ICTPInternational Centre for Theoretical PhysicsSAIFRSouth American Institute for Fundamental Research

São Paulo International Schools on Theoretical Physics Nonlinear Optics and Nanophotonics

Lecturers for Week 1

Prof. Cid B. de Araújo, Recife, Brazil Prof. Sergey K. Turitsyn, Birmingham, UK Dr. Miguel C. Soriano, Palma de Mallorca, Spain Prof Marcel Clerc, Santiago, Chile

Prof. Yuri S. Kivshar, Canberra, Australia



Nonlinear Spectroscopy and Random Lasers Prof. Cid B. de Araújo, Recife, Brazil







Lecture 1: Introduction to Nonlinear Spectroscopy

Lecture 2: Basics of Random Lasers







Nonlinear technologies in fibre optics Prof. Sergey Turitsyn, UK





Lecture 1 – Introduction to nonlinear fibre optics Lecture 2 – Raman technologies in optical communications Lecture 3 – Nonlinear effects in optical communications





Dynamics and applications of delay-coupled semiconductor lasers Dr. Miguel C. Soriano, Spain



- From basic properties to applications:
- Introduction to semiconductor lasers with delayed optical feedback
- Introduction to synchronization of networks of delay-coupled semiconductor lasers
- Applications of chaotic semiconductor lasers

M. C. Soriano, J. Garcia-Ojalvo, C. R. Mirasso, I. Fischer, "Complex photonics: Dynamics and applications of delay-coupled semiconductor lasers". Reviews Modern Physics 85, 421-470 (2013).

Spatiotemporal chaotic localized states in optics

Prof. Marcel Clerc, Chile





http://www.dfi.uchile.cl/marcel/

Guided Optics, Solitons, and Metamaterials



Prof. Yuri Kivshar, Australia

Linear and nonlinear guided-wave optics
Introduction to solitons; optical solitons
Metamaterials: history and promises



Australian National University

http://wwwrsphysse.anu.edu.au/nonlinear

Linear and Nonlinear Guided-Wave Photonics

- Optical waveguides
- Waveguide dispersion
- Pulse propagation in waveguides
- Optical nonlinearities
- Self-phase modulation
- Phase matching and harmonic generation
- Plasmonics
- Photonic crystals

From electronics to photonics

Electronic components

Speed of processors is saturated due to high heat dissipation frequency dependent attenuation, crosstalk, impedance matching, etc.

Photonic integration

Light carrier frequency is 100,000 times higher, therefore a potential for faster transfer of information

 Photonic interconnects already demonstrate advantages of photonics for passive transfer of information



The photonic chip



Need to scale down the dimensions

Processing of the information all-optically



http://www.cudos.org.au/cudos/education/Animation.php

Waveguides: photonic wires



The incident and reflected wave create a pattern that does not change with z – wg mode

Photonic elements



What happens to the light in a waveguide

Waveguide propagation losses
 Light can be dissipated or scattered as it propagates

Dispersion

Different colours travel with different speed in the waveguide

Nonlinearities at high powers
 At high power, the light can change the refractive index of the material that changes the propagation of light.

Waveguide loss: mechanisms



- Scattering due to inhomogeneities:
 - Rayleigh scattering: $\alpha_R \sim \lambda^{-4}$;
 - Side wall roughness



Waveguide loss: description

y z x $P(z) = P_0 \exp(-\alpha z)$

 α [**cm**⁻¹] – attenuation constant

$$\alpha_{dB} = -10\log_{10}\left(\frac{P(z)}{P_0}\right)$$

3 dB loss = 50% attenuation

Often propagation loss is measured in dB/cm

$$\alpha_{dB_{cm}} = -\frac{10}{L} \log_{10} \left(\frac{P(L)}{P_0} \right) = 4.343 \alpha \text{ Typical loss for waveguides 0.2 dB/cm}$$
for fibres 0.2 dB/km

Dispersion - Mechanisms

Dispersion

 Material (chromatic)

Waveguide

Polarisation

Modal

Material dispersion

 Related to the characteristic resonance frequencies at which the medium absorbs the electromagnetic radiation through oscillations of bound electrons.

Selfmeier equation
$$n^2(\lambda) = 1 + \sum_{j=1}^m \frac{B_j \lambda^2}{\lambda^2 - \lambda_j^2}$$
, (far from resonances)

where λ_i are the resonance wavelengths and B_i are the strength of *j*th resonance

For short pulses (finite bandwidth): different spectral components will travel with different speed c/n(λ) giving rise to Group Velocity Dispersion (GVD).

Group velocity dispersion
Accounted by the dispersion of the propagation constant:

$$\beta(\omega) = n(\omega)\frac{\omega}{c} = \beta_0 + \beta_1(\omega - \omega_0) + \frac{1}{2}\beta_2(\omega - \omega_0)^2 + \cdots,$$

$$\beta_m = \left(\frac{d^m\beta}{d\omega^m}\right)_{\omega=\omega_0} \qquad (m = 0, 1, 2, \ldots).$$

$$\beta_1 = \frac{1}{v_g} = \frac{n_g}{c} = \frac{1}{c}\left(n + \omega\frac{dn}{d\omega}\right),$$

 v_g is the group velocity, n_g is the group index

GVD is quantified by the dispersion parameter

$$D = \frac{d\beta_1}{d\lambda} \approx \frac{\lambda}{c} \frac{d^2 n}{d\lambda^2}$$

measured in [ps/(km nm)]

D>0 – anomalous dispersion; D<0 – normal dispersion



At different wavelengths the mode has a different shape. This geometrical consideration leads to shift in the dispersion curves. The effect is more pronounced in high index and narrow waveguides, e.g. photonic nanowires.

Polarisation-mode dispersion





- Usual waveguides are strongly birefringent, therefore the propagation constants for x and y polarisation will be different.
- The two polarisations will travel with different speed inside the waveguide

$$\Delta T = \left| \frac{L}{v_{gx}} - \frac{L}{v_{gy}} \right| = L |\beta_{1x} - \beta_{1y}|$$

Time delay between two pulses of orthogonal polarisation

Pulses: time and frequency

A pulse is a superposition (interference) of monochromatic waves:

$$A(z,t) = \int_{-\infty}^{\infty} A(z,\omega) \exp(i\omega t) d\omega$$

Each of these components will propagate with slightly different speed, but also their phase will evolve differently and the pulse will be modified: velocity \neq ph. velocity and duration (profile) will change Group velocity
As a result of the dispersion, the pulse (the envelope) will propagate with a speed equal to the group velocity

$$v_g \equiv \frac{d\omega}{dk} = \frac{c}{n} \left(1 - \frac{\lambda}{n} \frac{dn}{d\lambda} \right)$$

Possibility for slow, superluminal, or backward light

• One can define a group index as $n_g = c/v_g$

$$n_g = \frac{c}{v_g} = \left(n - \lambda \frac{dn}{d\lambda}\right)$$

Index which the pulse will feel

Pulse broadening

• The finite bandwidth ($\delta\lambda$) of the source leads to a spread of the group velocities δv_g

$$\delta v_g = \frac{dv_g}{d\lambda} \delta \lambda = \frac{c\lambda}{n^2} \left(\frac{d^2n}{d\lambda^2} - \frac{2}{n} \left(\frac{dn}{d\lambda} \right)^2 \right)$$

Then a short pulse will experience a broadening *St* after propagation *L* in the material:

$$\delta t = \frac{L}{v_g} \frac{\delta v_g}{v_g} = LD\delta\lambda \quad \text{where } D = \frac{\lambda}{c} \left(\frac{d^2n}{d\lambda^2}\right). \quad \text{Dispersion coefficient}$$





Short pulse propagation in dispersive media

The propagation of pulses is described by the propagation equation:

$$i\frac{\partial A}{\partial z} - \frac{\beta_2}{2}\frac{\partial^2 A}{\partial t^2} = 0$$
, where $\beta_2 = -\frac{\lambda^2}{2\pi c}D$

This is a partial differential equation, usually solved in the frequency domain.

$$i\frac{\partial A}{\partial z} + \frac{\beta_2}{2}\omega^2 \tilde{A} = 0, \qquad \Longrightarrow \quad \tilde{A}(z,\omega) = \tilde{A}(0,\omega)\exp\left(\frac{\beta_2}{2}\omega^2 z\right),$$

Important parameter: Dispersion length

$$L_D = \frac{T_0^2}{\left|\beta_2\right|}$$

The length at which the dispersion is pronounced T_0 pulse width 24



A Gaussian pulse maintain its shape with propagation, but its width increases as

$$T(z) = T_0 [1 + (z/L_D)^2]^{1/2}$$



The dispersion needs to be compensated or close wavepackets will start overlapping.

This is usually done by dispersion compensator devices placed at some distances in the chip, or through proper dispersion management

Material nonlinearity can balance the dispersion and pulses can propagate with minimum distortion.

What is nonlinearity?



Nonlinearity: interaction

+ + - = ? 0,1,2



Mechanical systems

θ

Large amplitude oscillations of a pendulum

The force is no more I linear with the amplitude



Extreme nonlinearities



Optical nonlinearities



Electronic

The light electric field distorts the clouds displacing the electrons. Due to anharmonic motion of bound electrons (Similar to the nonlin. pendulum). *Fast response (10fs), high power kW - GW*

2. Molecular orientation

due to anisotropic shape of the molecules they have different refractive index for different polarisation. The light field can reorient the molecules.

Response 1ps – 10ms, 1kW – 1mW

3. Thermal nonlinearities

due to absorption the material can heat, expand, and change refractive index (thermo-optic effect) *1-100ms*, *1mW*

Optical nonlinearities

- 4. Photorefractive
 - due to photo-excitation of charges, their separation in the material and electro-optic effect, 1-10s, $<1\mu$ W
- 5. Atomic

due to excitation of atomic transitions

6. Semiconductor

due to excitation of carriers in the conduction bands

7. Metal

due to deceleration of the free electrons next to the surface

Classification:

Non-resonant and resonant nonlinearities depending on the proximity of resonances



Nonlinear optics

1958-60: Invention of the laser

- 1964: <u>Townes, Basov and Prokhorov</u> shared the **Nobel prize** for their fundamental work leading to the construction of lasers
- 1981: <u>Bloembergen and Schawlow</u> received the **Nobel prize** for their contribution to the development of laser spectroscopy. One typical application of this is *nonlinear optics* which means methods of influencing one light beam with another and permanently joining several laser beams



Arthur Leonard Schawlow

Medium polarisation

- Separation of charges gives rise to a dipole moment (model of bound electron clouds surrounding nucleus)
- Dipole moment per unit volume is called Polarisation

This is similar to a mass on a spring





When the driving force is to strong the oscillations become anharmonic



Optical polarisation

$$\mathbf{P} = \varepsilon_0 \left(\chi^{(1)} \cdot \mathbf{E} + \chi^{(2)} : \mathbf{E}\mathbf{E} + \chi^{(3)} \vdots \mathbf{E}\mathbf{E}\mathbf{E} + \cdots \right)$$

- $\chi^{(j)}$ (j=1,2,...) is jth order susceptibility;
- $\chi^{(j)}$ is a tensor of rank j+1;
- for this series to converge $\chi^{(1)}E >> \chi^{(2)}E^2 >> \chi^{(3)}E^3$
- χ⁽¹⁾ is the linear susceptibility (dominant contribution). Its effects are included through the refractive index (real part) and the absorption α (imaginary part).

Nonlinear refraction

The refractive index is modified by the presence of optical field:

 $n(\lambda, I) = n_0(\lambda) + n_2 I$ where $n_0(\lambda)$ is the linear refractive index, $I=(nc\epsilon_0/2)|E|^2$ is the optical intensity, $n_2 = 12\pi^2 \chi^{(3)} / n_0 c \quad 3\chi^{(3)} / 4\epsilon_0 n_0^2 c$ is the nonlinear index coefficient

- This intensity dependence of the refractive index leads to a large number of nonlinear effects with the most widey used:
 - Self-phase modulation
 - Cross phase modulation

Self phase modulation

SPM – self-induced phased shift experienced by the optical pulse with propagation





Non-resonant $\chi^{(3)}$ nonlinearities in optical waveguides



propagation length is only limited by the absorption for λ =1.55µm, w_0 =2µm, α=0.046cm⁻¹ (0.2dB/cm) → F~2 × 10⁴₃₉

 $\chi^{(2)}$ nonlinearity in noncentrosymmetric media $P^{(2)} = \chi^{(2)} E E$ PA $\mathcal{P}_{\mathsf{NL}}(t)$ $\frac{1}{t}$ n ${\mathscr E}$ second-harmonic dc E (t)

Nonlinear frequency conversion

Wavelength Converter

Can use $\chi^{(2)}$ or $\chi^{(3)}$ nonlinear processes. Those arising from $\chi^{(2)}$ are however can be achieved at lower powers.

Frequency mixing



$\chi^{(2)}$ parametric processes

- Anisotropic materials: crystals (.....)
 - $P_{i} = \sum_{jk} \chi_{ijk}^{(2)} E_{j}^{\omega_{a}} E_{k}^{\omega_{b}} \qquad E^{\omega_{a}} = E_{0} \sin(\omega_{a}t), \qquad E^{\omega_{b}} = E_{0} \sin(\omega_{b}t)$ $P_{i} \propto E_{j}^{\omega_{a}} \sin(\omega_{a}t) \times E_{k}^{\omega_{b}} \sin(\omega_{b}t) \implies \sin[(\omega_{a} + \omega_{b})t] \qquad \text{SFG}$ $\sin[(\omega_{a} \omega_{b})t] \qquad \text{DFG}$
- Due to symmetry and when $\chi^{(2)}$ dispersion can be neglected, it is better to use the tensor $d_{ijk} = \frac{1}{2}\chi^{(2)}_{ijk}$
- In lossless medium, the order of multiplication of the fields is not significant, therefore $d_{ijk}=d_{ikj}$. (only 18 independent parameters)

Second harmonic generation





Phase matching: SHG



At all z positions, energy is transferred into the SH wave. For a maximum efficiency, we require that all the newly generated components interfere constructively at the exit face. (the SH has a well defined phase relationship with respect to fundamental)

The efficiency of SHG is given by:



Methods for phase matching

 In most crystals, due to dispersion of phase velocity, the phase matching can not be fulfilled. Therefore, efficient SHG can not be realised with long crystals.





- Methods for achieving phase matching:
 - dielectric waveguide phase-matching (*difficult*)
 - non-colinear phase-matching
 - birefringent phase-matching
 - quasi phase-matching



Phase matching

Need to take care of the overlap of the modes of the FF and SH.

2. Non-collinear phase matching: (not suitable in waveguide geometry)



4. Quasi-phase matching

The ferroelectric domains are inverted at each L_c . Thus the phase relation between the pump and the second harmonic can be maintained.



Quasi-phase matching: advantages



- Use any material smallest size Λ=4μm
- Multiple order phase-matching



• Noncritical phase-matching propagation along the crystalline axes

Complex geometries

chirped or quasi-periodic poling for multi-wavelength or broadband conversion



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Four wave mixing (FWM)





 In isotropic materials, the lowers nonlinear term is the cubic χ⁽³⁾

It also exist in crystalline materials.

NL Polarization:

 $\mathbf{P}_{\mathrm{NL}} = \varepsilon_0 \chi^{(3)} \mathbf{\dot{E}} \mathbf{E} \mathbf{E} \mathbf{E}$

FWM: Description Four waves ω_1 , ω_2 , ω_3 , ω_4 , linearly polarised along x $\mathbf{E} = \frac{1}{2}\hat{x}\sum_{j=1}^{4} E_j \exp[i(k_j z - \omega_j t)] + \text{c.c. where } \mathbf{k}_j = \mathbf{n}_j \omega_j / \mathbf{c} \text{ is the wavevector}$ $\mathbf{P}_{\rm NL} = \frac{1}{2} \hat{x} \sum_{j=1}^{4} P_j \exp[i(k_j z - \omega_j t)] + \text{c.c.}$ **CPM** $P_{4} = \frac{3\varepsilon_{0}}{4} \chi_{xxxx}^{(3)} [E_{4}|^{2}E_{4}] + 2(|E_{1}|^{2} + |E_{2}|^{2} + |E_{3}|^{2})E_{4}$ + $2E_{1}E_{2}E_{3}\exp(i\theta_{+}) + 2E_{1}E_{2}E_{3}^{*}\exp(i\theta_{-}) + \cdots]$ FWM $\theta_{+} = (k_1 + k_2 + k_3 - k_4)z - (\omega_1 + \omega_2 + \omega_3 - \omega_4)t,$

$$\theta_{-} = (k_1 + k_2 - k_3 - k_4)z - (\omega_1 + \omega_2 - \omega_3 - \omega_4)t.$$
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FWM- Phase matching

- Linear PM: $\Delta k = k_3 + k_4 k_1 k_2$
- However, due to the influence of SPM and CPM, Net phase mismatched: $\kappa = \Delta k + \gamma (P_1 + P_2)$ $\gamma_j = n'_2 \omega_j / (cA_{\rm eff}) \approx \gamma_j$
- Phase matching depends on power.
- For the degenerate FWM: $\kappa = \Delta k + 2\gamma P_0$
- Coherence length:

$$L_{\rm coh} = 2\pi/|\kappa|$$

FWM applications

Supercontinuum generation: Due to the combined processes of cascaded FWM, SRS, soliton formation, SPM, CPM, and dispersion







Plasmonics

Introduction to plasmonics



Dispersion relation for TM waves



1. Large wavevector, short λ : Optical frequencies, X-ray wavelengths. Sub-wavelength resolution!

2. The maximum propagation and maximum confinement lie on opposite ends of Dispersion Curve

Example: $\lambda_0 = 450nm$ $L \approx 16 \ \mu \text{m}$ and $z \approx 180 \ \text{nm.}$ $z \approx 20 \ \text{nm}$ air-silver interface $\lambda_0 = 1.5 \ \mu m$ $L \approx 1080 \ \mu \text{m}$ and $z \approx 2.6 \ \mu \text{m.}$ metal

SPP waveguides

- SPPs at either surface couple giving symmetric and anti-symmetric modes
- Symmetric mode pushes light out of metal: lower loss
- Anti-symmetric mode puts light in and close to metal, higher loss
- Metal strips: Attenuation falls super-fast with *t*, so does confinement



Plasmonic waveguides

To counteract the losses while keeping strong confinement (100nm), new designs are explored:





Slot-waveguides



Periodic photonic structures and photonic crystals

Braggs vs. Resonant Reflection





W.H. Bragg

W.L. Bragg

(Nobel Prize in Physics 1915)

W ILLIAM LAWRENCE BRAGG

The diffraction of X-rays by crystals

Nobel Lecture, September 6, 1922*

$$2d\sin\theta=n\lambda$$





1a) Normal Plane NaCl Crystal.



Manipulation of light in direction of periodicity: dispersion, diffraction, emission⁶¹

Bragg grating in photonics



Optical Fiber	n ₀
A 11	<i>n</i> ₁
Fiber Core	<i>n</i> ,
Core Refractive Index " Core Refractive Index " Spectral Response P P P P P $Tran$	$\frac{1}{\frac{1}{2}} \frac{1}{\frac{1}{2}} $
The refle periodic la a forr photor	ctions from the ayers results in nation of a hic bandgap



Bragg Grating



Bragg grating in a waveguide written in glass by direct laser writing MQ University (2008)

Waveguide arrays



Bragg condition Period~5µm $\lambda_{\rm B} = \Lambda \sin \alpha_{\rm m} / {\rm m} \Delta {\rm n} \sim 0.5$

In PCFs the Bragg reflections are realised for small angles and light propagates along z axis freely. The reflection is negligible.





Bragg reflection gap, where waves are reflected.

A defect, where waves with certain propagation constant can propagate, but they are reflected by the surrounded by two Bragg reflectors.



Waveguide Array Diffraction



Fibres and crystals

Larger contrast is achieved in photonic crystal fibres (PCF) or photonic crystals (PC).



Phrame-by-Phrame Photonics

