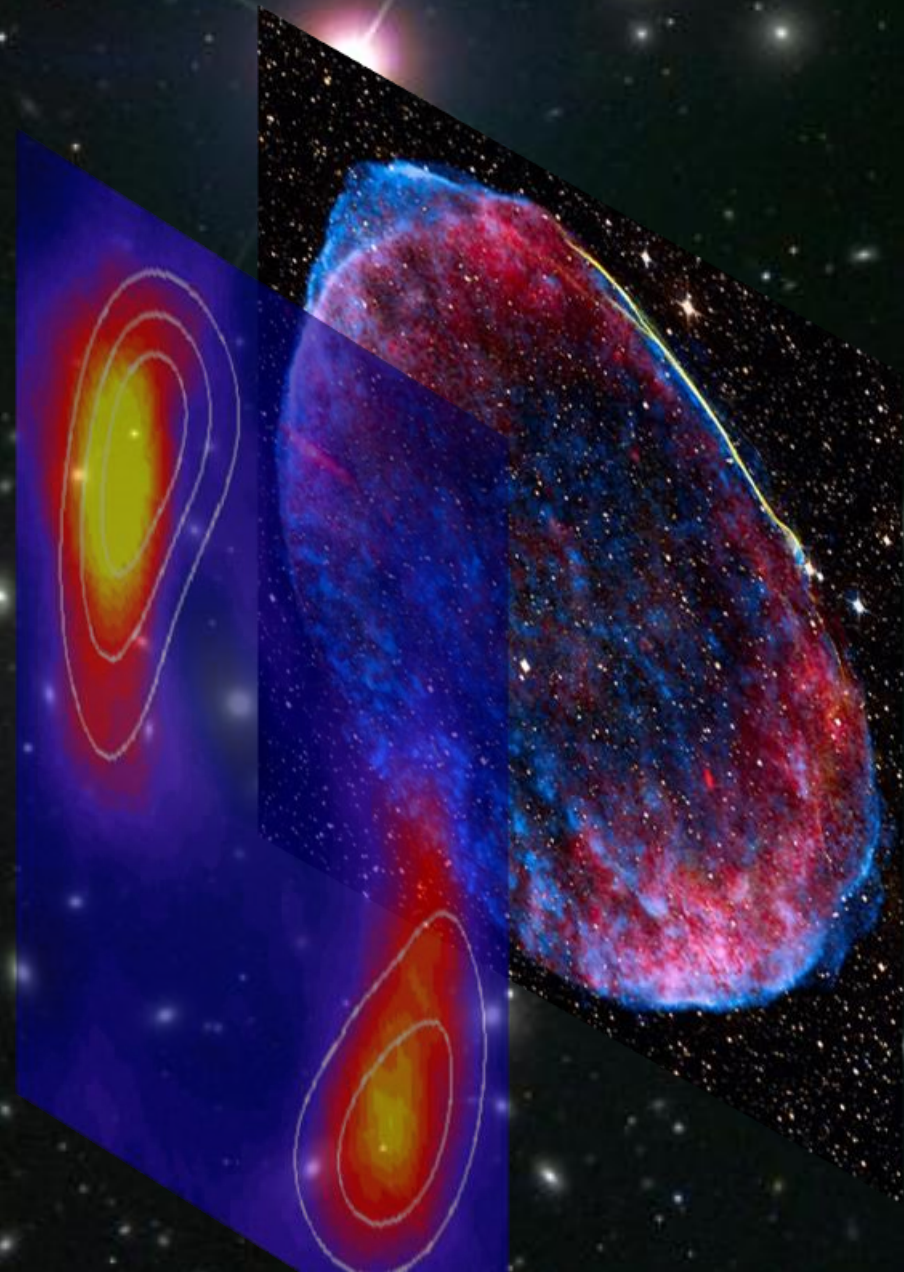


Particle Acceleration I: Astrophysical Mechanisms

→
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ICTP-SAIFR School, São Paulo,
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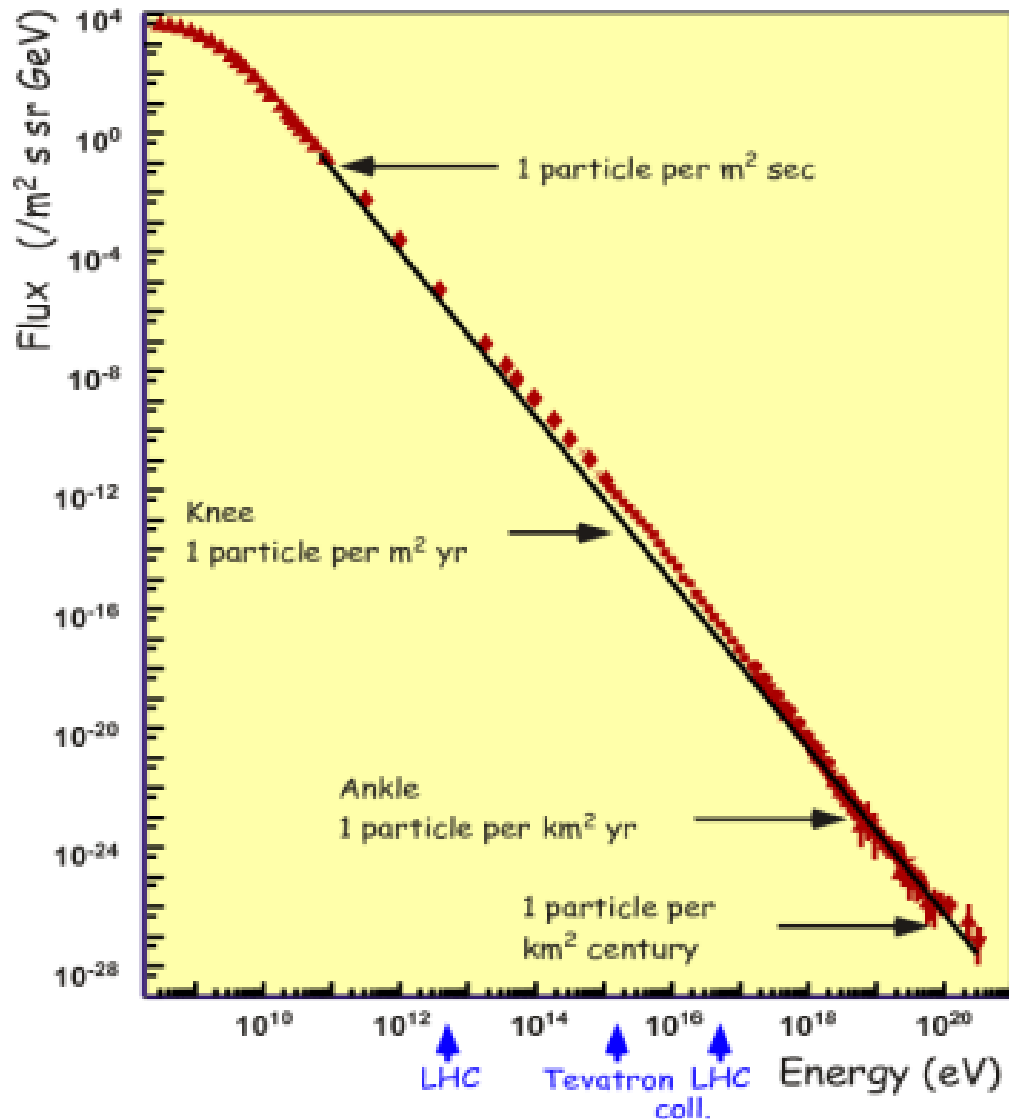
- **Introduction**
- **Acceleration mechanisms**
 - **Changing Magnetic Fields**
 - **Mirror Effect**
 - **2nd Order Fermi Acceleration (turbulence)**
 - **Diffusive Shock Acceleration (1st Order Fermi)**
 - **Acceleration in Reconnection zones**
- **Astrophysical Sites**

Accelerated Particles – Cosmic Rays

High energy charged particles reaching the Earth's atmosphere:

- **electrons** ~ 1%
- **protons** ~ 89%
- **heavier nuclei, mainly helium** ~ 10%
- **very few: antiparticles, muons, pions, kaons** (from interactions of CRs with the interstellar gas)

COSMIC RAY SPECTRUM

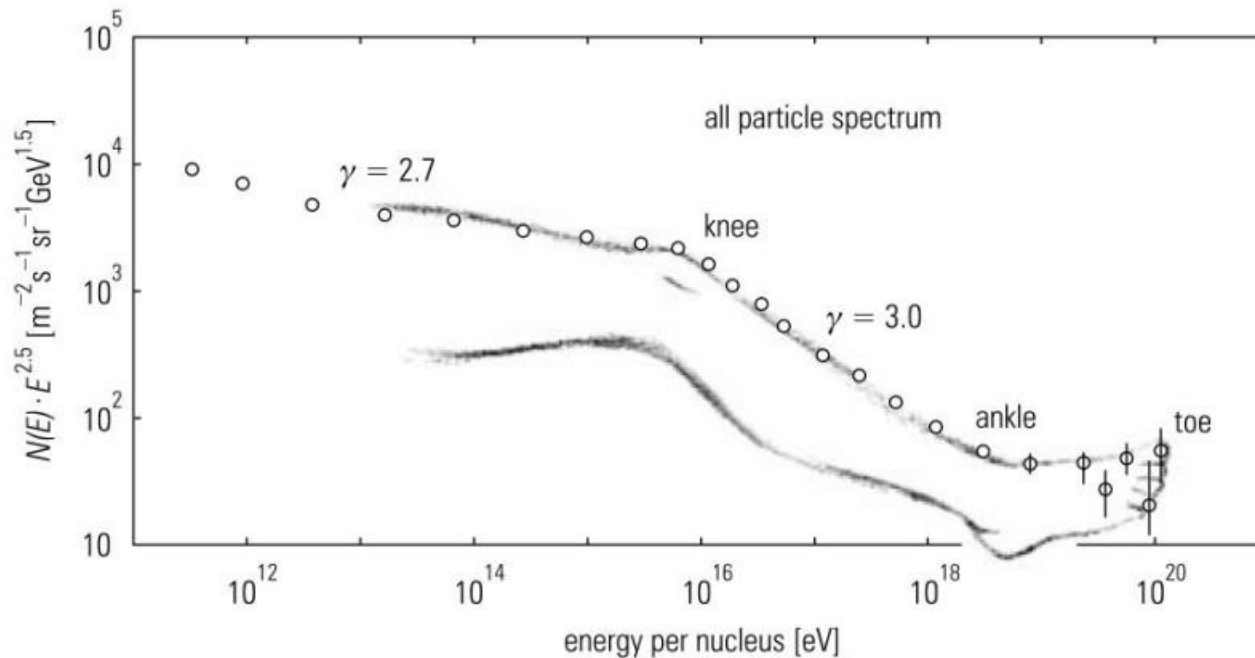


- power law:

$$N(E) \propto E^{-\gamma}$$

$$\begin{aligned} \gamma = 2.7 & \quad \text{for } 10^9 \text{ eV} < E < E_{\text{knee}}, \\ \gamma = 3.0 & \quad \text{for } E_{\text{knee}} < E < E_{\text{ankle}}, \\ \gamma = 2.7 & \quad \text{for } E_{\text{ankle}} < E < E_{\text{GZK}}, \end{aligned}$$

COSMIC RAY SPECTRUM



$$\gamma = 2.7 \quad \text{for} \quad 10^9 \text{ eV} < E < E_{\text{knee}},$$

$$\gamma = 3.0 \quad \text{for} \quad E_{\text{knee}} < E < E_{\text{ankle}},$$

$$\gamma = 2.7 \quad \text{for} \quad E_{\text{ankle}} < E < E_{\text{GZK}},$$

- **knee:**
particles with $E > 10^{15}$ eV start to leak from the galaxy, maximum from supernova explosions near 10^{15} eV
- **ankle:**
extragalactic particles
- **GZK-cut off** at $\approx 6 \cdot 10^{19}$ GeV

CR – Magnetic Fields

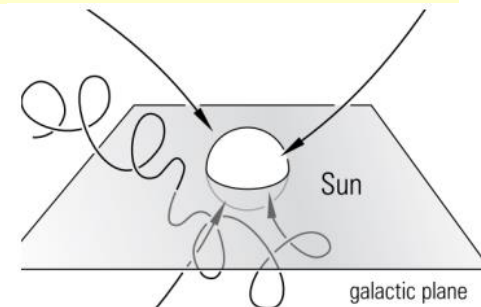
Charged particles – circular orbits in Magnetic Field (MF):

$$m \frac{dv}{dt} = qv \times \mathbf{B}$$



gyro-radius:

$$r_g = \frac{p}{qB} = \frac{\gamma m_0 v}{ZeB}$$



➤ CRs with energies $< 10^{15}$ eV: sky distribution **ISOTROPIC**

➤ Higher energy CRs:

are not as much deflected: **ANISOTROPIC**

Example:

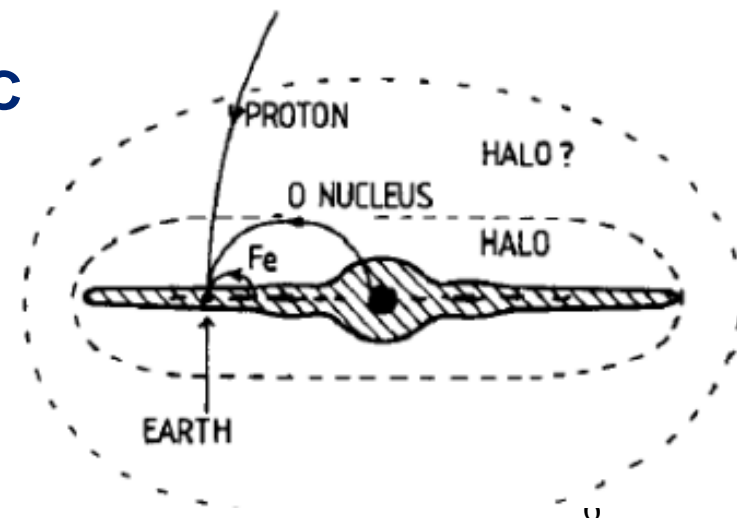
$$E = 10^{19} \text{ eV}$$

$$B = 2 \mu\text{G}$$

$$\longrightarrow r_g = 10 \text{ kpc}$$

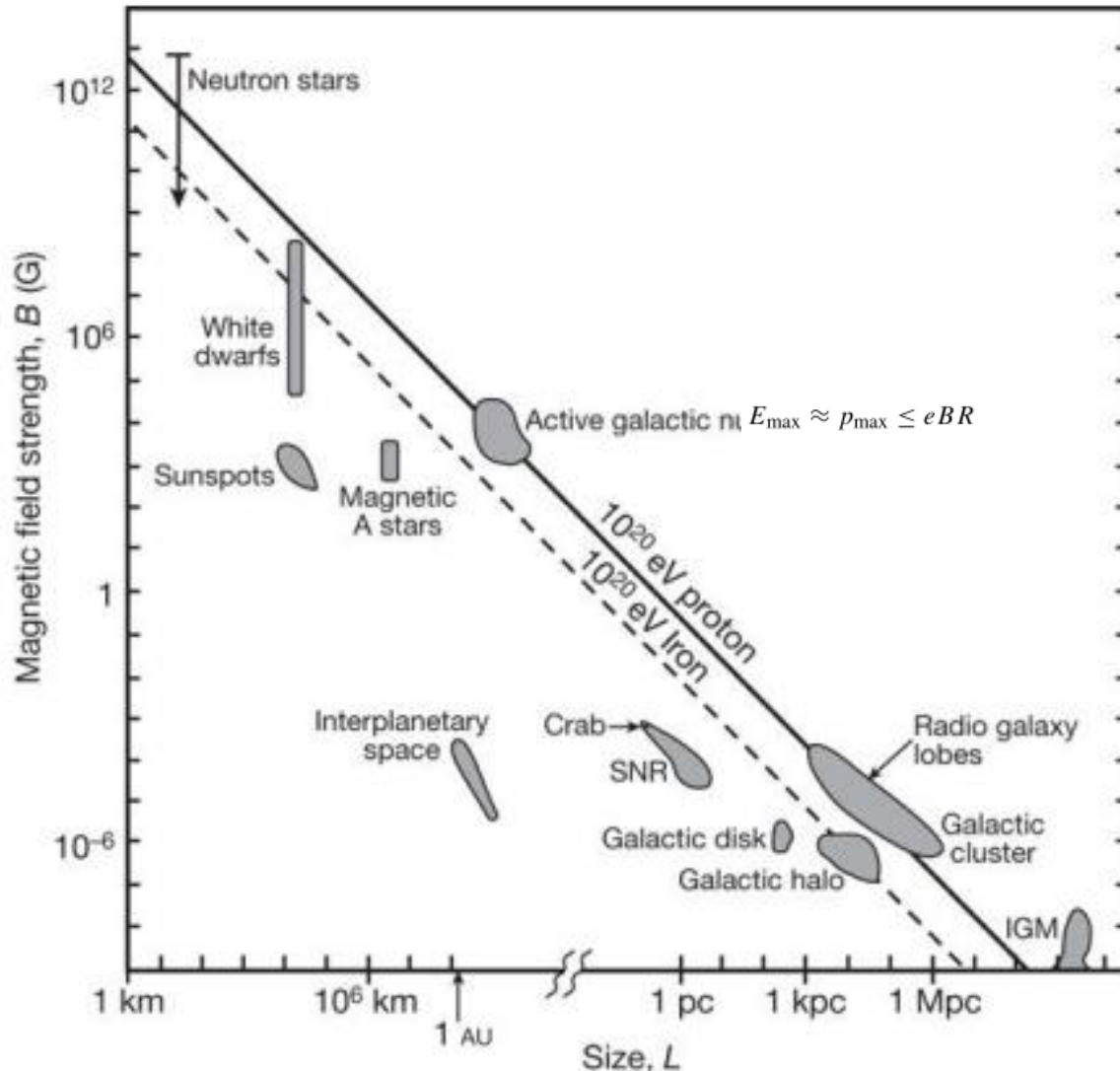
no correlation with galactic plane:

extragalactic origin



COSMIC RAY SOURCES

Hillas diagram.1984



For particle to be confined within accelerating source:

Gyro-radius $<$ source size

$$r_g < L$$



$$E_{\max} = B L$$

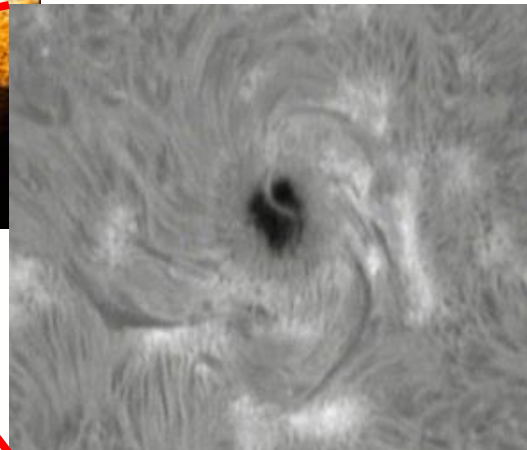
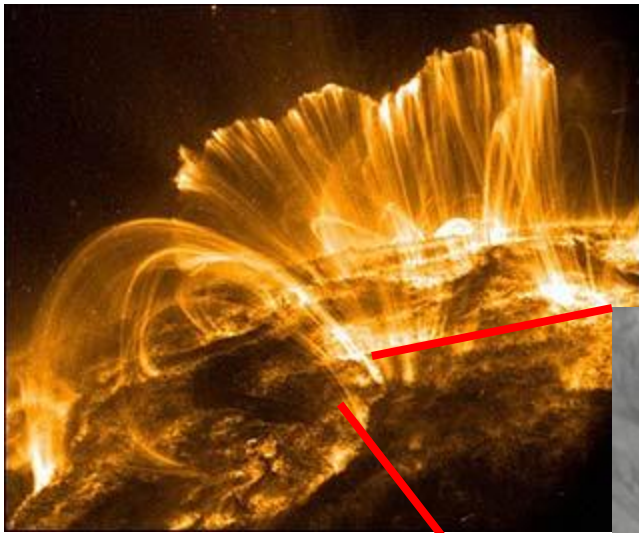
E_{\max} :
Maximum energy that can be extracted from the source for acceleration

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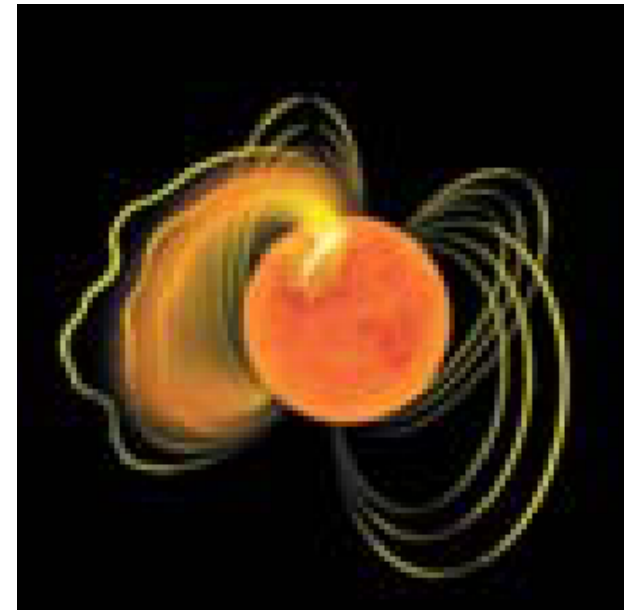
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Electromagnetic Acceleration

- **Time dependent MFs:**
Compact sources with large scale magnetic fields



solar sunspots



pulsars

Electromagnetic Acceleration

Faraday's law

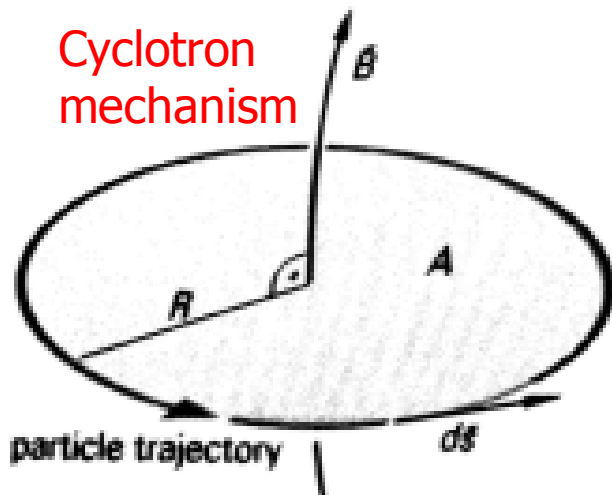
$$\nabla \times \mathbf{E} = -\dot{\mathbf{B}}$$

decaying magnetic field \Rightarrow electric field \Rightarrow acceleration

- magnetic flux: $\Phi = B \cdot A = B\pi R^2$
- change of the flux \Rightarrow potential:

$$U = \oint \mathbf{E} \cdot d\mathbf{s} = -\dot{\Phi} = -\dot{B}\pi R^2$$

Cyclotron
mechanism



energy gain

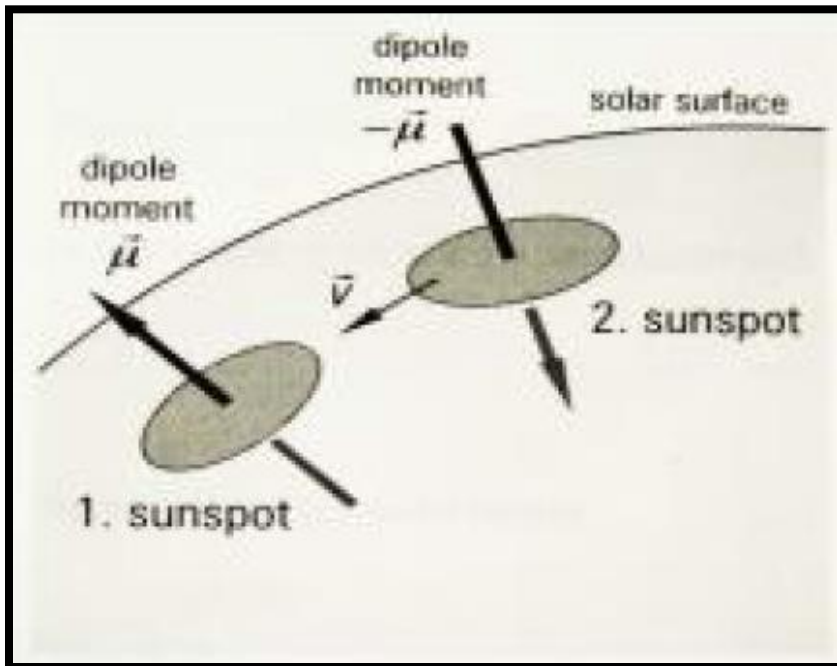
$$\Delta E = eU = e\pi R^2 \dot{B}$$

from one gyration

Kaiser courtesy

Electromagnetic Acceleration

Example : Merging Sunspots

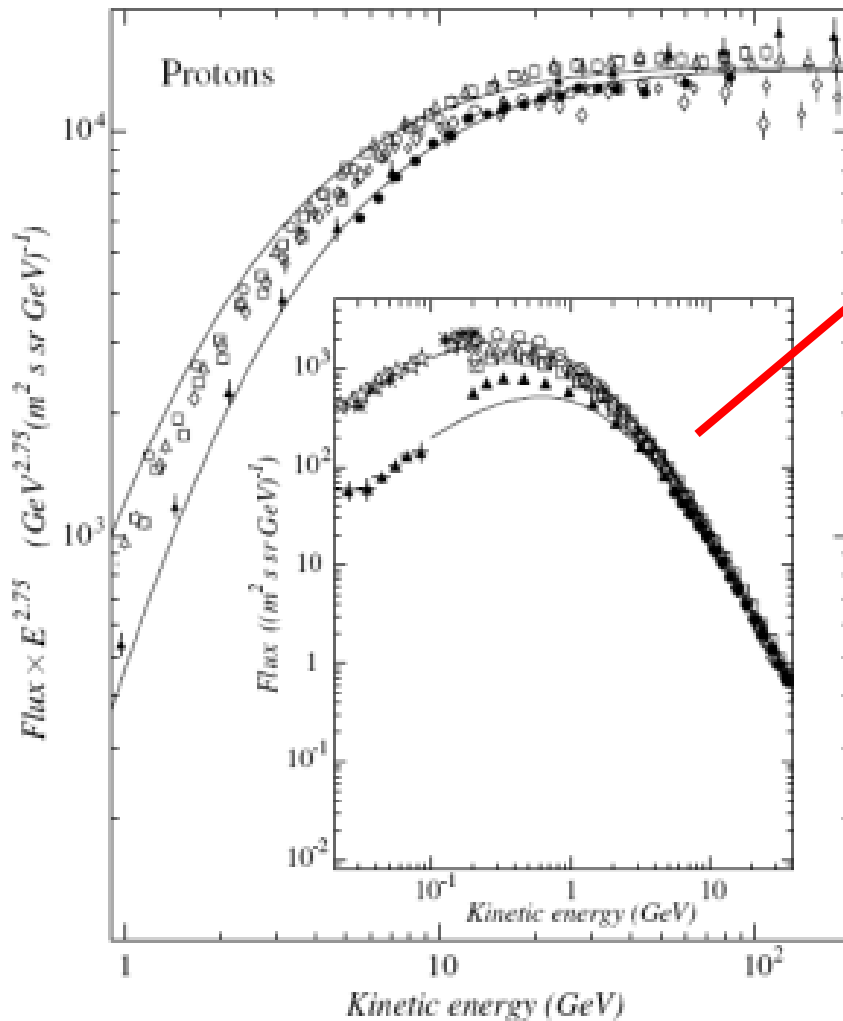


energy estimate:

- flux tube radius $R \approx 10^4 \text{ km}$
- magnetic field $B \approx 2000 \text{ G}$
- merging in 1 day,
 $\dot{B} = 2000 \text{ G/day}$

$$\Rightarrow E = 0.73 \text{ GeV}$$

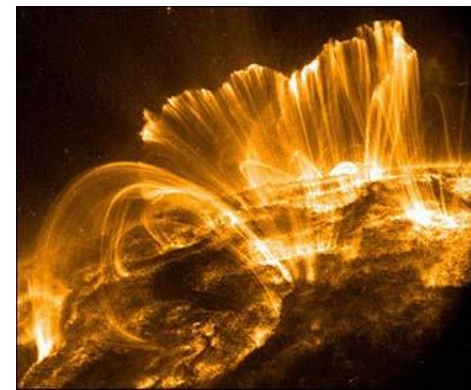
CRs from the Sun



Power law spectrum at high energies

Other mechanisms can be occurring:

- Diffusive shock acceleration
- Magnetic Reconnection

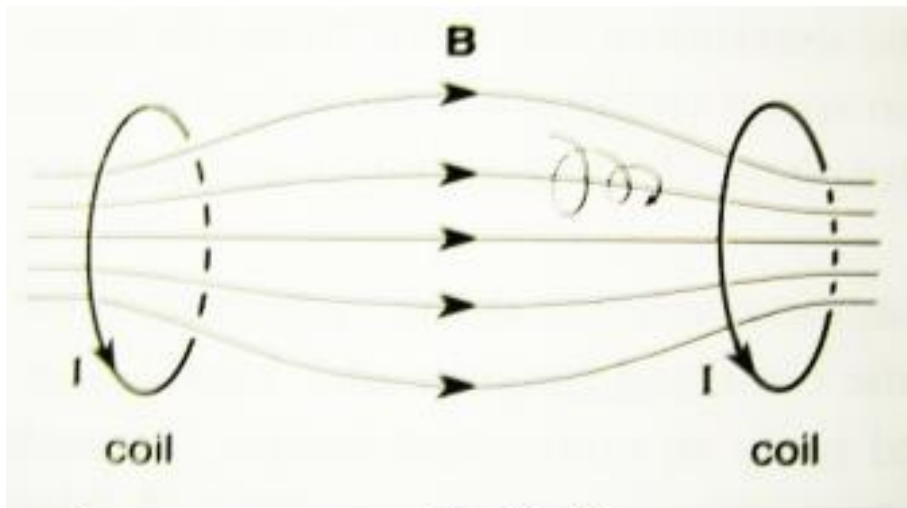


FERMI ACCELERATION – MIRROR EFFECT

particles entering regions of higher magnetic field strength are reflected backwards

- charged particles follow cyclotron orbits

gyro-radius: $r_g = p_{\perp}/qB$



Rule

The magnetic flux
 $\Phi = B \cdot \pi r_g^2 \propto v_{\perp}^2 / B$
through the particles' cyclotron circle is constant.

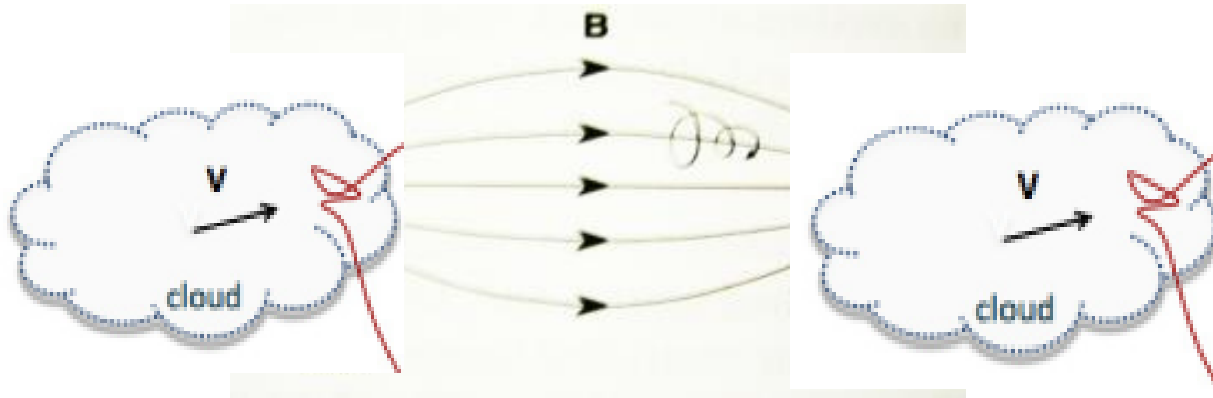
stronger magnetic field

\Rightarrow smaller gyro-radius, increased perpendicular velocity v_{\perp}

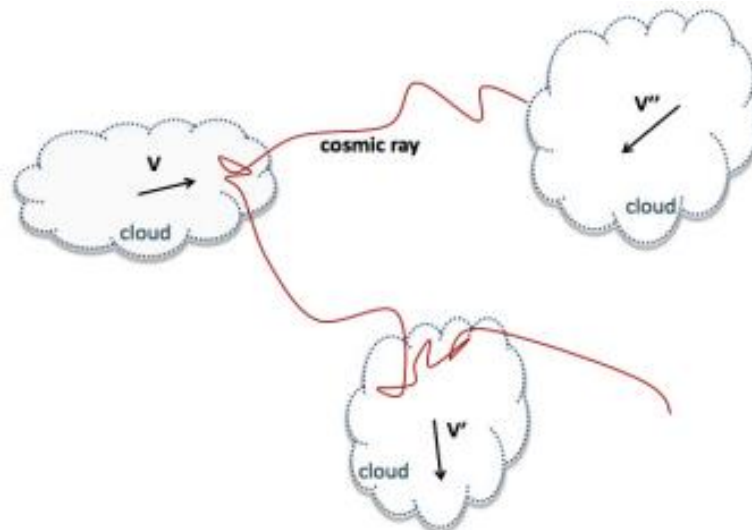
\Rightarrow decrease of parallel velocity v_{\parallel} (energy conservation)

$\Rightarrow v_{\parallel} \rightarrow 0$, then reflection

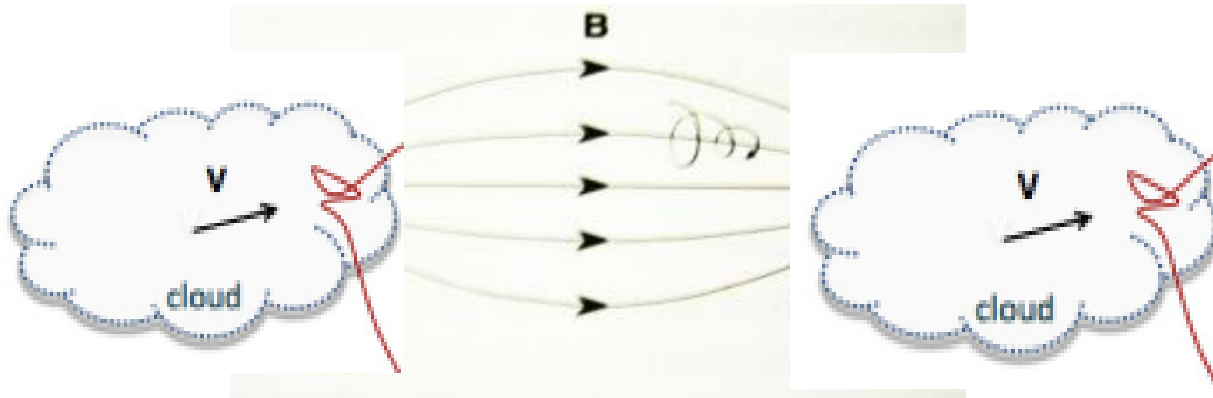
FERMI ACCELERATION



Fermi (1949): could CRs be produced via **random scattering** with magnetized interstellar clouds?



FERMI ACCELERATION



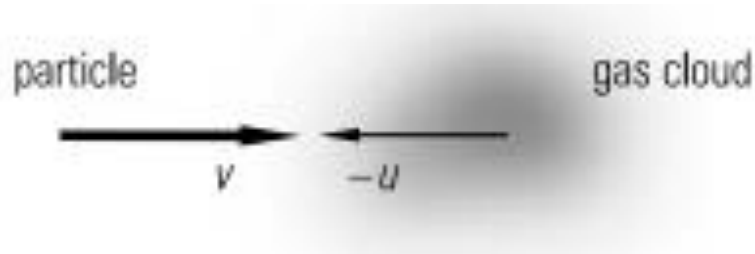
Frequency of head-on collisions $>$ frequency of catch-up collisions



net energy gain by particles

FERMI ACCELERATION

Head-on collision:



• change in kinetic energy:

$$\Delta E = \frac{1}{2}m(v+u)^2 - \frac{1}{2}mv^2$$

Catch-up collision:



$$\Delta E_2 = \frac{1}{2}m(v-u)^2 - \frac{1}{2}mv^2$$

→ Net energy gain:

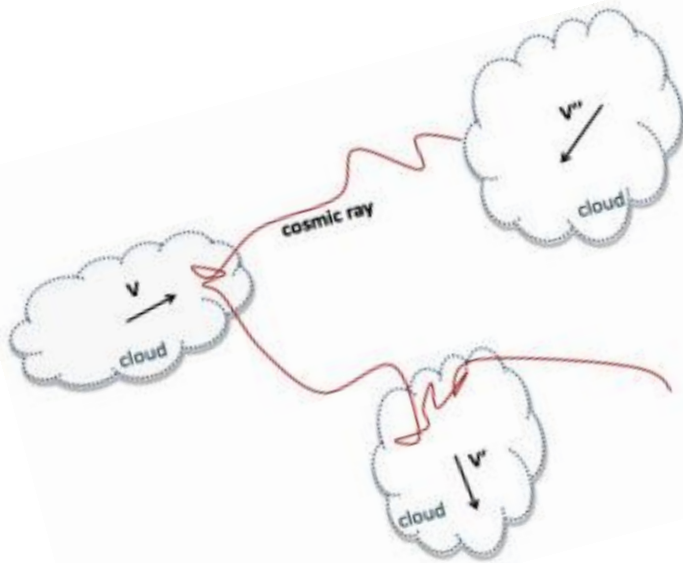
$$\Delta E = \Delta E_1 + \Delta E_2 : \quad \rightarrow$$

$$\boxed{\frac{\Delta E}{E} = 2 \frac{u^2}{v^2}}$$

2nd Order Fermi

2nd ORDER FERMI ACCELERATION

There is net energy gain per collision:



energy gain

average over all angles of incidence ϑ :

$$\left\langle \frac{\Delta E}{E} \right\rangle \propto \frac{u^2}{v^2}$$

$u \ll v \approx c$: the energy gain per collision is very small

- **Statistical reflection on many different clouds in a galaxy**
- **Stochastic acceleration** in magnetized turbulent medium

2nd ORDER FERMI ACCELERATION

energy gain

average over all angles of incidence ϑ :

$$\left\langle \frac{\Delta E}{E} \right\rangle \propto \left(\frac{V}{v} \right)^2$$

✓ **Energy increases exponentially** with # of reflections ($\Delta E \propto E$):

$$\frac{dE}{dt} = \langle \Delta E \rangle \nu = 4\nu \left(\frac{V}{v} \right)^2 E \equiv \alpha E$$

α = Acceleration rate

➤ **BUT - second order energy gain:**

too slow to obtain high energy particles in the few million years that a cosmic ray stays in the galaxy

2nd ORDER FERMI ACCELERATION

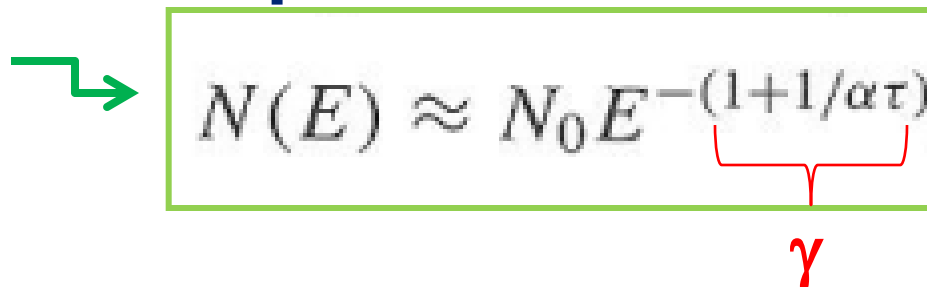
✓ Particles accelerated in this statistical process satisfy diffusion-loss equation:

$$\frac{dN}{dt} \approx -\frac{\partial}{\partial E} [N(E, t)\alpha E] - \frac{N(E, t)}{\tau}$$

α = Acceleration rate $\alpha \equiv 4\nu(V/v)^2$

τ = time a cosmic ray stays in the galaxy

➤ Power Law spectrum:


$$N(E) \approx N_0 E^{-\underbrace{(1+1/\alpha\tau)}_{\gamma}}$$

2nd ORDER FERMI ACCELERATION

Result: power law

$$f(E) \propto E^{-\gamma}, \quad \gamma = \left(1 + \frac{1}{\alpha \tau} \right)$$

Nice, BUT:

$$\alpha \sim \langle V^2 \rangle / Lv = \langle V^2 \rangle / Lc$$

- $L = 100\text{pc}$ = mean separation between clouds (scatterers)
- $\langle V \rangle = 10 \text{ km/s}$ = clouds average velocity
- $\tau = 2 \times 10^7 \text{ anos}$ = decay time of CRs in the Galaxy

$$\frac{1}{\alpha \tau} = \frac{3 \times 10^{20} \text{ cm} \times 3 \times 10^{10} \text{ cm/s}}{10^{12} \text{ cm}^2/\text{s} \times 6 \times 10^{14} \text{ s}} \simeq 1.5 \times 10^4 \quad !!!$$

Observed $\gamma \sim 2.7$!!

 **2nd ORDER FERMI: too slow**

1st ORDER FERMI ACCELERATION

REMEMBER:

Head-on collision:



→ Net energy gain:

relativistic calculation →

$$\Delta E \propto E, \quad \frac{\Delta E}{E} = 2 \frac{uv}{c^2} + \frac{u^2}{c^2} \stackrel{v \approx c}{=} \underbrace{2 \frac{u}{c}}_{\text{1st order}(\pm)} + \underbrace{\frac{u^2}{c^2}}_{\text{2nd order}}$$

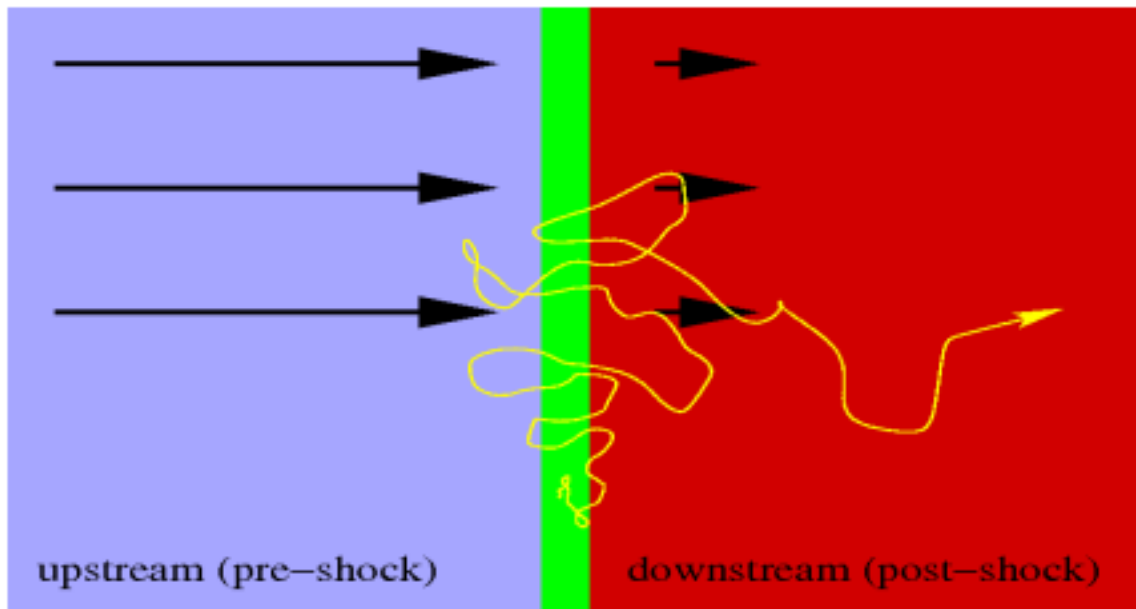
→ 1st Order Fermi

Thus we need scattering in a **CONVERGING FLOW**:

→ acceleration in a **SHOCK** (Bell et al. 78)

DIFFUSIVE SHOCK ACCELERATION

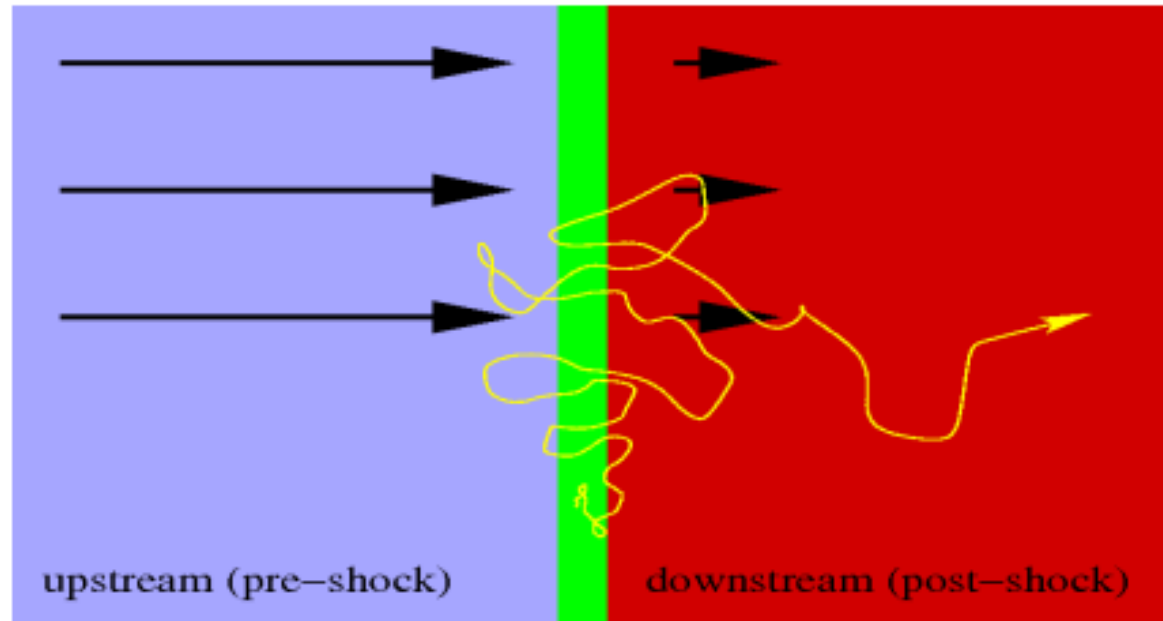
picture in the rest frame of the shock front



- Particles with higher velocity than the plasma flow may travel against the stream and cross the shock
- Scatter and interact with magnetic field fluctuations (Alfven waves)
- Shock contains **converging** scatterers because particles experience **higher (head-on)** collision velocities **upstream** than **(catch-up)** velocities **downstream**

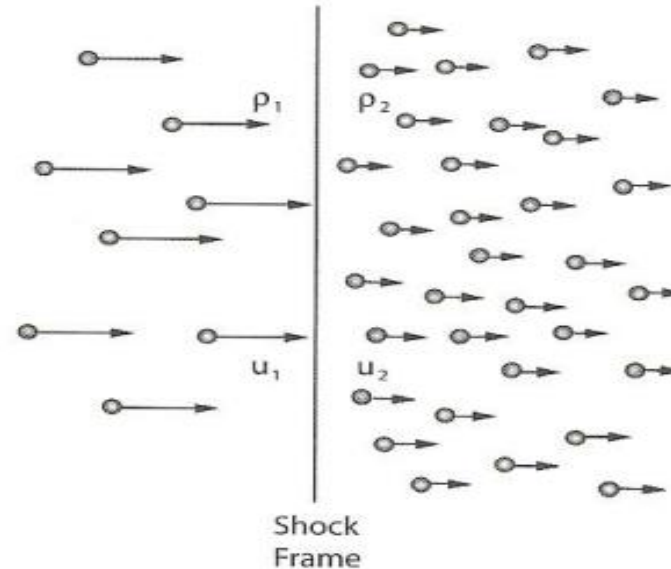
DIFFUSIVE SHOCK ACCELERATION

picture in the rest frame of the shock front



- reflection in upstream \Rightarrow energy gain $\propto v_{\text{up}}/c$
- reflection in downstream \Rightarrow smaller energy loss $\propto v_{\text{down}}/c$
- repetition until particle is not scattered back upstream

DIFFUSIVE SHOCK ACCELERATION



Every round trip: particle executes one catch-up and one head-on

→ Average energy gain:

$$\frac{\langle \Delta E \rangle}{E} \approx \frac{2(u_1 - u_2)}{v} = \frac{2\Delta u}{v} \quad \longrightarrow \quad \mathbf{1^{st} \text{ order in } \sim u/c}$$

→ Fermi I more efficient than Fermi II

DIFFUSIVE SHOCK ACCELERATION

- $\Delta E/E \sim u/c$
- loss of particles downstream in each cycle: $\Delta N_{\text{loss}}/N \propto u/c$

\Rightarrow

- energy increases exponentially with # of cycles
- # of participating particles N decreases exponentially with # of cycles

power law for $E > E_{\text{inj}}$

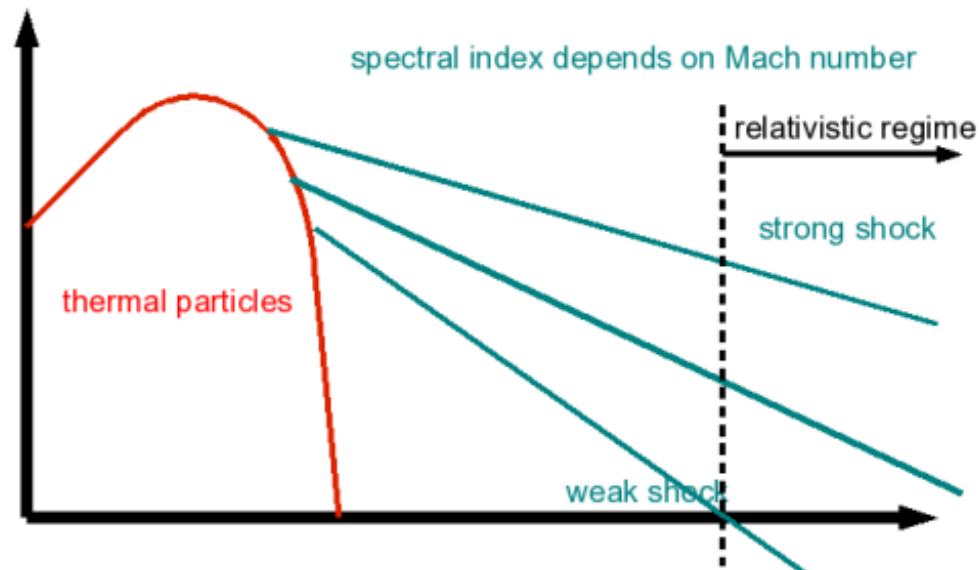
$$f(E) \propto \left(\frac{E}{E_{\text{inj}}} \right)^{-\gamma(M)} \theta(E - E_{\text{inj}})$$

DIFFUSIVE SHOCK ACCELERATION

power law for $E > E_{\text{inj}}$

$$f(E) \propto \left(\frac{E}{E_{\text{inj}}} \right)^{-\gamma(M)} \theta(E - E_{\text{inj}})$$

mach number $M = v_{\text{upstream}}/c_{\text{sound}}$



DIFFUSIVE SHOCK ACCELERATION

Calculating the spectrum

β = average particle energy change/collision: $\beta = 1 + \frac{2\Delta u}{v}$

P = probability that particle remains in the acceleration regime after one collision

After k collisions, the number of particles still scattering N :

$$N = N_0 \mathcal{P}^k$$

$$E = E_0 \beta^k$$

Thus, eliminating k :

$$\frac{N}{N_0} = \left(\frac{E}{E_0} \right)^{\ln \mathcal{P} / \ln \beta} \rightarrow dN = K E^{\ln \mathcal{P} / \ln \beta - 1} dE$$

DIFFUSIVE SHOCK ACCELERATION

\mathcal{P} = probability that particle remains in the acceleration region after one collision:

- number of particles (w/ $\sim c$) crossing unit surface area/time: $\frac{1}{4}Nc$

- steady state, the number of particles that cross back upstream: $\frac{1}{4}Nc - u_2N$

$$\rightarrow \mathcal{P} = \frac{\frac{1}{4}Nc - u_2N}{\frac{1}{4}Nc} = 1 - \frac{4u_2}{c}$$

Thus: $\ln \mathcal{P} = \ln \left(1 - \frac{4u_2}{c} \right) \approx -\frac{4u_2}{c}$ **and** $\ln \beta = \ln \left(1 + \frac{2\Delta u}{c} \right) \approx \frac{2\Delta u}{c}$

for **STRONG** shock $\rightarrow M \gg 1 \rightarrow u_1/u_2 = 4$

$$\frac{\ln \mathcal{P}}{\ln \beta} = \frac{-4u_2}{2(u_1 - u_2)} = -\frac{2}{3}$$



$$dN(E) = KE^{-5/3} dE \quad !!!$$

Observed $\gamma \sim 2$

LIMITS TO ACCELERATION

- energy gains have to exceed the **losses**:
 - **radiative**: synchrotron radiation, bremsstrahlung, inverse Compton scattering
 - **non-radiative**: coulomb scattering, ionization
 - **catastrophic**: hadronic interactions: $p + p \dots$
 - **GZK-cut-off**: interaction with CMB photons

ACCELERATION SITES

➤ Varying large scale MFs:

- pulsars
- sunspots

➤ Diffusive shock acceleration (1st order Fermi):

- structure formation shocks (e.g. in merging galaxy clusters)
- supernovae remnants
- shocks in jets and active galactic nuclei (AGNs)
- compact sources (near black holes or neutron stars)
- galactic winds
- solar flares?

➤ 2nd Order Fermi acceleration:

- near shock fronts (smaller contribution $\frac{u^2}{c^2} \ll \frac{u}{c}$)
- turbulent regions in ISM and IGM (scattering @ B irregularities)

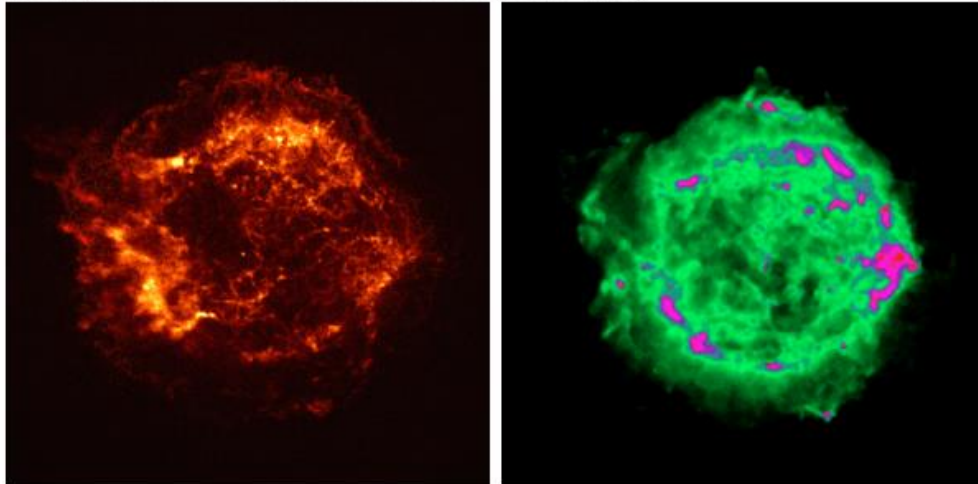
➤ Acceleration in Reconnection zones (1st order Fermi ?):

- solar/stellar flares
- accretion disks (around black holes, neutron stars,...)

ACCELERATION SITES

Supernova Remnants (SNRs):

Cassiopeia A (exploded 300 years ago)



x-ray picture - hot gas

radio picture - synchrotron radiation

- SN II eject shell – shock front

$$M = 10 M_{\text{sol}}$$
$$v = 100 \text{ km/s}$$
$$\text{SN rate} = 10^{-2} \text{ yr}^{-1}$$

- Power output:

$$P_{\text{SN}} = 5 \times 10^{42} \text{ J yr}^{-1}$$



SNRs more than sufficient to account for GCRs

- Power to accelerate CRs in the Galaxy:

galactic radius: $R \sim 15 \text{ kpc}$

thickness: $D \sim 0.2 \text{ kpc}$

CRs energy density: $\rho_E = 1 \text{ eV cm}^{-3}$

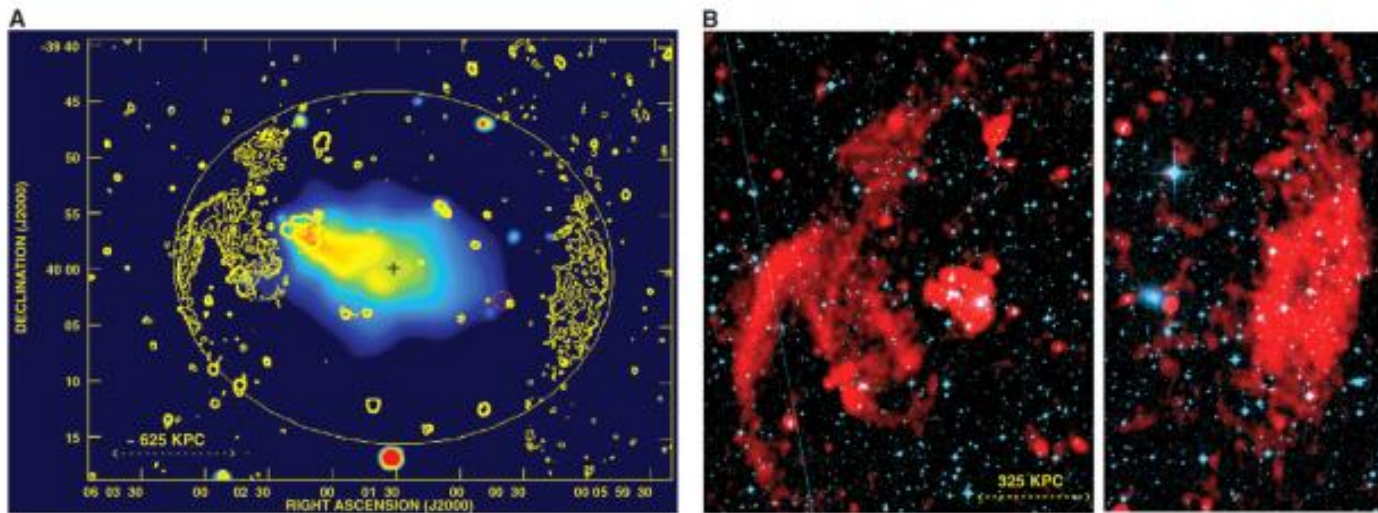
$$P_{\text{CR}} = 2 \times 10^{41} \text{ J yr}^{-1}$$



ACCELERATION SITES

Merging clusters of galaxies:

Galaxy cluster Abell 3376



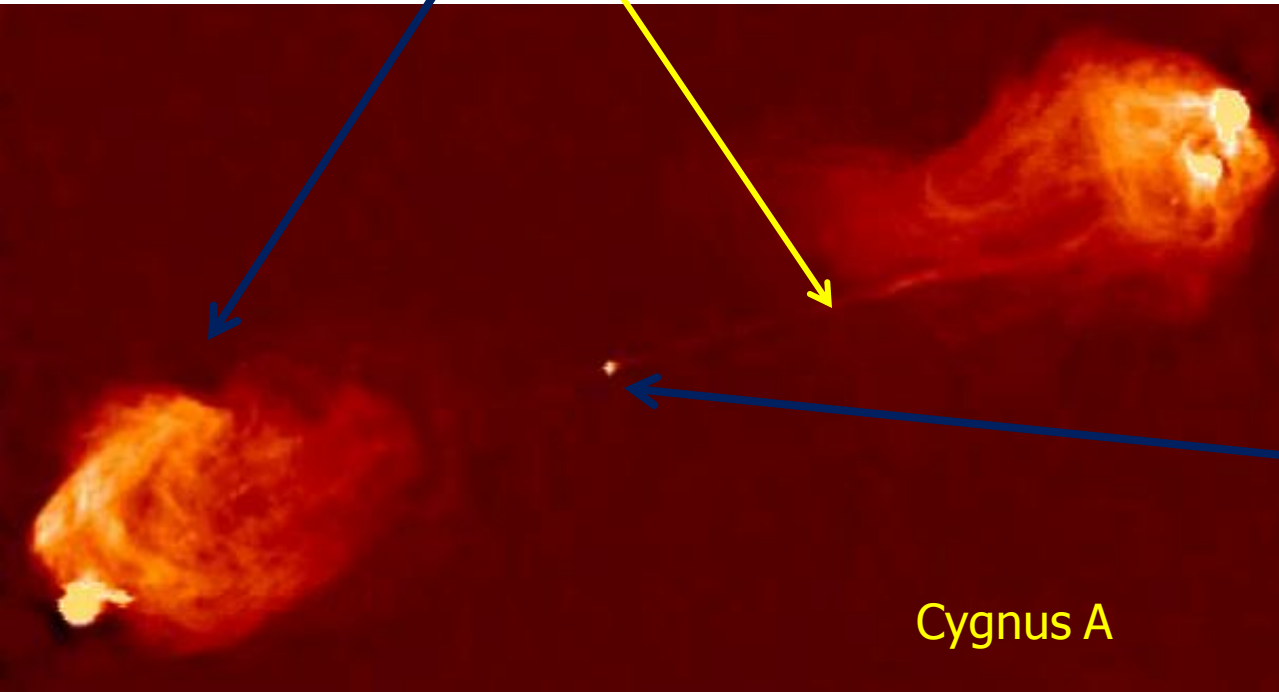
Bagchi et al. 2006

- Mpc-scale supersonic radio-emitting shockwaves
- radio sources (synchrotron radiation..) may be acceleration sites boosting particles up to 10^{19} eV ???
- hints to subcluster merger activities

ACCELERATION SITES

Astrophysical Jets:

Shock Acceleration:
in internal shocks
and terminal shocks (hot spots)



Cygnus A

Acceleration in
Magnetic
Reconnection

ACCELERATION SITES

ISM and Star formation regions in galaxies:



galaxy M51

- **Synchrotron radiation** traces MFs and relativistic electrons – CR sites
- **turbulent MFs in spiral arms** where ISM, star formation regions, and SNRs
 - **diffusive shock acceleration** (1st order) behind shocks in stellar jets and SNRs
 - **2nd order Fermi** in turbulent ISM

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