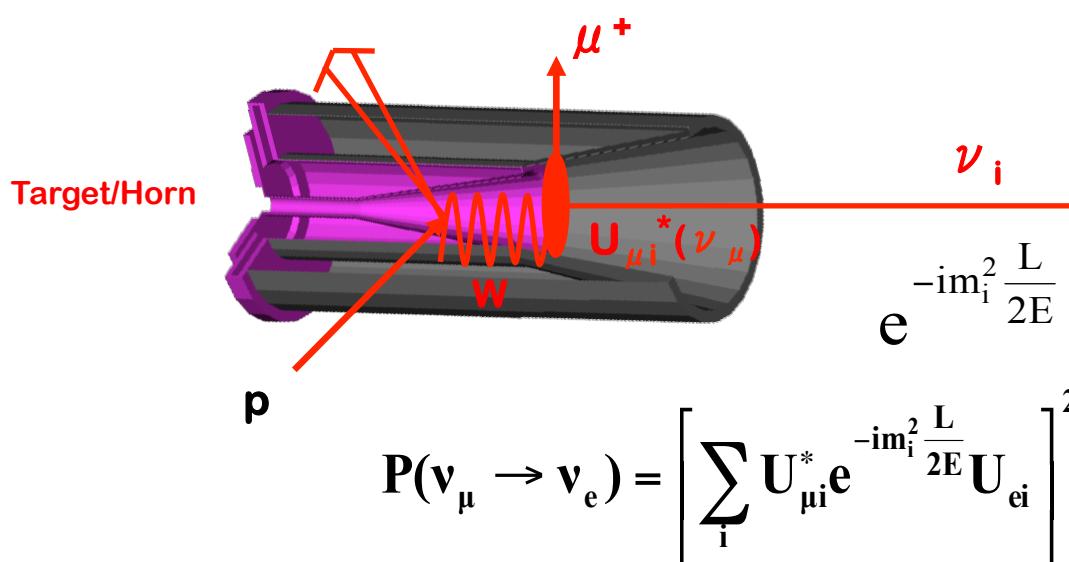
$$\nu_\mu \blacksquare |U_{\mu i}|^2$$

$$\nu_\tau \blacksquare |U_{\tau i}|^2$$

# Beam Neutrino Experiments



# $\nu$ -Beam: Oscillation Physics



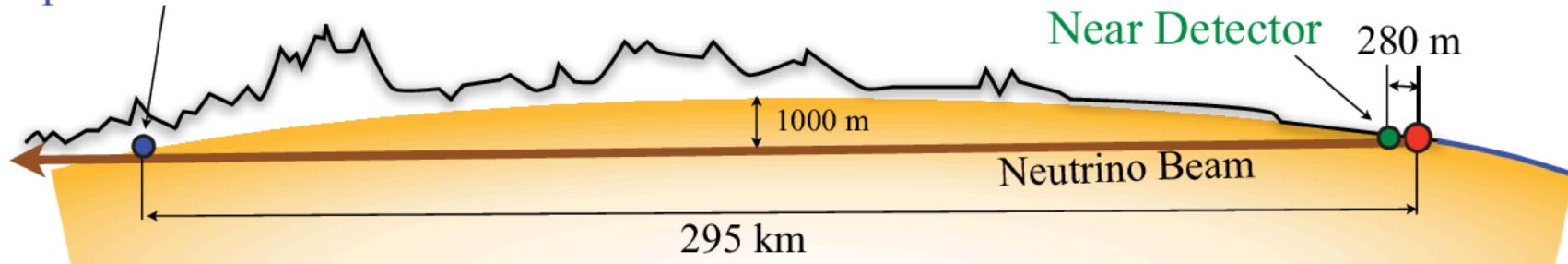
- Complex oscillation formula
  - depends on  $\sin^2(2\theta_{13})$ ,  $\Delta m_{31}^2$ ,  $\text{sign}(\Delta m_{31}^2)$ ,  $\delta$
- >> MeV muon antineutrinos → appearance experiments
  - $\sin^2(2\theta_{13})$  measurement depends on  $\delta$ -CP
- >> MeV neutrinos + >>100 km baseline → matter effects
  - $\sin^2(2\theta_{13})$  measurement independent of  $\text{sign}(\Delta m_{13}^2)$

Very sensitive  
to apparition

Correlation &  
degeneracies

# T2K (Tokai to Kamioka) @J-PARC

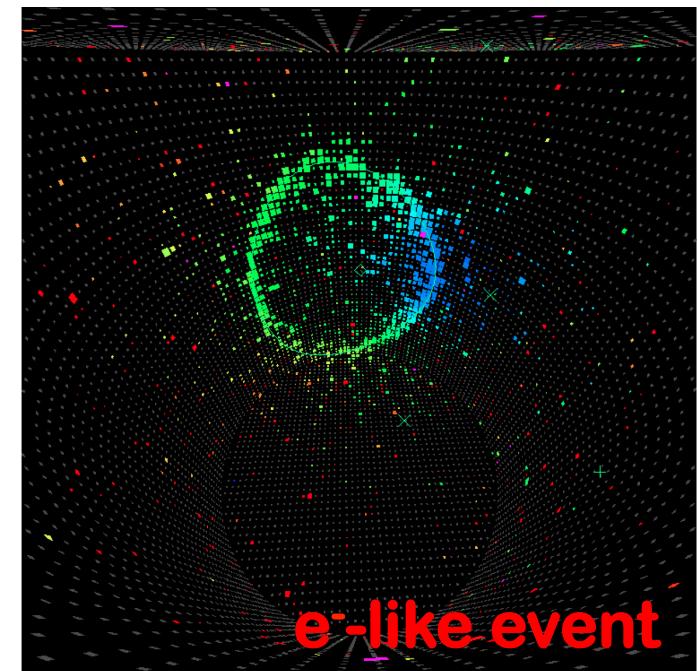
Super-Kamiokande



J-PARC

Near Detector

- **Channel:**  $\nu_\mu \rightarrow \nu_e$  (1<sup>st</sup> goal: search for non-zero  $\theta_{13}$ , beam contamination, NC-1 $\pi^0$ )
- **Channel:**  $\nu_\mu \rightarrow \nu_\mu$  ( $\sin^2 2\theta_{23}$  @ 1% &  $\Delta m^2_{23}$  @ 2%, single pion production)
- **Detection, CCQE:**  $\nu_\text{l} + n \rightarrow p + l^- (\text{l} = e, \mu)$
- **Beam Setup:**
  - Off-axis beam ( $2.5^\circ$ ), ramping to 750 kW...
  - Quasi-monochromatic  $\nu_\mu$  beam (400 MeV)
  - Small intrinsic  $\nu_e$  contamination
  - Reduced high-E non-CCQE backgrounds
- **Far Detector at 295 km:**
  - Super Kamiokande (50 kt)
- **Near Detector at 280 m:**
  - On & Off-Axis detectors (Ingrid & ND280)



# Latest T2K results on $\theta_{13}$

- New results on  $\nu_\mu \rightarrow \nu_e$  based on  $3 \times 10^{20}$  pot (4% exposure's goal)

- Expected Background:  $3.22 \pm 0.43$

- intrinsic  $\nu_e$  contamination
- $\pi^0$  from NC interactions

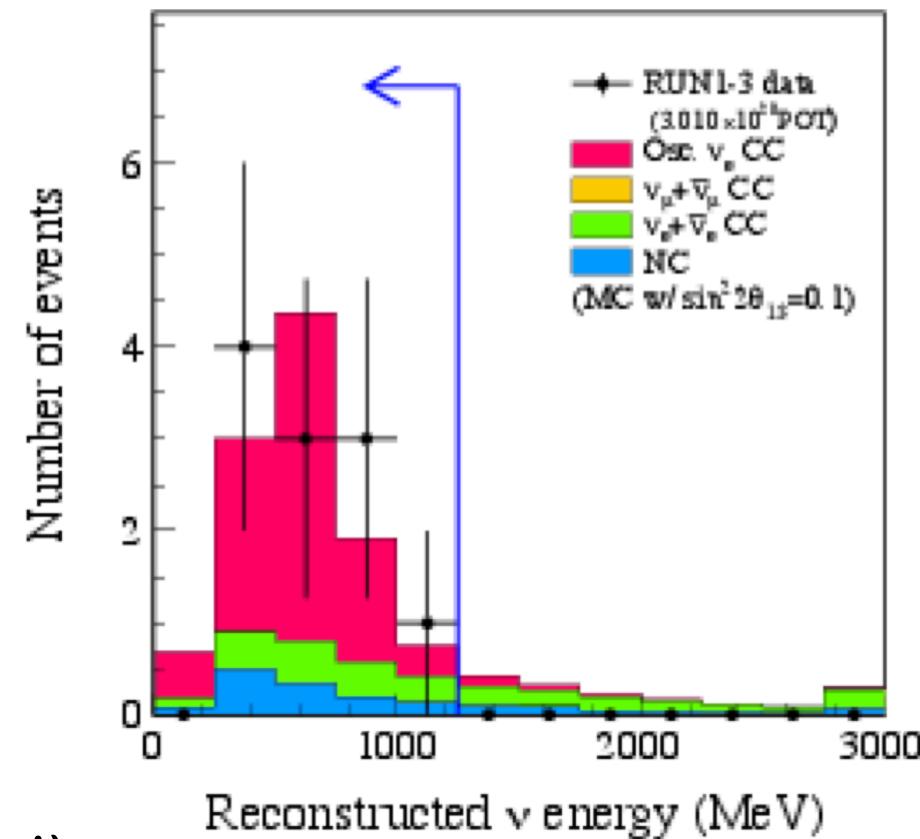
- 11 candidate events

Indication of  $\nu_e$  appearance

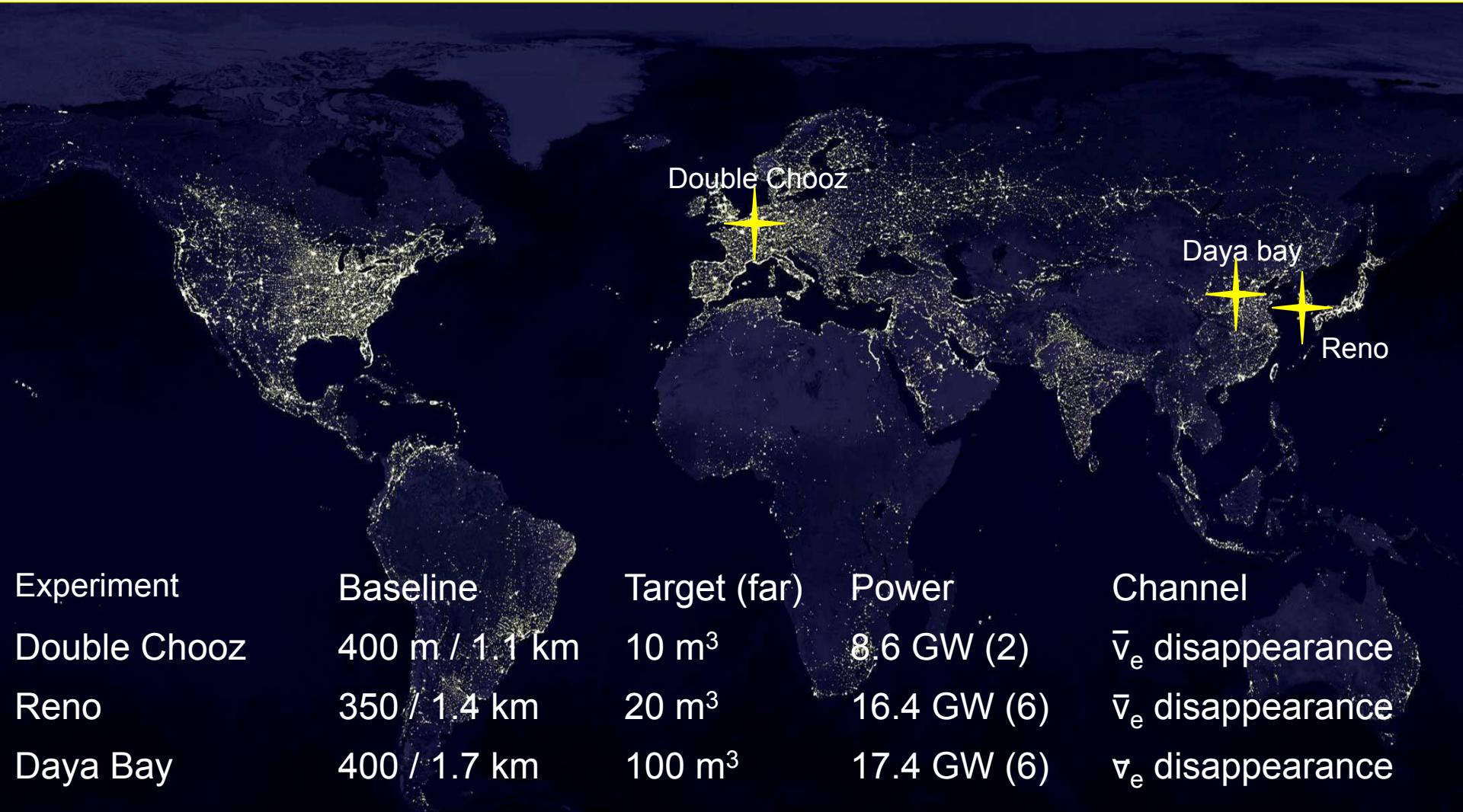
- Under  $\theta_{13}=0$  hypothesis,  
 $P(\text{obs}>11 \text{ events}) = 0.08\% (3.2\sigma)$

- Next Goals:

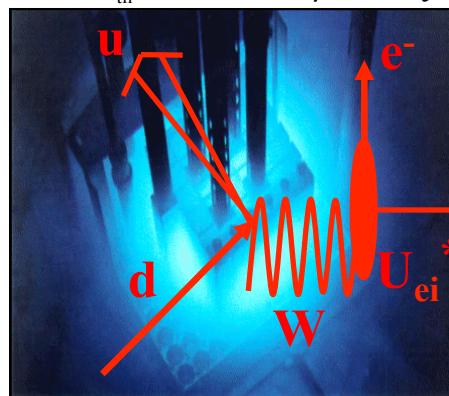
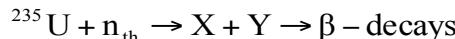
- Still limited by stat!
- Achieve  $5\sigma$  in 2012 ( $8 \times 10^{20}$  pot)
- Upgrade JPARC ( $7.5 \times 10^{21}$  pot in 2021)



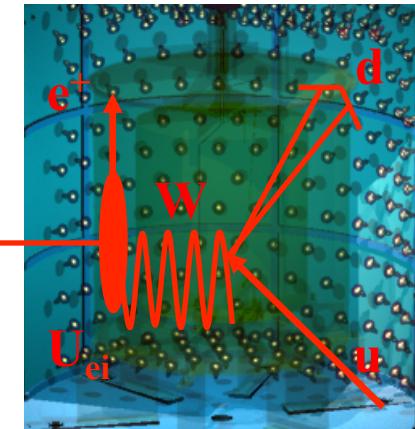
# Reactor Neutrino Experiments



# Reactor: Oscillation Physics



Reactor core



Target H

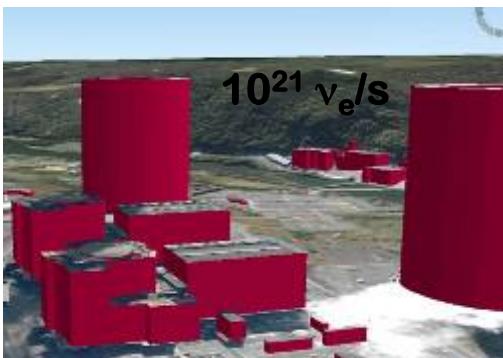
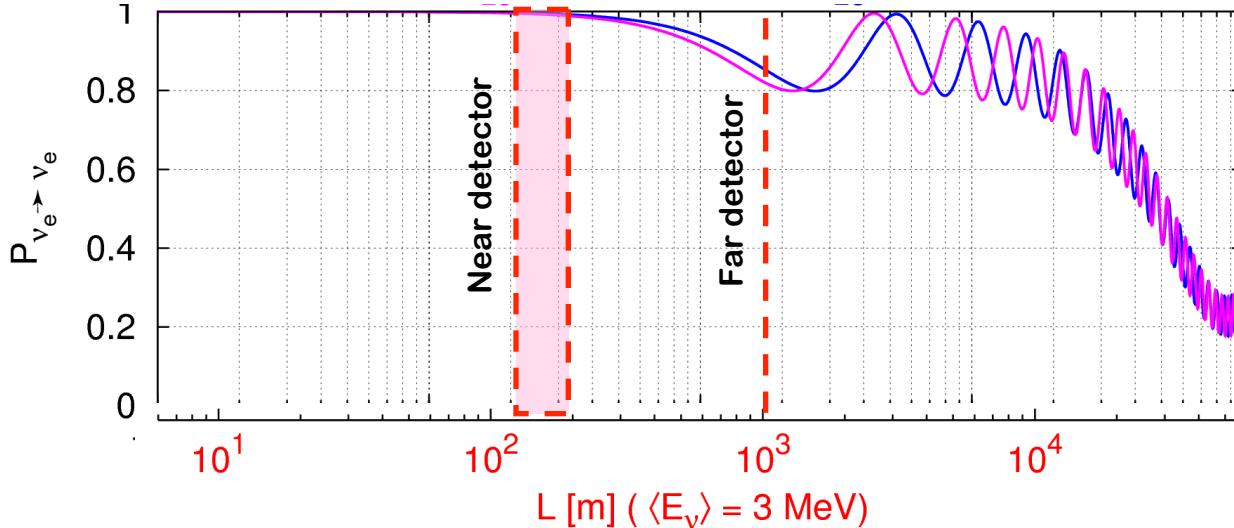
$$P(\bar{\nu}_e \rightarrow \bar{\nu}_e) = 1 - \sin^2(2\theta_{13}) \left[ \sin \left( 1.27 \frac{\Delta m_{\text{atm}}^2 (\text{eV}^2) L (\text{m})}{E (\text{MeV})} \right) + O\left(\frac{\Delta m_{\text{sol}}^2}{\Delta m_{\text{atm}}^2}\right) \right]$$

- Simple oscillation formula
- ➔ depends  $\sin^2(2\theta_{13})$  &  $\Delta m_{\text{atm}}^2$ , weakly on  $\Delta m_{\text{sol}}^2$
- MeV electron antineutrinos ➔ disappearance experiment
- ➔  $\sin^2(2\theta_{13})$  measurement independent of  $\delta\text{-CP}$
- MeV neutrinos + 1 km baseline ➔ no matter effects  $O[10^{-4}]$
- ➔  $\sin^2(2\theta_{13})$  measurement independent of sign( $\Delta m_{13}^2$ )

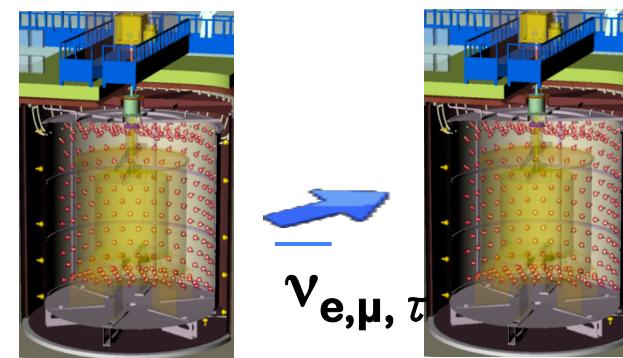
‘clean’  
information  
on  $\theta_{13}$

# Reactor: Experimental Concept

$$P(\nu_e \rightarrow \nu_e) = 1 - \sin^2(2\theta_{13}) \sin^2(\Delta m^2_{31} L / 4E)$$

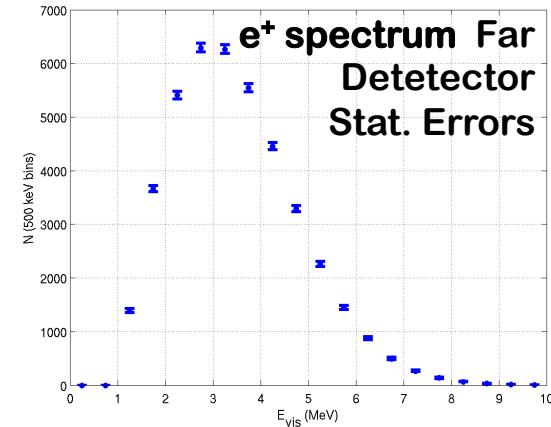


Chooz Nuclear Power Station  
2 cores of  $4.3 \text{ GW}_{\text{th}}$  each



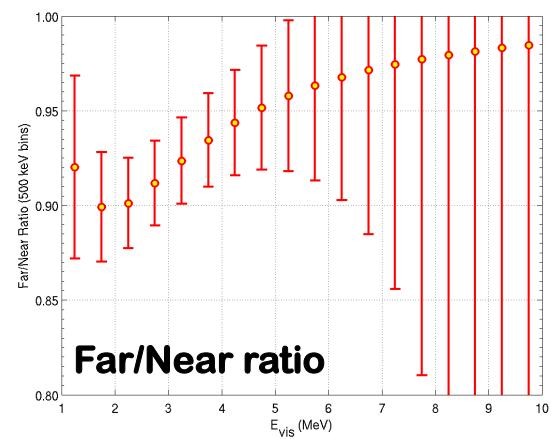
Near detector  
400 m

Far detector  
1050 m

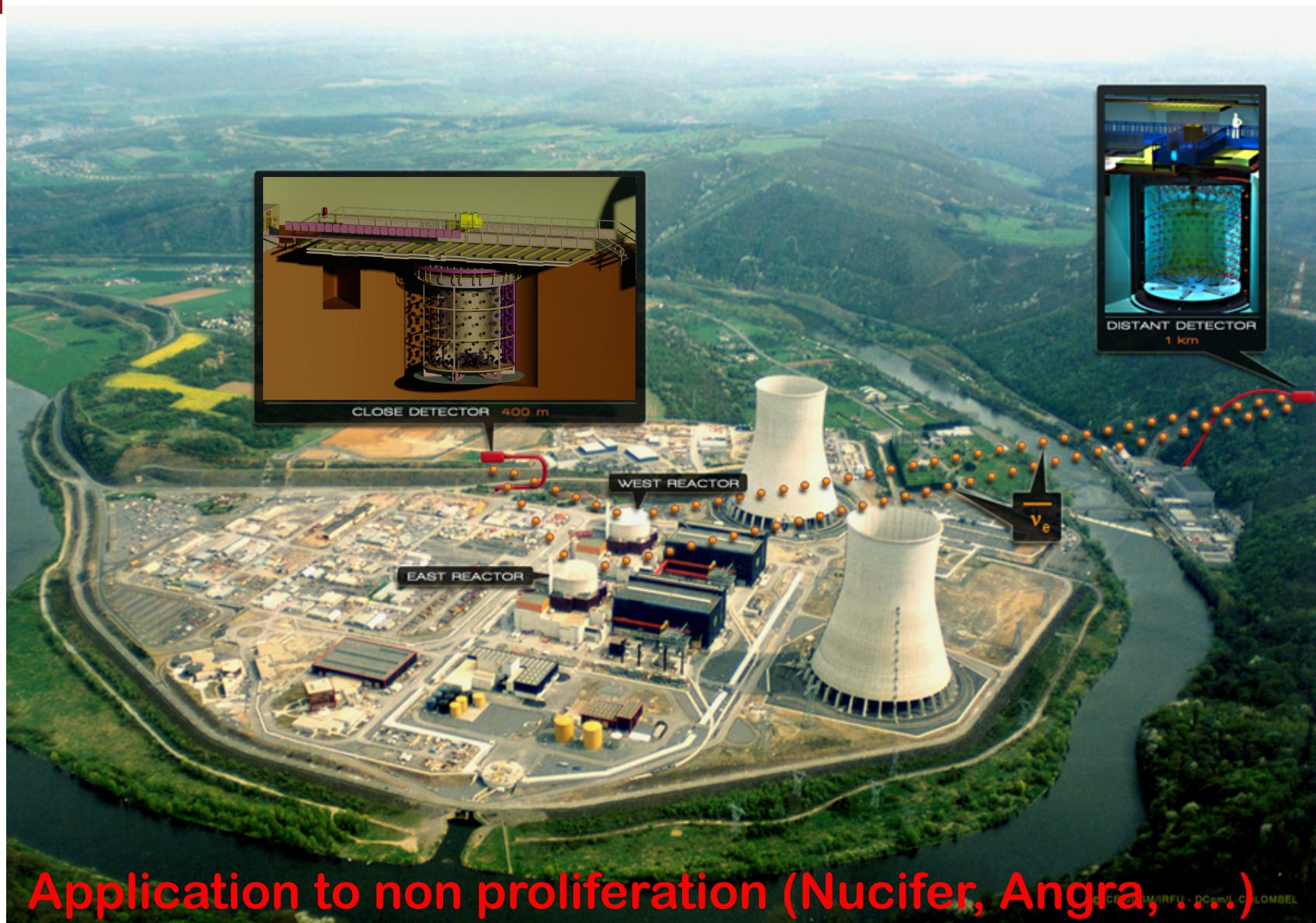


$$\Delta m^2_{\text{atm}} = 3.0 \cdot 10^{-3} \text{ eV}^2$$

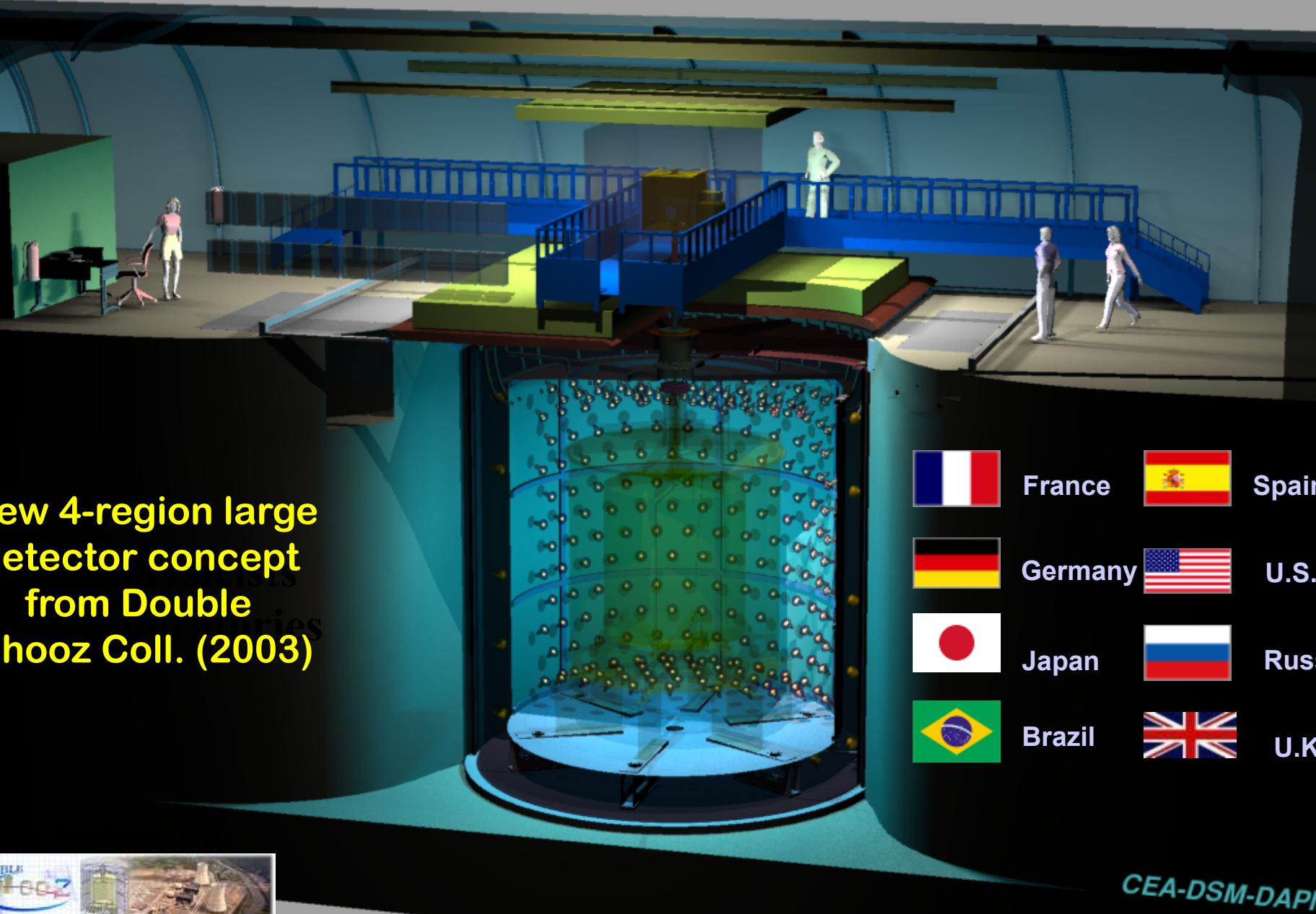
$$\sin^2(2\theta_{13}) = 0.12$$



# Double Chooz: Site



# Double Chooz



New 4-region large  
detector concept  
from Double  
Chooz Coll. (2003)



France



Spain



Germany



U.S.A.



Japan



Russia



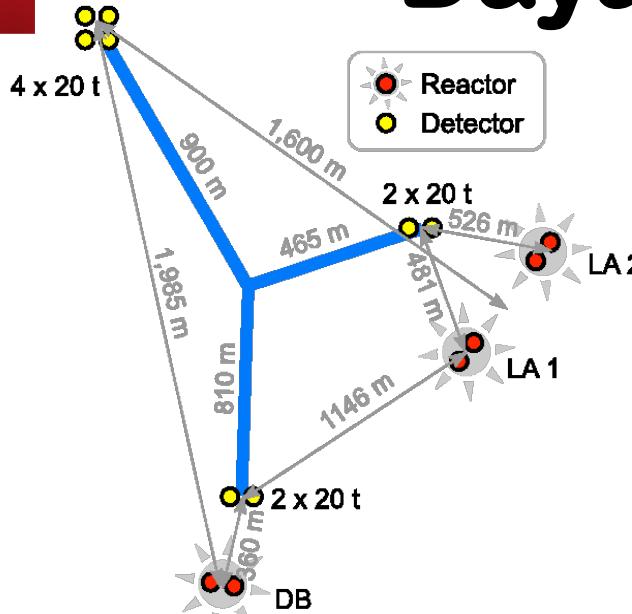
Brazil



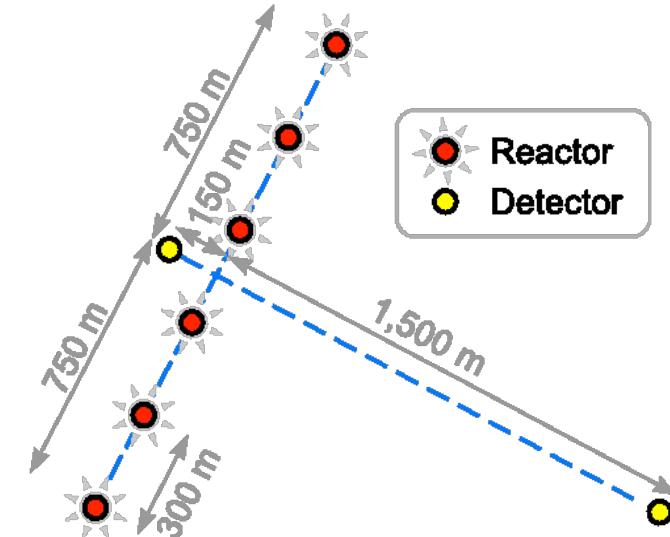
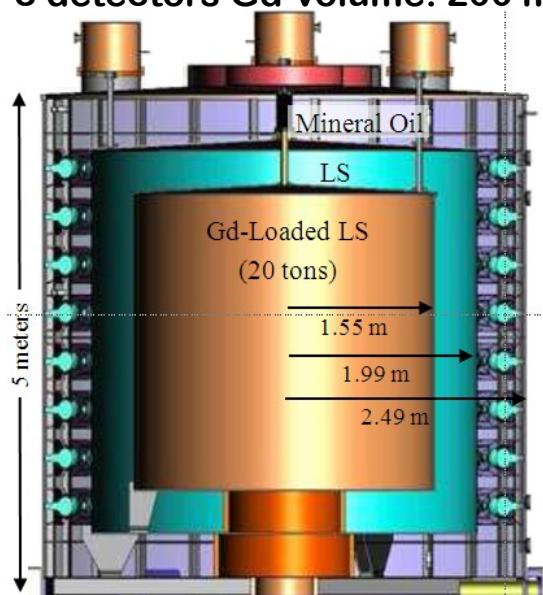
U.K.



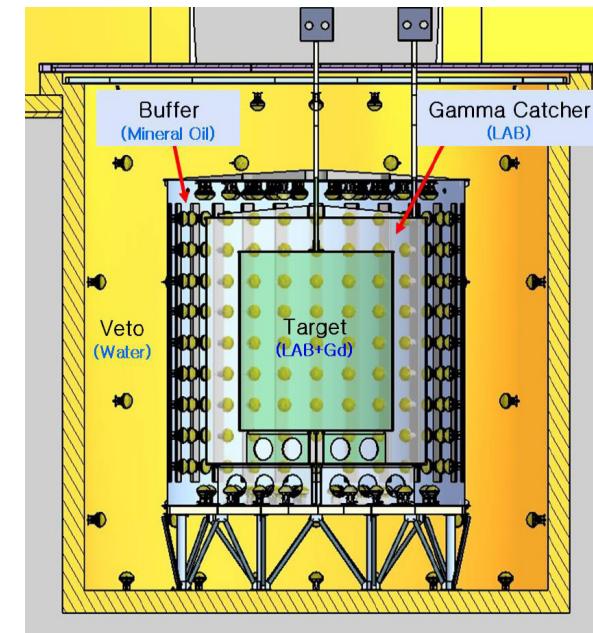
# Daya Bay and Reno



**8 detectors Gd-volume: 200 m<sup>3</sup>**

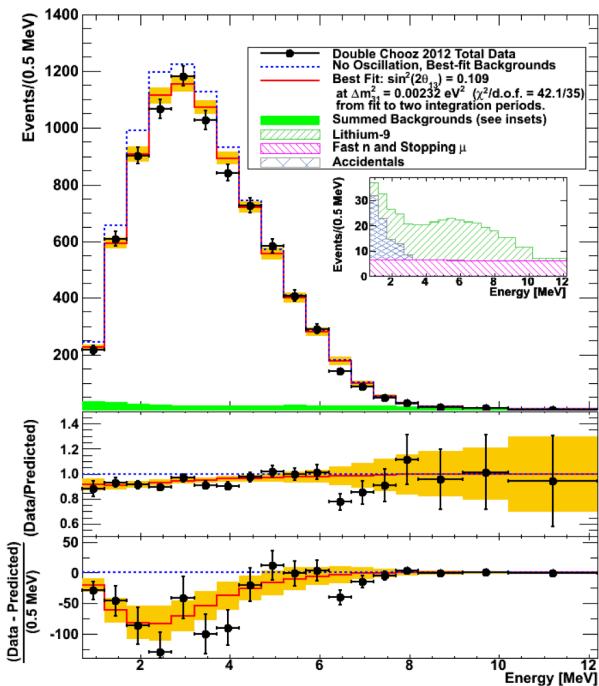


**2 detectors, Gd-volume: 40 m<sup>3</sup>**

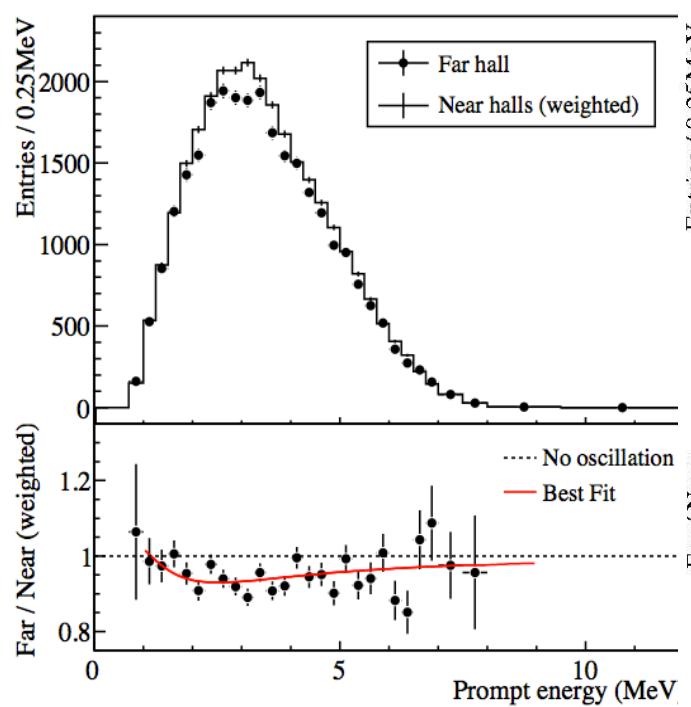


# Reactor Results on $\sin^2(2\theta_{13})$

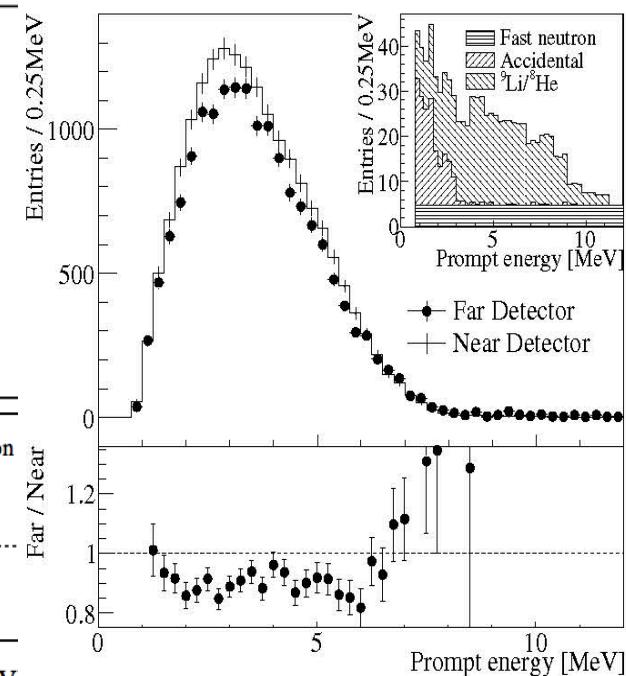
**Double Chooz (Oct. 2011)**



**Daya Bay (March 2012)**



**RENO (April 2012)**



$0.109 \pm 0.039 (0.030 \text{ stat} \pm 0.025 \text{ sys})$

$0.089 \pm 0.011 (0.010 \text{ stat} \pm 0.005 \text{ sys})$

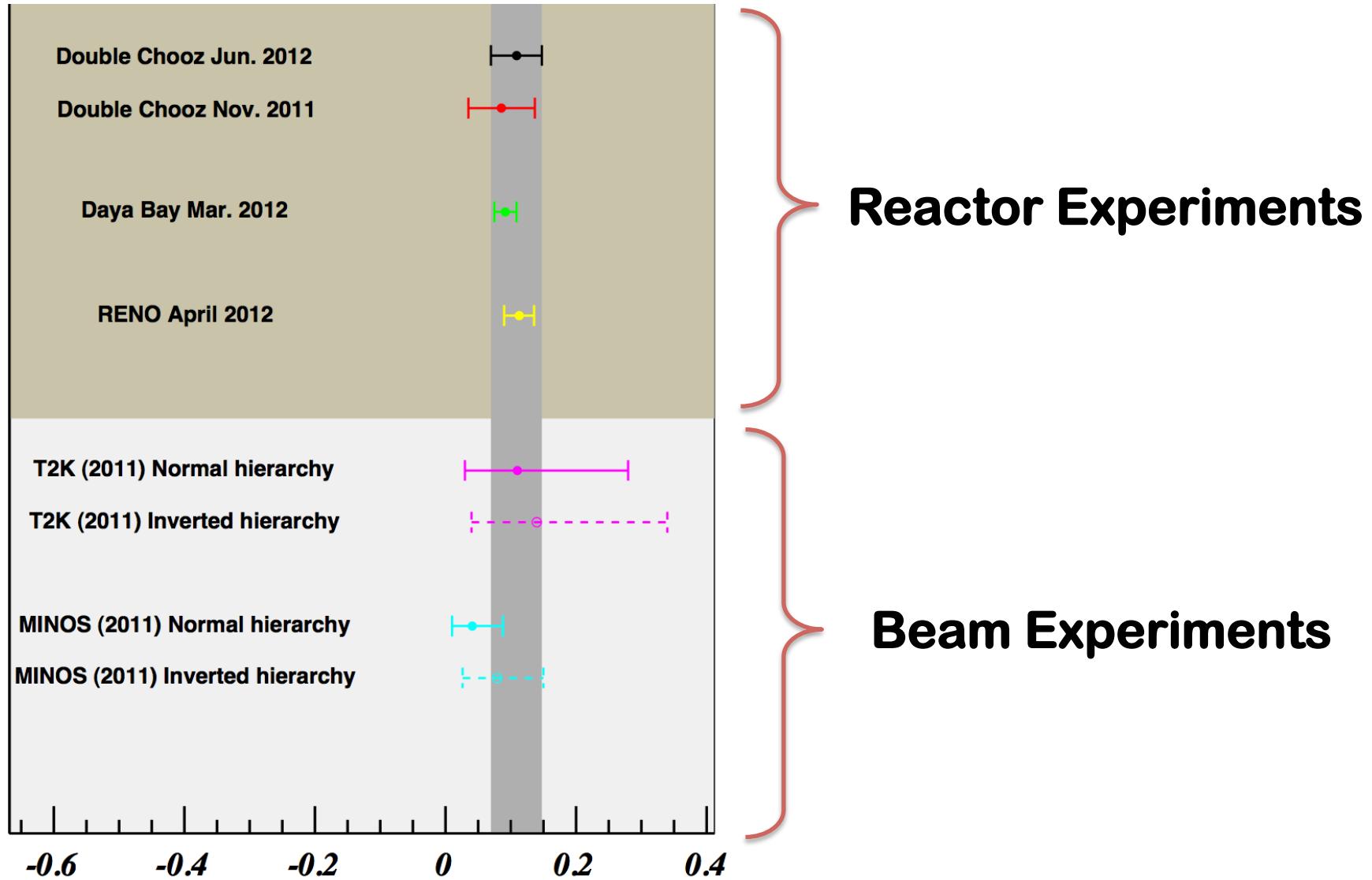
$0.113 \pm 0.023 (0.013 \text{ stat} \pm 0.019 \text{ sys})$

Rate+shape analysis  
BG constraints with  
reactor off-off data

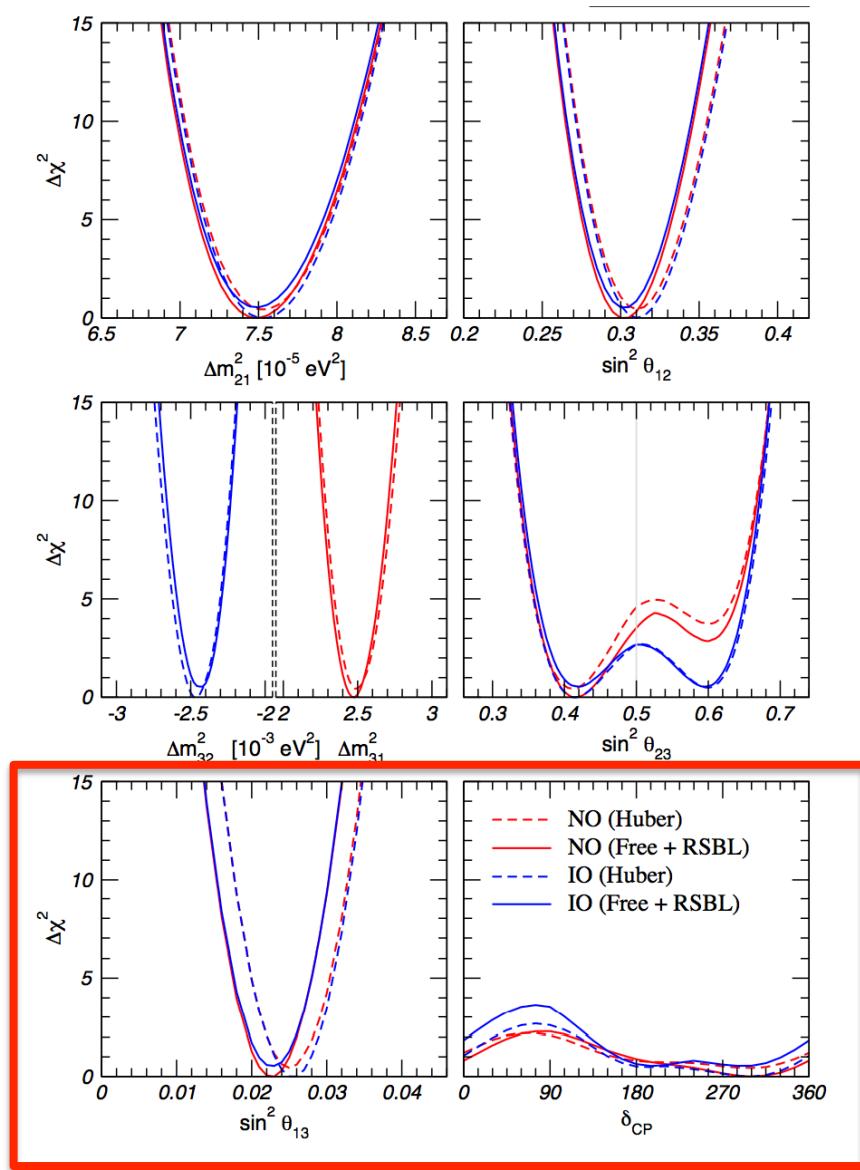
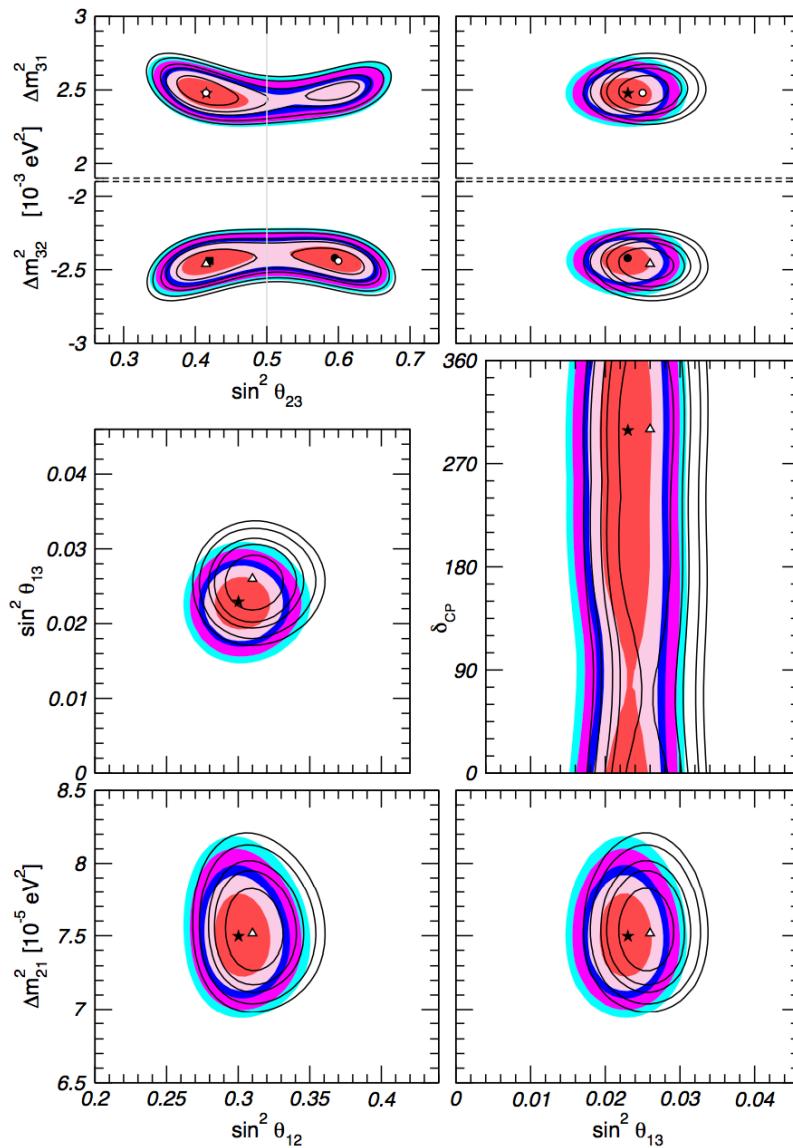
Leading precision  
now 15% error  
But still rate only analysis

Rate only measurement  
Concerns on the analysis  
[5-12] MeV region used  
for osc. analysis...

# Summary of the $\theta_{13}$ Results



# Global Fit (NuFit 2012)

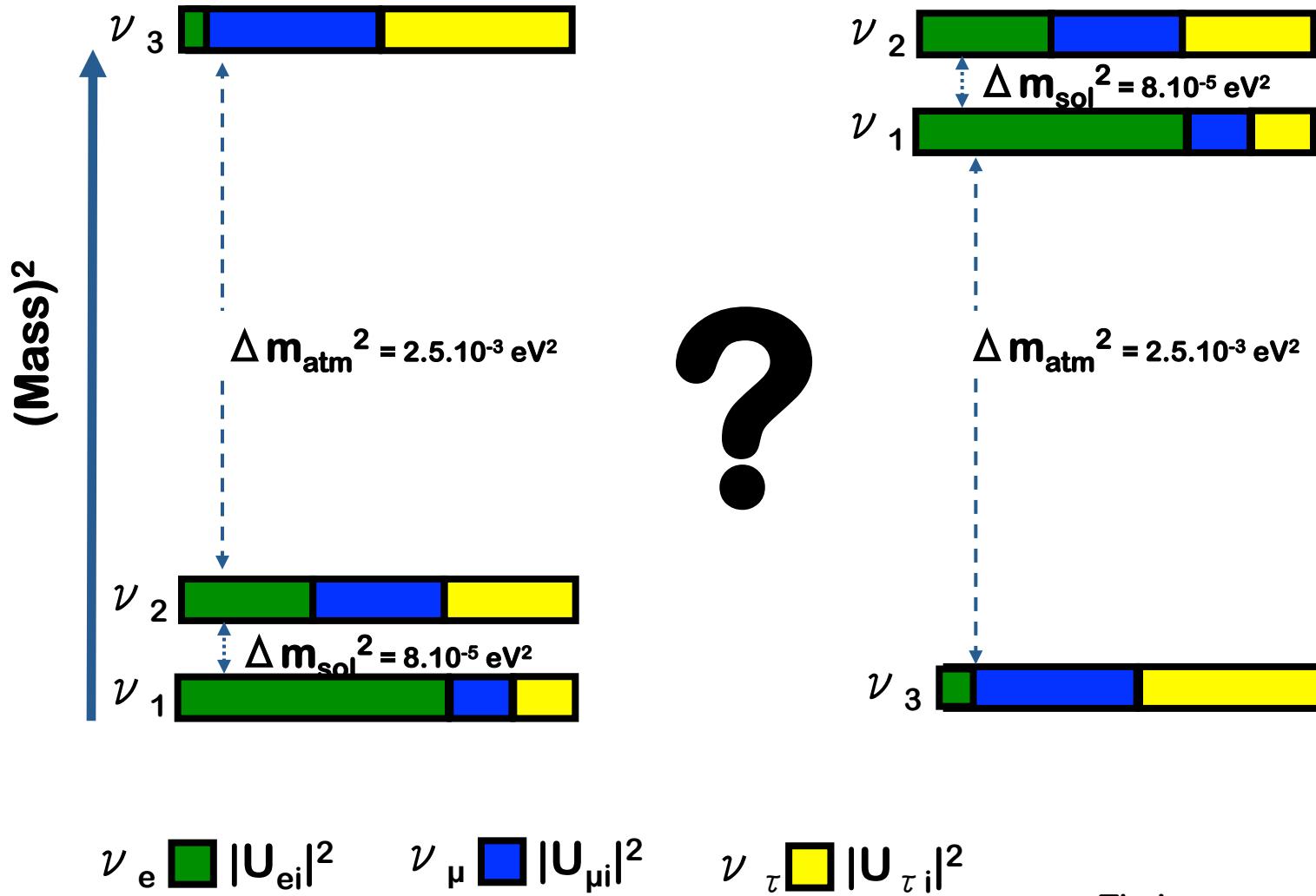


First ‘constraint’ on  $\delta$

# Question 2)

What is the spectral  
mass pattern ?

# Sign of $\Delta m_{31}^2$



# MH: middle term projects

**2012: Large  $\theta_{13}$  open the door to new initiatives:**

- **Atmospheric neutrino L/E measurement**
  - Pingu (Ice-Cube) & Orca (KM3net)
- **50 kt scale reactor experiment at 55 km**
  - Daya-Bay II
- **Atmospheric neutrino in magnetized detector**
  - INO
- **Beam of Neutrinos in Matter**
- **Prospects: results before 2020 ?**

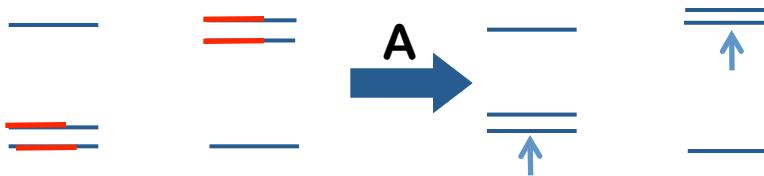
# MH & Matter Effect

- Coherent neutrino forward scattering from ambient matter
- CC interaction of  $\nu_e$  with the electrons creates a potential for  $\nu_e$

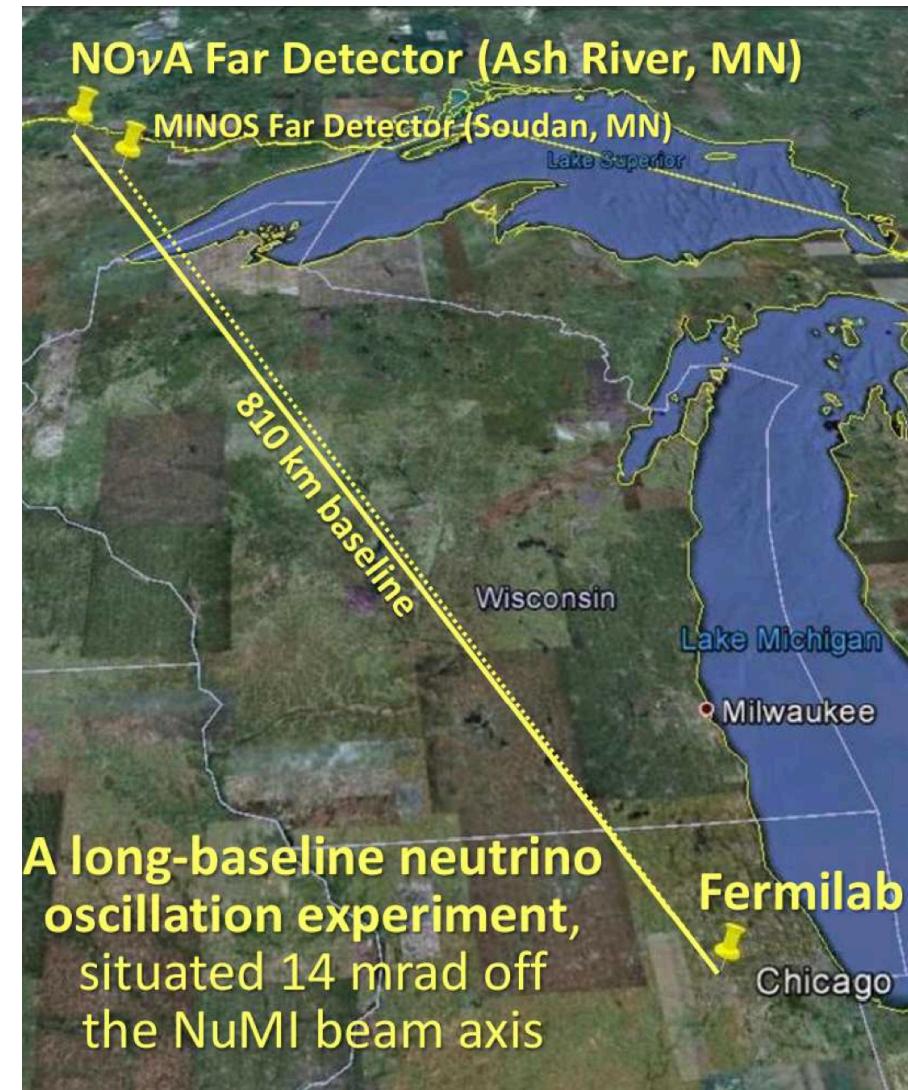
$$A \propto \pm G_F N_e E_\nu$$

(+ for  $\nu$ , - for anti- $\nu$ )

- 'A' modifies the mass eigenstates values and thus the oscillation prob.



- 'A' lead to  $P(\nu_\alpha \rightarrow \nu_\beta) \neq P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta)$   
→ Mimicking CP- $\delta$  effect

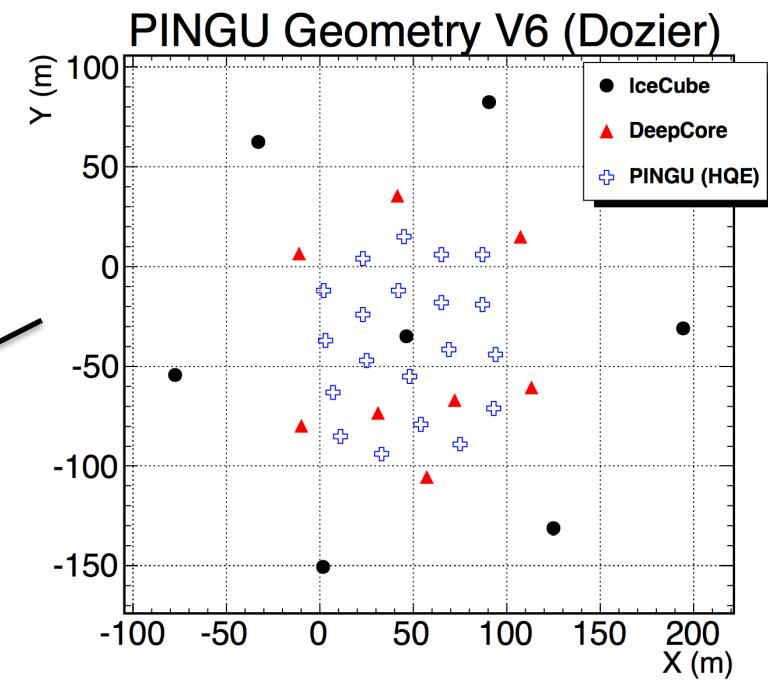
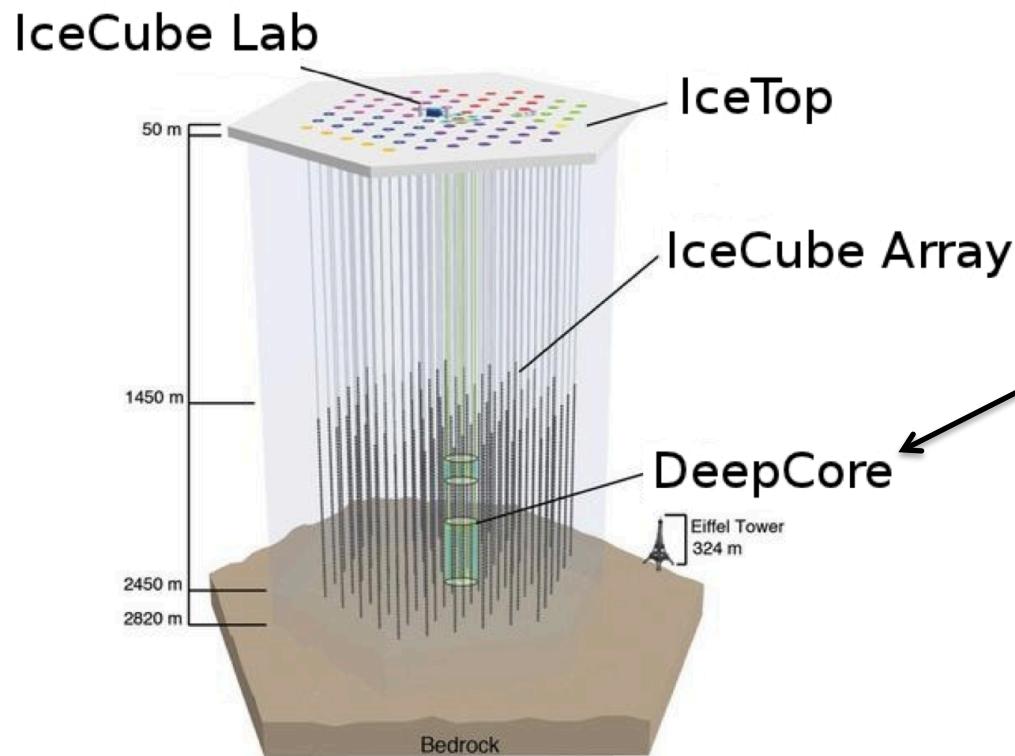


Nova: 40% chance tagging MH @ $2\sigma$

# IceCube Neutrino Telescope

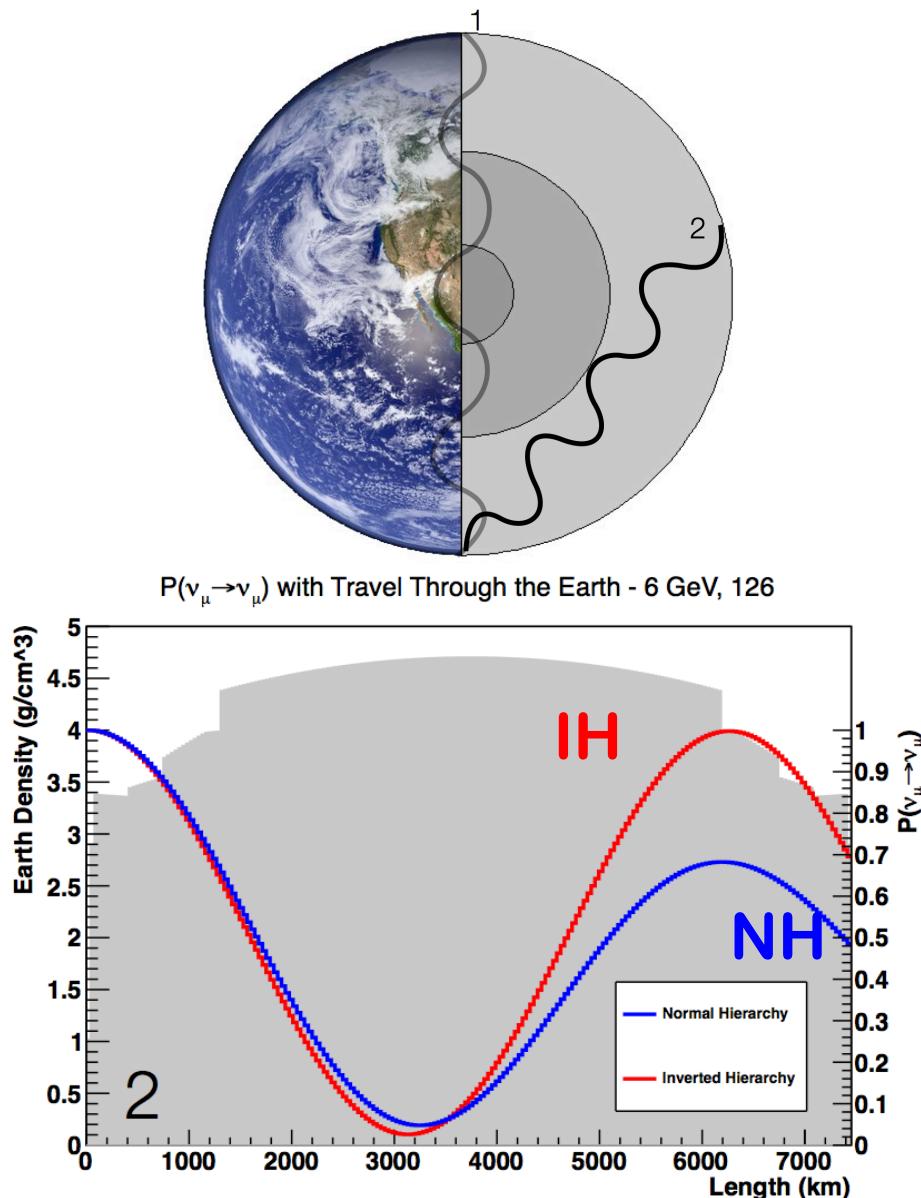
**Existing:** 1 km<sup>3</sup> antarctic ice instrumented with 5160 PMTs  
**+ Deep Core** 20 strings to reduce threshold

**Next: PinGU → Add 20 strings within DeepCore**



# MH with PINGU (& ORCA)

- Lower Threshold to few GeV
  - Fine mesh string array
- Keep Megaton volume
- Matter Effects:  
**IH/NH has up to a 20% difference in oscillation probability for specific energies and zenith angles**
- Promising but sensitivity under study...
- Deployment by 2018
- Similar project in the Mediterranean see (Orca)

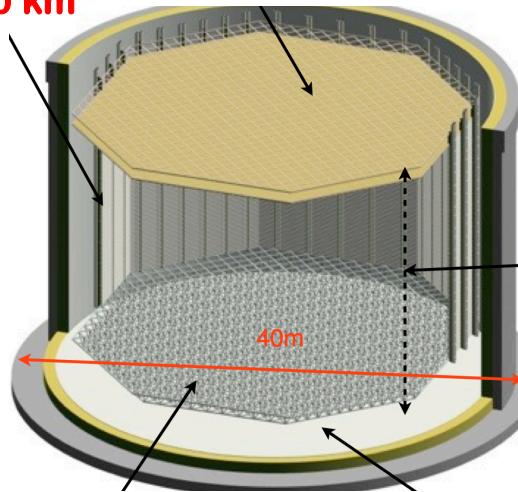


# Question 3)

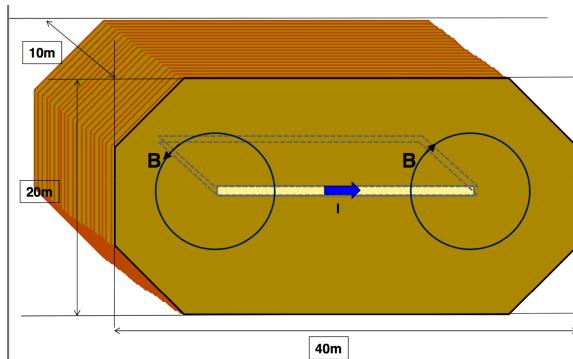
## Do the behavior of ✓ violate CP?

# MH & CPV: long term projects

L=2300 km



**LBNO (Europe, underground)**  
**20-100 kt LAr +**  
**Magnetic Spectrometer**

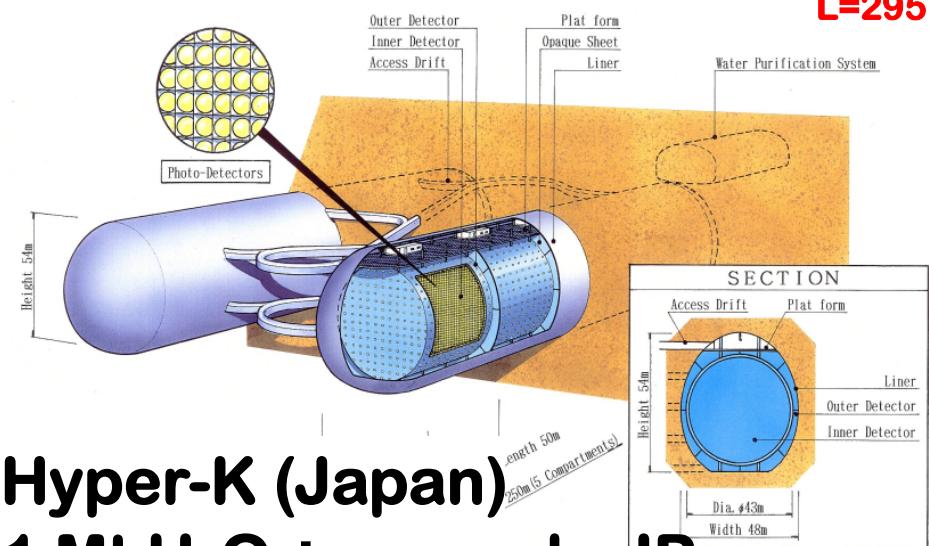


L=1300 km



**LBNE (US)**  
**10 kt LAr**  
**(surface)**

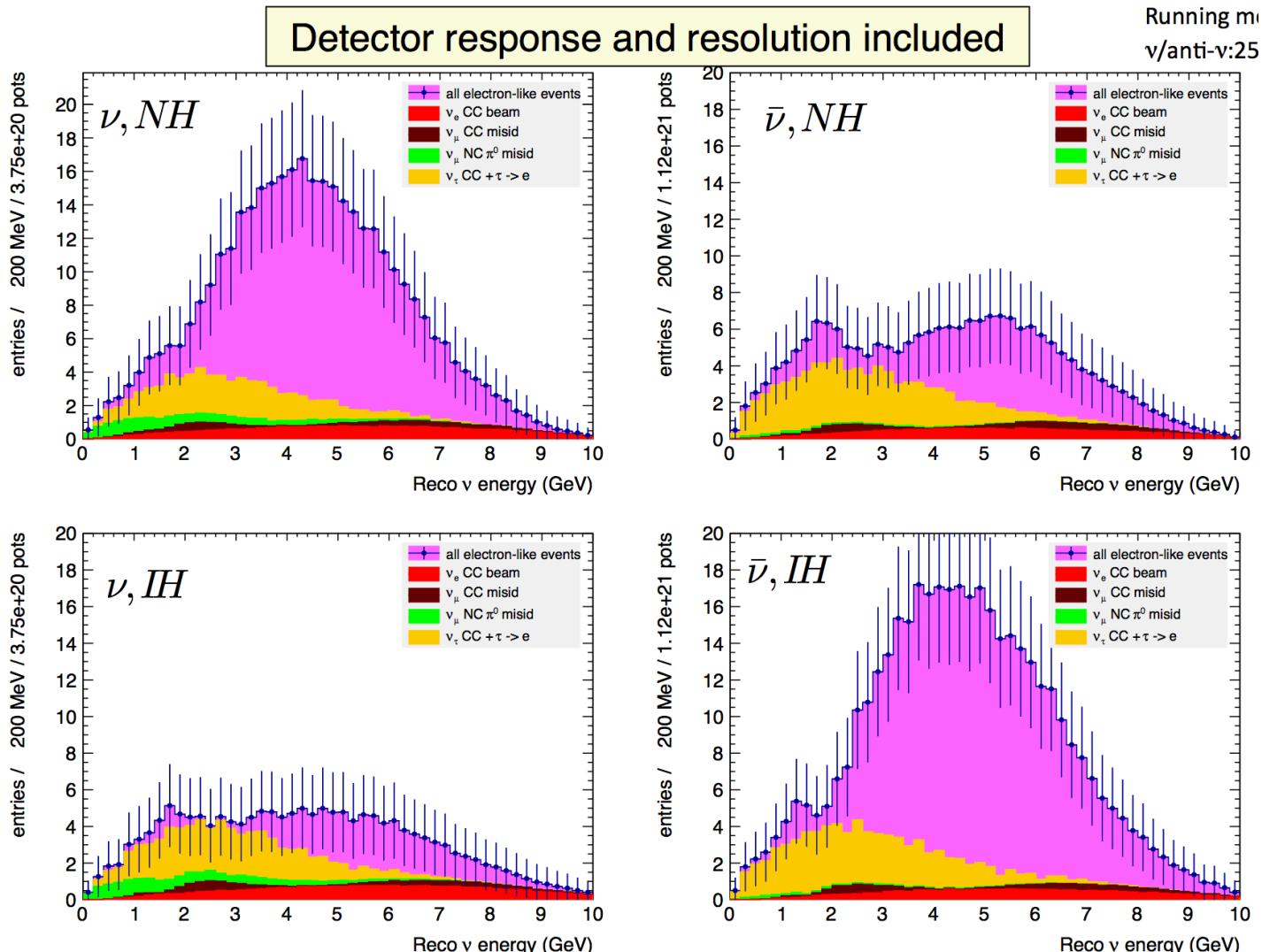
L=295km



**Hyper-K (Japan)**  
**1 Mt H<sub>2</sub>O + upgrade JParc**

# LBNO: Mass Hierarchy ( $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ )

Excellent prospect – Earliest schedule for  $5\sigma$  : 2026 (start + 3 years)



# Towards CP-violation Search

$$P(\nu_e \rightarrow \nu_\mu) = |A|^2 + |S|^2 + 2 A S \sin \delta$$

$$P(\bar{\nu}_e \rightarrow \bar{\nu}_\mu) = |A|^2 + |S|^2 - 2 A S \sin \delta$$

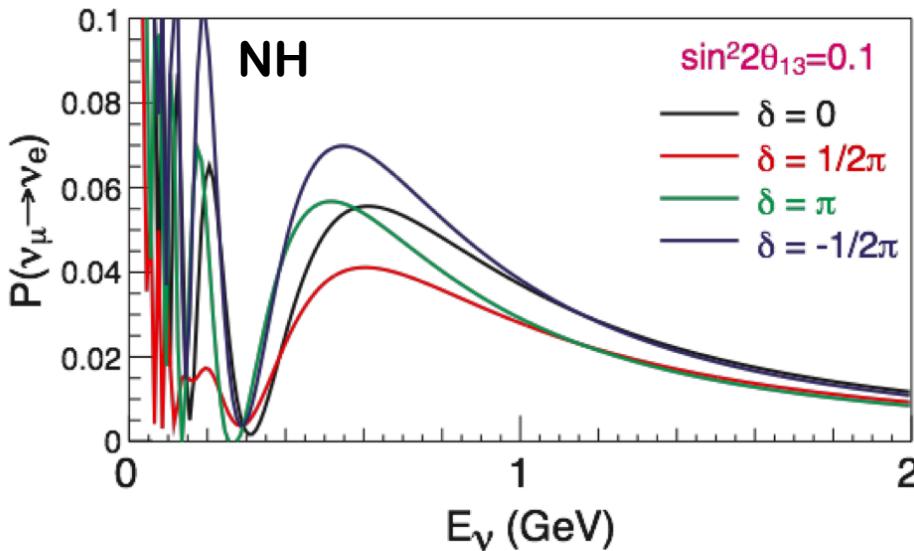
$$A_{CP} \alpha \left\{ \frac{P(\nu_e \rightarrow \nu_\mu) - P(\bar{\nu}_e \rightarrow \bar{\nu}_\mu)}{P(\nu_e \rightarrow \nu_\mu) + P(\bar{\nu}_e \rightarrow \bar{\nu}_\mu)} \right\}$$

$$A_{CP} = \frac{2 A S \sin \delta}{|A|^2 + |S|^2} = \frac{\sin(\Delta m^2 L / 4E) \sin \theta_{12} \sin \theta_{13} \sin \delta}{\sin^2 2\theta_{13} + \text{solar term...}}$$

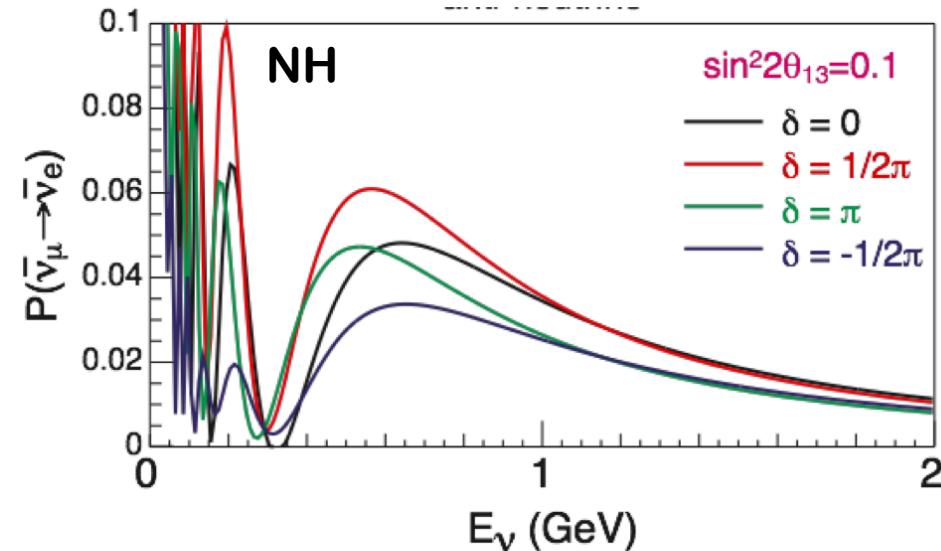
# HK: CPV signal ( $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ )

- Identity CC  $\nu_e$  events
- Comparison between  $P(\nu_\mu \rightarrow \nu_e)$  &  $P(\text{anti-}\nu_\mu \rightarrow \text{anti-}\nu_e)$ 
  - Up to 25% difference expected
- Need statistics → **1 Mt H<sub>2</sub>O for HK (x25 SK)**

Neutrino case



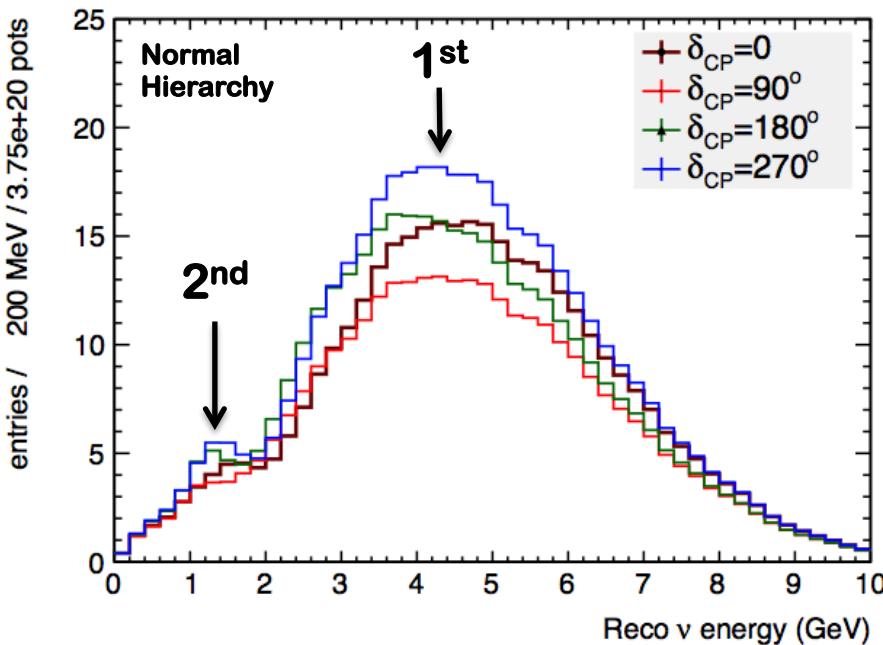
Anti-neutrino case



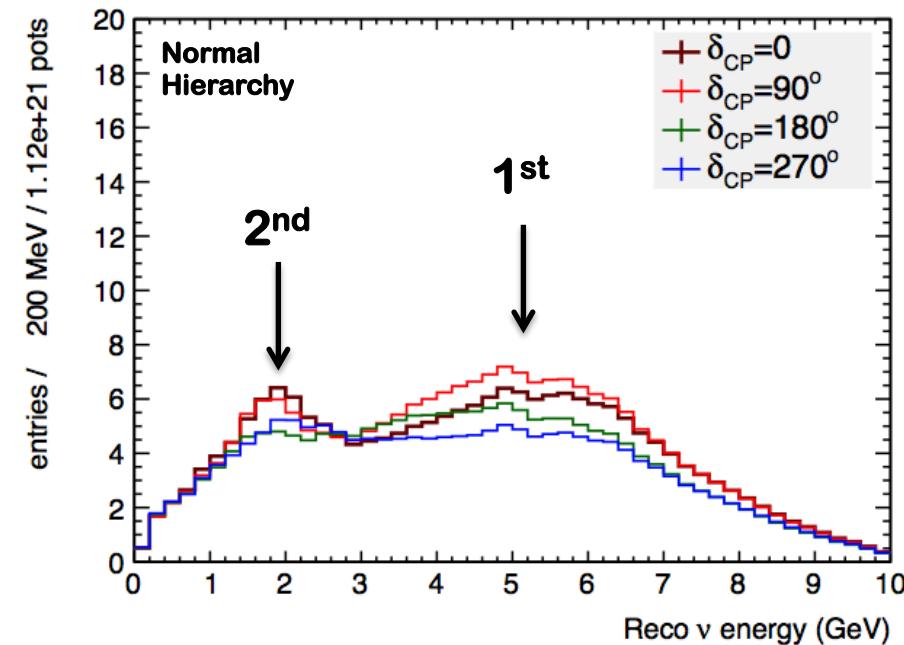
# CPV Signal in LBNO (1.5e21 pot)

- Search for a  $P(\nu_\mu \rightarrow \nu_e) / P(\text{anti-}\nu_\mu \rightarrow \text{anti-}\nu_e)$  asymmetry
- **LBNO: 20 kt, 12 years of data → limited by statistics!**
  - Maximize #events at 1<sup>st</sup> max osc. peak
  - While enhancing 1<sup>st</sup> / 2<sup>nd</sup> oscillation peak ratio

Neutrino Running (25%)

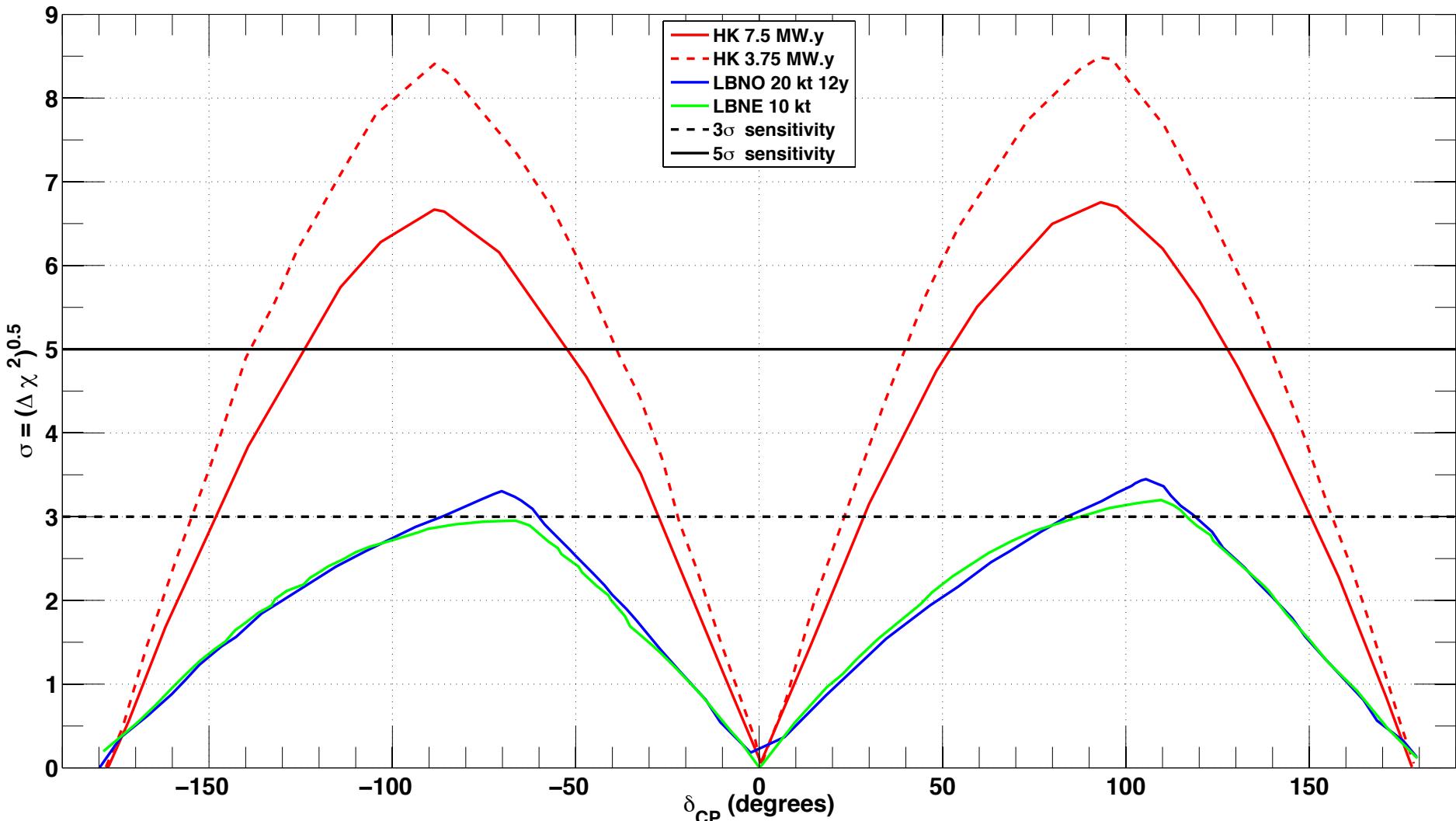


Anti-neutrino Running (75%)



# LBNO(E), HK: CPV Sensitivity

## Rejection of the null hypothesis for different CP values

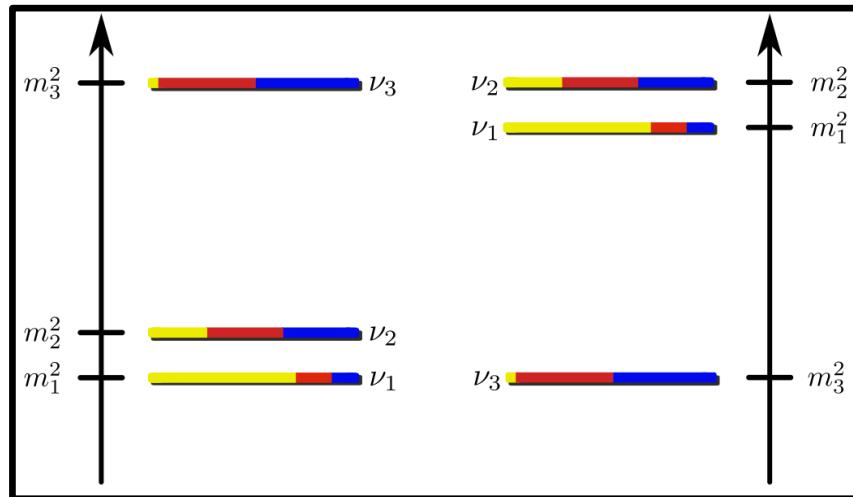


# Question 4)

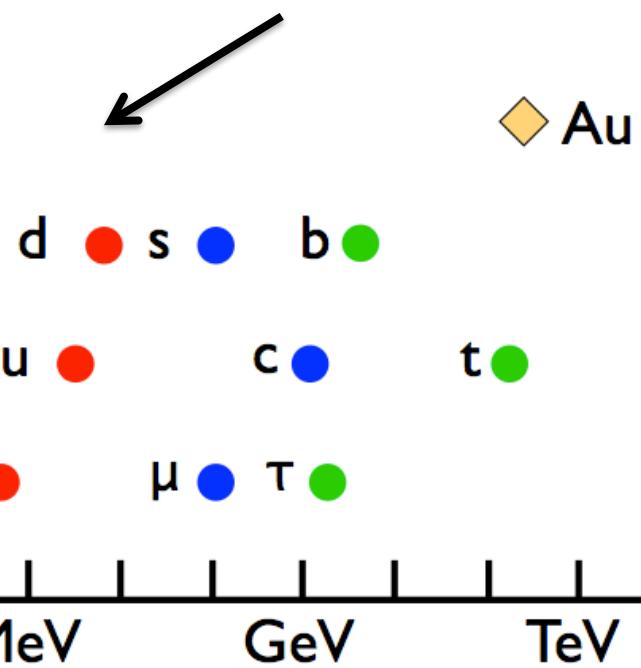
What are the masses of  
the mass eigenstates ?

# Neutrino Mass Scale

What is the  $\nu$  Mass Hierarchy?



What set the  $\nu$  Mass Scale?

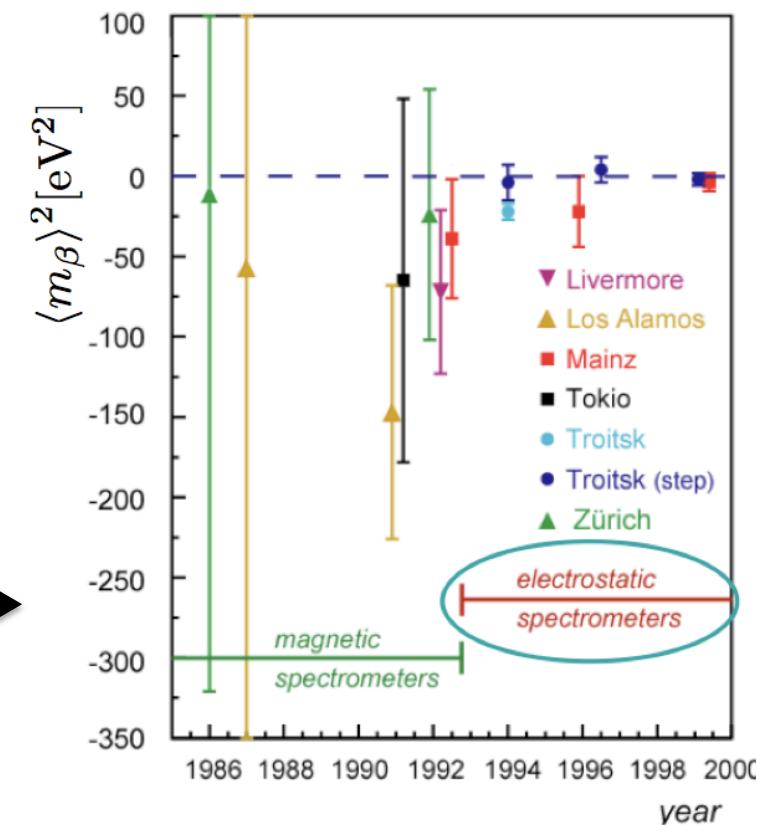


# Finding the Neutrino Mass Scale

- Astrophysics
  - Supernovae, from 1987A  $m < 23\text{eV}$
- Cosmology (look forward for Planck results in 2013)
  - CMB+ Large Scale Structures +... -  $\sum m_i < 0.6 - 2 \text{ eV}$
- Fermion Decays
  - $\mu$  ,  $\tau$  decays - relatively poor sensitivity
  - $\beta$  decay
  - $\beta\beta$  decay
- Neutrino Oscillations
  - No absolute scale but only square of mass differences

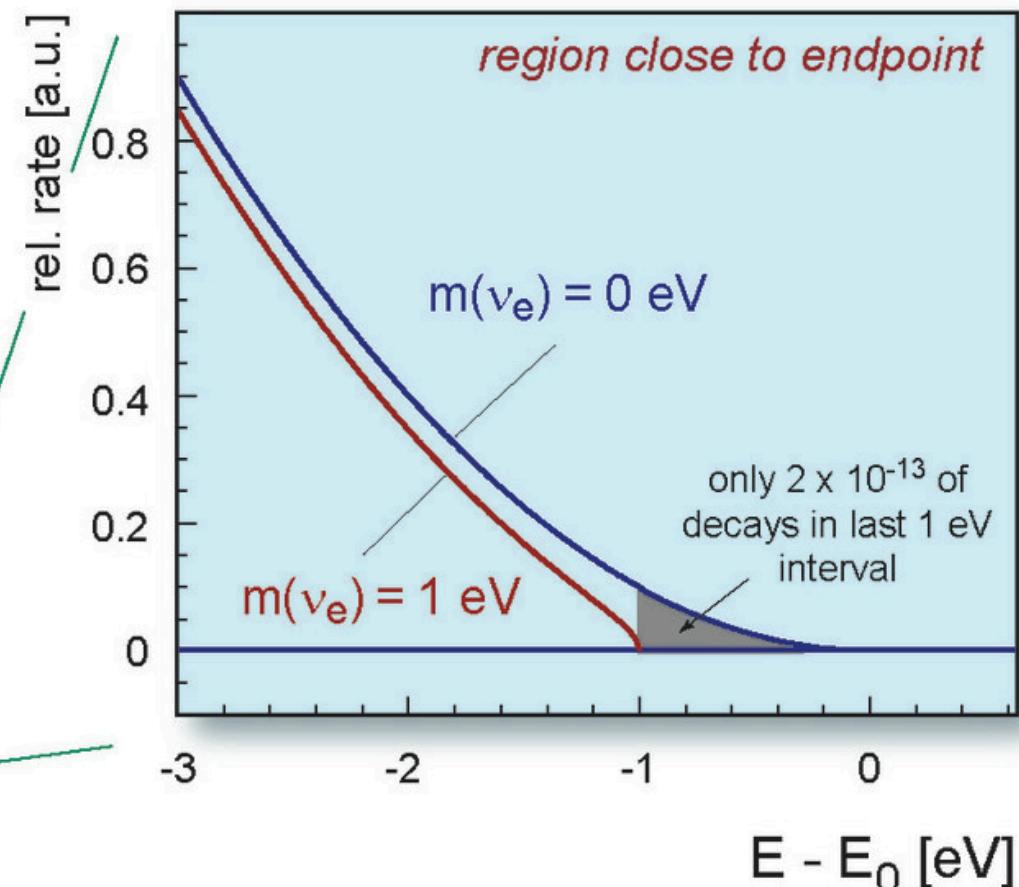
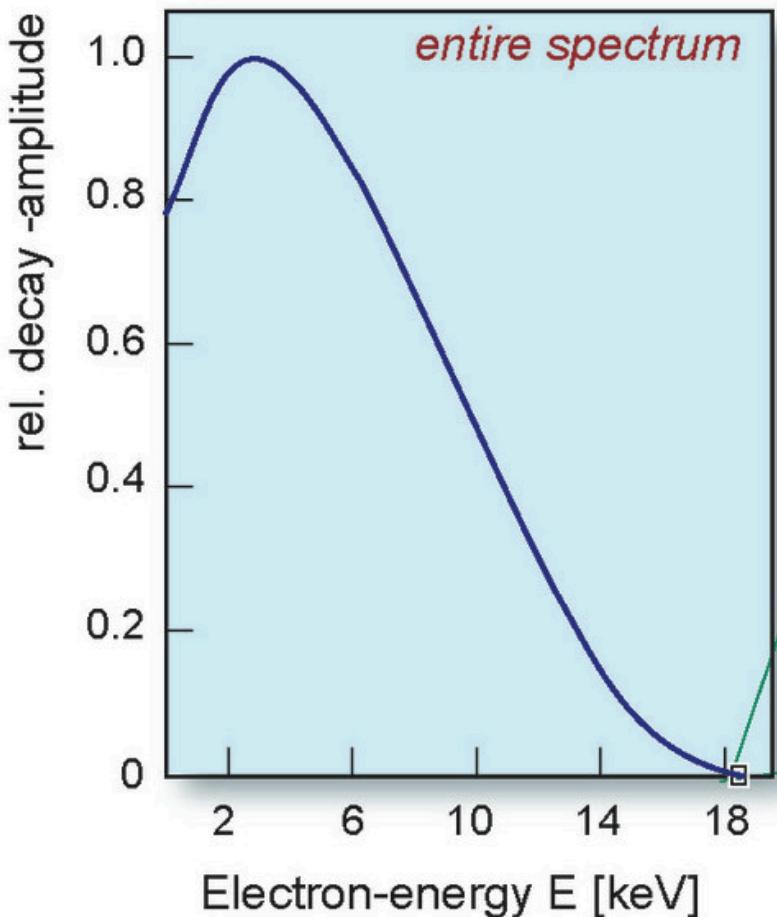
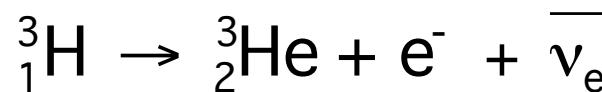
# Beta Decay

- **$\beta^-$  Decay:**  ${}_Z^A X \rightarrow {}_{Z+1}^A X + e^- + \bar{\nu}_e$
- Energy spectrum shape depends on  $\nu$  mass
  - Based on kinematics and energy conservation
  - Weak dependence on theory
  - Sensitive to incoherent sum:
$$\langle m_\beta \rangle = \sqrt{\sum_{1,2,3,\dots} |U_{ei}|^2 m_i^2}$$
- Best constraint by Mainz & Troitsk Experiments
  - $\langle m_\beta \rangle < 2.2 \text{ eV}$



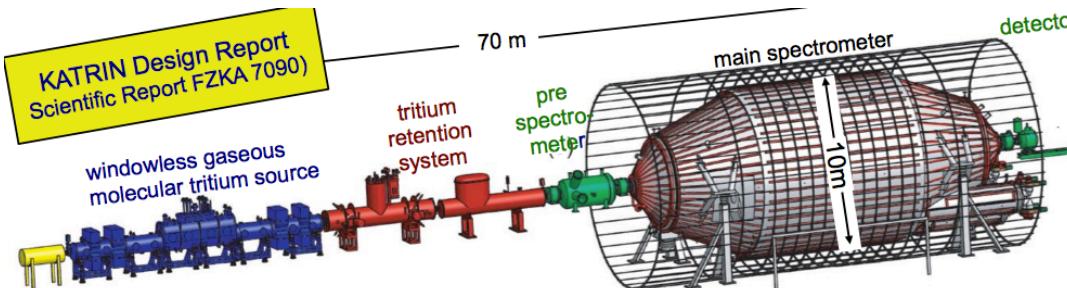
# Absolute Mass From Beta decay

Tritium beta decay



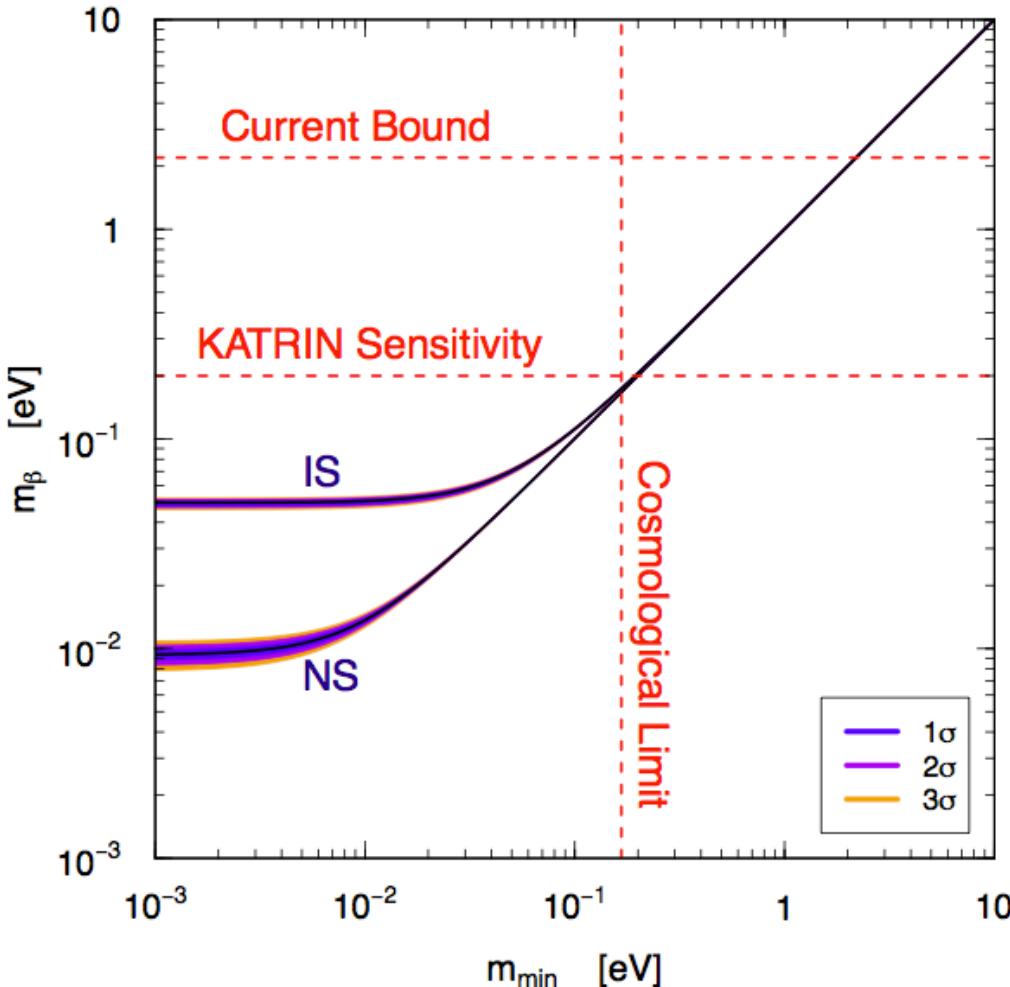
# Beta Decay: KATRIN

- Measure both shape and energy of  $e^-$  in the last few eV below the Tritium beta decay endpoint energy
- Detector:
  - Gaseous Tritium Source ( ${}^3H$  decay,  $t_{1/2}=12.3$  y,  $Q=18.57$  keV)
  - 10 m diameter Magnetic Spectrometer (MAC-E Filter)
- Status: commissioning detector components. Data in 2015
- 90% C.L sensitivity : 0.2 eV



# Prospects of Beta Decay ( $\beta$ )

Direct Measurement of the mass scale but no experimental prospect to disentangle NH & IH



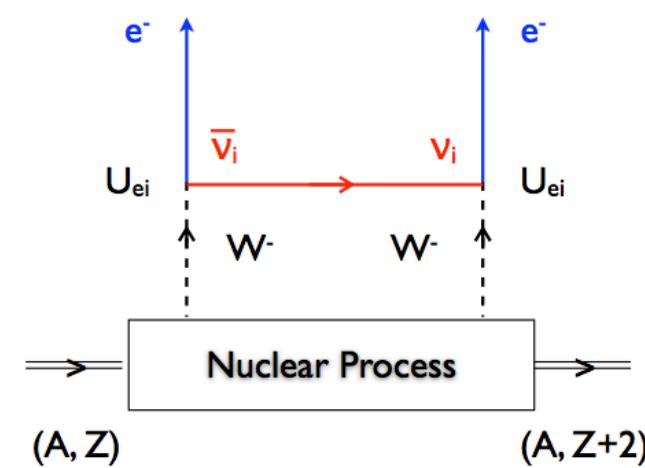
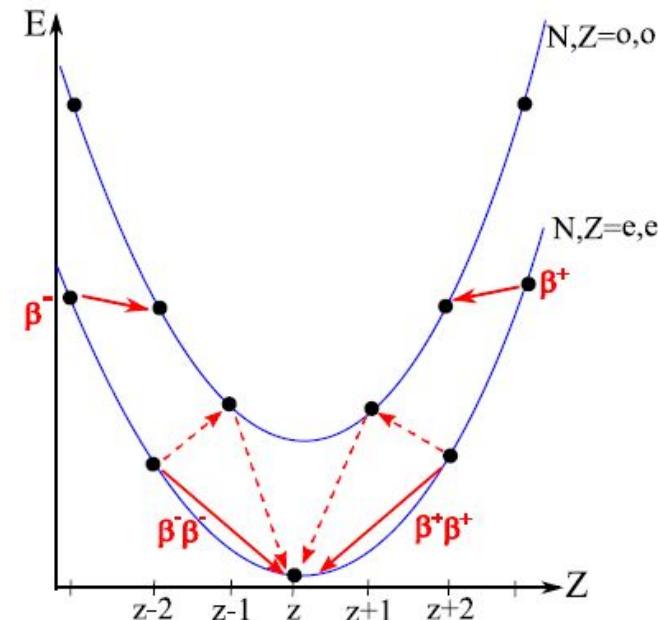
- $\langle m_\beta \rangle = \sqrt{\sum_{1,2,3,\dots} |U_{ei}|^2 m_i^2}$
- **Degenerate:**  $\langle m_\beta \rangle = m_\nu$
- **IH:**  $\langle m_\beta \rangle = \Delta m_{\text{atm}}^2$
- **NH:**  $\langle m_\beta \rangle$  can be  $\ll \Delta m_{\text{atm}}^2$

# Question 4)

Is there a conserved  
Lepton Number? Eq.  
Dirac or Majorana  $\nu$ ?

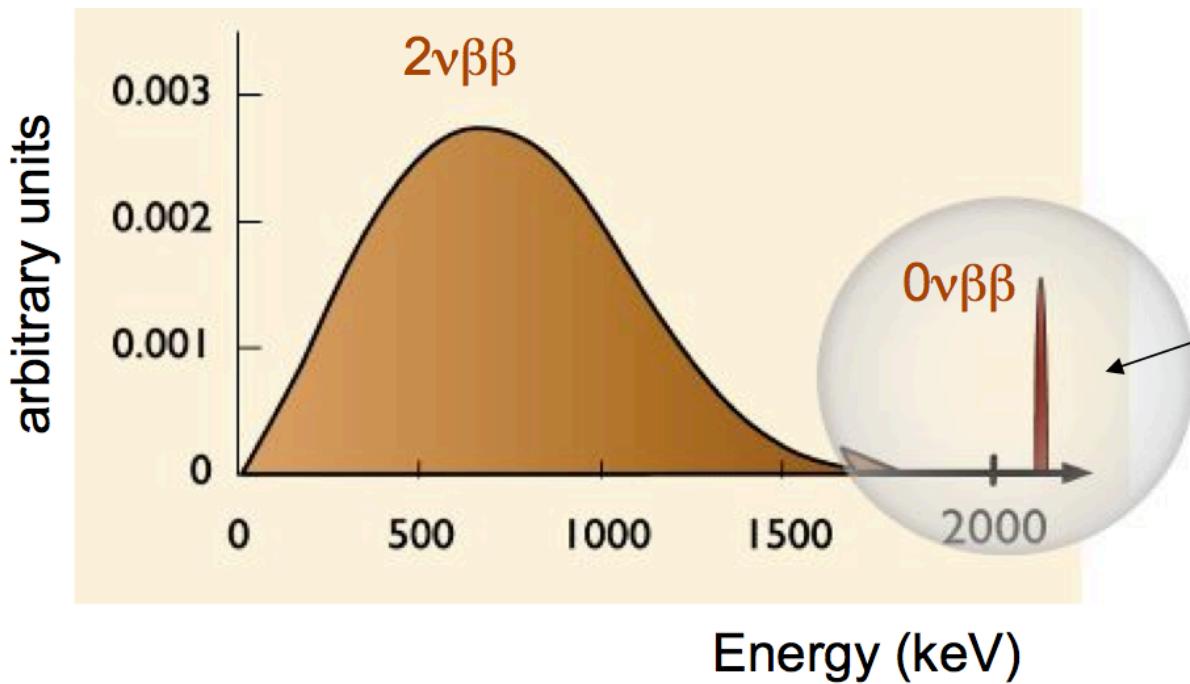
# Double Beta Decay ( $\beta\beta$ )

- Second-order process only detectable if first-order  $\beta$  decay is energetically forbidden
- **2v:**  ${}^A_Z X \rightarrow {}^{A+2}_{Z+2} X + 2e^- + 2\bar{\nu}_e$  (detected)
  - $\Delta L=0$
  - $(T_{1/2}^{2\nu})^{-1} = G_{2\nu} |M_{2\nu}|^2 \approx 10^{18-21} \text{ y}$
- **0v:**  ${}^A_Z X \rightarrow {}^{A+2}_{Z+2} X + 2e^-$  (not yet seen)
  - $\Delta L=2$  – Majorana Neutrino
  - $(T_{1/2}^{0\nu})^{-1} = G_{0\nu} |M_{0\nu}|^2 |m_{\beta\beta}|^2$
- **$\beta\beta$  mass:**  $\langle m_{\beta\beta} \rangle = \left| \sum_{1,2,3,\dots} U_{ei}^2 m_i \right|$



# 2ν0β: experimental signature

- Peak at  $Q = E_{e1} + E_{e2} - 2m_e$  → Calo (Gerda, KamLAND-Zen)
- Two electrons from same vertex → Tracking (Super Nemo)
- Production of grand-daughter isotope → EXO



[Candidates with Q&gt;2 MeV]

Candidate	Q[MeV]	%Abund
$^{48}\text{Ca} \rightarrow ^{48}\text{Ti}$	4.271	0.187
$^{76}\text{Ge} \rightarrow ^{76}\text{Se}$	2.040	7.8
$^{82}\text{Se} \rightarrow ^{82}\text{Kr}$	2.995	9.2
$^{96}\text{Zr} \rightarrow ^{96}\text{Mo}$	3.350	2.8
$^{100}\text{Mo} \rightarrow ^{100}\text{Ru}$	3.034	9.6
$^{110}\text{Pd} \rightarrow ^{110}\text{Cd}$	2.013	11.8
$^{116}\text{Cd} \rightarrow ^{116}\text{Sn}$	2.802	7.5
$^{124}\text{Sn} \rightarrow ^{124}\text{Te}$	2.228	5.64
$^{130}\text{Te} \rightarrow ^{130}\text{Xe}$	2.530	34.5
$^{136}\text{Xe} \rightarrow ^{136}\text{Ba}$	2.479	8.9
$^{150}\text{Nd} \rightarrow ^{150}\text{Sm}$	3.367	5.6

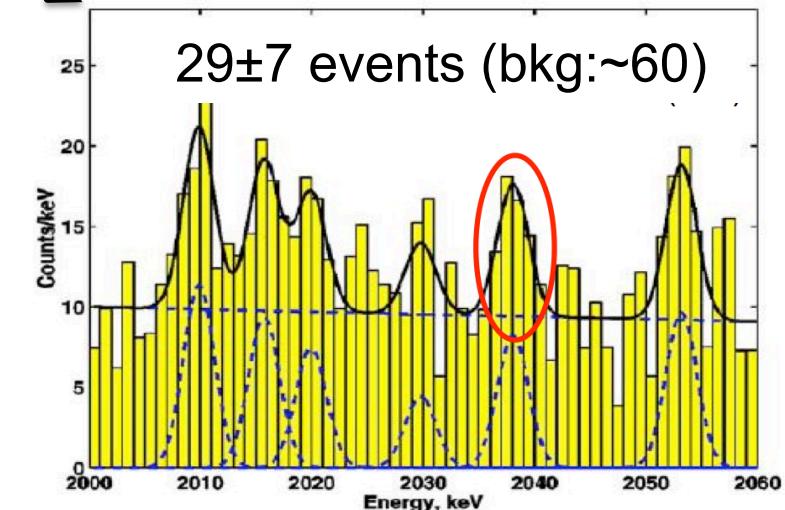
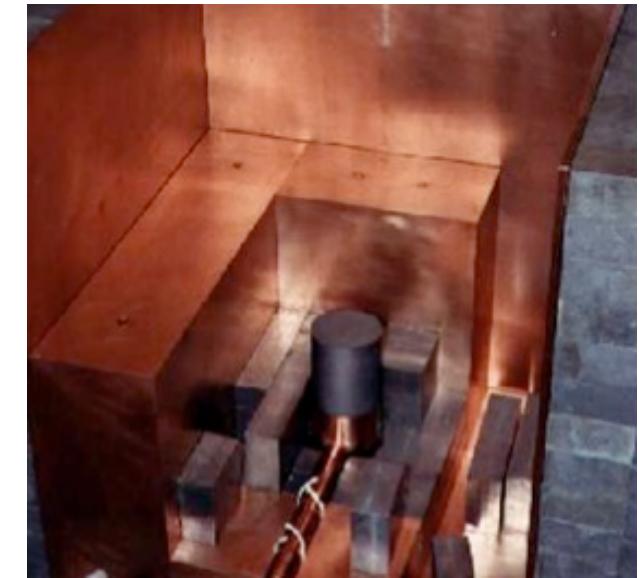
$$T_{1/2}^{0\nu} \propto \epsilon \frac{a}{A} \sqrt{\frac{Mt}{b\Delta E}}$$

Annotations for the equation variables:

- Detector Efficiency
- Isotopic Fraction
- Detector Mass
- Running Time
- Atomic Mass
- Background Rate
- Detector Resolution

# Claim of $0\nu2\beta$ in Ge

- Part of the Heidelberg-Moscow Coll.
  - 72 kg.year – Bkg  $0.1/(\text{kg.y.keV})$
- A Majorana  $\nu$  at large mass scale?
  - Claim of evidence at  $4.2\sigma$
  - $T_{1/2} = [0.7-4.2] \times 10^{25} \text{ y}$  ( $3\sigma$ )
  - $|m_{\beta\beta}| = [0.17-0.45] \text{ eV}$  (90% CL)
  - Analysis controversial
- Benchmark for current projects
  - Need  $O(10^2 \text{ kg}\cdot\text{y})$
  - Need background reduction  $\times 10$

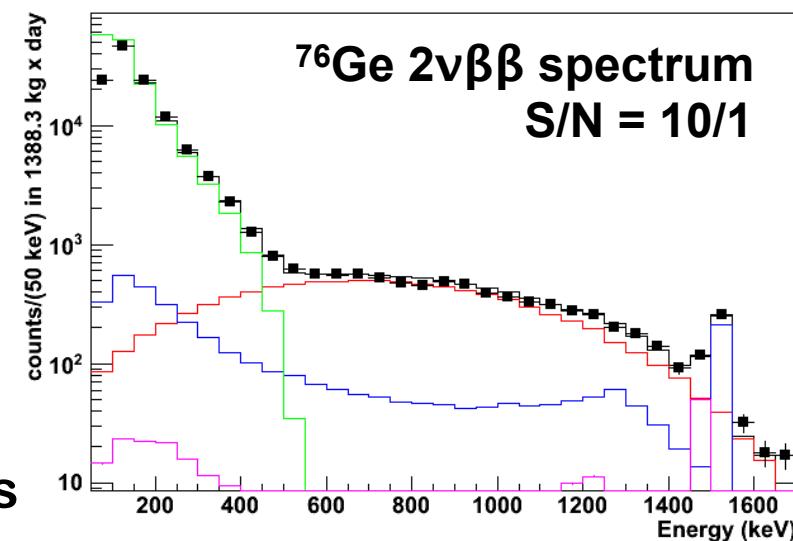


# Overview of experiments

Isotope	Experiment	Technique	Mass	Enriched	$Q_{\beta\beta}$ [MeV]	Start/Stage
$^{130}\text{Te}$	Cuoricino	$\text{TeO}_2$ bolometers	40.7kg	No	2.6	Done
$^{82}\text{Se}$ , $^{100}\text{Mo}$	NEMO-3	tracko-calorimeter	0.9kg/6.9kg	Yes	3.37	Done
$^{76}\text{Ge}$	GERDA	Ge diodes in $\text{LN}_2$	34.3kg	86%	2.04	2009
$^{136}\text{Xe}$	EXO-200	LXe [tracking]	150kg	80%	2.47	2010
$^{136}\text{Xe}$	KamLAND	Isotope in LS	400kg	90%	2.47	2012
$^{130}\text{Te}$	CUORE	$\text{TeO}_2$ bolometers	204kg	No	2.53	2014
$^{150}\text{Nd}$	SNO+	Isotope in LS	56kg	No/50%	3.37	2014
$^{76}\text{Ge}$	Majorana	Ge diodes	30-60kg	86%	2.04	2015
$^{82}\text{Se}$ , $^{150}\text{Nd}$	SuperNEMO	tracko-calorimeter	100kg	Yes	3.37	2014
$^{100}\text{Mo}$	MOON	tracking	1t	No	3.03	Prototype
$^{116}\text{Cd}$	COBRA	$\text{CdZnTe}$ semicond	?	No	2.80	Prototype
$^{48}\text{Ca}$	CANDLES	$\text{CaF}_2$ cryst in LS	few t	No	4.27	Prototype

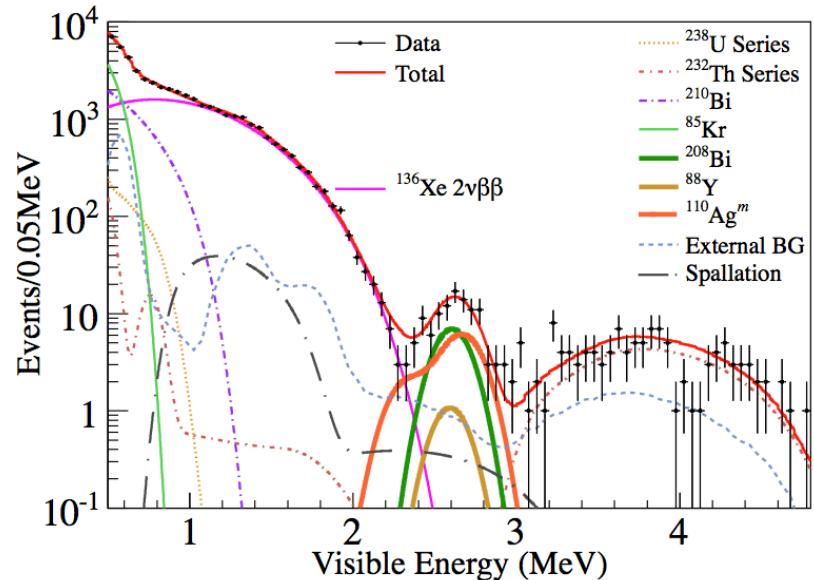
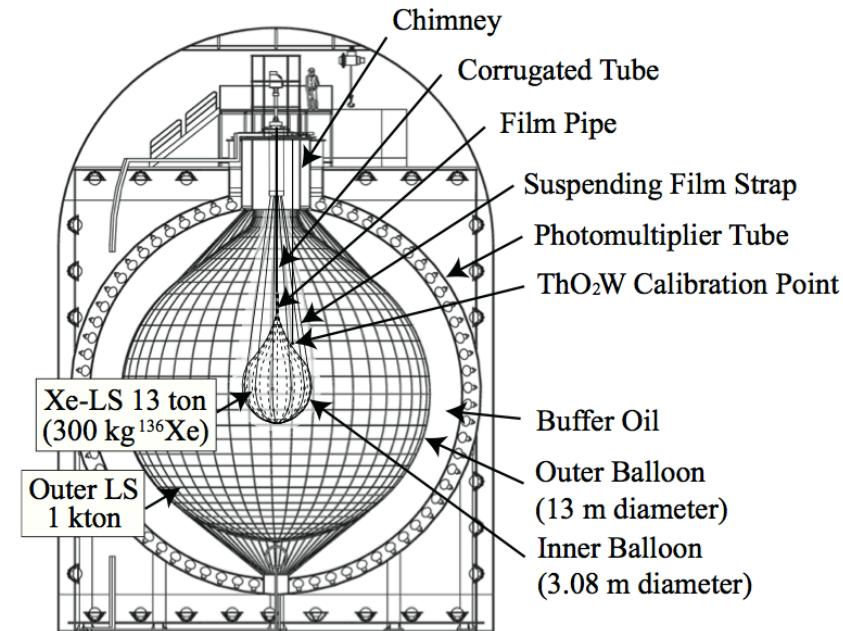
# GERDA at LNGS

- Target:  $^{76}\text{Ge}$ 
  - Low  $2\nu\beta\beta$  rate ( $T_{1/2} = 1.4 \times 10^{21} \text{ y}$ )
  - High  $Q_{\beta\beta}$  value (2039 keV)
- Detector: high purity  $^{76}\text{Ge}$ -diodes (source & detector) immersed in Lar as shielding and coolant
- Status (Phase 1):
  - Data taking since end 2011
  - Bkg:  $0.017 + 0.009 - 0.005 / (\text{keV} \cdot \text{kg} \cdot \text{y})$
  - Factor 10 bkg reduction wrt HDM
- Future (Phase 2):
  - Factor 10 bkg reduction by LAr scintillation and novel HP-Ge detectors



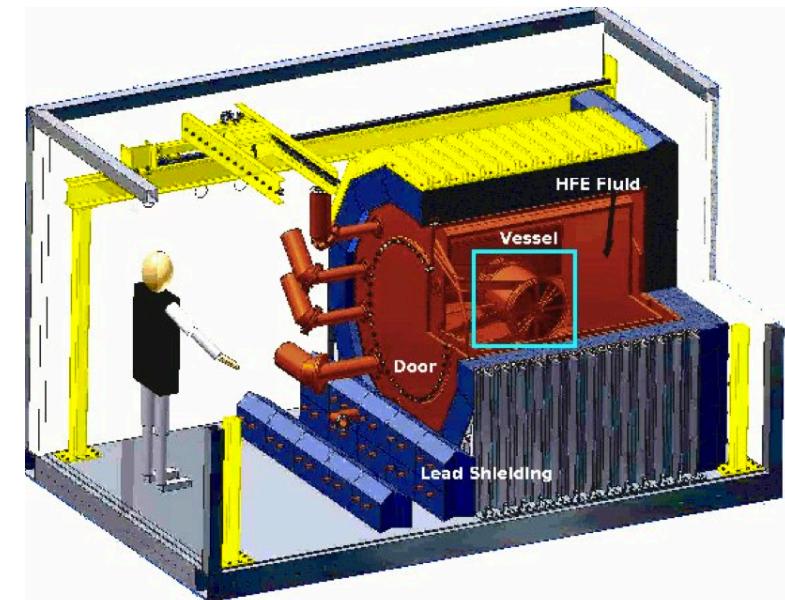
# KamLAND-Zen at Kamioka

- KamLAND LS detector
- Liquid  $^{136}\text{Xe}$  (92%) in balloon
- 77.6 day  $\times$  129 kg of  $^{136}\text{Xe}$
- 2v2 $\beta$ 
  - $T_{1/2} = (2.38 \pm 0.14) \times 10^{21} \text{ yr}$
  - Consistent with EXO
- 0v2 $\beta$ 
  - $T_{1/2} > 5.7 \times 10^{24} \text{ yr (90\% CL)}$
  - But Fukushima Contamination
- Upgrade plan:
  - 1 ton  $^{136}\text{Xe}$
  - KamLAND upgrade

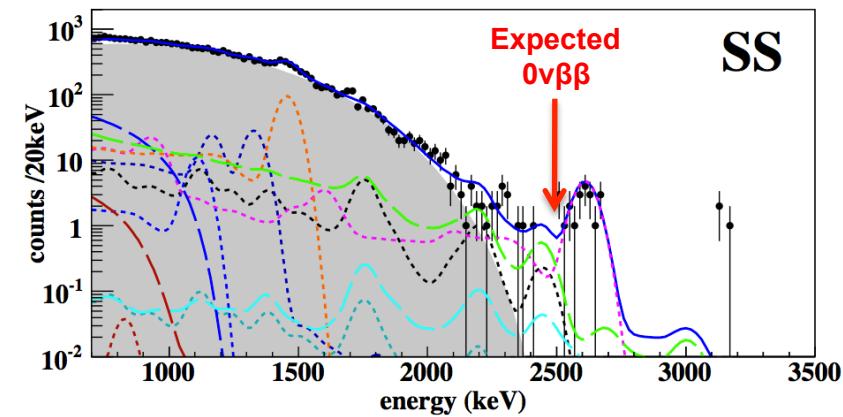


# EXO-200 at WIPP

- 200 kg liquid enriched  $^{136}\text{Xe}$
- TPC (energy and position)
- Data taking since may 2011
  - 32.5 kg-yr
  - Bkg:  $1.5 \times 10^{-3} \text{ kg}^{-1}\text{yr}^{-1}\text{keV}^1$
- $2\nu 2\beta$  (2011)
  - $T_{1/2} = (2.11 \pm 0.21) \times 10^{21} \text{ yr}$
- $0\nu 2\beta$  (2012)
  - $T_{1/2} > 1.6 \times 10^{25} \text{ yr (90\% CL)}$
  - $|m_{\beta\beta}| < 140\text{--}380 \text{ meV}$
- Prospect: Barium tagging

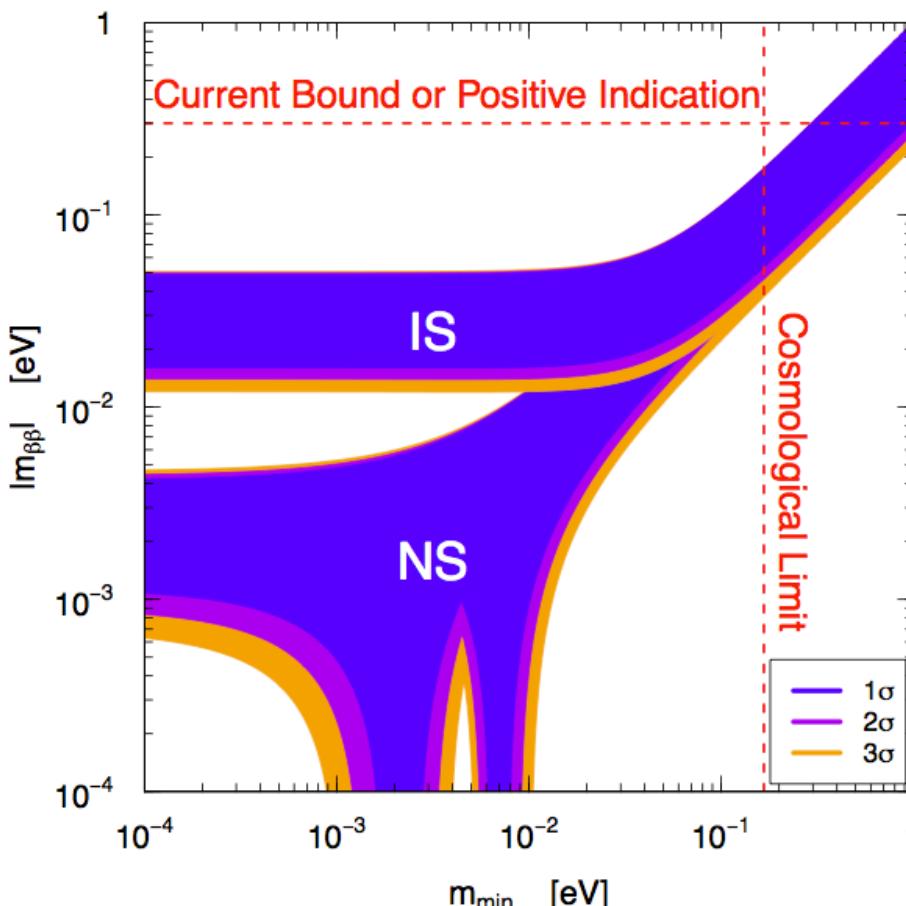


In contradiction with KK claim (90% CL)



# Prospect of $\beta\beta 0\nu$

- 1- Test the  $^{76}\text{Ge}$  Claim –  $m_{\beta\beta} \approx 100 \text{ meV} \rightarrow$  ongoing
- 2- Test the IH scheme -  $m_{\beta\beta} \approx 10 \text{ meV}$
- 3- Need new ideas / technology -  $m_{\beta\beta} \approx 1 \text{ meV} ?$



- $\beta\beta 0\nu$  implications

- $\nu = \text{Majorana}$
- L number violation
- Credit to See-Saw mass generation mechanisms

# Question 5)

Are there additional  
(sterile)  $\nu$  states?

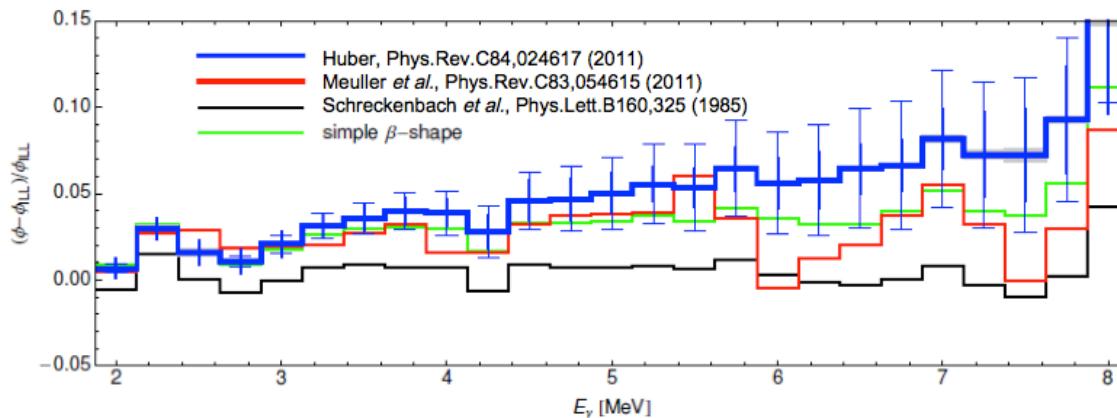
# Anomalies & 4<sup>th</sup> Neutrino

Anomaly	Source	Type	Sensitivity to Oscillation	Channel	Significance
LSND	Decay-at-Rest	$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$	<u>Total Rate,</u> Energy	CC	3.8 $\sigma$
MiniBoone	Short baseline	$\nu_\mu \rightarrow \nu_e$	<u>Total Rate,</u> Energy	CC	3.8 $\sigma$
Gallium	Electron Capture	$\nu_e$ dis.	<u>Total Rate</u>	CC	3 $\sigma$
Reactor	Beta-decay	$\bar{\nu}_e$ dis.	<u>Total Rate,</u> Energy	CC	3 $\sigma$
Cosmology	Big-Bang	All	Number of $\nu$ , $N_{\text{eff}}$	CC	$\approx 2 \sigma$

→ could be interpreted by an existing eV<sup>2</sup> 4<sup>th</sup> neutrino state...

# The Reactor Anomaly

- i)  $\nu_{\text{emission}}$ : Improved reactor neutrino spectra  $\rightarrow +3.5\%$



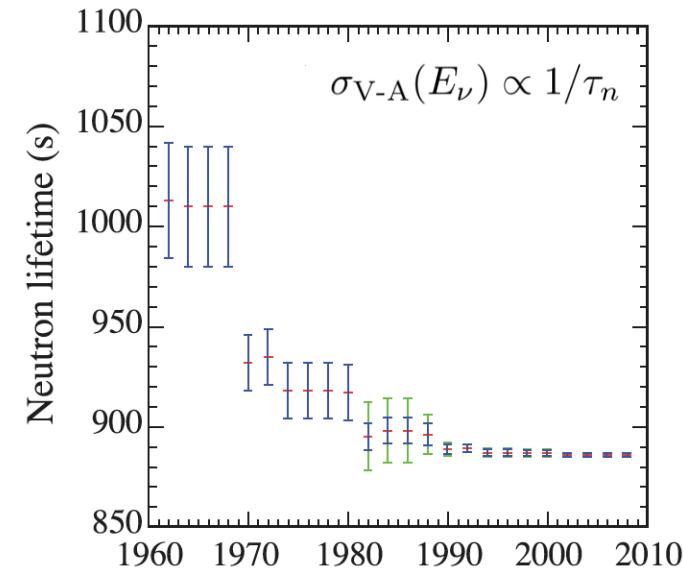
PRC83, 054615 (2011)

PRC84, 024617 (2011)

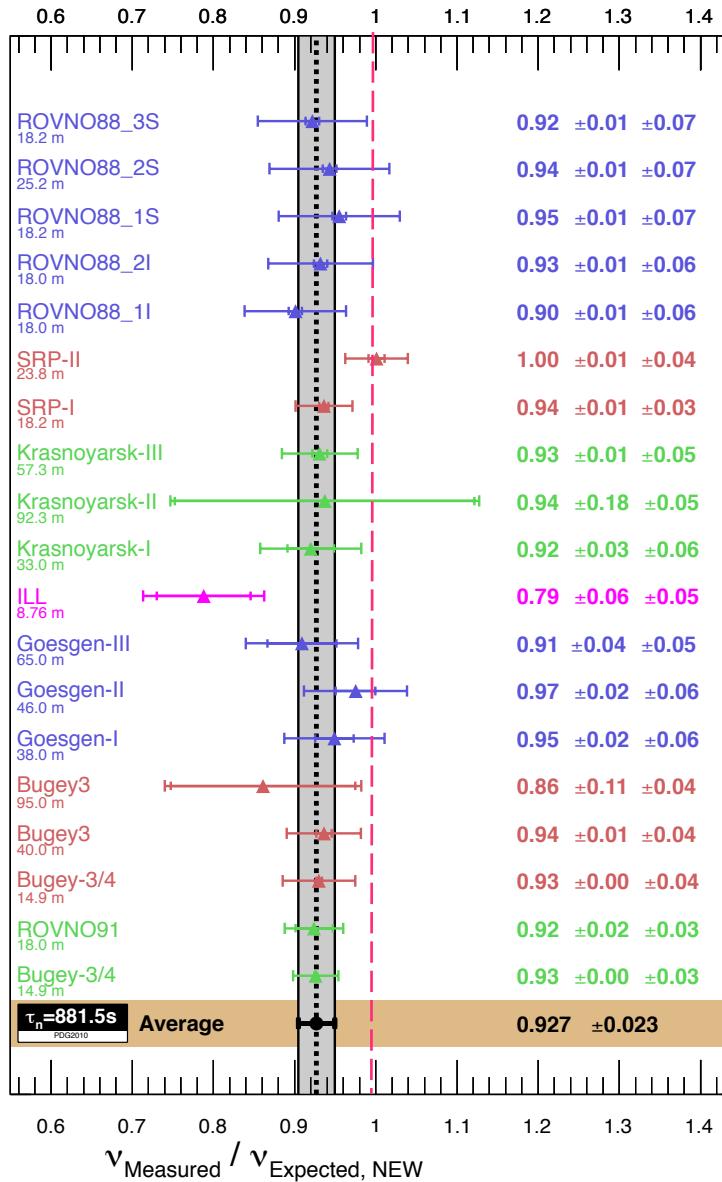
- ii)  $\nu_{\text{detection}}$ : Reevaluation of  $\sigma_{\text{IBD}} \rightarrow +1\%$   
Evolution of the neutron life time



- iii)  $\nu_{\text{detection}}$ : Accounting for long-lived isotopes accumulating in reactors  $\rightarrow +1\%$



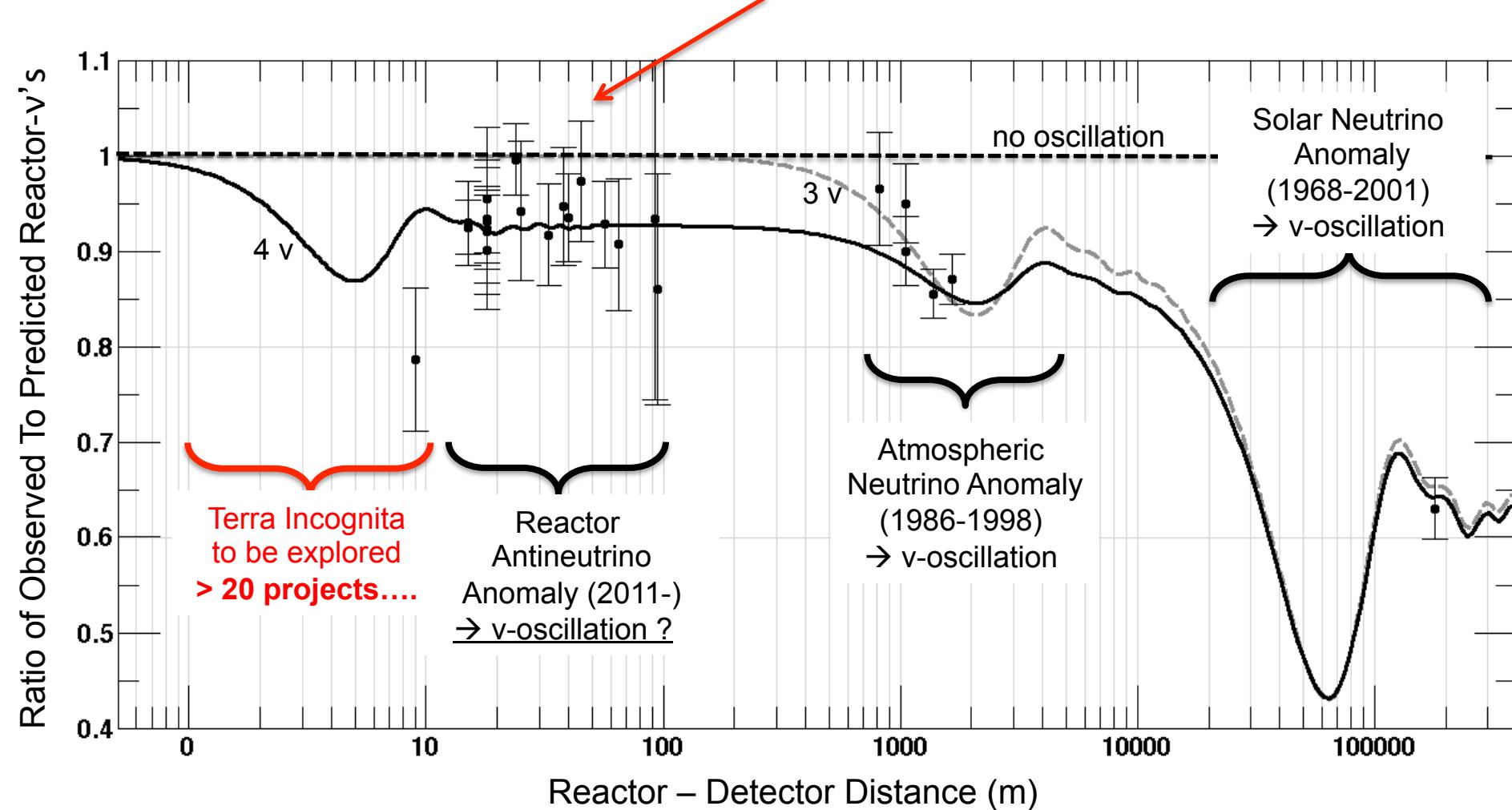
# The reactor anomaly



- 19 experiments reanalyzed
- 7% deficit wrt the new prediction
  - ≈3%: reevaluation of emitted flux
  - ≈3%: reevaluation of
  - IDB cross section parameters
  - Neutron lifetime
  - Accounting for off eq. effect
- 99.7 % C.L. deviation from unity
- Artifact or new physics?

# The Reactor Antineutrino Anomaly

- Observed/predicted averaged event ratio:  $R=0.927\pm0.023$  ( $3.0\sigma$ )



# The Gallium Neutrino Anomaly

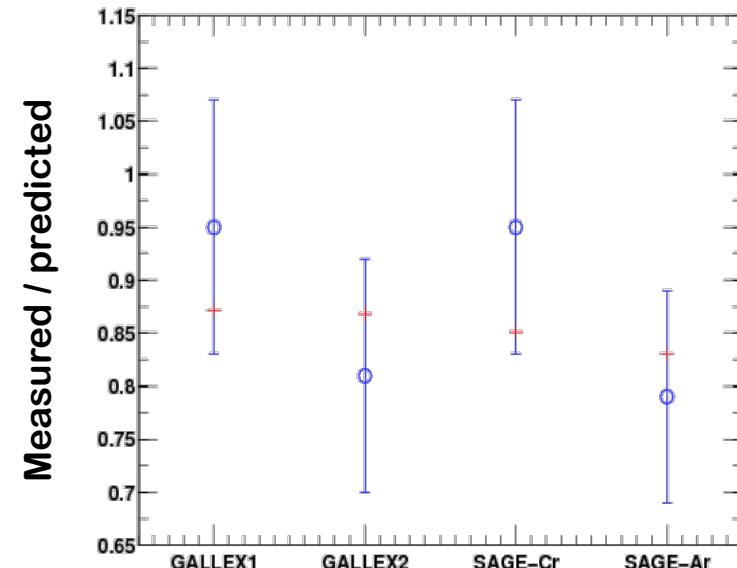
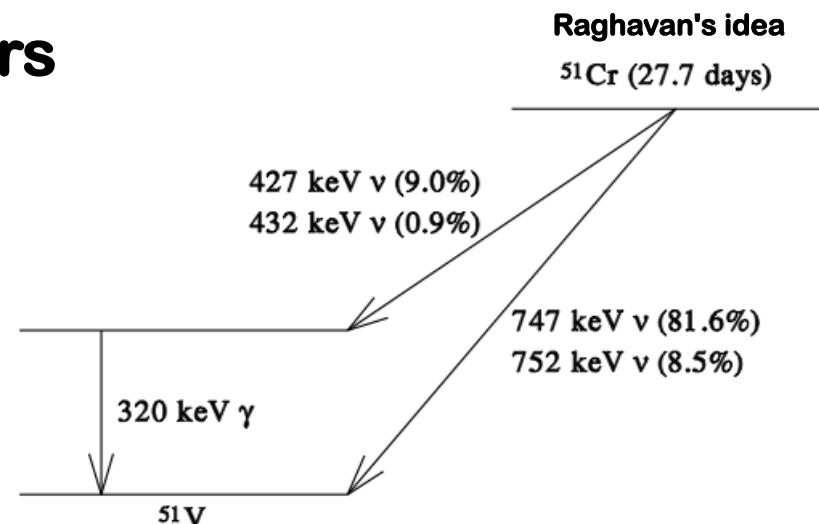
- Test of solar neutrino detectors  
**GALLEX and SAGE ( $\nu_e$ 's)**

- $E \approx \text{MeV}$ , Baseline range  $\approx \text{few m}$

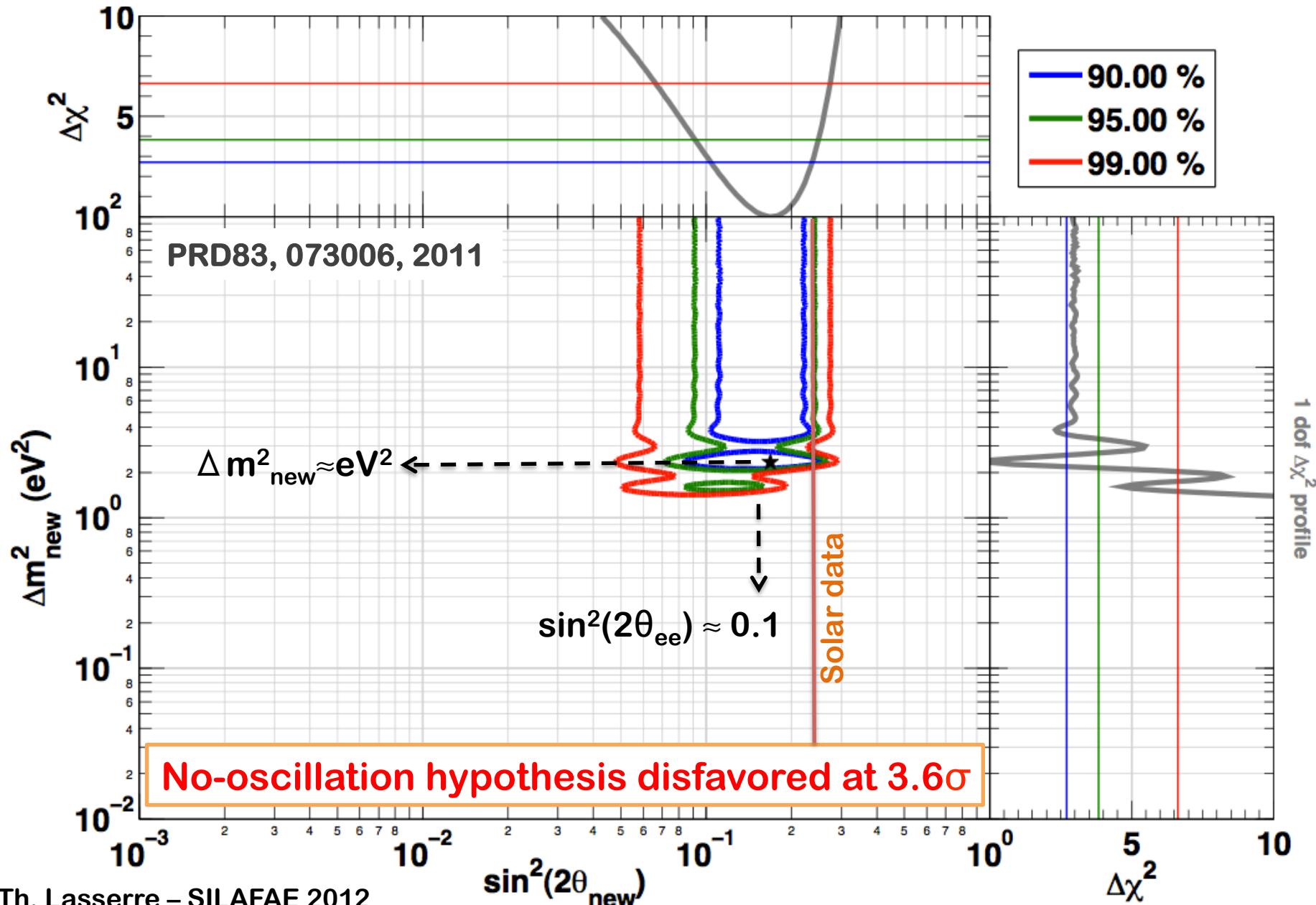
- 4 calibration runs  
 $\approx 1 \text{ MCi EC } \nu_e$  emitters

- Gallex
  - $^{51}\text{Cr}$  source (750 keV)
- Sage
  - $^{51}\text{Cr}$  &  $^{37}\text{Ar}$  (810 keV)

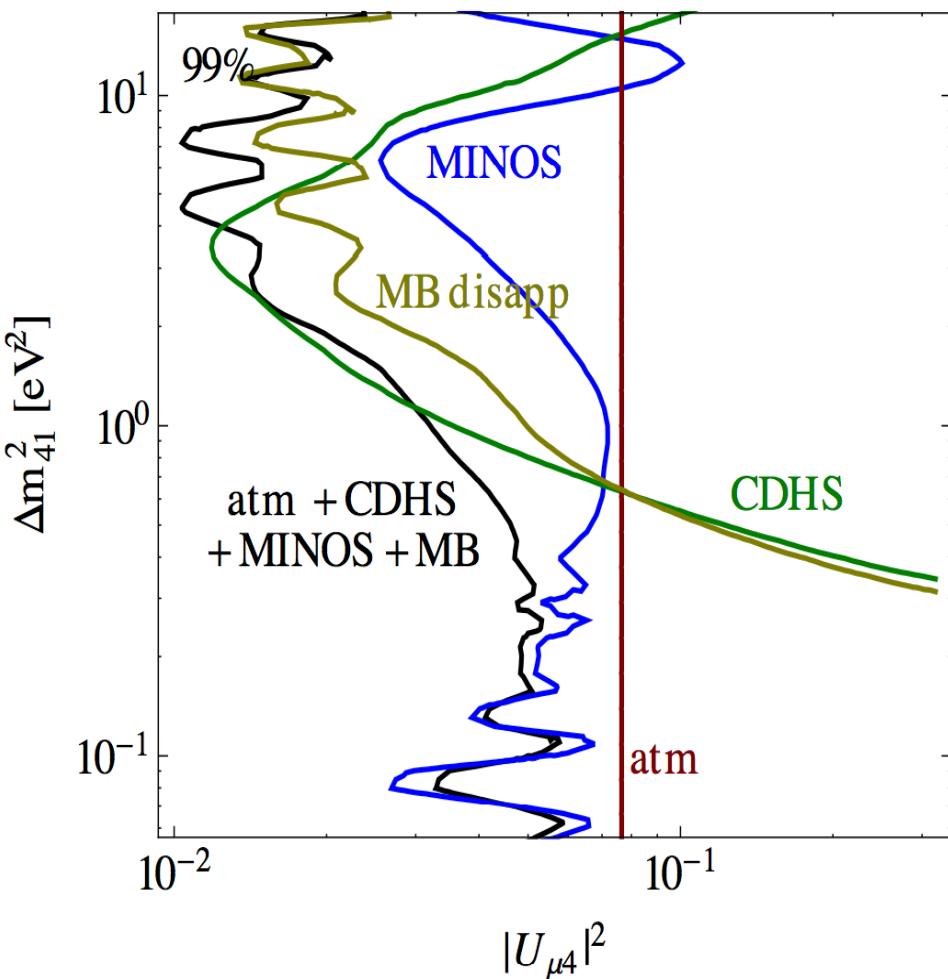
- Deficit observed
  - $R_{\text{obs/pred}} = 0.86 \pm 0.05$  ( $\sigma_{\text{Bahcall}}$ )
  - $R_{\text{obs/pred}} = 0.76 \pm 0.085$  ( $\sigma_{\text{Haxton}}$ )



# Gallium & Reactor: $\nu_e$ disappearance



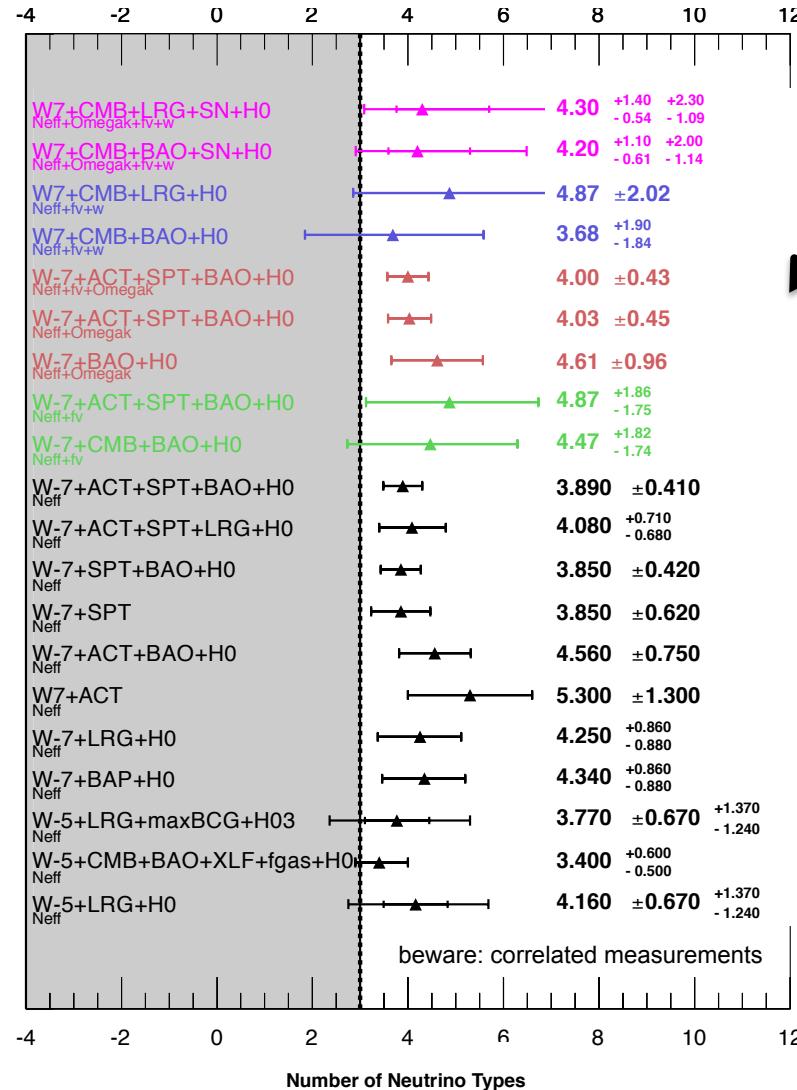
# $\nu_\mu$ disappearance data



- No anomaly for  $\Delta m^2 \approx 1$  eV<sup>2</sup> but limited sensitivity
  - No contradiction with  $\nu_e$  disappearance data but  $\nu_\mu$  dis must be observed if  $\approx$ eV<sup>2</sup> sterile neutrino exists
  - But strong constraint for  $\nu_\mu \rightarrow \nu_e$  channel :
- $$\sin^2 2\theta_{\mu e} = 0.25 \sin^2 2\theta_{\mu\mu} \sin^2 2\theta_{ee}$$
- Impact on global fits: no satisfactory solution (tension with Miniboone)

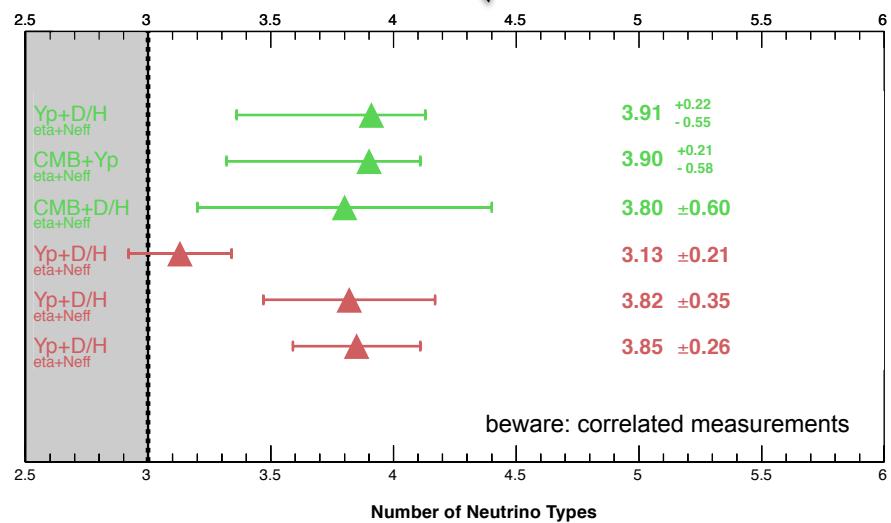
# Number of v's From Cosmology

Universe Expansion Rate  $H^2 \approx (\rho_\gamma + \rho_v) - \rho_\gamma$  given by CMB data



Cosmic Microwave Background  
and Large Scale Structures

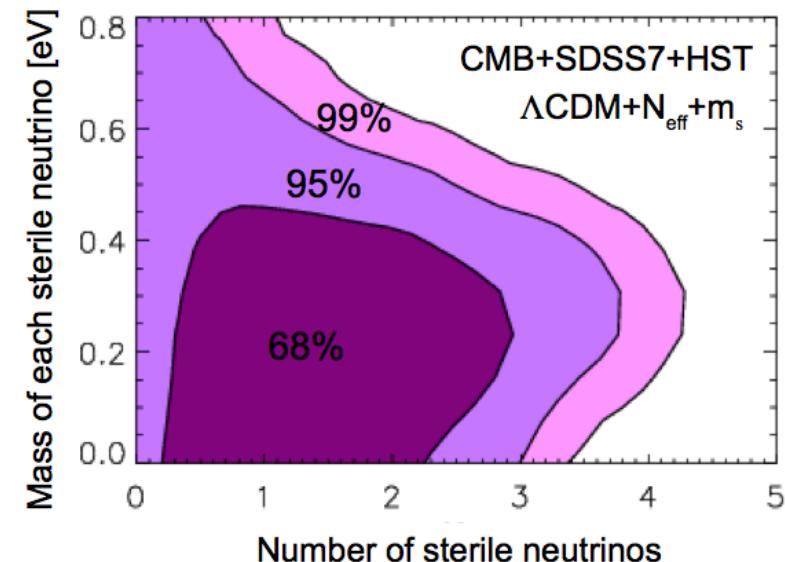
Big Bang Nucleosynthesis



→ a 4<sup>th</sup> v favored at 2σ

# Neutrino Mass From Cosmology

- Standard candles (distance vs redshift)
    - Type I-a SN, BAO
  - Cosmic microwave background anisotropies
    - COBE, WMAP, later Planck
  - Large-scale structure (LSS) distribution
    - Galaxy clustering, Cluster abundance, Grav. lensing, Lyman
- flat  $\Lambda$ CDM model
- Constraint on Neutrino mass and Number
    - $m_{\text{heavier}} < \text{about } 1 \text{ eV}$



# Testing $\bar{\nu}_e$ disappearance anomalies

- GA & RAA arise from comparisons between data and event prediction → **Need a conclusive technique**
- Input from Sterile Neutrino Fits
  - $\Delta m^2 \approx eV^2 \rightarrow L_{osc}(m) = 2.5 \frac{E(MeV)}{\Delta m^2(eV^2)} \approx 2 - 10 \text{ m}$
  - $\sin^2(2\theta_{new}) \approx 0.1$
- **Experimental Specifications**
  - Search for L, E, L/E pattern (shape only)
  - Complement with a rate analysis (direct test of RAA+GA)
  - $\Delta m^2 \approx eV^2$  : compact source <<1m & good vertex resolution (<<1m)
  - $\sin^2(2\theta_{new})$  : experiment with few % stat. syst. uncertainties

# Many Other Projects: Overview

Experiment Type	Appearance / Disappearance	Oscillation Channel	Projects
Reactor	Disappearance	$\bar{\nu}_e \rightarrow \bar{\nu}_e$	Nucifer, Stéréo, Scraam, Neutrino-4, DANSS, Poséidon, MARS, ...
Radioactive Source	Disappearance	$\bar{\nu}_e \rightarrow \bar{\nu}_e$ $\nu_e \rightarrow \nu_e$	CeLAND, SOX (Cr & Ce), Sage2, SNO+, LENS-s
Cyclotron	Disappearance	$\bar{\nu}_e \rightarrow \bar{\nu}_e$	IsoDAR
Pion / Kaon Decay-at-Rest	Apparition & Disappearance	$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ $\nu_e \rightarrow \nu_e$	oscSNS, CLEAR, DAEδALUS, KDAR
Pion Decay-in-Flight (Beam)	Appearance & Disappearance	$\nu_\mu \rightarrow \nu_e$ $\nu_\mu \rightarrow \nu_e$ $\nu_\mu \rightarrow \nu_\mu$ $\nu_e \rightarrow \nu_e$	MINOS+, MicroBooNE, LAr1kton+MicroBooNE, Icarus/Nessie@CERN
Low-E Neutrino Factory	Appearance & Disappearance	$\bar{\nu}_e \rightarrow \nu_\mu$ $\bar{\nu}_e \rightarrow \nu_\mu$ $\bar{\nu}_\mu \rightarrow \nu_\mu$ $\bar{\nu}_e \rightarrow \nu_e$	vSTORM@Fermilab

# Oscillometry inside a ν-detector

- Place the ν-emitter inside or close to existing detectors

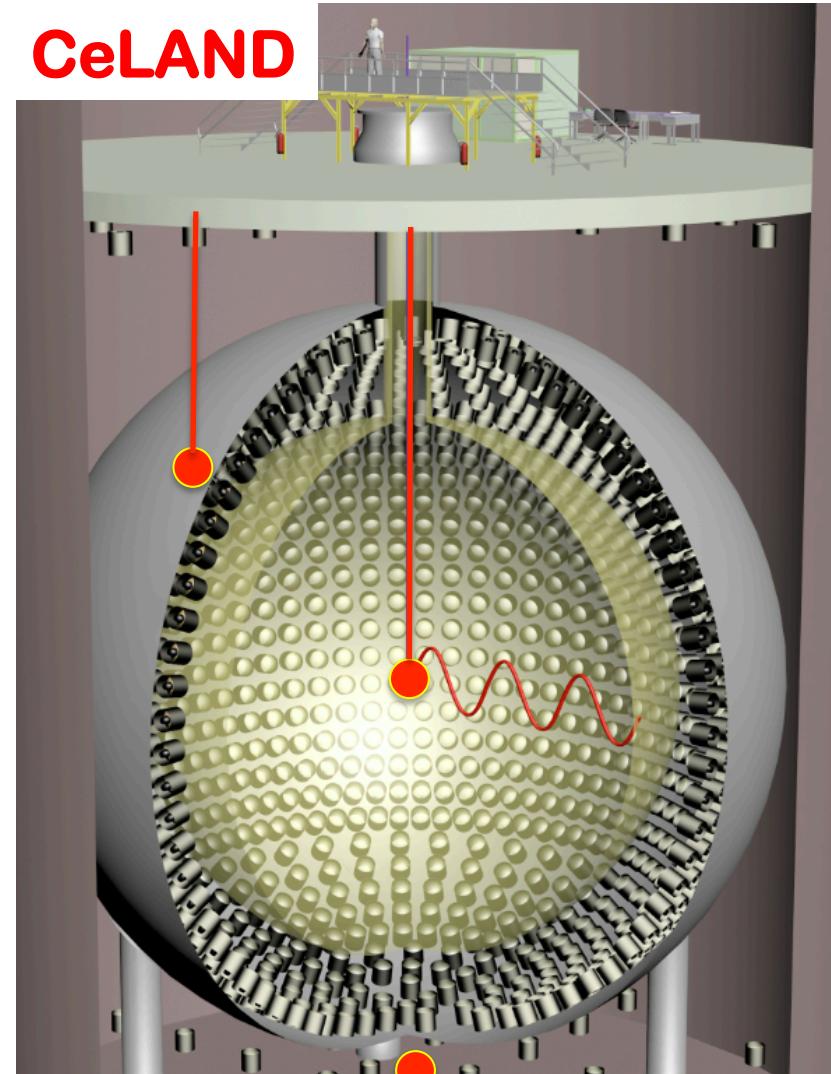
- Very short Baseline (few m)
- Low Background

## i) ν-source at center

- $\frac{dN_\nu}{dR} \propto \left[ 1 - \sin^2(2\theta) \sin^2 \left( 1.27 \frac{\Delta m^2 R}{\langle E \rangle} \right) \right]$

## ii) ν-source Outside LS

- Specific oscillation pattern analytically computable

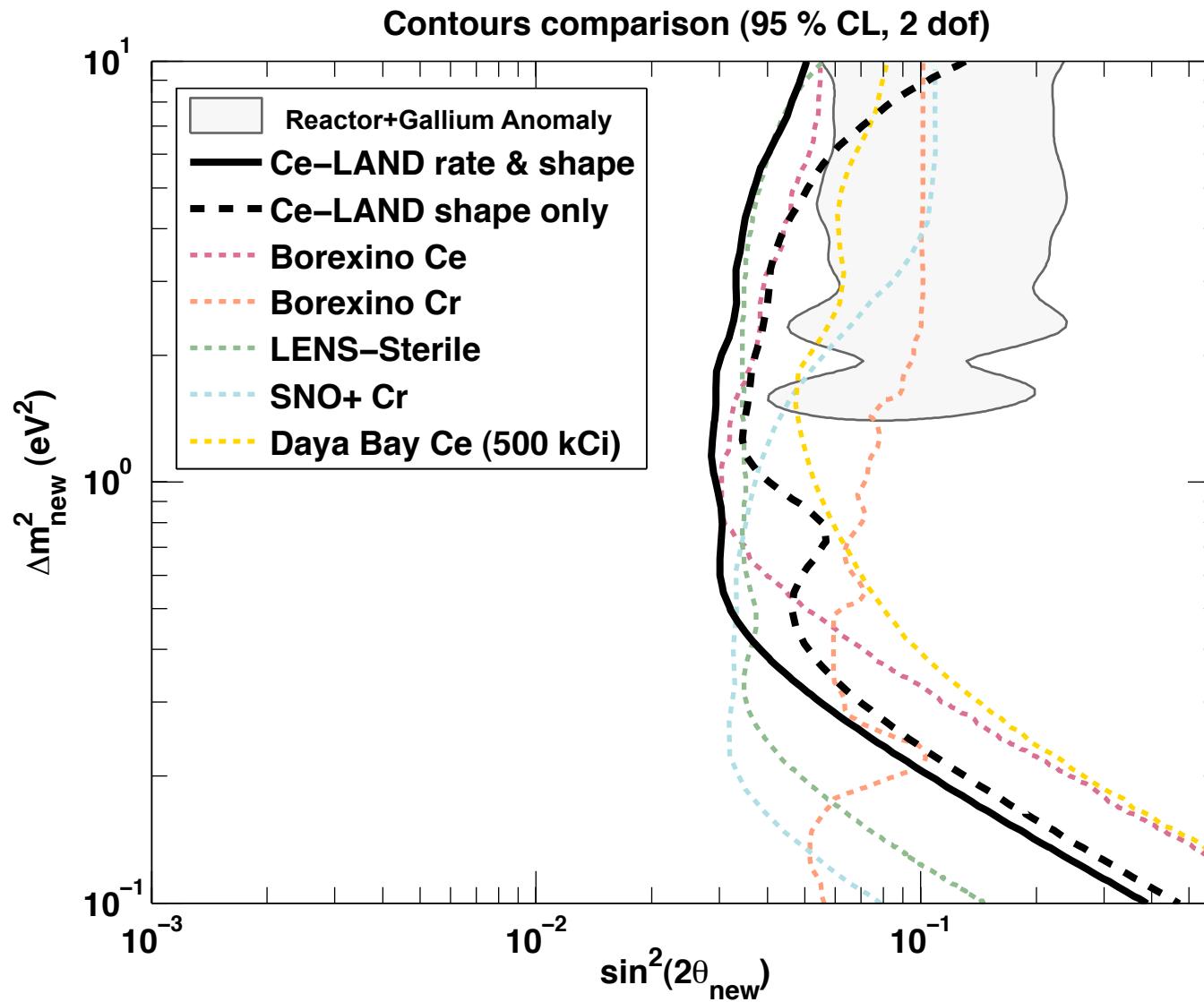


# $\nu$ -source proposals Overview

Type	channel	Background	Source	Production	Activity (Mci)		Proposal
$\nu_e$	$\nu_e e \rightarrow \nu_e e$ Compton edge 5% $E_{res}$ 15cm $R_{res}$	radioactivity (managable)  Solar $\nu$ (irreducible)	$^{51}\text{Cr}$ 0.75 MeV $t_{1/2} = 26\text{d}$	$n_{th}$ irradiation in Reactor	in	>3	Sage LENS
			$^{37}\text{Ar}$ 0.8 MeV $t_{1/2} = 35\text{d}$	$n_{fast}$ irradiation in Reactor (breeder)	out	5-10	SOX* SNO+
		$\nu$ -Source (out ok but in ?)			in	>1	-
					out	5	Ricochet (NC)
$\bar{\nu}_e$	$\bar{\nu}_e p \rightarrow e^+ n$ $E_{th} = 1.8\text{ MeV}$ ( $e^+, n$ ) Coincidence 5% $E_{res}$ 15cm $R_{res}$	reactor $\nu$ & $\nu$ -Source  → Background free!	$^{144}\text{Ce}$ $E < 3\text{MeV}$ $t_{1/2} = 285\text{d}$	spent nuclear fuel reprocessing	in	0.005-0.05	CeLAND* SOX
			$^{90}\text{Sr}$ $^{106}\text{Rh}$		out	0.5	Daya-Bay
			$^{42}\text{Ar}$		-	-	-
					-	-	-

# Source proposal sensitivities

## Testing Gallium & Reactor Ano.



# Conclusion (1/2)

- **Neutrinos mix and oscillate.** A lot's of momentum to understand the neutrino mixing properties ! Neutrino  $\neq$  Quark mixing

$$U_{\text{CKM}} = \begin{bmatrix} 1 & 0.2 & 0.001 \\ 0.2 & 1 & 0.01 \\ 0.001 & 0.01 & 1 \end{bmatrix} \quad U_{\text{PMNS}} \approx \begin{bmatrix} 0.8 & 0.5 & 0.16 \\ 0.4 & 0.6 & 0.7 \\ 0.4 & 0.6 & 0.7 \end{bmatrix}$$

- Large undergoing program towards the measurement of **neutrino masses** (Katrin, Gerda, EXO/KamLAND-Zen...)
- Two mixing angles and mass splitting measured
- **NEW:  $\theta_{13}$  measured (DC/DB/RENO/T2K)**
  - Lot's of prospects for Mass Hierarchy determination
  - Open the way for CP violation measurements (LBNE, LBNO, HK)

# Conclusion (2/2)

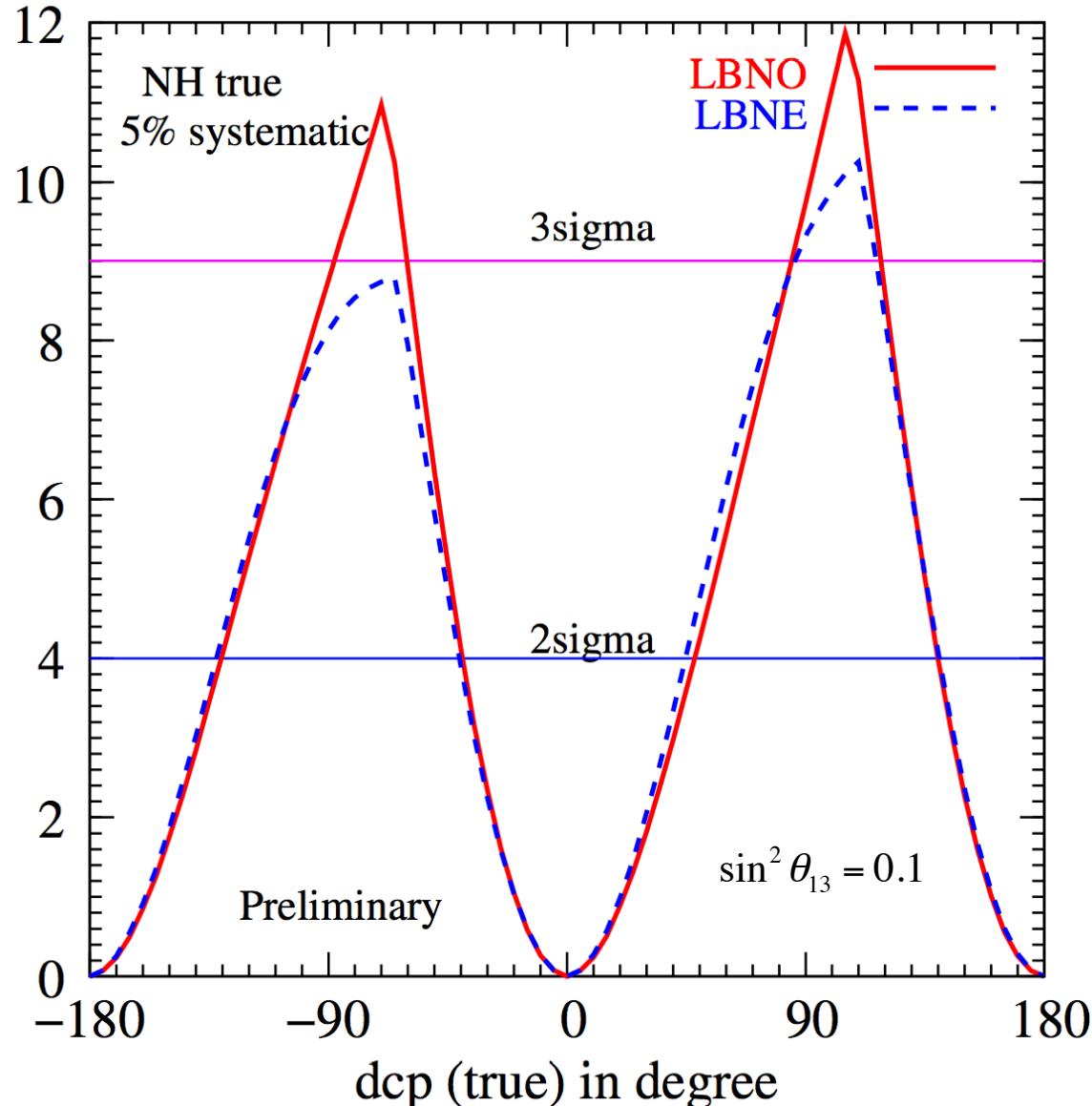
- A bunch of anomalies calling for clarification:
  - LSND ( $\nu_s$ ,  $\Delta m^2 \approx eV^2$  ?) & Miniboone ?
  - Gallium Anomaly ( $\nu_s$ ,  $\Delta m^2 \approx eV^2$  ?)
  - Reactor Anomaly ( $\nu_s$ ,  $\Delta m^2 \approx eV^2$  ?)
- Hint in favor of sterile neutrinos is compatible with cosmological data (CMB, LSS, BBN), though  $\approx 1$  eV-scale mass is a too heavy
- Bunch of 2 to 3  $\sigma$  effects but cannot be ignored...
- Need for new conclusive short baseline experiments, more than 20 projects under study or review

# Additional Slides

# LBNO & LBNE CPV Sensitivity

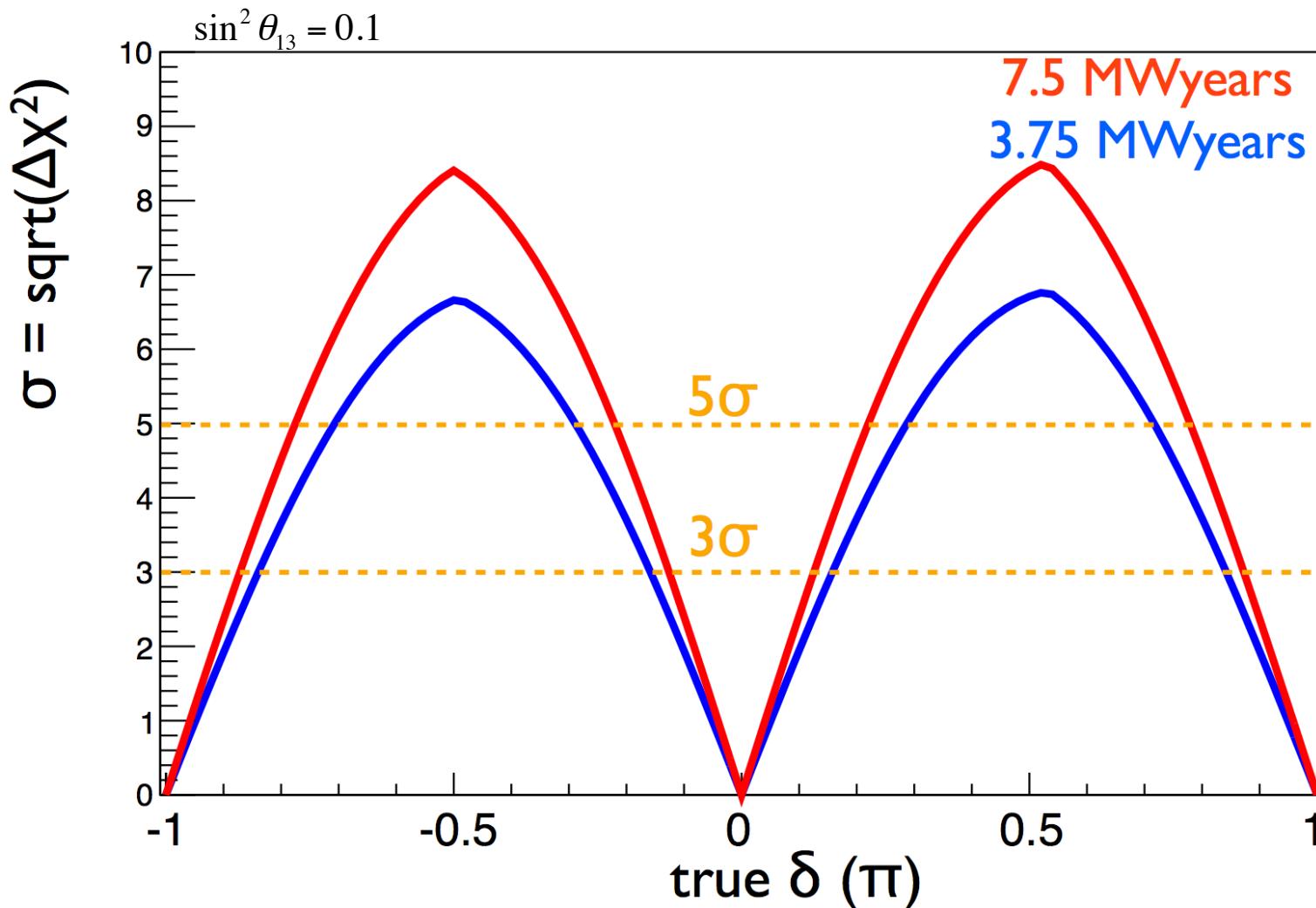
Fraction of  $\delta$  in % for which expected CPV ( $\sin \delta \neq 0$ ) significance is  $>3\sigma$

- Assume same systematic errors for both setups
- LBNE 10 kton @ 1300 km
- LBNO 20kton @ 2300 km



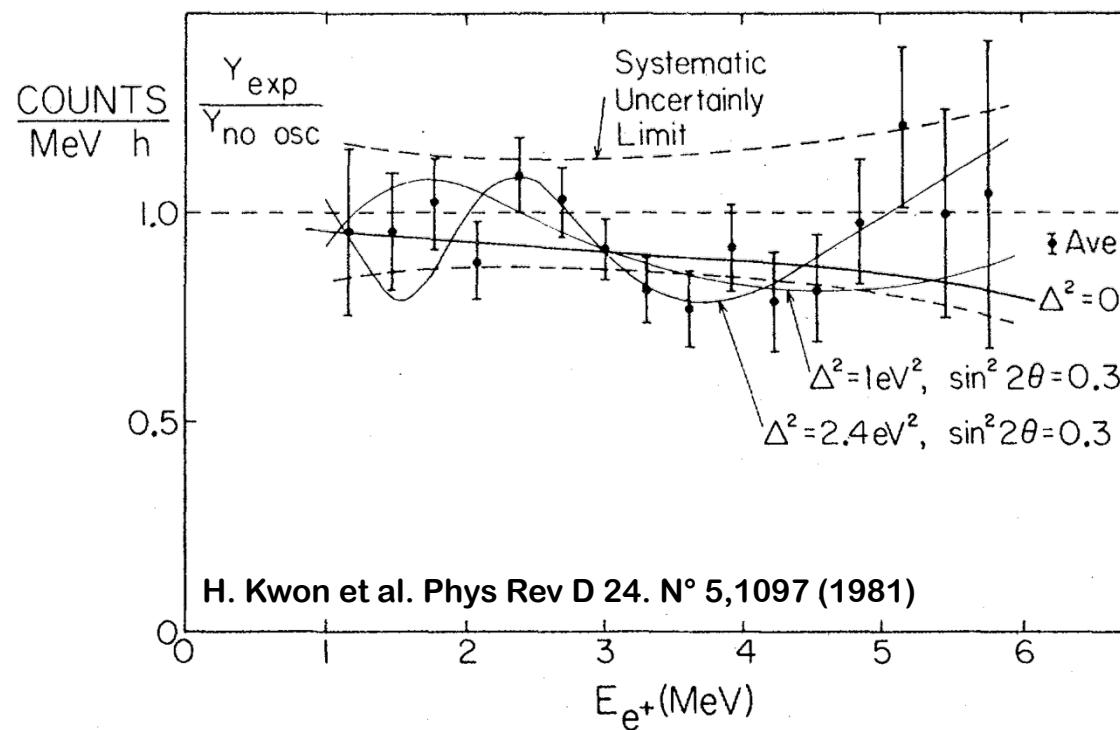
# Hyper-K CPV Sensitivity

Fraction of  $\delta$  in % for which expected CPV ( $\sin \delta \neq 0$ ) significance is  $>3\sigma$



# Puzzling 1981 ILL v-experiment

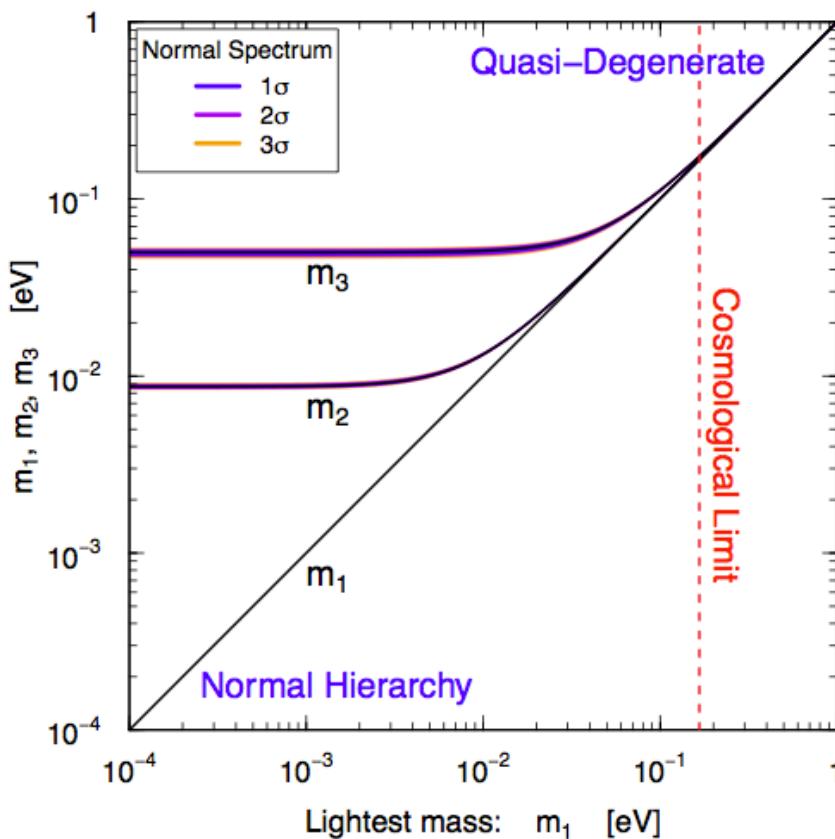
- Reactor at ILL with almost pure  $^{235}\text{U}$ , with compact core
- Detector 8.8 m from a COMPACT core
- Reanalysis in 1995 to account for overestimation of flux at ILL reactor by 10%... Affects the rate only but **20% deficit!**



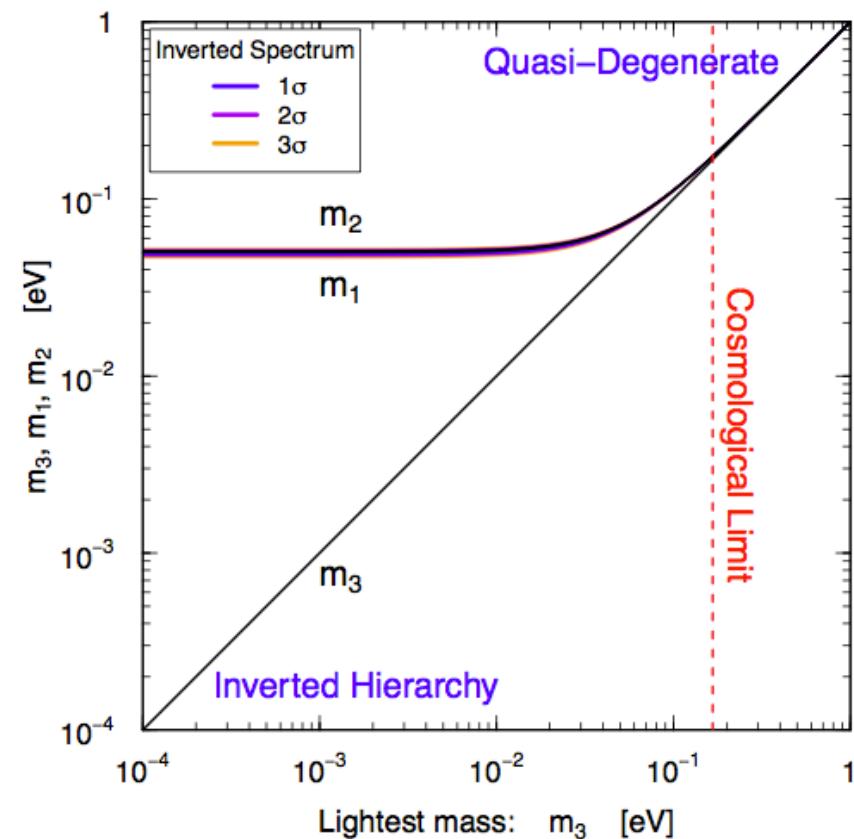
- Large errors, but a striking pattern is seen by eye ?

# Two mass hierarchies allowed

$$\text{NH} \left\{ \begin{array}{l} m_3 = \sqrt{m_1^2 + m_{\text{atm}}^2} \\ m_2 = \sqrt{m_1^2 + m_{\text{solar}}^2} \\ m_1 \end{array} \right.$$



$$\text{IH} \left\{ \begin{array}{l} m_1 \approx \sqrt{m_3^2 + m_{\text{atm}}^2} \\ m_2 = \sqrt{m_3^2 + m_{\text{atm}}^2} \\ m_3 \end{array} \right.$$



# Other proposal sensitivities

