



# Neutrino Phenomenology

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**Part 2**



# Looking to the Future

## Open Questions

- Is the physics behind the masses of neutrinos different from that behind the masses of all other known particles?
- Are neutrinos their own antiparticles?

• Is the  $(\text{mass})^2$  spectrum like  $\begin{array}{c} \text{=} \\ \text{=} \end{array}$  or  $\begin{array}{c} \text{=} \\ \text{=} \end{array}$  ?

• What is the absolute scale of neutrino mass?

- Do neutrino interactions violate CP?

Is  $P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta) \neq P(\nu_\alpha \rightarrow \nu_\beta)$  ?

- Is CP violation involving neutrinos the key to understanding the matter – antimatter asymmetry of the universe?
- Are we descended from heavy neutrinos?

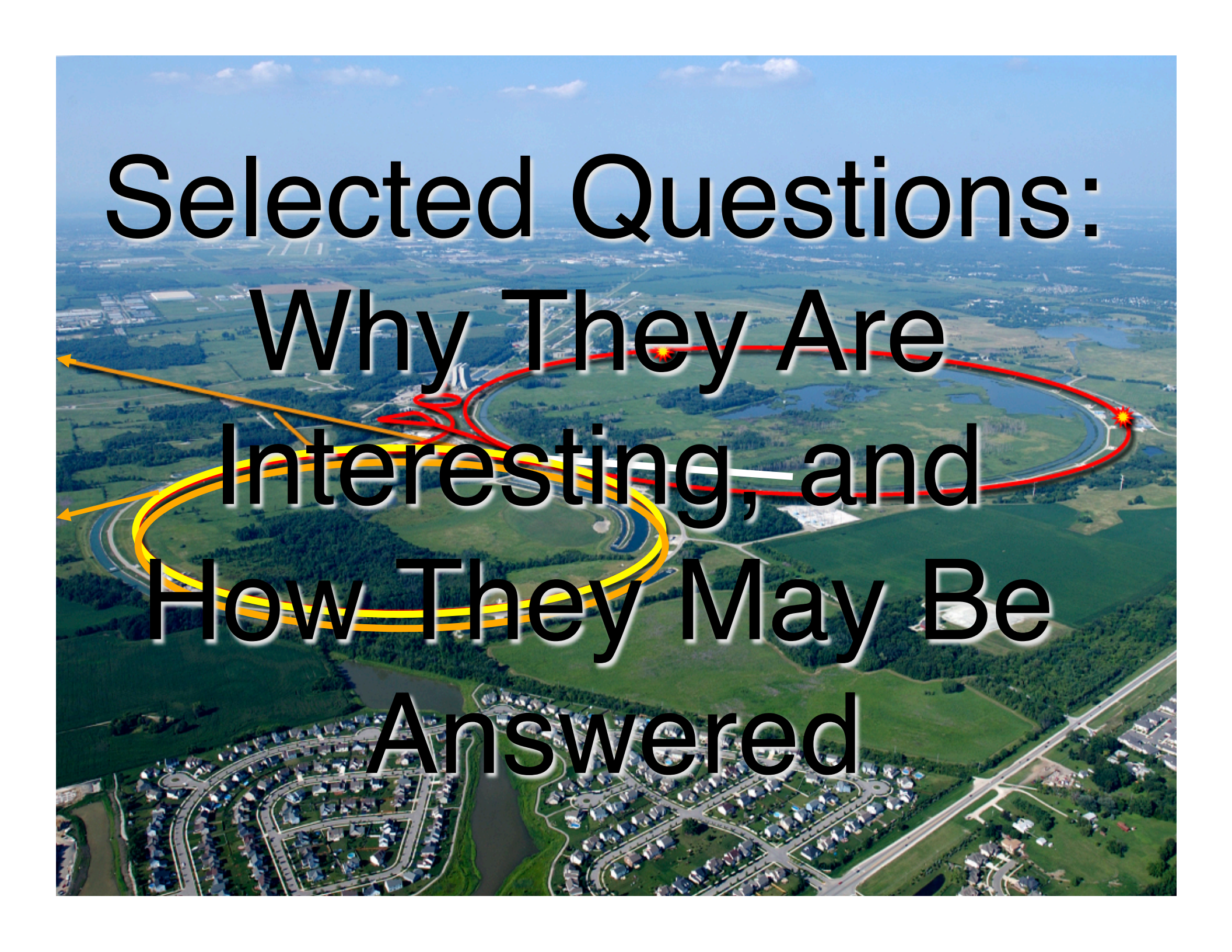
- What can neutrinos and the universe tell us about one another?

- Are there *more* than 3 mass eigenstates?
  - Are there non-weakly-interacting “sterile” neutrinos?

- Do neutrinos have Non-Standard-Model interactions?

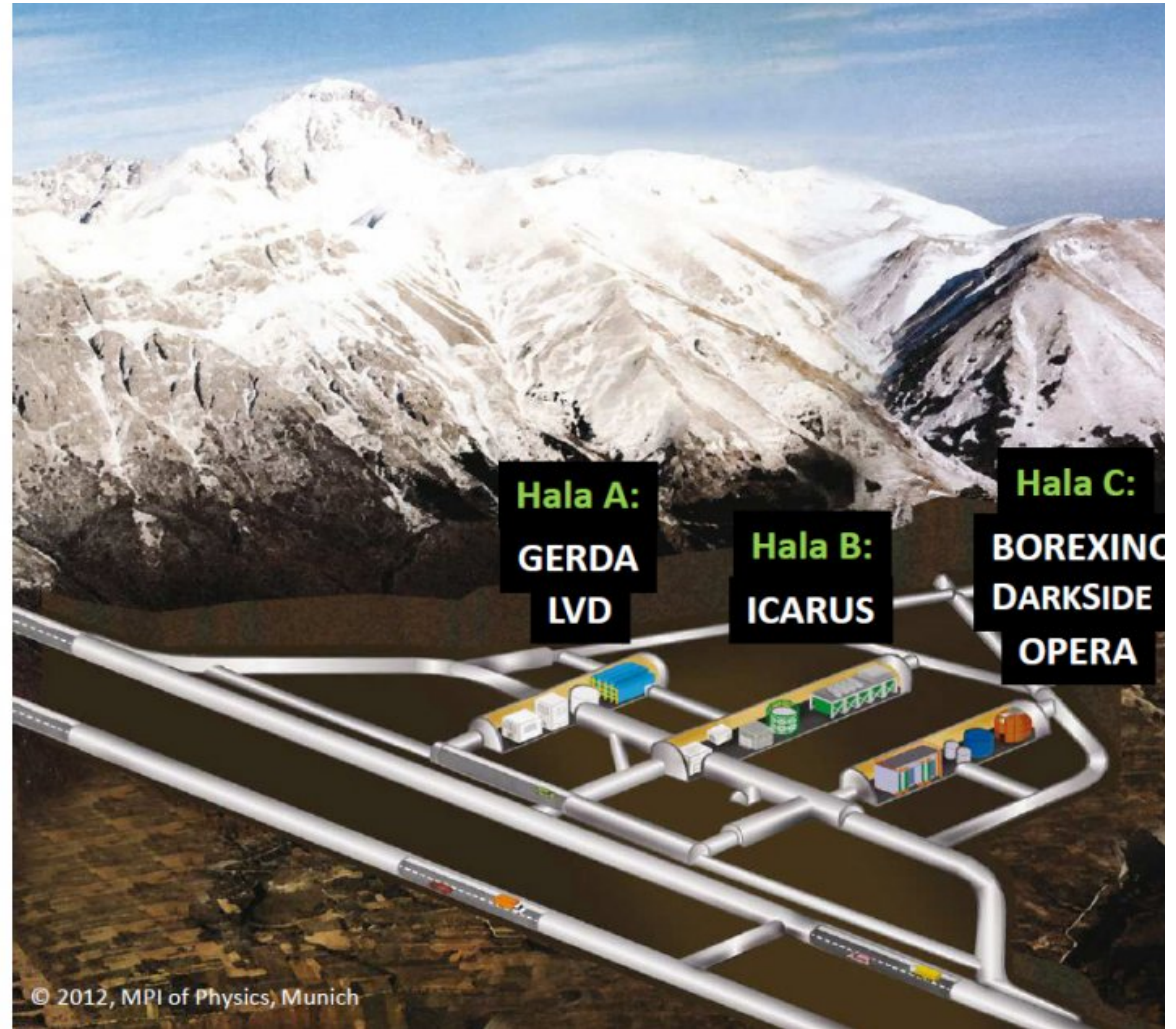
- Do neutrinos break the rules?
  - Violation of Lorentz invariance?
  - Violation of CPT invariance?
  - Departures from quantum mechanics?



An aerial photograph of a residential development featuring a winding road and a lake. The road is highlighted with a thick yellow line, and a red line with a starburst at its end follows a path around the lake. The text is overlaid on the image in a large, bold, black font with a white outline.

**Selected Questions:  
Why They Are  
Interesting, and  
How They May Be  
Answered**





# Is the Origin of Neutrino Mass Different?



*Perhaps*, neutrino masses have the same source as the quark and charged lepton masses:

## The Standard Model (SM) Brout – Englert – Higgs mechanism for fermion masses.

Coupling constant  $\swarrow$  Must add to the SM  $\swarrow$

$$\mathcal{L}_{SM} = y \bar{H}^0 \bar{\nu}_L \nu_R \Rightarrow y \underbrace{\langle \bar{H}^0 \rangle_0}_{\text{Vacuum expectation value}} \bar{\nu}_L \nu_R \equiv m_\nu \bar{\nu}_L \nu_R \left\{ \begin{array}{l} \text{Dirac} \\ \text{mass} \end{array} \right.$$

SM Higgs field  $\nearrow$   $\nearrow$

$$\langle \bar{H}^0 \rangle_0 \equiv v = 174 \text{ GeV}, \text{ so } y = \frac{m_\nu}{v} \sim \frac{0.1 \text{ eV}}{174 \text{ GeV}} \sim 10^{-12}$$

**A coupling constant this much smaller than unity leaves many theorists skeptical.**

## — An alternative possibility —

### Majorana masses and the See-Saw picture

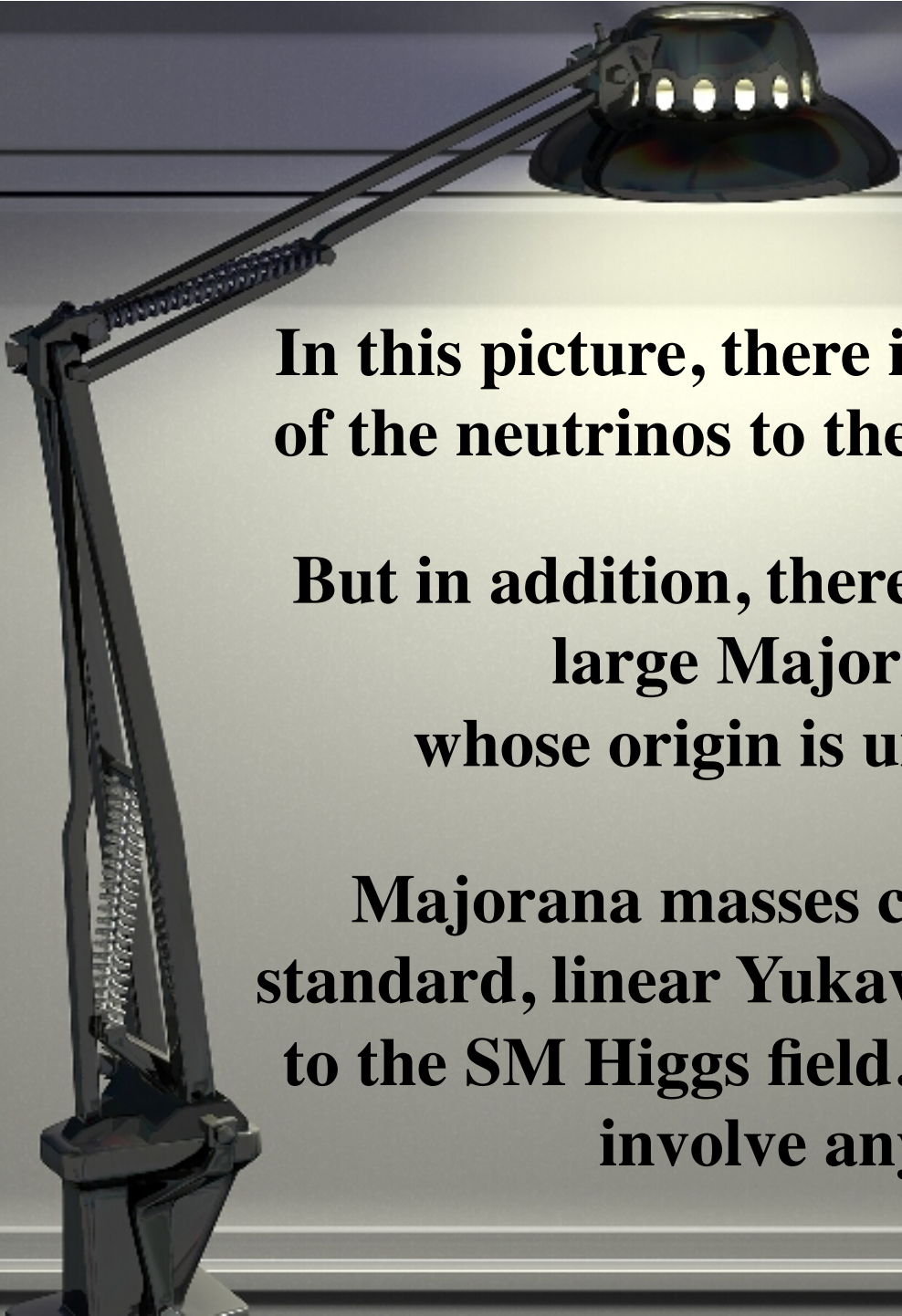
The See-Saw model is the most popular theory of why neutrinos are so light.

The straightforward (type-I) See-Saw model adds to the SM 3 heavy neutrinos  $N_i$ , with —

The diagram illustrates the Lagrangian for the type-I See-Saw model. It features the following components and annotations:

- Mass Term:**  $-\frac{1}{2} \sum_i m_{N_i} \overline{N_{iR}^c} N_{iR}$ . An annotation "Large Majorana masses" with a bracket points to this term.
- Yukawa Coupling Term:**  $+ \sum_{\substack{\alpha=e,\mu,\tau \\ i=1,2,3}} y_{\alpha i} [\overline{\nu}_{\alpha L} \overline{H^0} - \overline{\ell}_{\alpha L} H^-] N_{iR} + h.c.$ . An annotation "Yukawa coupling matrix" with an arrow points to the  $y_{\alpha i}$  term.
- Fields:**
  - $\overline{\nu}_{\alpha L}$  and  $\overline{\ell}_{\alpha L}$  are grouped under the annotation "SM lepton doublet".
  - $\overline{H^0}$  and  $H^-$  are grouped under the annotation "SM Higgs doublet".
  - $\overline{N_{iR}^c}$  is annotated as "Charge conjugate".





**In this picture, there is still a coupling  
of the neutrinos to the SM Higgs field.**

**But in addition, there is a new ingredient:  
large Majorana masses,  
whose origin is unknown physics.**

**Majorana masses cannot come from the  
standard, linear Yukawa coupling of neutrinos  
to the SM Higgs field. These masses need not  
involve any scalar field.**

Majorana mass terms have the effect —



Because they mix neutrino and antineutrino, they do not conserve  $L \equiv \#(\text{Leptons}) - \#(\text{Antileptons})$ .

There is then no conserved quantum number to distinguish antineutrinos from neutrinos.

**Consequence: The neutrino mass eigenstates  $\nu_1, \nu_2, \nu_3$  are their own antiparticles.**

$$\bar{\nu}_i = \nu_i \quad (\text{for given helicity})$$

*Majorana neutrinos*



# The Terminology

Suppose  $\nu_i$  is a *mass eigenstate*,  
with given helicity  $h$ .

- $\bar{\nu}_i(\mathbf{h}) = \nu_i(\mathbf{h})$       *Majorana neutrino*
- or*
- $\bar{\nu}_i(\mathbf{h}) \neq \nu_i(\mathbf{h})$       *Dirac neutrino*

If neutrinos have *Majorana masses*, then the mass eigenstates are *Majorana neutrinos*.

# Neutrinos are *Special*

A Majorana mass for any fermion  $f$  causes  $f \longleftrightarrow \bar{f}$ .

Therefore, *quark* and *charged-lepton* Majorana masses are forbidden by electric charge conservation.

*Among the fermionic constituents of matter, only the neutrinos can have Majorana masses.*

*Neutrino masses can have a different origin than the masses of all other particles.*



# Lepton Number $L$

The lepton number  $L$  (not lepton *flavor*) is defined by —

$$L(\nu) = L(\ell^-) = -L(\bar{\nu}) = -L(\ell^+) = 1,$$

or  $L \equiv \#(\text{Leptons}) - \#(\text{Antileptons})$ .

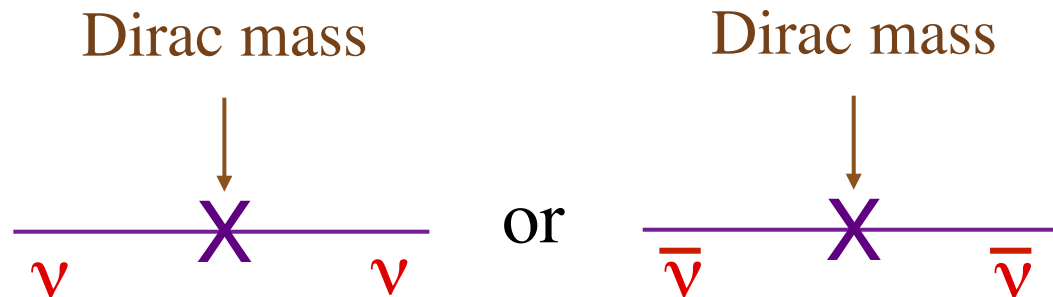
The SM weak interactions conserve  $L$ :

$$\mathcal{L}_{SM} = -\frac{g}{\sqrt{2}} \sum_{\substack{\alpha=e,\mu,\tau \\ i=1,2,3}} \left( \bar{\ell}_{L\alpha} \gamma^\lambda U_{\alpha i} \nu_{Li} W_\lambda^- + \bar{\nu}_{Li} \gamma^\lambda U_{\alpha i}^* \ell_{L\alpha} W_\lambda^+ \right)$$

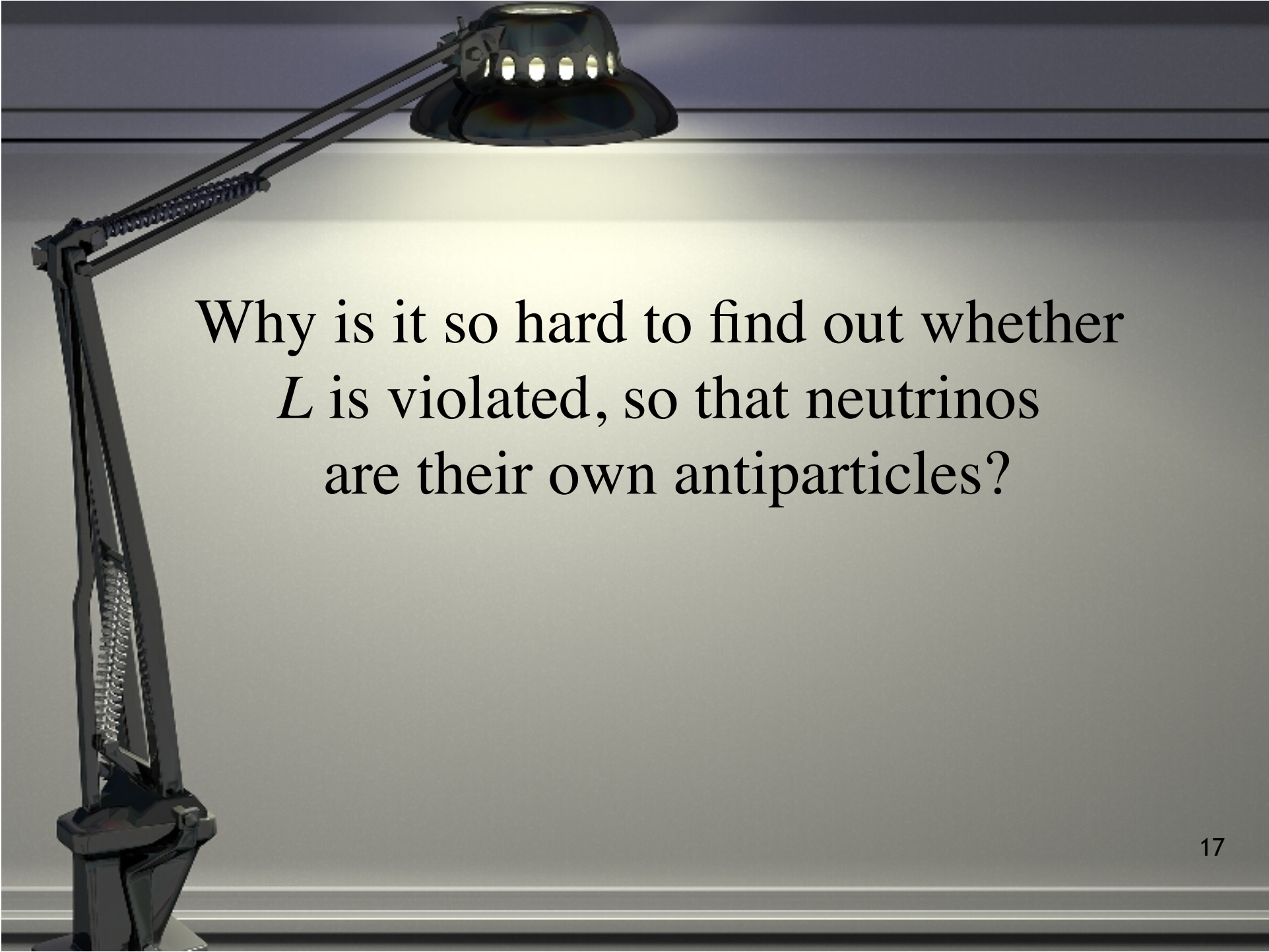
$\nu \rightarrow \ell$                        $\bar{\nu} \rightarrow \bar{\ell}$

So do *Dirac* masses.

A *Dirac* mass  
has the effect:



*If there are no visibly large non-SM interactions that violate lepton number  $L$ , any violation of  $L$  that we might discover would have to come from Majorana neutrino masses.*



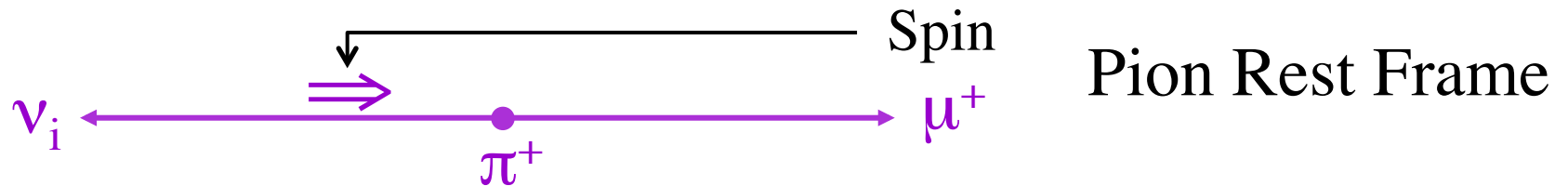
Why is it so hard to find out whether  
 $L$  is violated, so that neutrinos  
are their own antiparticles?

We assume neutrino *interactions* are correctly described by the SM. Then the *interactions* conserve  $L$  ( $\nu \rightarrow \ell^-$  ;  $\bar{\nu} \rightarrow \ell^+$ ).

## An Idea that Does Not Work

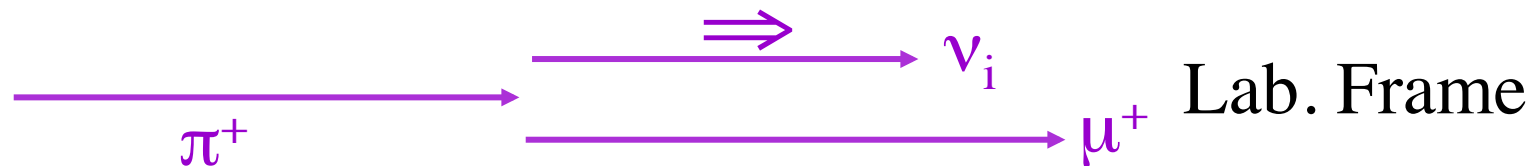
[and illustrates why most ideas do not work]

Produce a  $\nu_i$  via—



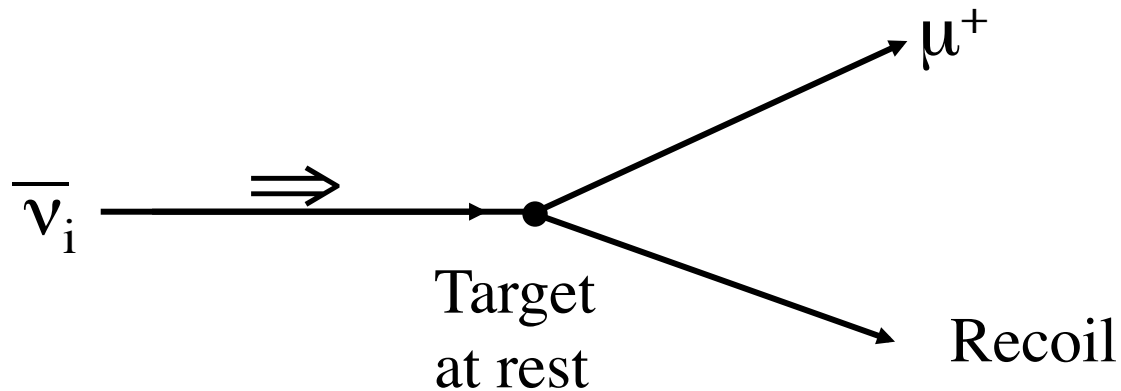
Give the neutrino a Boost:

$$\beta_{\pi}(\text{Lab}) > \beta_{\nu}(\pi \text{ Rest Frame})$$





The SM weak interaction causes —



$$\mathbf{v}_i = \bar{\mathbf{v}}_i \text{ means that } \mathbf{v}_i(\mathbf{h}) = \bar{\mathbf{v}}_i(\mathbf{h}).$$

↑ helicity

$$\text{If } \mathbf{v}_i \xrightarrow{\Rightarrow} = \bar{\mathbf{v}}_i \xrightarrow{\Rightarrow},$$

our  $\mathbf{v}_i \xrightarrow{\Rightarrow}$  will make  $\mu^+$  too.

# Minor Technical Difficulties

$$\beta_{\pi}(\text{Lab}) > \beta_{\nu}(\pi \text{ Rest Frame})$$

$$\Rightarrow \frac{E_{\pi}(\text{Lab})}{m_{\pi}} > \frac{E_{\nu}(\pi \text{ Rest Frame})}{m_{\nu_i}}$$


$$\Rightarrow E_{\pi}(\text{Lab}) \gtrsim 10^5 \text{ TeV if } m_{\nu_i} \sim 0.05 \text{ eV}$$

Fraction of all  $\pi$  – decay  $\nu_i$  that get helicity flipped

$$\approx \left( \frac{m_{\nu_i}}{E_{\nu}(\pi \text{ Rest Frame})} \right)^2 \sim 10^{-18} \text{ if } m_{\nu_i} \sim 0.05 \text{ eV}$$

Since  $L$ -violation comes only from Majorana neutrino *masses*, any attempt to observe it will be at the mercy of the tiny neutrino masses.

*(B.K. & Stodolsky)*

A desk lamp with a dark, adjustable arm and a circular shade with four small lights. The lamp is positioned on the left side of the frame, casting a warm, yellowish glow onto a light-colored wall. The text "So what approach *does* work?" is centered on the wall, with the word "does" in red. The background is a simple, dark grey wall with a horizontal line near the top.

So what approach *does* work?

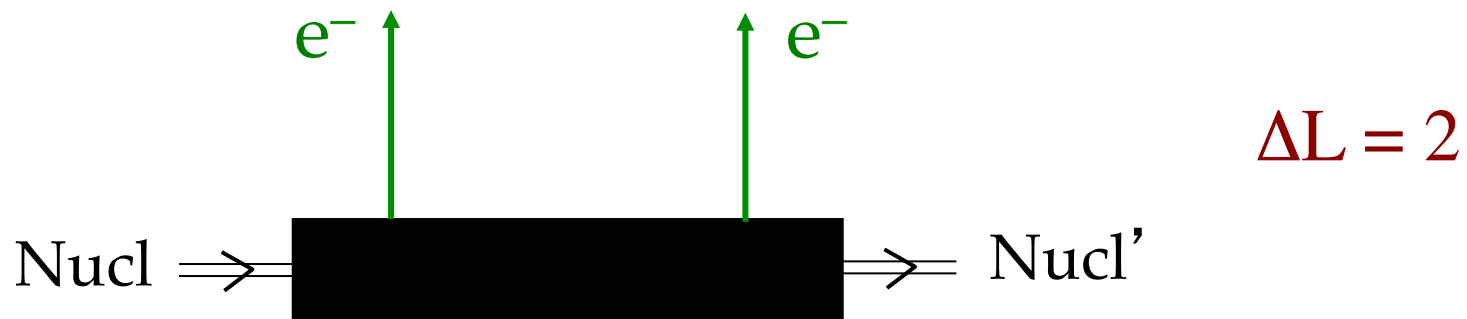
➤ **Presence of Majorana masses**

➤ **Non-conservation of  $L$**

➤ **Self-conjugacy of neutrinos ( $\bar{\nu} = \nu$ )**

— are all signature predictions of the See-Saw picture.

All three predictions would be confirmed by the observation of **neutrinoless double beta decay ( $0\nu\beta\beta$ )**

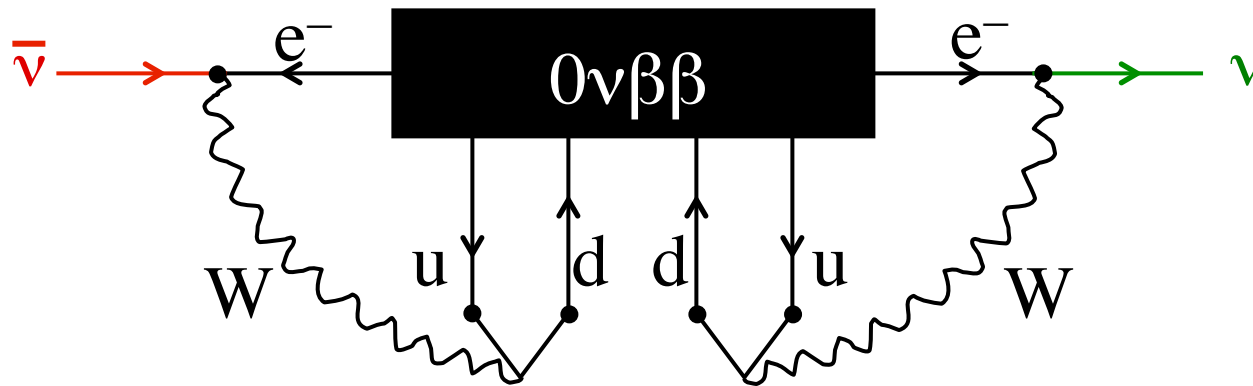


does not conserve  $L$ .



Whatever diagrams cause  $0\nu\beta\beta$ , its observation would imply the existence of a Majorana mass term:

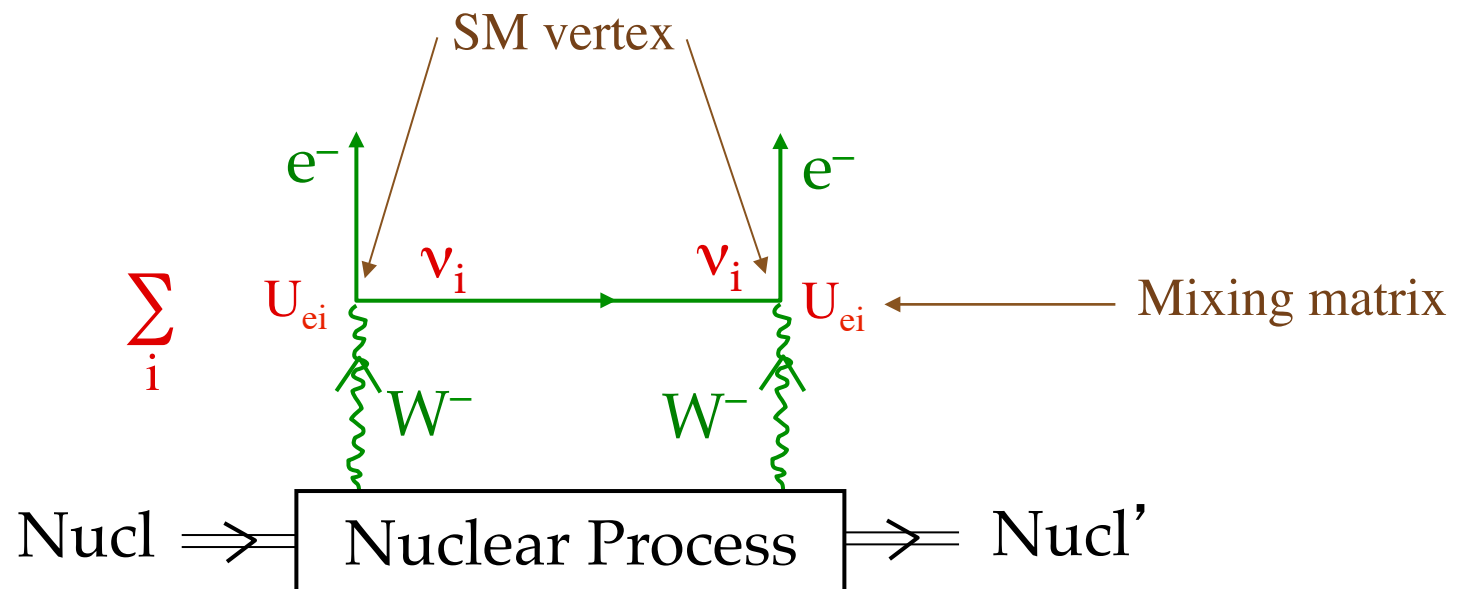
(Schechter and Valle)



$\bar{\nu} \rightarrow \nu$  : A (tiny) Majorana mass term

$$\therefore 0\nu\beta\beta \longrightarrow \bar{\nu}_i = \nu_i$$

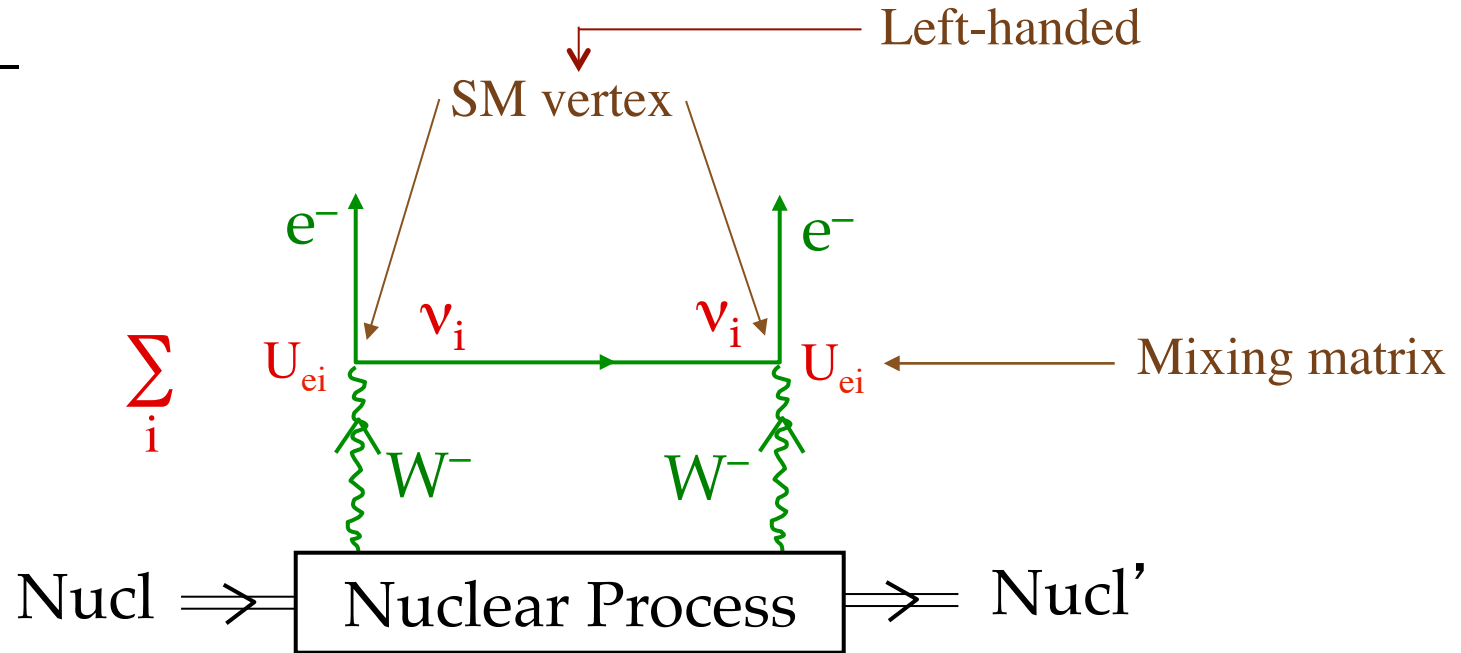
We anticipate that  $0\nu\beta\beta$  is dominated by a diagram with light neutrino exchange and Standard Model vertices:



“The Standard Mechanism”

# Amplitude for the Standard Mechanism

In —



the  $\nu_i$  is emitted [RH +  $O\{m_i/E\}$ LH].

Thus, Amp [ $\nu_i$  contribution]  $\propto m_i$

$$\text{Amp}[0\nu\beta\beta] \propto \left| \sum_i m_i U_{ei}^2 \right| \equiv m_{\beta\beta}$$

# Origin Of the Proportionality To Mass



— manifestly does not conserve L:  $\Delta L = 2$ .

But the Standard Model (SM) weak interactions  
*do* conserve L.

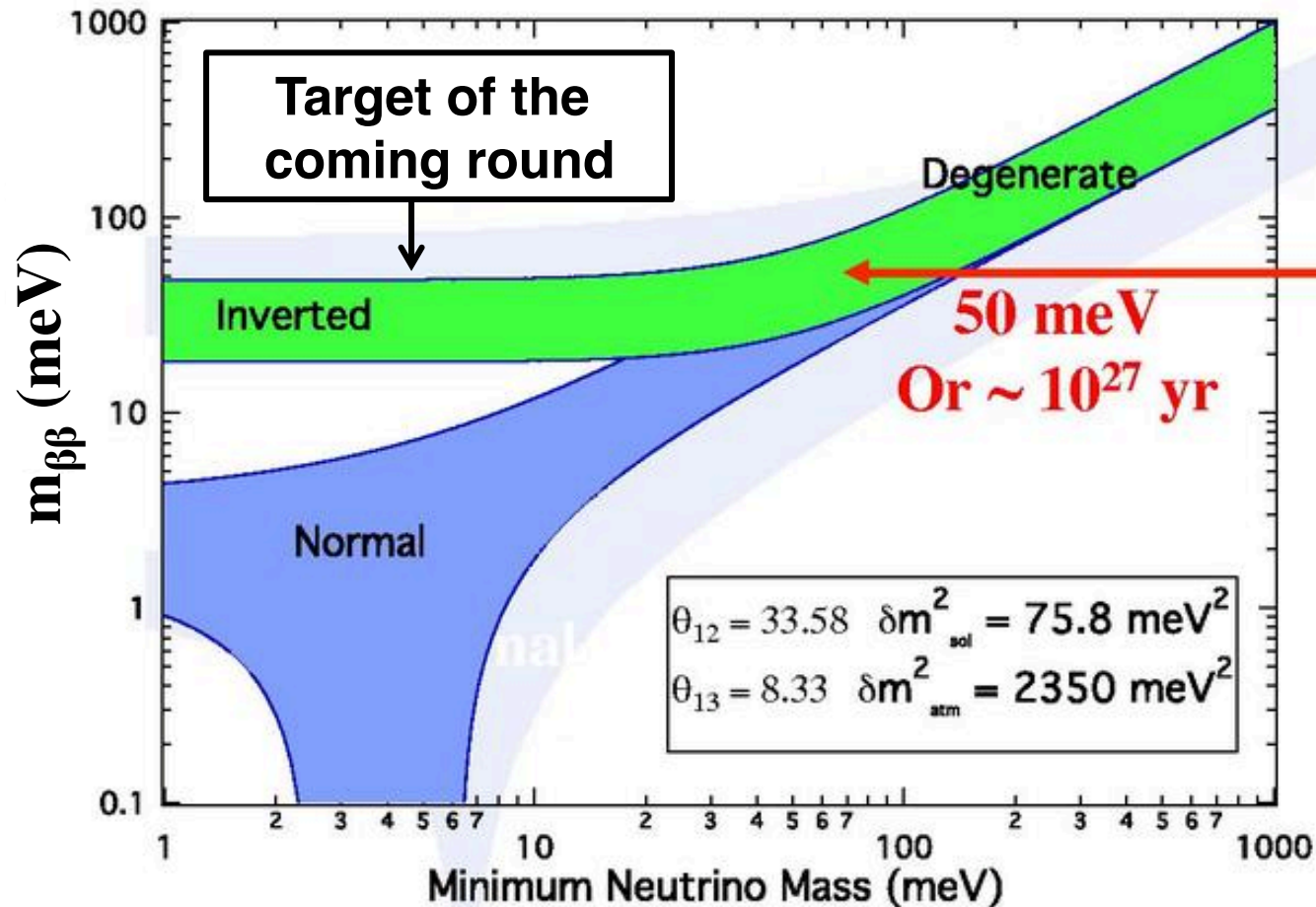
Thus, the  $\Delta L = 2$  of  $0\nu\beta\beta$  can only come from  
*Majorana neutrino masses*.

*In the standard mechanism, the amplitude for  
 $0\nu\beta\beta$  must vanish when the neutrino masses do.*



# $\beta\beta$ Sensitivity

(mixing parameters from arXiv:1106.6028)



Even a null result will constrain the possible mass spectrum possibilities!

A  $m_{\beta\beta}$  limit of  $\sim 15$  meV would disfavor Majorana neutrinos in an inverted hierarchy.

(From Steve Elliott)

Present bound:  $T_{1/2}^{0\nu\beta\beta}({}^{76}\text{Ge}) > 3.0 \times 10^{25} \text{ yr (90\% CL) (GERDA +)}$

Observation of  $0\nu\beta\beta$  at any non-zero level would imply —

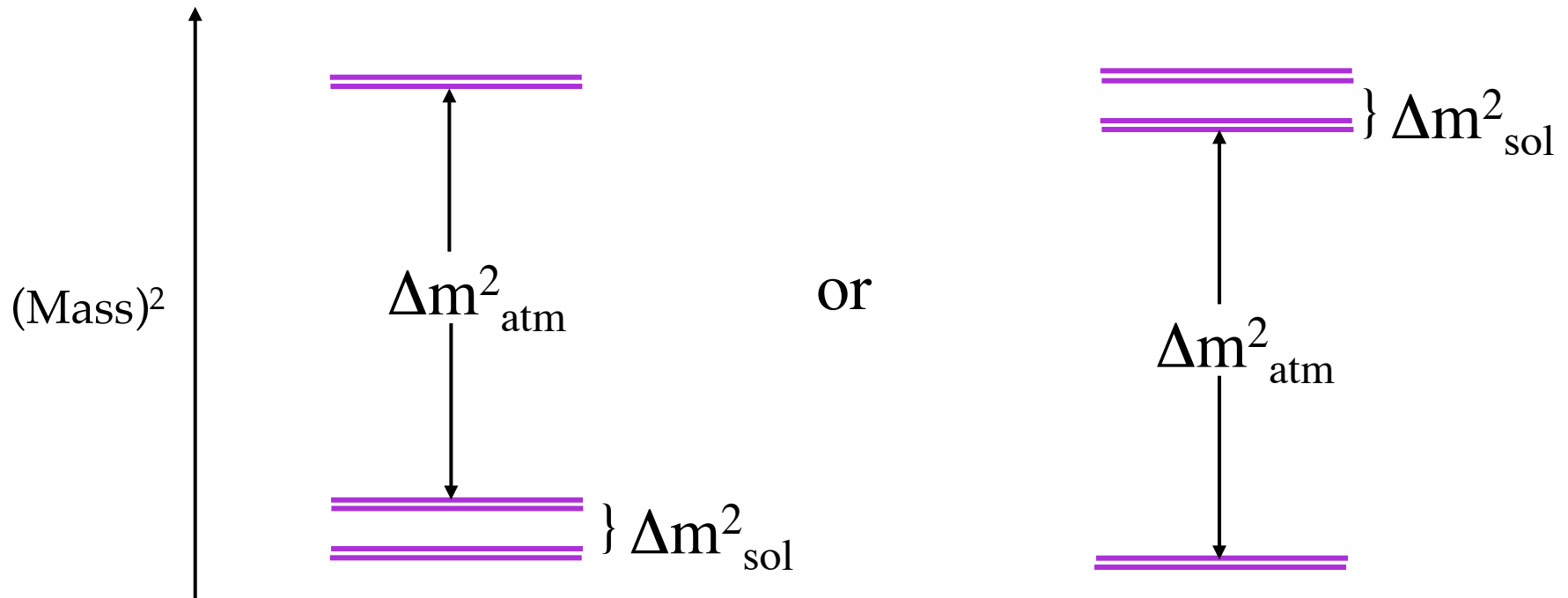
- Lepton number  $L$  is not conserved ( $\Delta L = 2$ )
- Neutrinos have Majorana masses
- Neutrinos are Majorana particles (self-conjugate)

**But**



Knowing the mass spectrum is ≡, and establishing a  $T_{1/2}^{0\nu\beta\beta}$  bound larger than what corresponds to  $m_{\beta\beta} = 15$  meV, would **not prove** that neutrinos are Dirac particles.

# One Loophole: A Doubled Spectrum

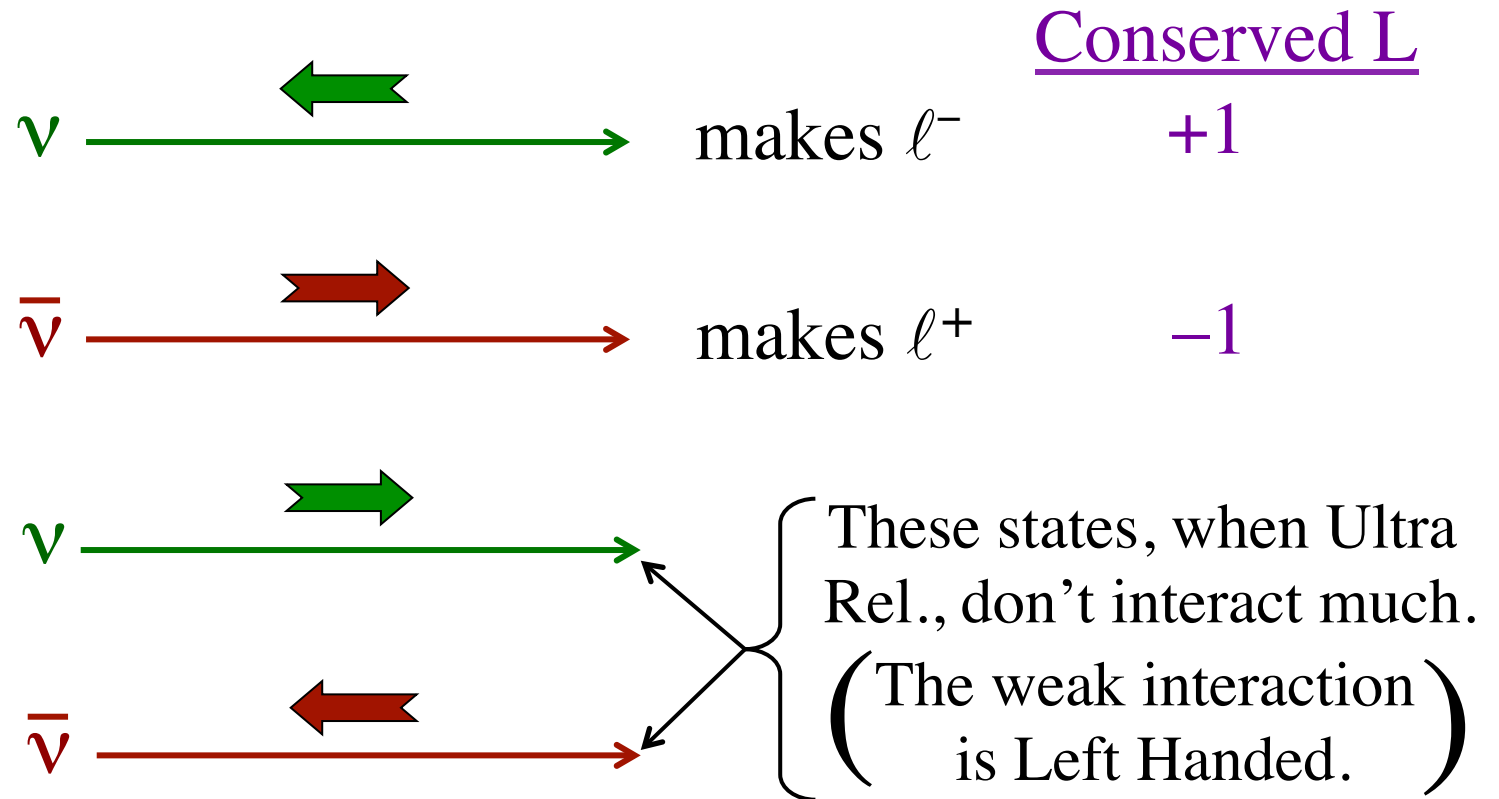


The small spacings can be too small to have been detected in neutrino oscillation.

Each pair is split by a tiny Majorana mass, and its contributions to  $\sum m_i U_{ei}^2$  almost cancel.

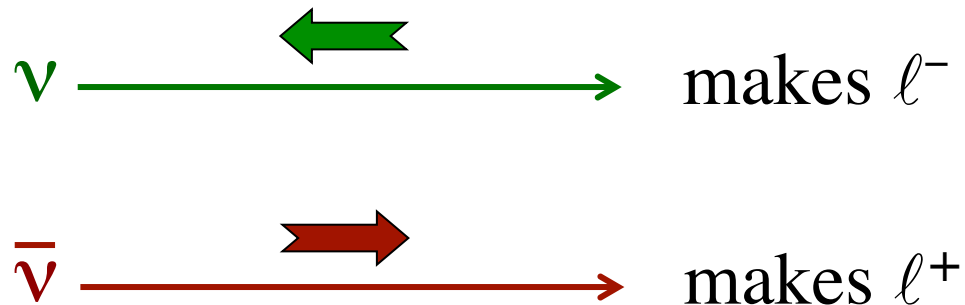
# SM Interactions Of A Dirac Neutrino

We have 4 mass-degenerate states:



# SM Interactions Of A Majorana Neutrino

We have only 2 mass-degenerate states:



The *Left-Handed* weak interactions violate *parity*.

(They can tell *Left* from *Right*.)

An incoming left-handed neutral lepton makes  $\ell^-$ .

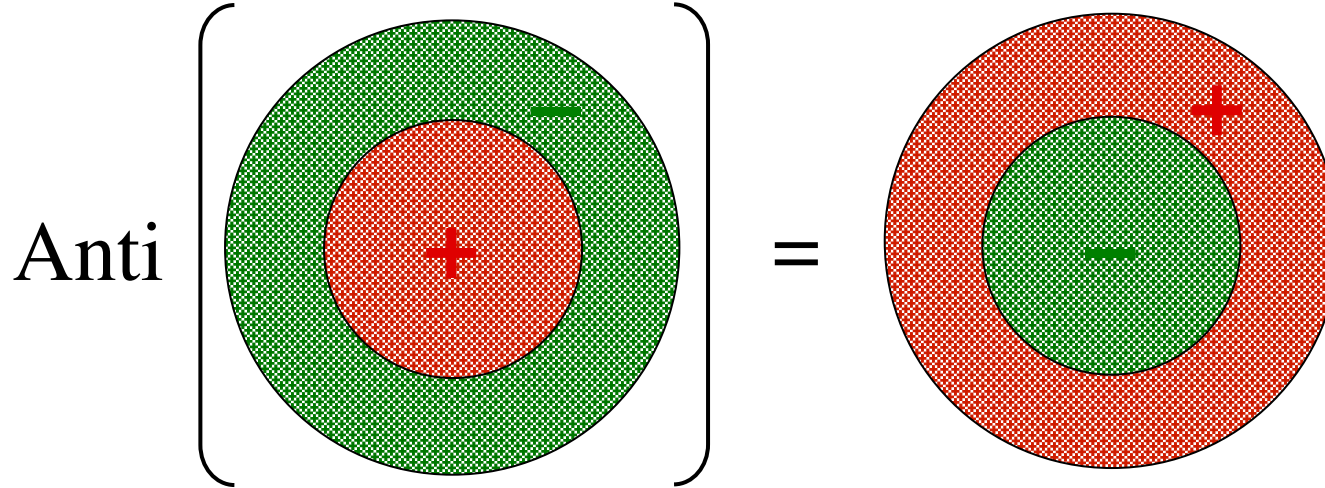
An incoming right-handed neutral lepton makes  $\ell^+$ .



# Some Electromagnetic Properties of Neutrinos

# Can a Majorana Neutrino Have an Electric Charge *Distribution*?

*No!*

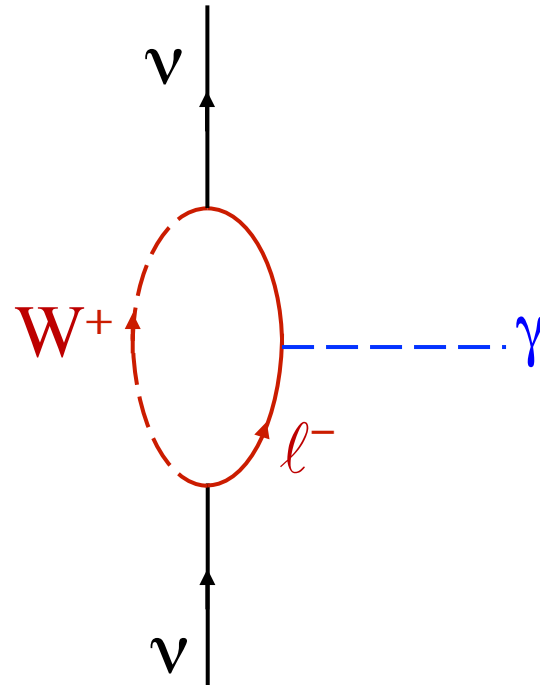


But for a Majorana neutrino —

$$\text{Anti } (\nu) = \nu$$

# Dipole Moments

In the Standard Model,  
loop diagrams like —



produce, for a *Dirac* neutrino of mass  $m_\nu$ ,  
a magnetic dipole moment —

$$\mu_\nu = 3 \times 10^{-19} (m_\nu/1\text{eV}) \mu_B$$

(Marciano, Sanda; Lee, Shrock; Fujikawa, Shrock)

A *Majorana* neutrino cannot have a magnetic or electric dipole moment:

$$\vec{\mu} \left[ \begin{array}{c} \uparrow \\ e^+ \end{array} \right] = - \vec{\mu} \left[ \begin{array}{c} \uparrow \\ e^- \end{array} \right]$$

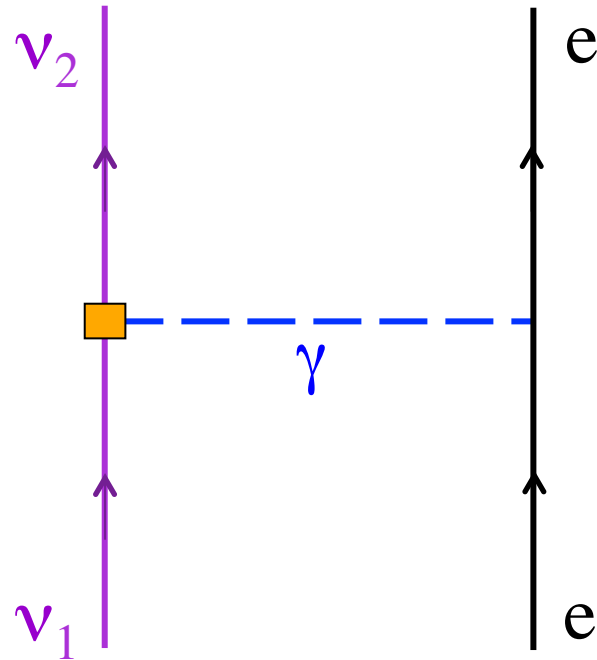
But for a Majorana neutrino,

$$\overline{\nu}_i = \nu_i$$

Therefore,

$$\vec{\mu} \left[ \overline{\nu}_i \right] = \vec{\mu} \left[ \nu_i \right] = 0$$

Both *Dirac* and *Majorana* neutrinos can have *transition* dipole moments, leading to —



One can look for the dipole moments this way.

To be visible, they would have to *vastly* exceed Standard Model predictions.