

# Accelerator-based neutrinos

## Lecture 1

Kendall Mahn

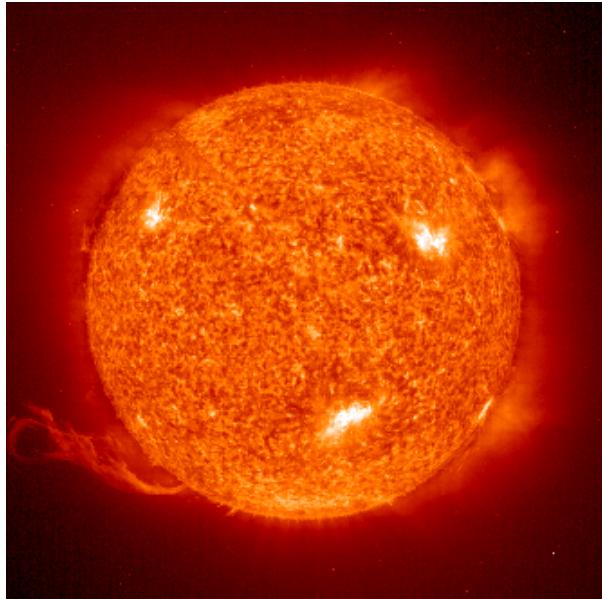
**MICHIGAN STATE**  

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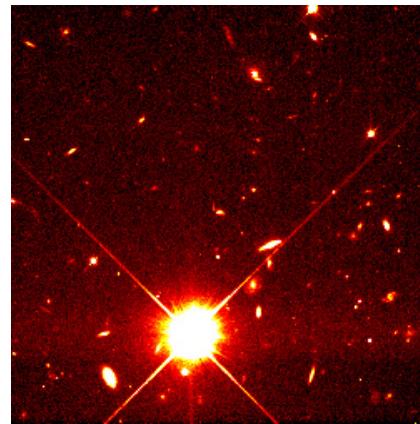
**U N I V E R S I T Y**

# Neutrino sources

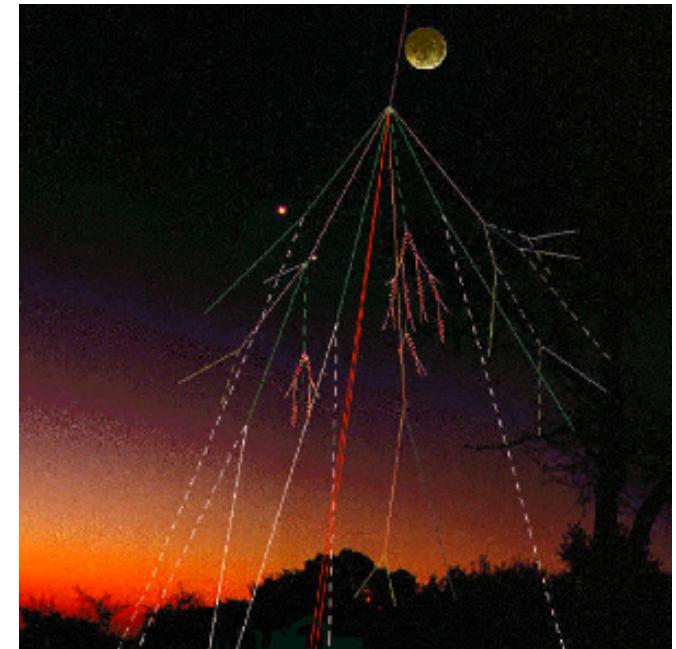
The Sun (fusion)



Galactic and extra-galactic sources (e.g SN)



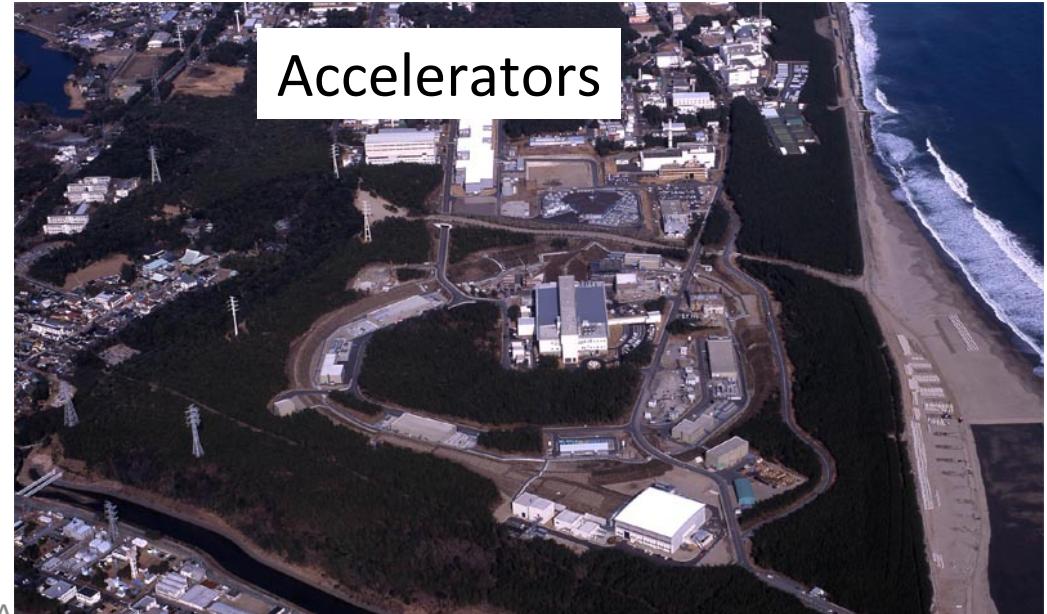
Our atmosphere



Radioactive decays

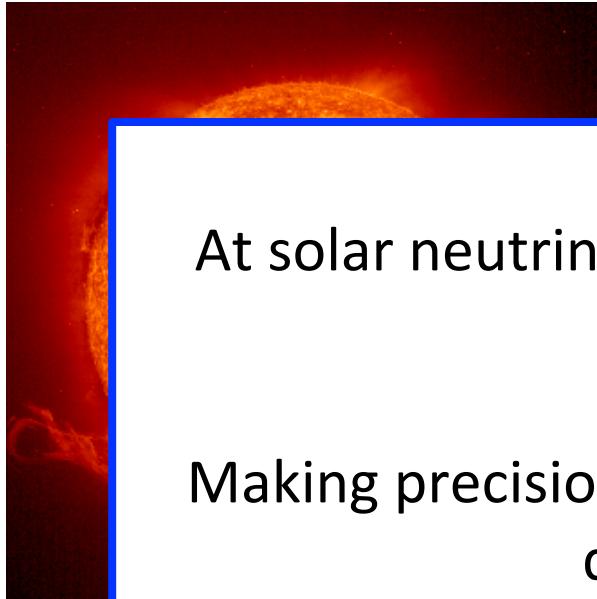


Reactors



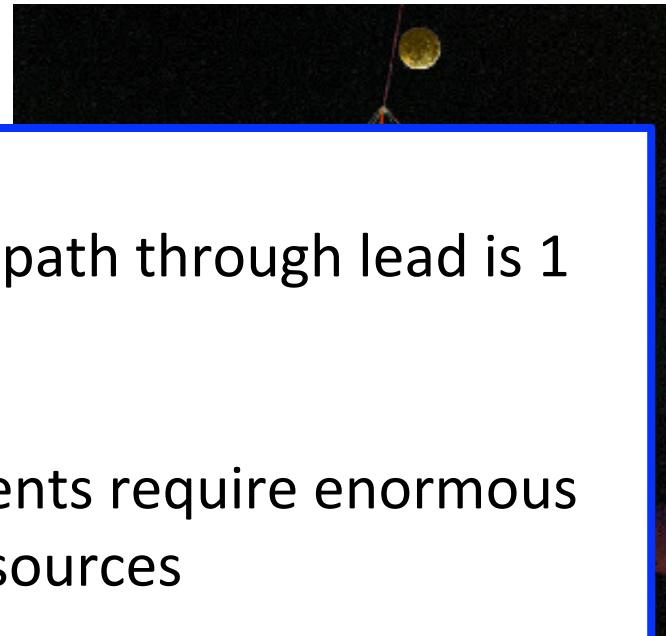
# Neutrino sources

The Sun (fusion)



Galactic and extra-galactic sources ( $\nu + \bar{\nu}$  SNe)

Our atmosphere



At solar neutrino energies, mean free path through lead is 1 light year

Making precision neutrino measurements require enormous detectors and intense sources

Radio decay

*Accelerator-driven neutrino beams are one such method*

Reactors



# Physics of accelerator based ν experiments

## Neutrino oscillation

- Measurements of neutrino mixing parameters
- Nonstandard neutrino mixing

## Electroweak tests

- Lorentz violation
- Measurement of  $\sin^2\theta_W$
- Discovery of  $\nu_\mu, \nu_\tau$

## Neutrino interactions

- Charged current interactions
- Neutral current interactions
- Deep inelastic scattering
- Structure functions

## Detector R&D

# Physics of accelerator based ν experiments

## Neutrino oscillation

- Measurements of neutrino mixing parameters
- Nonstandard neutrino mixing

K2K, MINOS, T2K, NOvA  
OPERA (& ICARUS)  
LSND, Karmen,  
MiniBooNE, MicroBooNE  
CHORUS, NOMAD

## Electroweak tests

- Lorentz violation
- Measurement of  $\sin^2\theta_W$
- Discovery of  $\nu_\mu$ ,  $\nu_\tau$

CCFR, CDHS, NuTeV  
Gargamelle, DONUT  
CHARM

## Neutrino interactions

- Charged current interactions
- Neutral current interactions
- Deep inelastic scattering
- Structure functions

ANL, BNL bubble chamber  
experiments  
SciBooNE, MINERvA  
COHERENT, CONNIE

## Detector R&D

*Incomplete list of experiments; later lectures will cover future and proposed experiments  
Most experiments cover multiple physics topics*

ArgoNeuT  
PEANUT

# Physics of accelerator based ν experiments

## Neutrino oscillation

- Measurements of neutrino mixing parameters
- Nonstandard neutrino mixing

K2K, **MINOS**, T2K, NOvA

OPERA (& ICARUS)

**LSND**, Karmen,

**MiniBooNE**, MicroBooNE

CHORUS, NOMAD

**Focus for this set of talks**

## Electroweak tests

- Lorentz violation
- Measurement of  $\sin^2\theta_W$
- Discovery of  $\nu_\mu$ ,  $\nu_\tau$

CCFR, CDHS, NuTeV

Gargamelle, DONUT

CHARM

## Neutrino interactions

- Charged current interactions
- Neutral current interactions
- Deep inelastic scattering
- Structure functions

## Neutrino cross sections covered by K. McFarland

ANL, BNL bubble chamber  
experiments

SciBooNE, MINERvA

COHERENT, CONNIE

## Detector R&D

## Neutrino detection techniques covered by K. Scholberg

ArgoNeuT

PEANUT

# Open questions in neutrino mixing

Flavor eigenstates  
(coupling to the W)

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Mass eigenstates  
(definite mass)

Unitary PMNS mixing matrix

Three observed flavors of neutrinos ( $\nu_e$ ,  $\nu_\mu$ ,  $\nu_\tau$ ) means U is represented by three independent mixing angles ( $\theta_{12}$ ,  $\theta_{23}$ ,  $\theta_{13}$ ) and a CP-violating phase  $\delta$

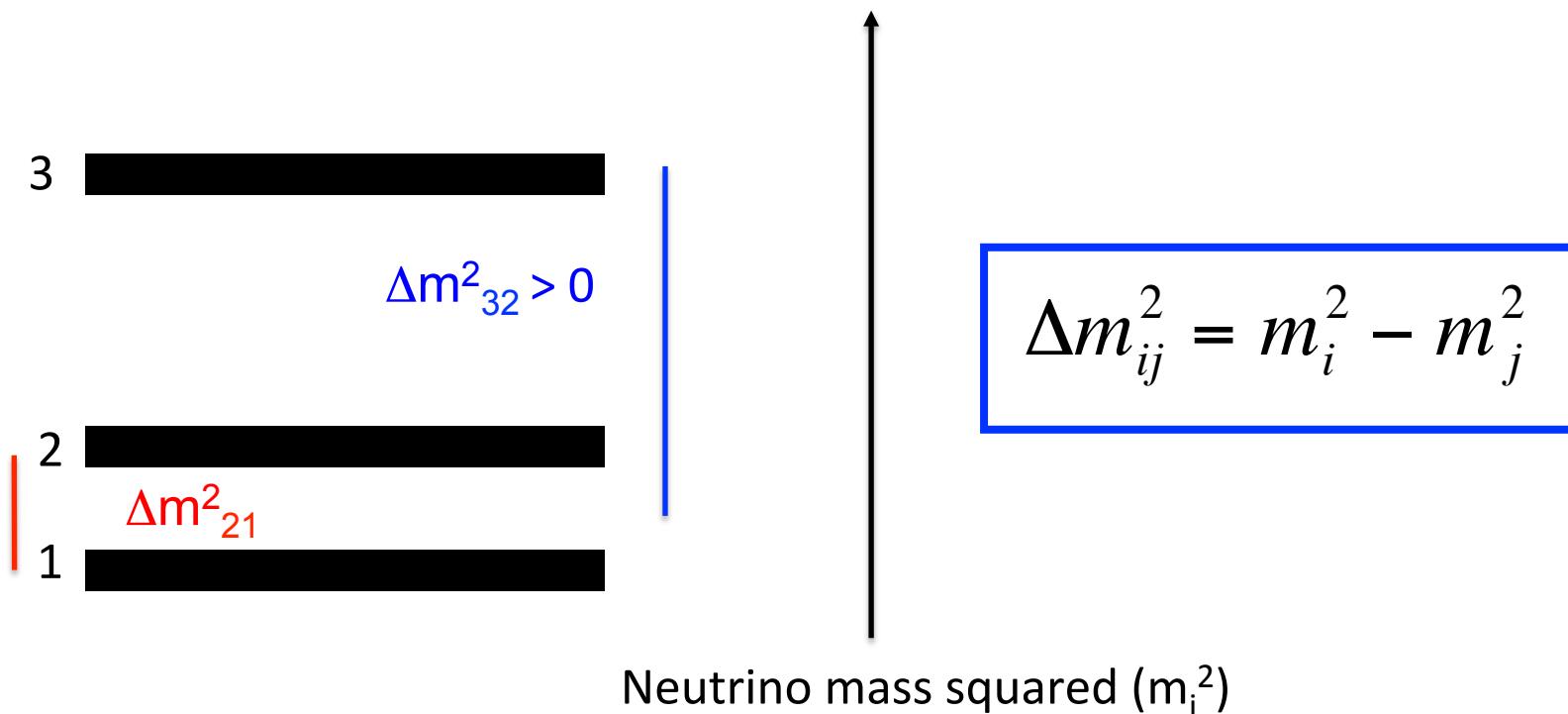
Parameter	best-fit ( $\pm 1\sigma$ )	$3\sigma$
$\Delta m_{21}^2$ [10 $^{-5}$ eV $^2$ ]	$7.54^{+0.26}_{-0.22}$	6.99 – 8.18
$ \Delta m^2 $ [10 $^{-3}$ eV $^2$ ]	$2.43 \pm 0.06$ (2.38 $\pm$ 0.06)	2.23 – 2.61 (2.19 – 2.56)
$\sin^2 \theta_{12}$	$0.308 \pm 0.017$	0.259 – 0.359
$\sin^2 \theta_{23}$ , $\Delta m^2 > 0$	$0.437^{+0.033}_{-0.023}$	0.374 – 0.628
$\sin^2 \theta_{23}$ , $\Delta m^2 < 0$	$0.455^{+0.039}_{-0.031}$ ,	0.380 – 0.641
$\sin^2 \theta_{13}$ , $\Delta m^2 > 0$	$0.0234^{+0.0020}_{-0.0019}$	0.0176 – 0.0295
$\sin^2 \theta_{13}$ , $\Delta m^2 < 0$	$0.0240^{+0.0019}_{-0.0022}$	0.0178 – 0.0298
$\delta/\pi$ (2 $\sigma$ range quoted)	$1.39^{+0.38}_{-0.27}$ (1.31 $^{+0.29}_{-0.33}$ )	(0.00 – 0.16) $\oplus$ (0.86 – 2.00)
<b>PDG2014</b>		((0.00 – 0.02) $\oplus$ (0.70 – 2.00))

*Measurements by accelerator-based experiments*

*Is  $\theta_{23}$  mixing maximal  
( $\theta_{23}=46^\circ \pm 3^\circ$ )*

*Is there CP violation (non-zero  $\delta$ ?)*

# Open questions in neutrino mixing

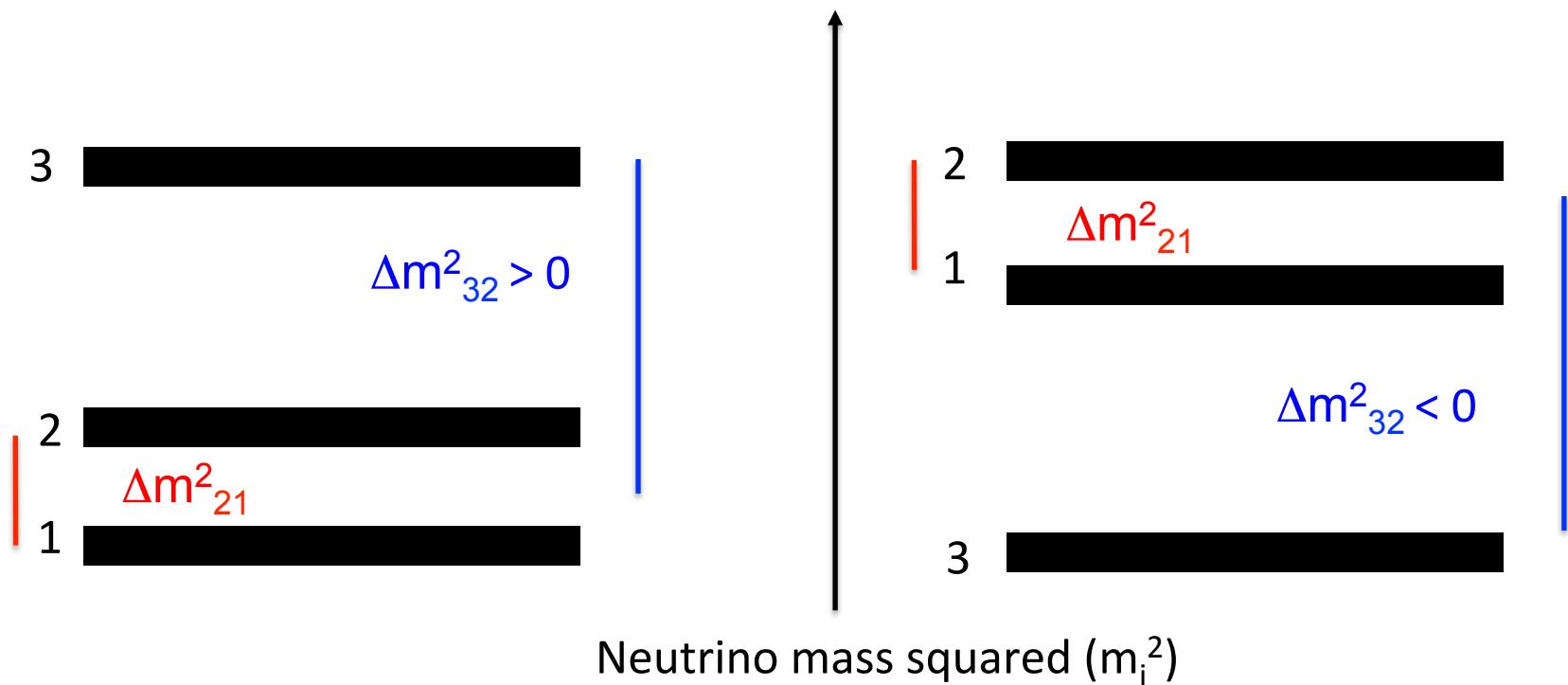


Neutrino oscillation measurements are sensitive to the interference of the mass eigenstates ( $\Delta m^2$ )

Two observed mass “splittings”, determined from atmospheric/accelerator and solar/reactor neutrino experiments, respectively

- $\Delta m^2(\text{atmospheric}) = |\Delta m^2_{32}| \sim 2.4 \times 10^{-3} \text{ eV}^2$
- $\Delta m^2(\text{solar}) = \Delta m^2_{21} \sim 7.6 \times 10^{-5} \text{ eV}^2$

# Open questions in neutrino mixing



The sign of  $\Delta m^2_{32}$ , or the “mass hierarchy” is still unknown

- Normal “hierarchy” is like quarks ( $m_1$  is lightest,  $\Delta m^2_{32} > 0$  )
- Inverted hierarchy has  $m_3$  lightest ( $\Delta m^2_{32} < 0$ )

*What is the mass hierarchy?*

# Oscillation probabilities

$|\Delta m^2_{32}| \gg \Delta m^2_{21}$ , producing high frequency and low frequency oscillation terms

$$P_{\alpha\beta} = \delta_{\alpha\beta} - 4 \sum_{i>j} \operatorname{Re} \left[ U_{\beta i} U_{\alpha i}^* U_{\beta j}^* U_{\alpha j} \right] \sin^2 \left( \frac{1.27 \Delta m_{ij}^2 L}{E} \right) + 2 \sum_{i>j} \operatorname{Im} \left[ U_{\beta i} U_{\alpha i}^* U_{\beta j}^* U_{\alpha j} \right] \sin \left( \frac{2.54 \Delta m_{ij}^2 L}{E} \right)$$

If choose  $L, E$ , such that  $\sin^2(\Delta m^2_{32} L/E)$  is of order 1, then  $\Delta m^2_{21}$  terms will be small. Then...

$\nu_\mu$  “disappear” into  $\nu_e, \nu_\tau$

$$P(\nu_\mu \rightarrow \nu_\mu) \cong 1 - \sin^2 2\theta_{23} \sin^2 \left( \frac{1.27 \Delta m_{32}^2 L}{E} \right)$$

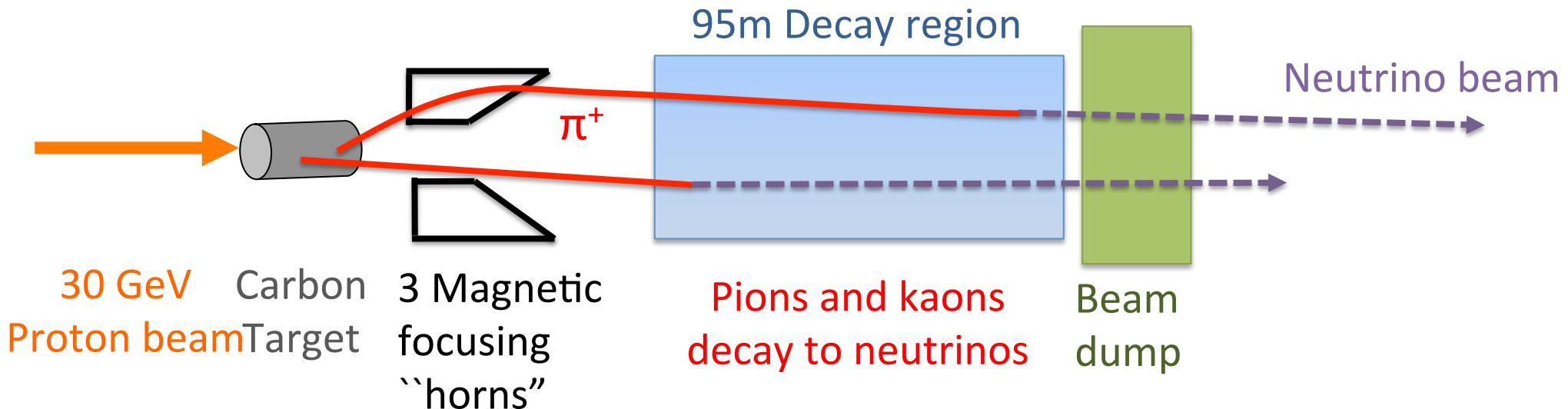
A small amount of  $\nu_e$  will “appear”

$$\Delta m^2_{31} \sim \Delta m^2_{32}$$

$$P(\nu_\mu \rightarrow \nu_e) \cong \sin^2 2\theta_{13} \sin^2 \theta_{23} \sin^2 \left( \frac{1.27 \Delta m_{31}^2 L}{E} \right)$$

*Only leading order terms shown*

# Accelerator based neutrino sources



To measure  $\nu_\mu$  disappearance, and  $\nu_e$  appearance requires a  $\nu_\mu$  source\*

- Atmospheric neutrinos include both  $\nu_e$  and  $\nu_\mu$  from production
- Accelerator based beams are pure  $\nu_\mu$  from a proton  $\rightarrow$  meson  $\rightarrow$  decay chain:
  - Typically  $>99.9\%$   $\nu_\mu$
  - Production of neutrinos is “known”, scalable with accelerator improvements
  - Also possible to create antineutrino source

*\*There are other ways to probe oscillation physics with intense sources, more later*

# Oscillation probabilities

$\nu_\mu$  to  $\nu_e$  appearance  
probability expansion:

$$\alpha = \frac{\Delta m_{21}^2}{\Delta m_{32}^2} \ll 1,$$

$$\Delta = \frac{\Delta m_{32}^2 L}{4E_\nu}$$

$$A = 2\sqrt{2}G_F N_e \frac{E_\nu}{\Delta m_{32}^2}$$

Key players:

- $|\Delta m_{32}^2| \sim 2.4 \times 10^{-3} \text{ eV}^2$  (atmospheric mass splitting)
- Mixing angles:  $\theta_{12}$ ,  $\theta_{23}$ ,  $\theta_{13}$
- CP-violating phase  $\delta_{CP}$

$$\begin{aligned}
 P_{\nu_\mu \rightarrow \nu_e} = & \frac{1}{(A-1)^2} \sin^2 2\theta_{13} \sin^2 \theta_{23} \sin^2 [(A-1)\Delta] \\
 & - (+) \frac{\alpha}{A(1-A)} \cos \theta_{13} \sin 2\theta_{12} \sin 2\theta_{23} \sin 2\theta_{13} \times \\
 & \quad \sin \delta_{CP} \sin \Delta \sin A\Delta \sin [(1-A)\Delta] \\
 & + \frac{\alpha}{A(1-A)} \cos \theta_{13} \sin 2\theta_{12} \sin 2\theta_{23} \sin 2\theta_{13} \times \\
 & \quad \cos \delta_{CP} \cos \Delta \sin A\Delta \sin [(1-A)\Delta] \\
 & + \frac{\alpha^2}{A^2} \cos^2 \theta_{23} \sin^2 2\theta_{12} \sin^2 A\Delta
 \end{aligned}$$

*Approximation from  
M. Freund, PRD 64, 053003*

**Neutrinos vs. antineutrinos probability depends on  $\delta_{CP}$ , mass hierarchy (sign of  $\Delta m_{32}^2$ )**

- Mass hierarchy is determined through energy dependence of  $\nu_e$ ,  $\nu_\mu$  interactions in matter (matter effects, A terms)

# Oscillation probabilities

$\nu_\mu$  to  $\nu_e$  appearance  
probability expansion:

$$\alpha = \frac{\Delta m_{21}^2}{\Delta m_{32}^2} \ll 1,$$

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Key players:

- $|\Delta m_{32}^2| \sim 2.4 \times 10^{-3} \text{ eV}^2$  (atmospheric mass splitting)

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 & \quad \cos \delta_{CP} \cos \Delta \sin A\Delta \sin [(1-A)\Delta] \\
 & + \frac{\alpha^2}{A^2} \cos^2 \theta_{23} \sin^2 2\theta_{12} \sin^2 A\Delta
 \end{aligned}$$

Subleading terms of  $\nu_\mu$  to  $\nu_e$  appearance depend on  $\delta_{CP}$ , mass hierarchy, but interpretation requires precision measurements of:  
 $\Delta m_{32}^2$ ,  $\theta_{23}$  (disappearance) and  $\Delta m_{21}^2$ ,  $\theta_{12}$  and  $\theta_{13}$

*Measurements of  $\nu_\mu$  to  $\nu_e$  (and  $\nu_\mu$  to  $\nu_e$ ) appearance are sensitive to currently unknown physics*

# Oscillation probabilities

$$P(\nu_\mu \rightarrow \nu_\mu) \approx 1 - \sin^2 2\theta_{23} \sin^2 \left( \frac{1.27 \Delta m_{32}^2 L}{E} \right)$$

Measure:  $\Delta m_{32}^2$  and  $\sin^2 2\theta_{23}$

Experimental controls:

- Fix beam energy ( $E$ )
- Place a detector at  $L$ , the (first) oscillation maximum

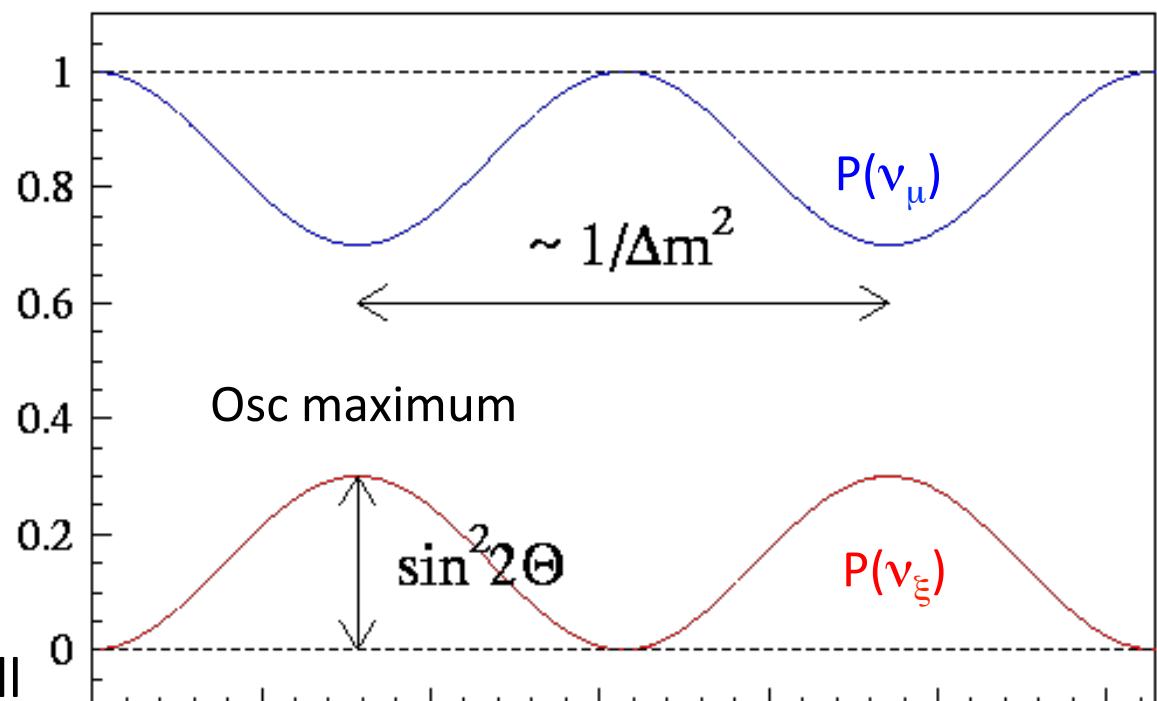
Why not place two detectors,  
one at  $L$  and one at  $2L$ ?

Flux decreases as  $1/L^2$ :

Event rate scales with flux:

$$N = \Phi \times \sigma \times \epsilon$$

Event rate is (currently) too small



# Long baseline experiments

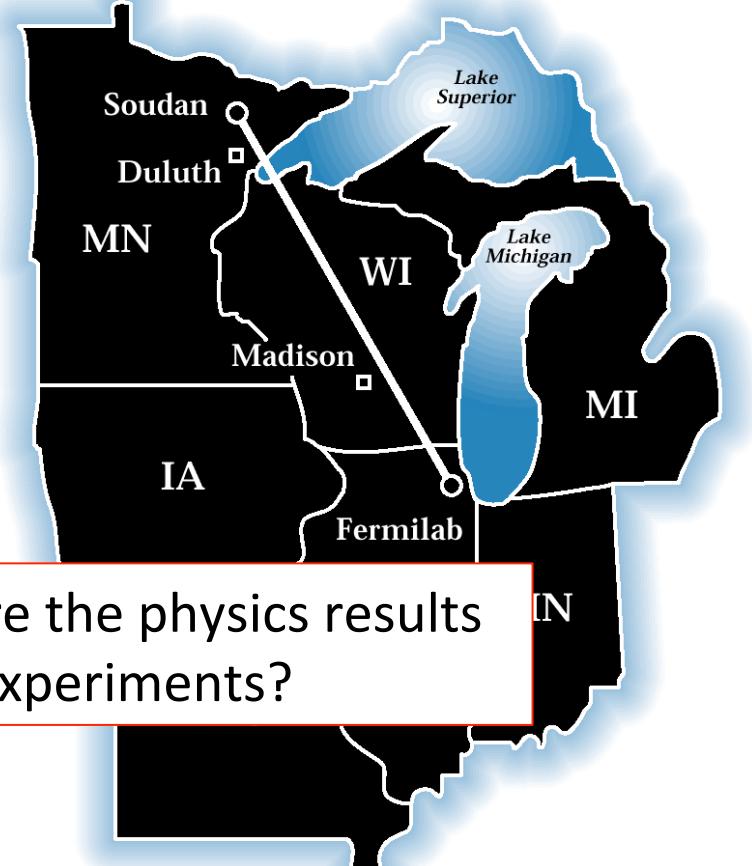
$$P(\nu_\mu \rightarrow \nu_\mu) \cong 1 - \sin^2 2\theta_{23} \sin^2 \left( \frac{1.27 \Delta m_{32}^2 L}{E} \right) + \dots$$

$\Delta m_{32}^2 \sim 3 \times 10^{-3}$  eV<sup>2</sup>, want  $\sin^2(\Delta m^2 L/E)$  to be of order 1

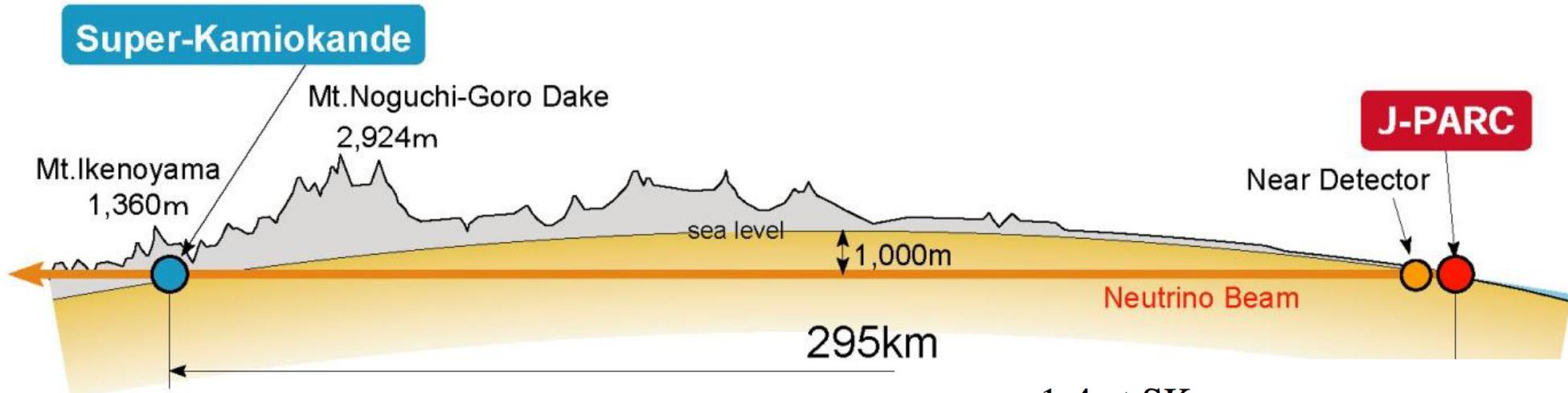
Tokai To Kamioka (T2K) experiment:  
Ev(peak) ~0.6 GeV, **L=295km**



First part of lecture: What are the physics results  
from long baseline experiments?



# Accelerator-based experiment example: T2K



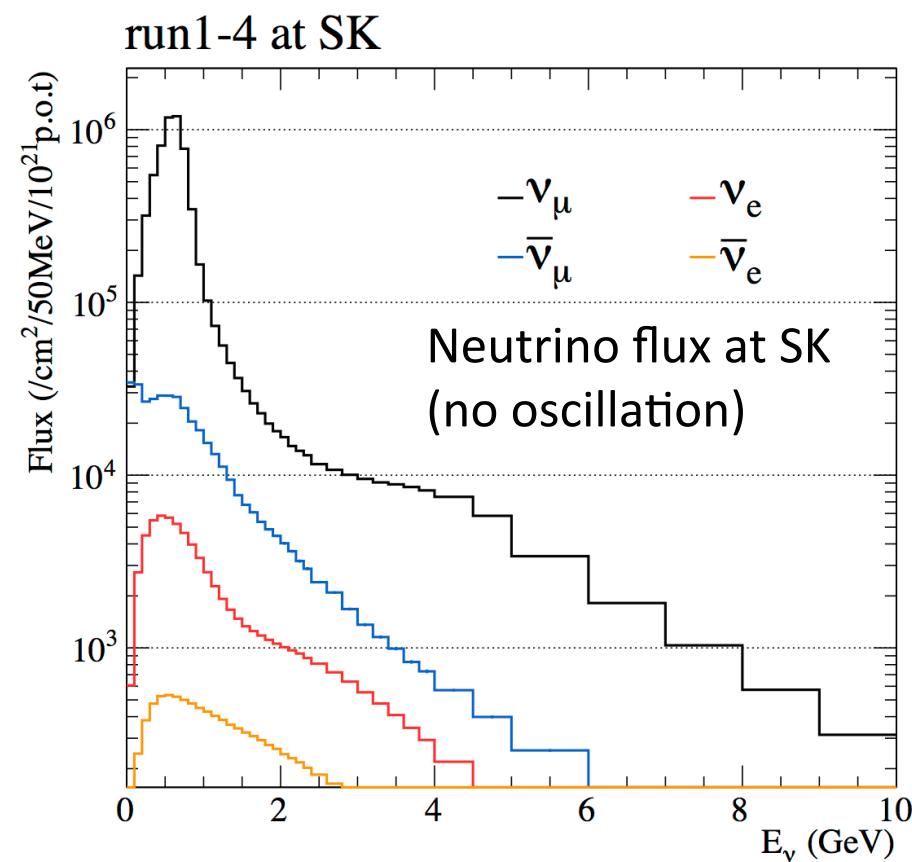
The physics so far:

### $\nu_\mu$ to $\nu_e$ (and $\bar{\nu}_\mu$ to $\bar{\nu}_e$ ) appearance:

- Discovery of  $\nu_e$  appearance (2013)
- Search for presence of appearance with antineutrinos; necessary step toward future CPV searches

### $\nu_\mu, \bar{\nu}_\mu$ disappearance:

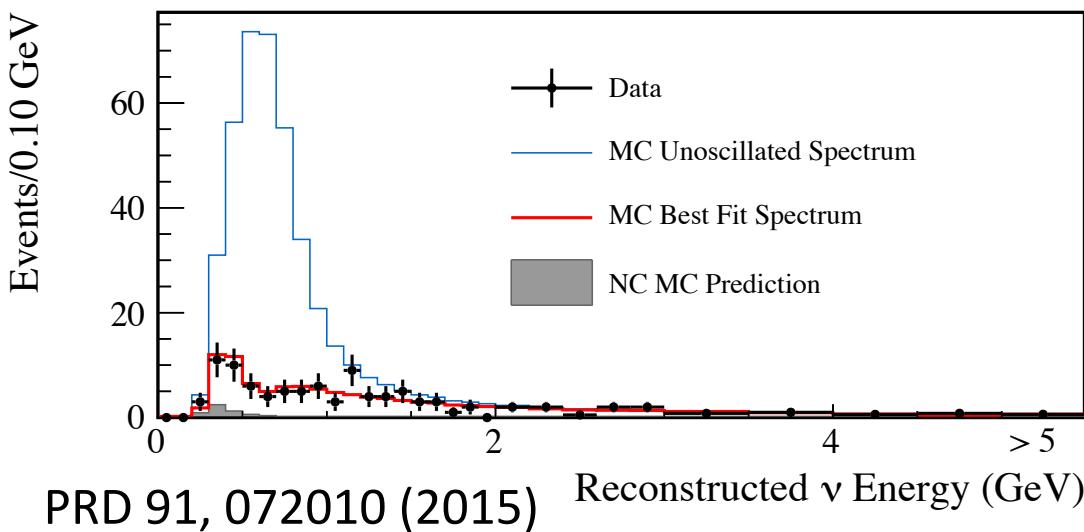
- World's best measurement of  $\theta_{23}$
- With antineutrinos: test of NSI or CPT theorem



# $\nu_\mu$ disappearance at T2K

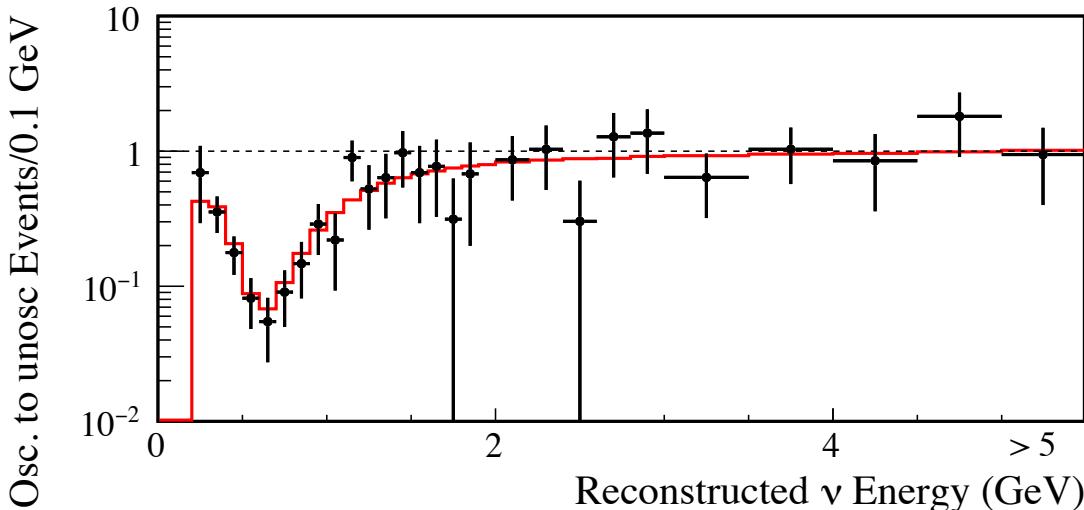
For a fixed baseline ( $L=295\text{ km}$ )  
oscillation probabilities depend on  
the neutrino energy  $E_\nu$

$$P(\nu_\mu \rightarrow \nu_\mu) \approx 1 - \sin^2 2\theta_{23} \sin^2 \left( \frac{1.27 \Delta m_{32}^2 L}{E} \right) + \dots$$



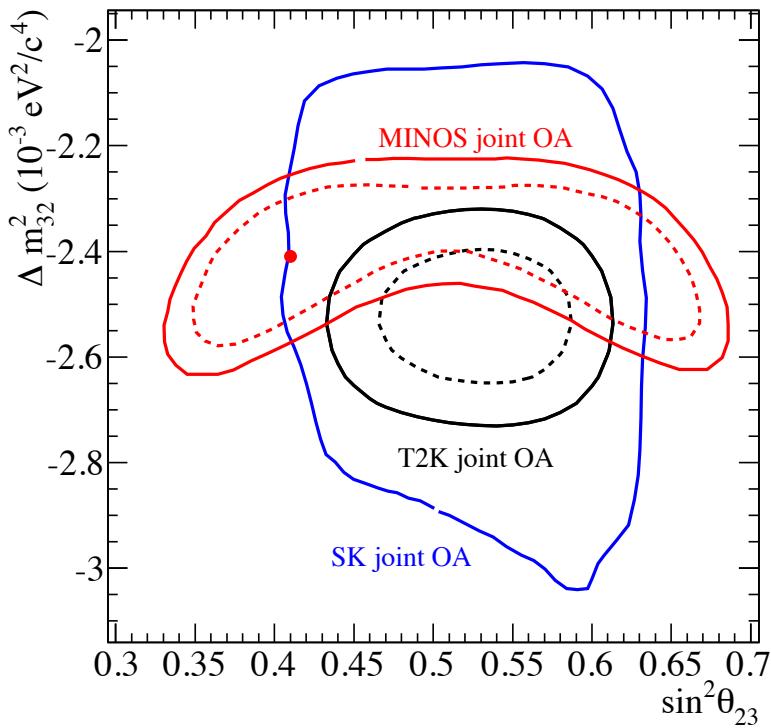
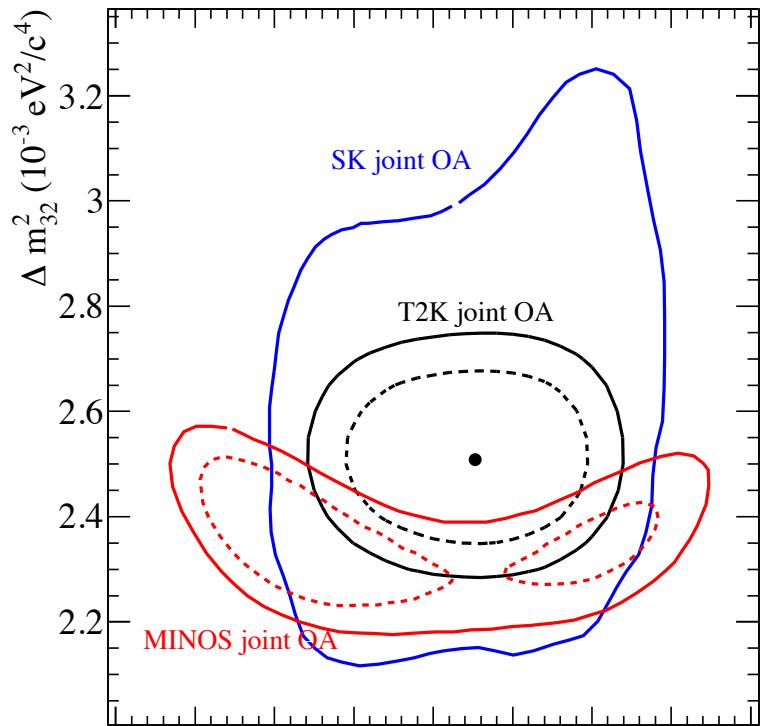
Extract  $\Delta m^2$ ,  $\sin^2 \theta_{23}$  from observed change in overall rate and spectrum

- Energy estimated using lepton momentum, angle and assumed CCQE kinematics



## T2K data favors maximal disappearance

- Provides best constraint on  $\theta_{23}$  to date, consistent with maximal ( $45^\circ$ ) mixing

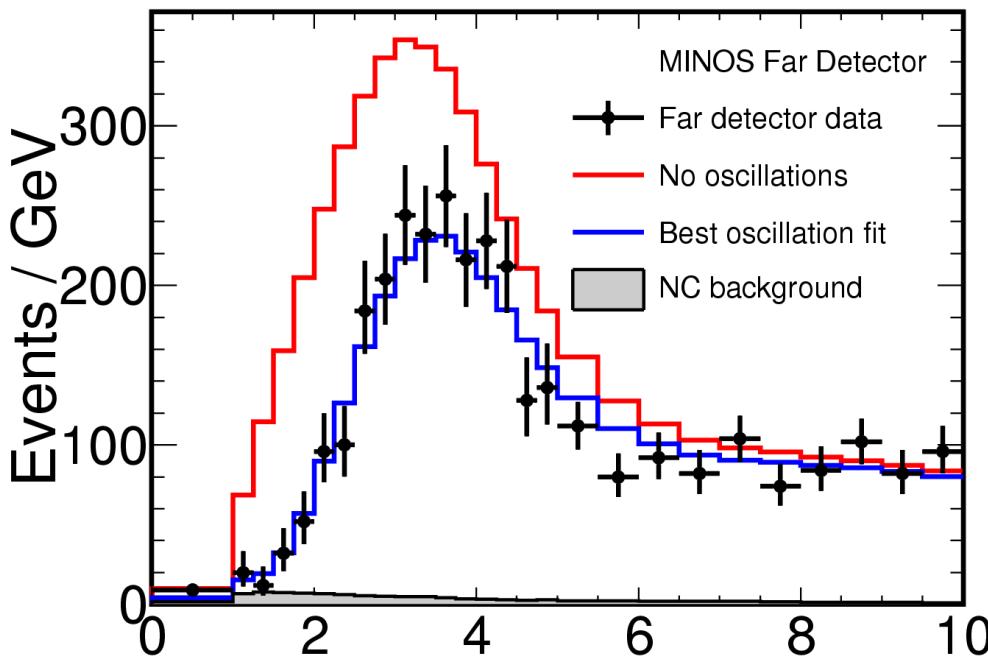


## T2K data favors maximal disappearance

- Provides best constraint on  $\theta_{23}$  to date, consistent with maximal ( $45^\circ$ ) mixing
- Atmospheric measurements also important (SK, IceCube)

## Best constraint on mass splitting from MINOS experiment

- Energy uses lepton and hadronic state

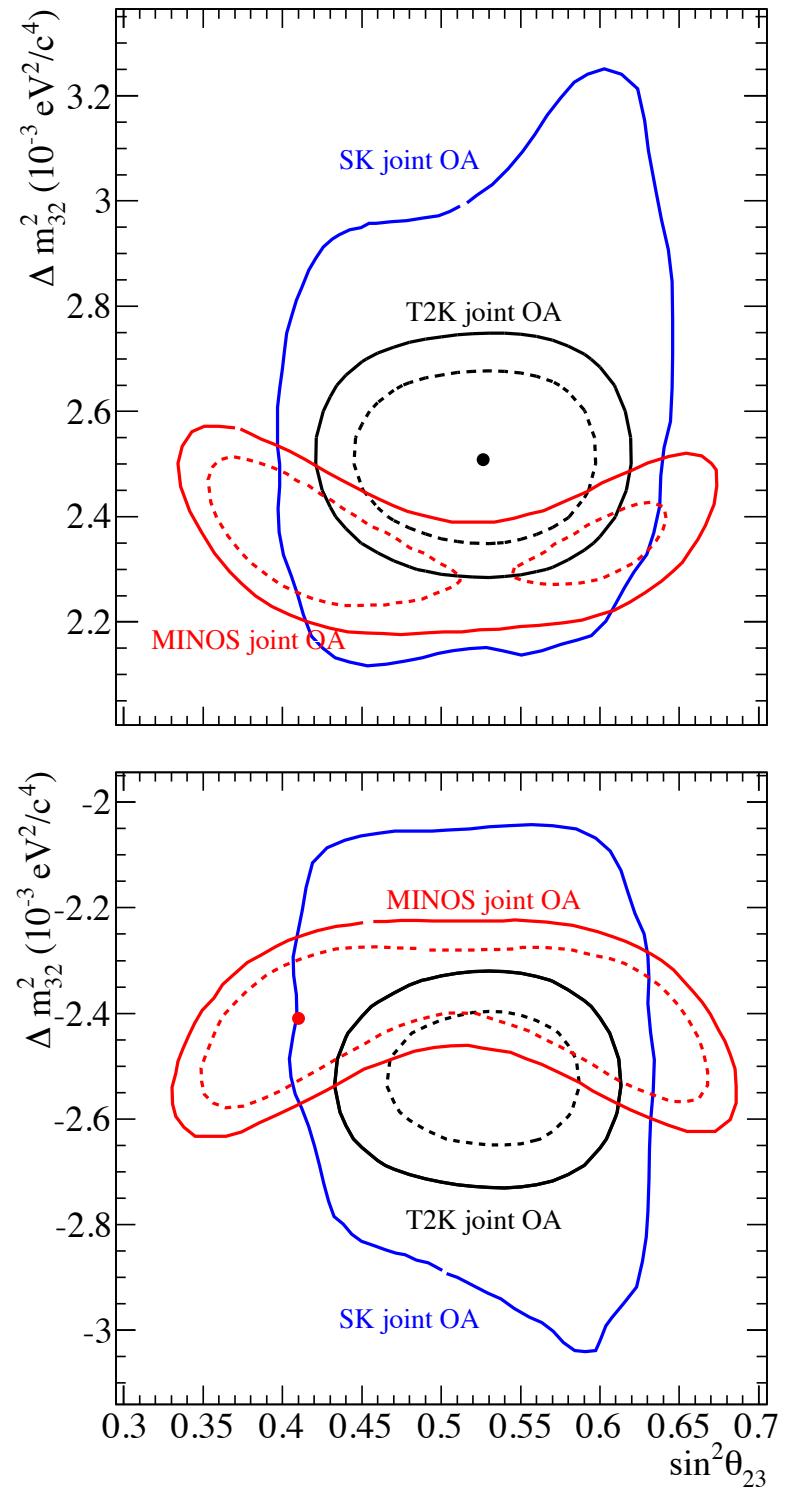


Phys. Rev. D 85, 031103(R) (2012)

Phys. Rev. Lett. 101, 131802 (2008)

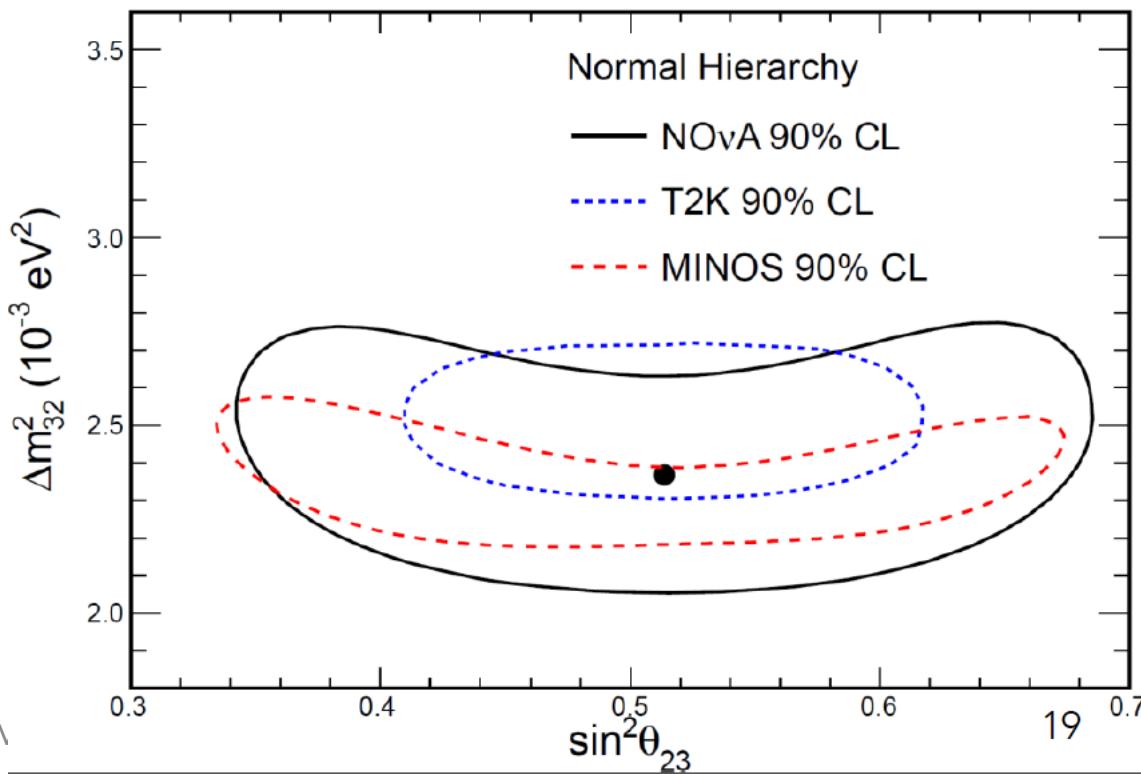
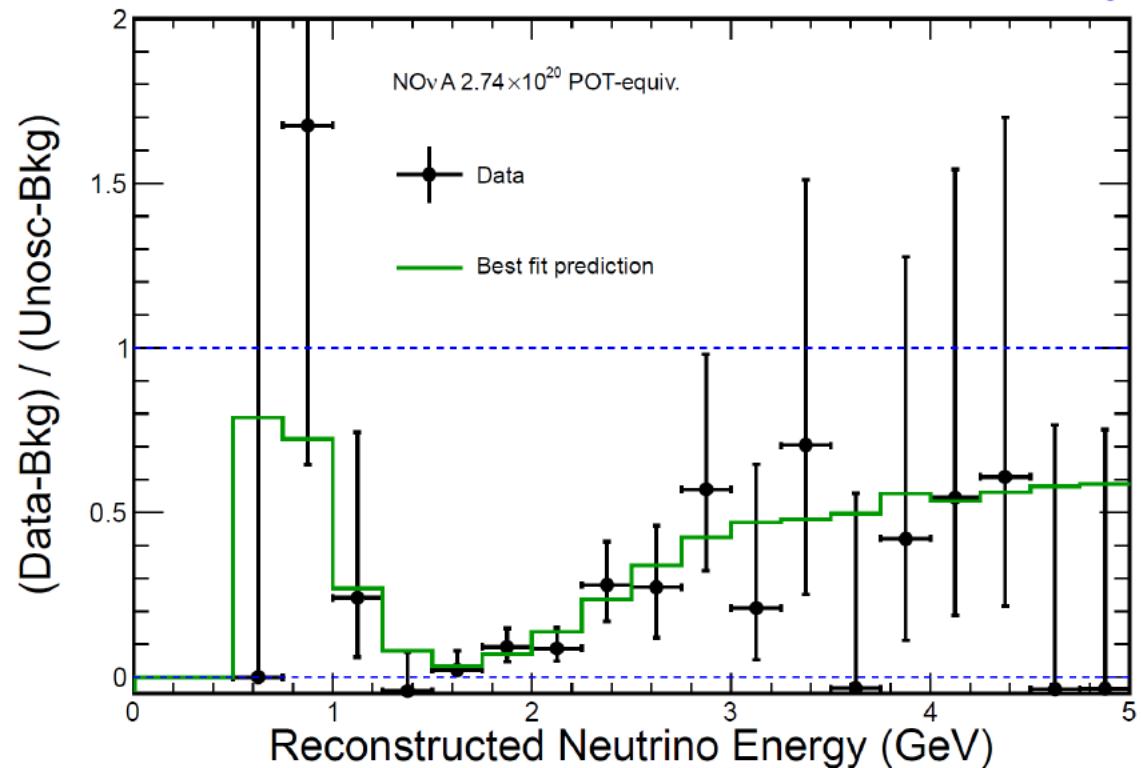
26/08/2015, JHEP08

K.Mahn, Acc-nu sources



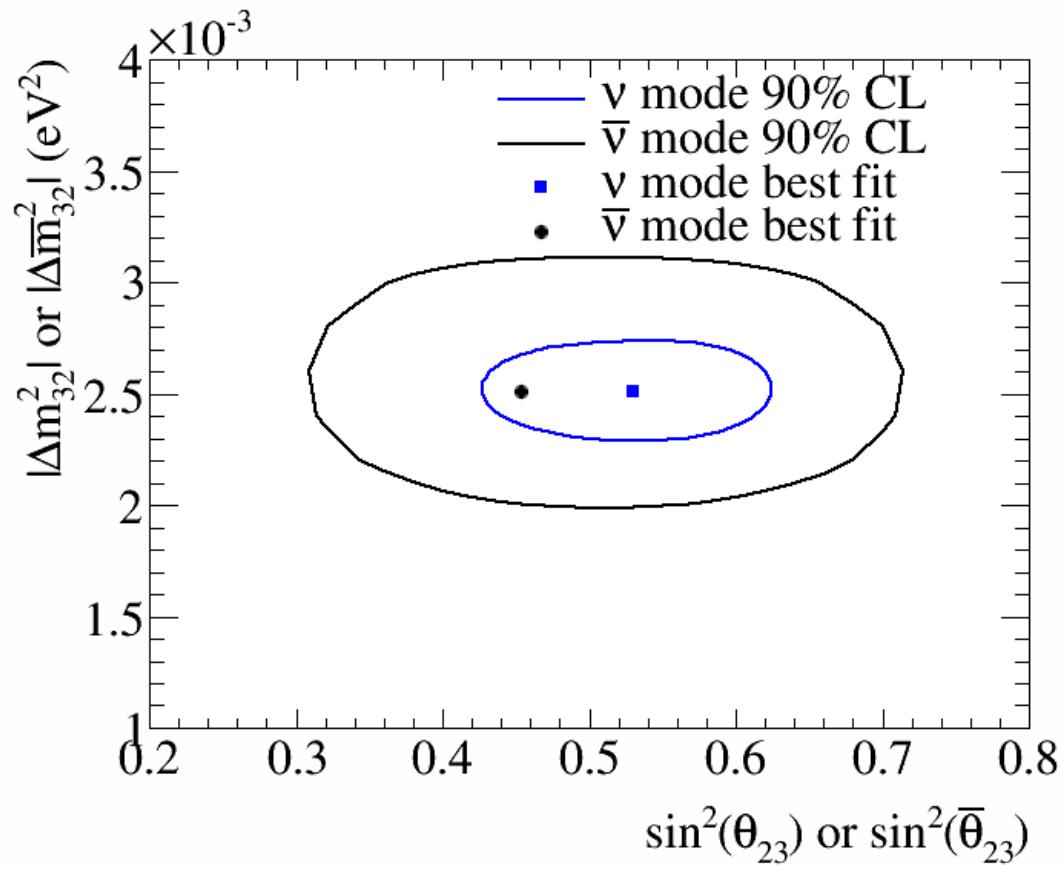
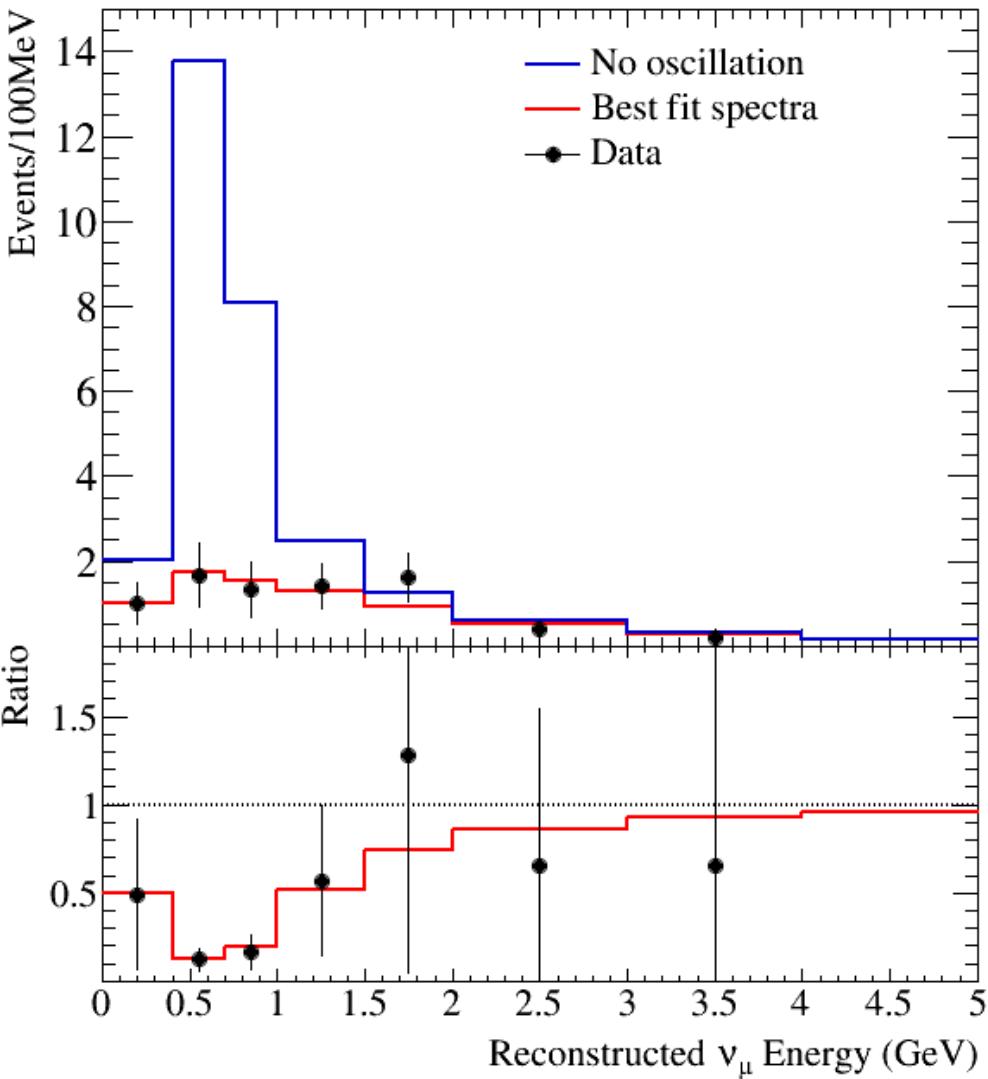
## New! NOvA first results

- Compelling measurement with only 7.6% of total data expected
- Consistent with T2K and MINOS + atmospheric measurements



# New! T2K first antineutrino disappearance results

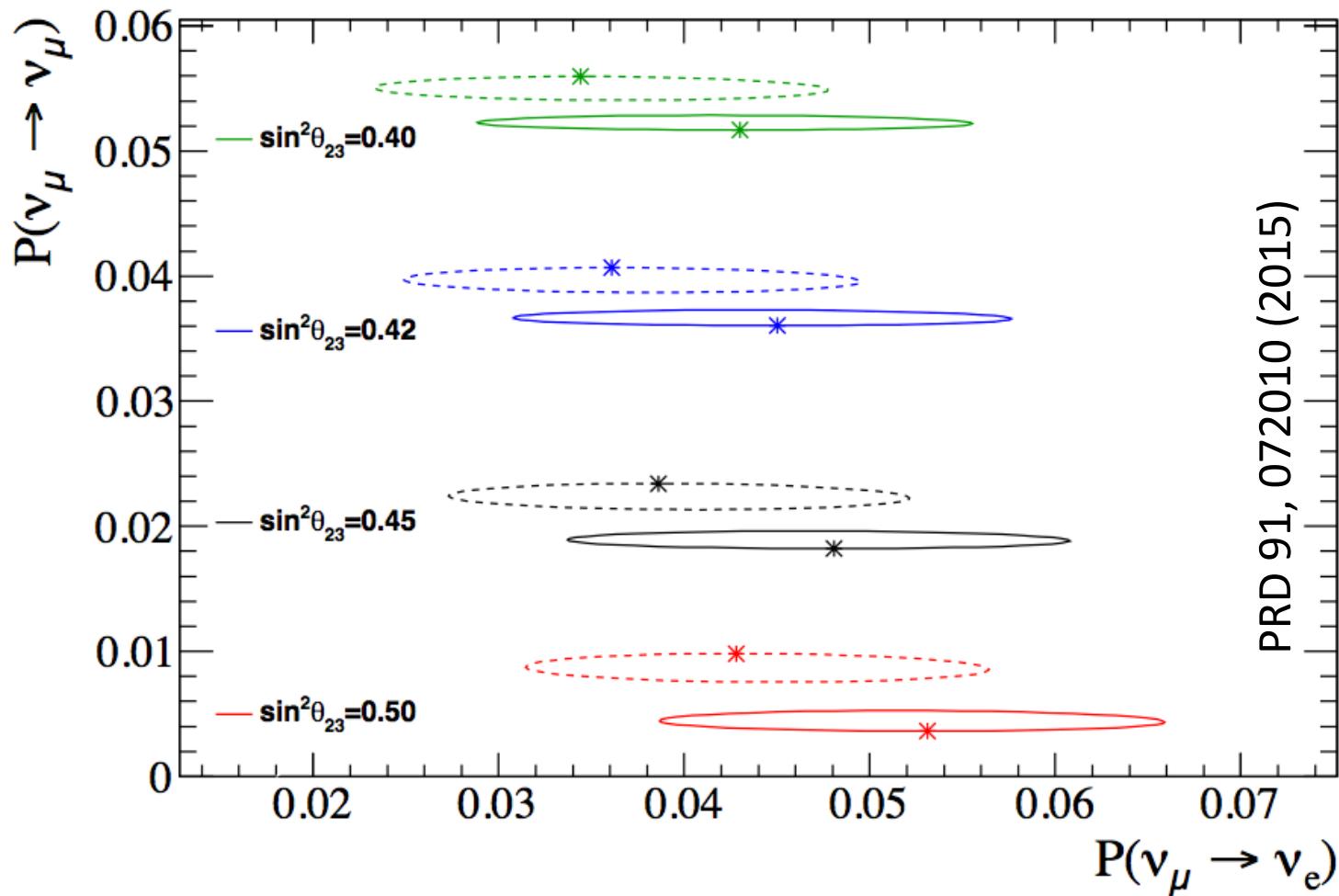
- Probe of NSI; consistent with neutrino measurement



34 events seen, 34 expected

# $\nu_e$ appearance

$\nu_\mu$  to  $\nu_e$  appearance probability depends on all other mixing parameters



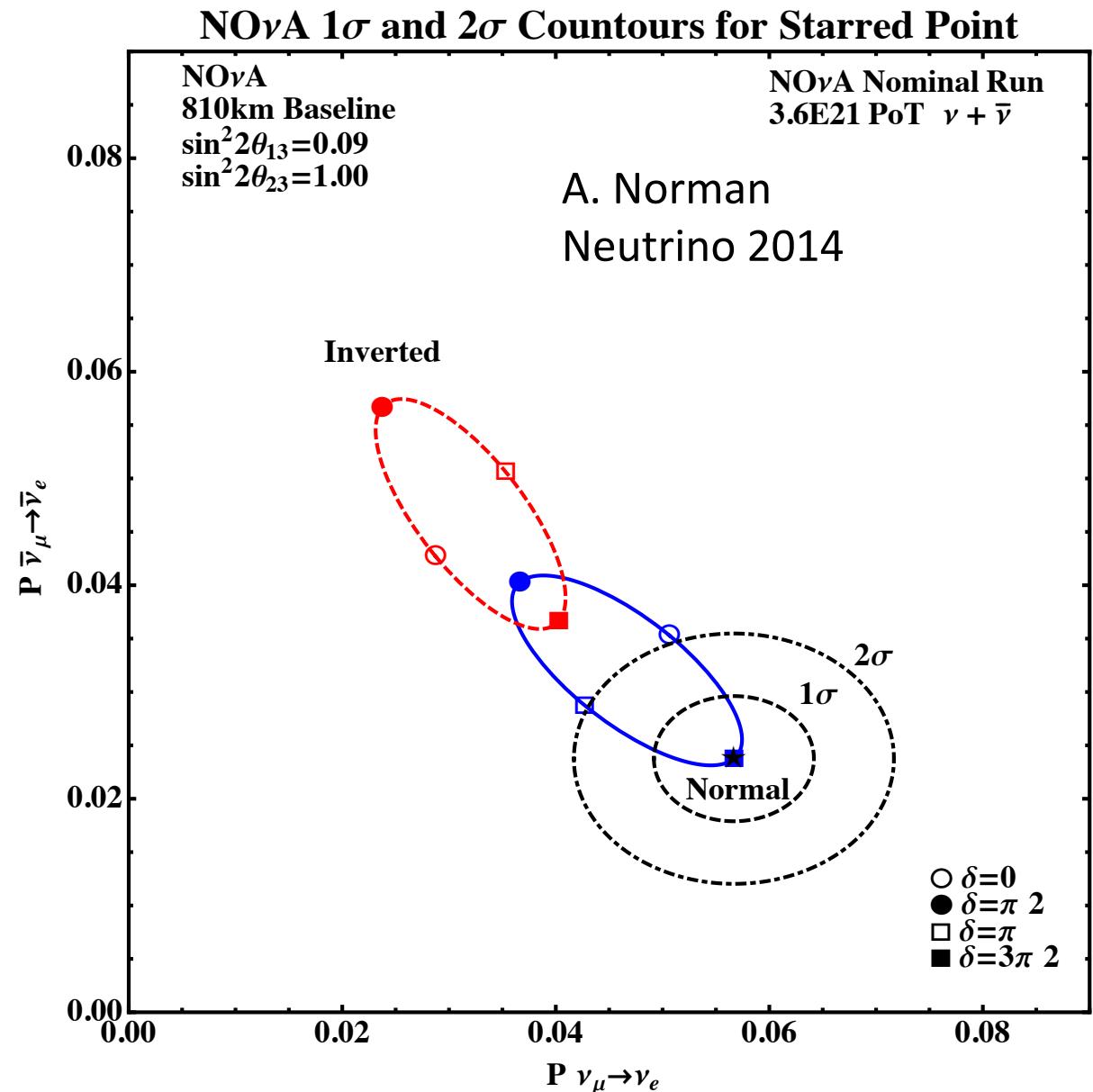
Understanding  $\delta_{CP}$  currently requires information about  $\theta_{23}$  (and mass hierarchy)

Critical input from reactor measurements of  $\theta_{13}$

# $\nu_e$ appearance

$\nu_\mu$  to  $\nu_e$  appearance probability depends on all other mixing parameters

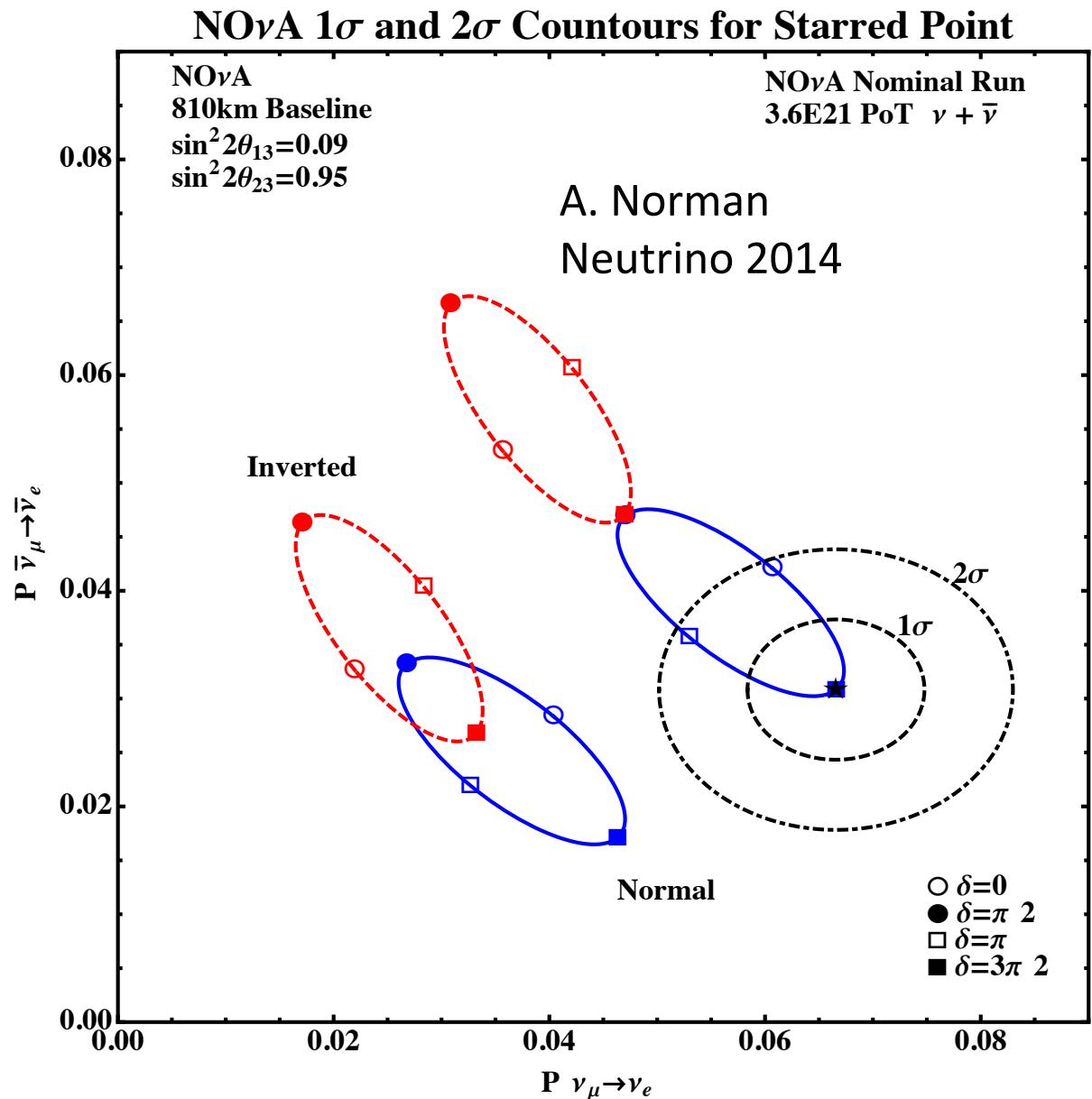
- Ellipse maps out how  $\delta_{CP}$  changes the oscillation probability
- Comparisons between neutrino/antineutrino appearance oscillation probabilities will be used to infer  $\delta_{CP}$ , mass hierarchy



# $\nu_e$ appearance

$\nu_\mu$  to  $\nu_e$  appearance probability depends on all other mixing parameters

- Ellipse maps out how  $\delta_{CP}$  changes the oscillation probability
- Comparisons between neutrino/antineutrino appearance oscillation probabilities will be used to infer  $\theta_{23}$  octant



# T2K $\nu_e$ appearance

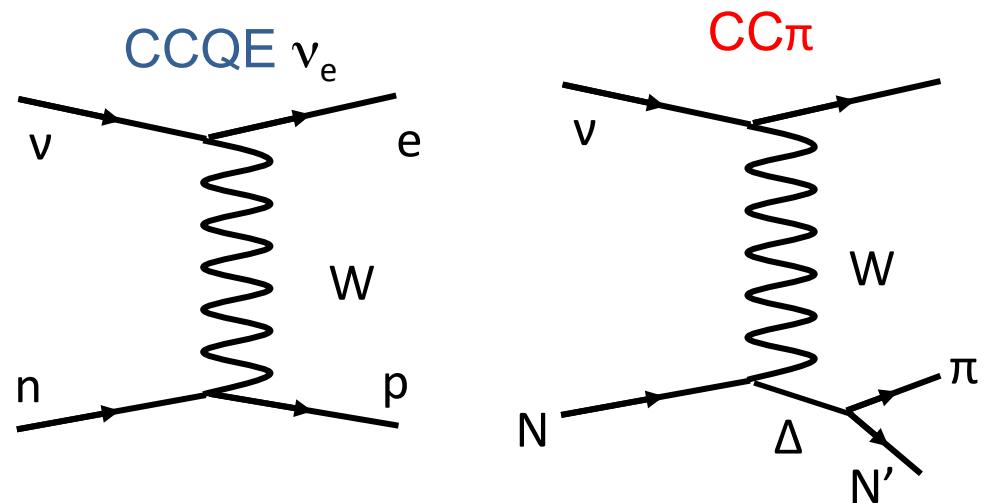
Fit to  $\nu_e$  candidates' rate and kinematic distributions to determine oscillation parameters

$$P(\nu_\mu \rightarrow \nu_e) \cong \sin^2 2\theta_{13} \sin^2 \theta_{23} \sin^2 \left( \frac{1.27 \Delta m_{31}^2 L}{E} \right)$$

Signal	# events
@ $\sin^2 2\theta_{13} = 0.1, \delta cp = 0$	21.06
$\nu_e$ signal@ $\Delta m_{32}^2 = 2.4 \times 10^{-3}$ eV $^2$ , $\sin^2 2\theta_{23} = 1.0$	
For T2K neutrino data set	
Background	# events
beam $\nu_e + \bar{\nu}_e$	3.54
$\nu_\mu + \bar{\nu}_\mu$ (mainly NC) background	1.43
total:	4.97

Signal: CC  $\nu_e$  candidates from  $\nu_\mu$  to  $\nu_e$  oscillation

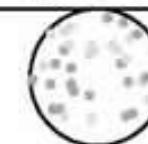
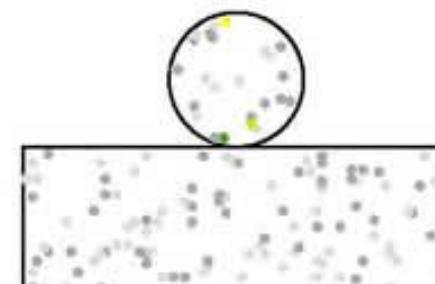
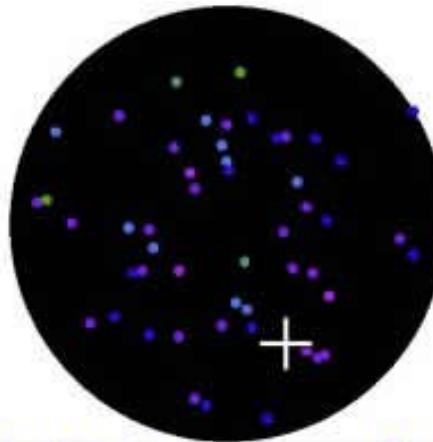
Tag  $\nu_e$  by selecting electron-like rings (proton below threshold)



# T2K $\nu_e$ appearance

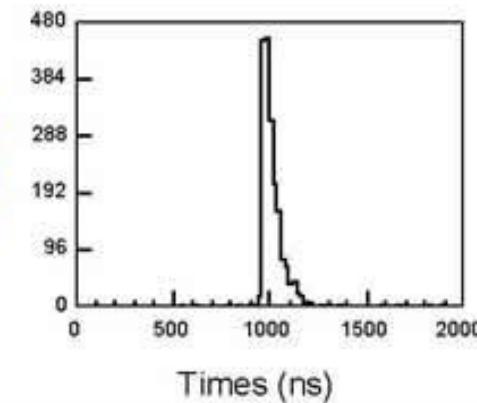
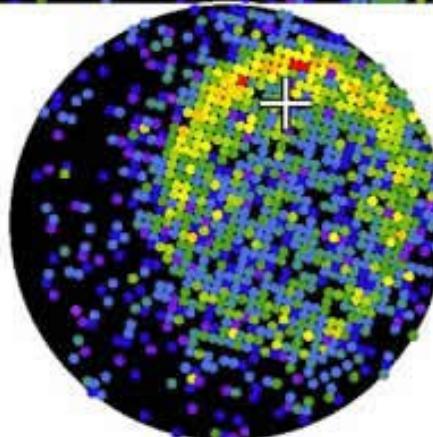
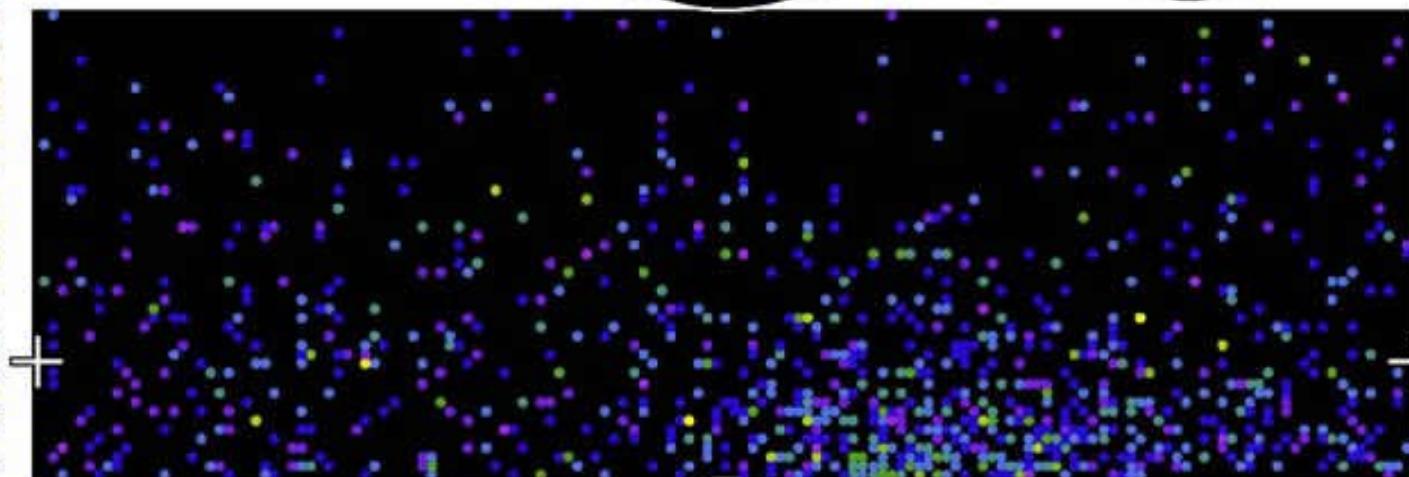
Super-Kamiokande I

Run 1757 Sub 4 Ev 25716  
96-06-03:07:51:37  
Inner: 1948 hits, 5243 pE  
Outer: 4 hits, 30 pE (in-time)  
Trigger ID: 0x03  
D wall: 671.6 cm  
PC e-like, p = .618.1 MeV/c



Charge (pe)

- >26.7
- 23.3-26.7
- 20.2-23.3
- 17.3-20.2
- 14.7-17.3
- 12.3-14.7
- 10.0-12.2
- 8.0-10.0
- 6.2-8.0
- 4.7-6.2
- 3.3-4.7
- 2.2-3.3
- 1.3-2.2
- 0.7-1.3
- 0.2-0.7
- < 0.2



Data event:  
single electron

(c) Super-Kamiokande Collaboration

# T2K $\nu_e$ appearance

Fit to  $\nu_e$  candidates' rate and kinematic distributions to determine oscillation parameters

$$P(\nu_\mu \rightarrow \nu_e) \cong \sin^2 2\theta_{13} \sin^2 \theta_{23} \sin^2 \left( \frac{1.27 \Delta m_{31}^2 L}{E} \right)$$

Signal	# events
@ $\sin^2 2\theta_{13} = 0.1, \delta cp = 0$	21.06

$\nu_e$  signal @  $\Delta m_{32}^2 = 2.4 \times 10^{-3}$  eV<sup>2</sup>,  $\sin^2 2\theta_{23} = 1.0$

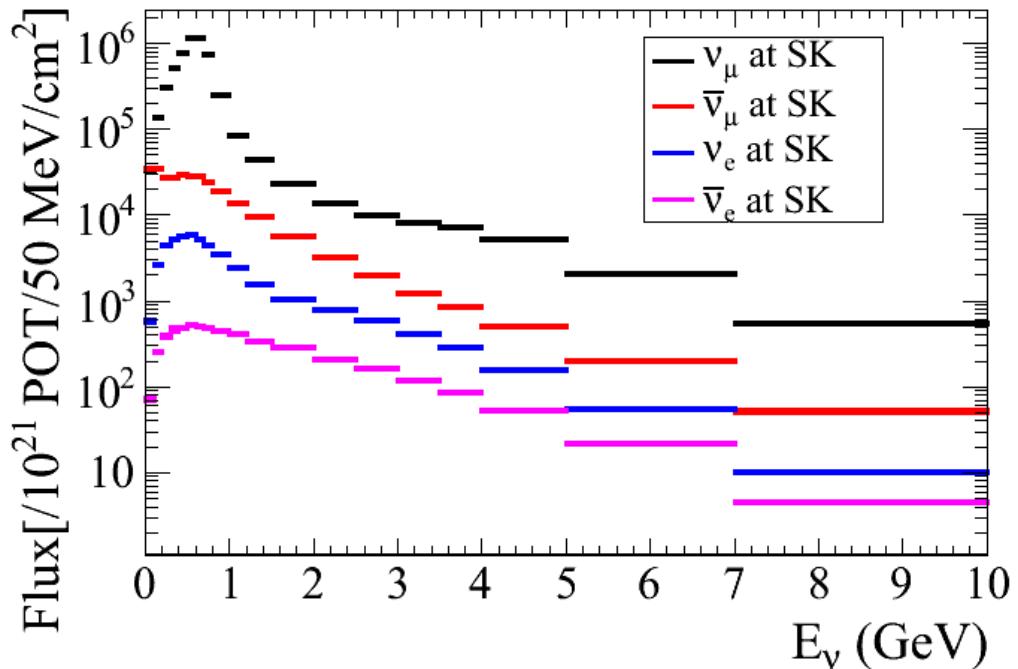
For T2K neutrino data set

Background	# events
beam $\nu_e + \bar{\nu}_e$	3.54
$\nu_\mu + \bar{\nu}_\mu$ (mainly NC) background	1.43
total:	4.97



Background: CC  $\nu_e$  candidates  
Irreducible  $\nu_e$  produced in beam

Identical to signal except for  $E_\nu$  dependence



# T2K $\nu_e$ appearance

Fit to  $\nu_e$  candidates' rate and kinematic distributions to determine oscillation parameters

$$P(\nu_\mu \rightarrow \nu_e) \cong \sin^2 2\theta_{13} \sin^2 \theta_{23} \sin^2 \left( \frac{1.27 \Delta m_{31}^2 L}{E} \right)$$

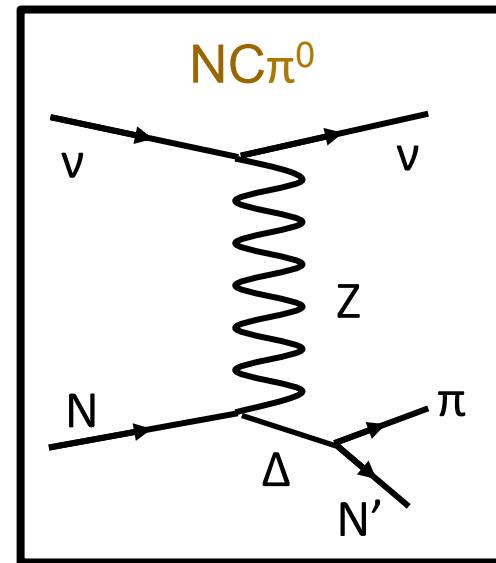
Signal	# events
@ $\sin^2 2\theta_{13} = 0.1, \delta cp = 0$	21.06

$\nu_e$  signal @  $\Delta m_{32}^2 = 2.4 \times 10^{-3}$  eV<sup>2</sup>,  $\sin^2 2\theta_{23} = 1.0$   
For T2K neutrino data set

Background	# events
beam $\nu_e + \bar{\nu}_e$	3.54
$\nu_\mu + \bar{\nu}_\mu$ (mainly NC) background	1.43
total:	4.97



Background: NC with a  $\pi^0$   
 $\pi^0$  decay to two photons; single photon mimics CC  $\nu_e$  (electromagnetic shower) in Cherenkov detector



# T2K $\nu_e$ appearance

Fit to  $\nu_e$  can  
kinematic d  
determine c

$$\frac{27 \Delta m_{31}^2 L}{E}$$

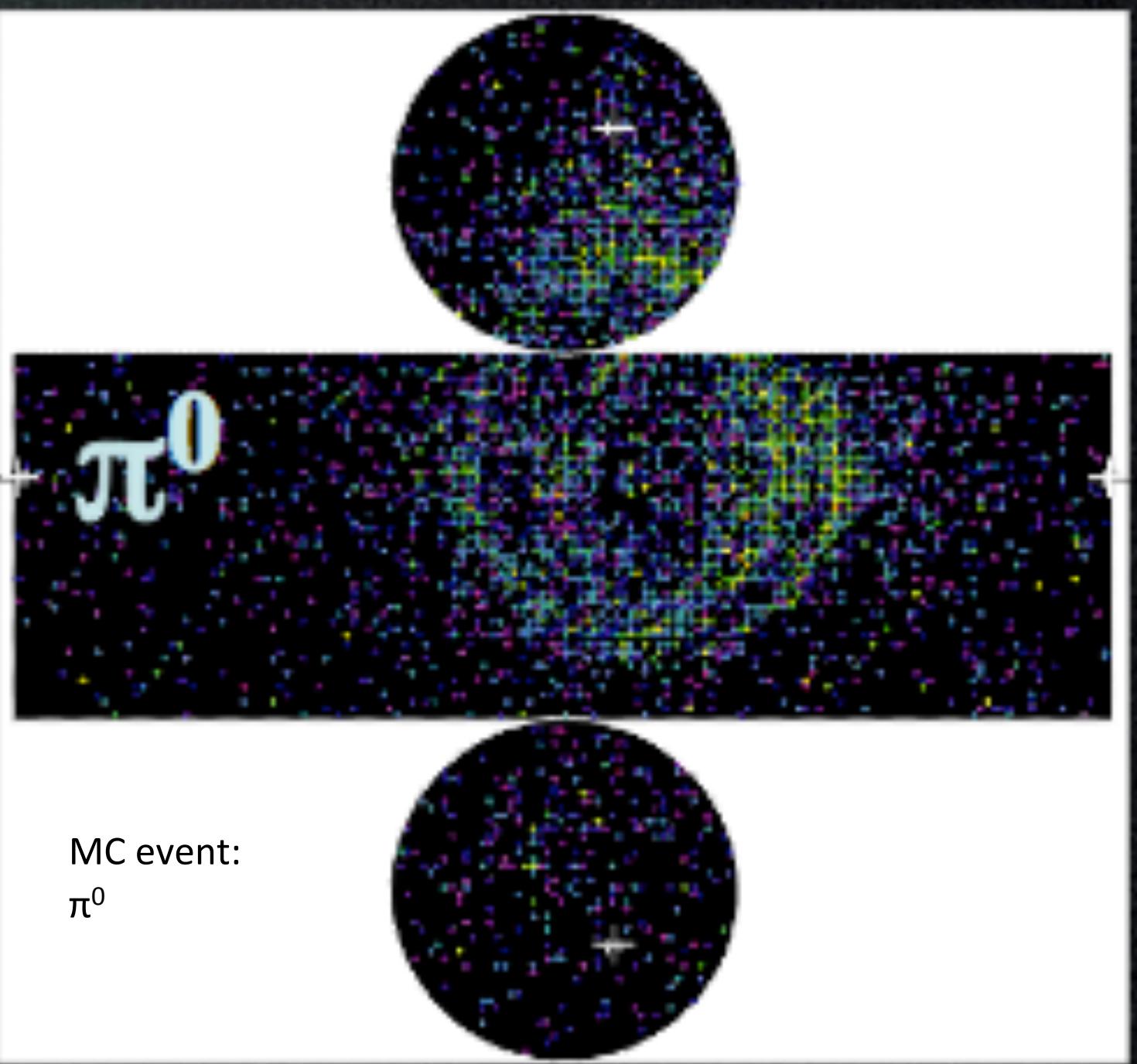
## Signal

@ $\sin^2 2\theta_{13}$   
 $\nu_e$  signal@ $\Delta m_{31}^2$   
For T2K neutr

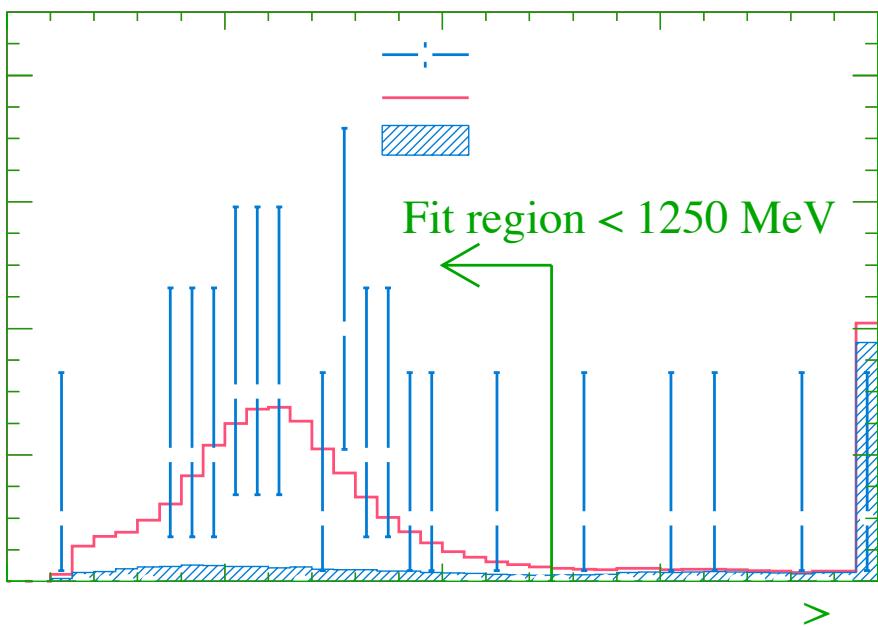
## Background

beam  $\nu_e$  +  
 $\nu_\mu + \bar{\nu}_\mu$  (m  
background

total:



# T2K $\nu_e$ appearance results



28 candidate  $\nu_e$  events observed

- First observation of CC  $\nu_e$  appearance!
  - Phys. Rev. Lett. 112, 061802 (2014)

Signal	# events
@ $\sin^2 2\theta_{13} = 0.1, \delta cp = 0$	21.06

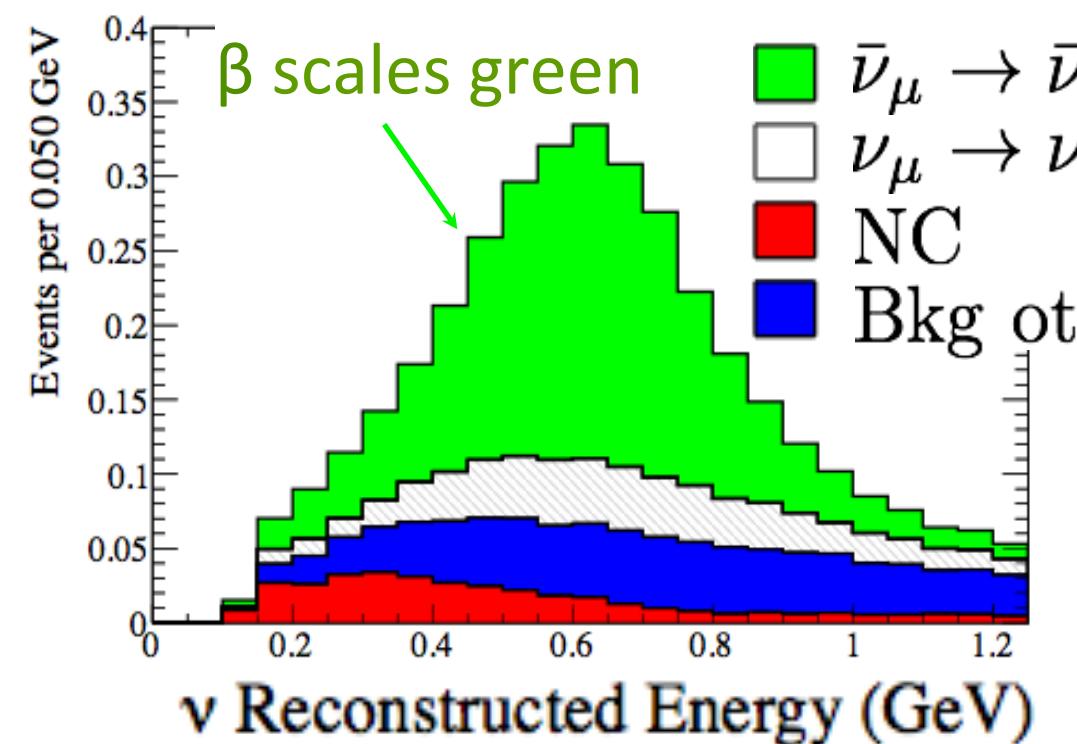
Background	# events
beam $\nu_e + \bar{\nu}_e$	3.54
$\nu_\mu + \bar{\nu}_\mu$ (mainly NC) background	1.43
total:	4.97

# T2K antineutrino appearance results

	$\delta_{CP} = -\pi/2$	$\delta_{CP} = 0$	$\delta_{CP} = +\pi/2$
Sig $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$	2.481	3.254	3.939
Bkg $\nu_\mu \rightarrow \nu_e$	0.531	0.423	0.341
Bkg NC	0.349	0.349	0.349
Bkg other	0.821	0.821	0.821
Total	4.181	4.848	5.450

Normal hierarchy

Inverted hierarchy



Expect 3.73 (4.18) events based on normal (inverted) hierarchy

Test of no  $\bar{\nu}_e$  appearance hypothesis:

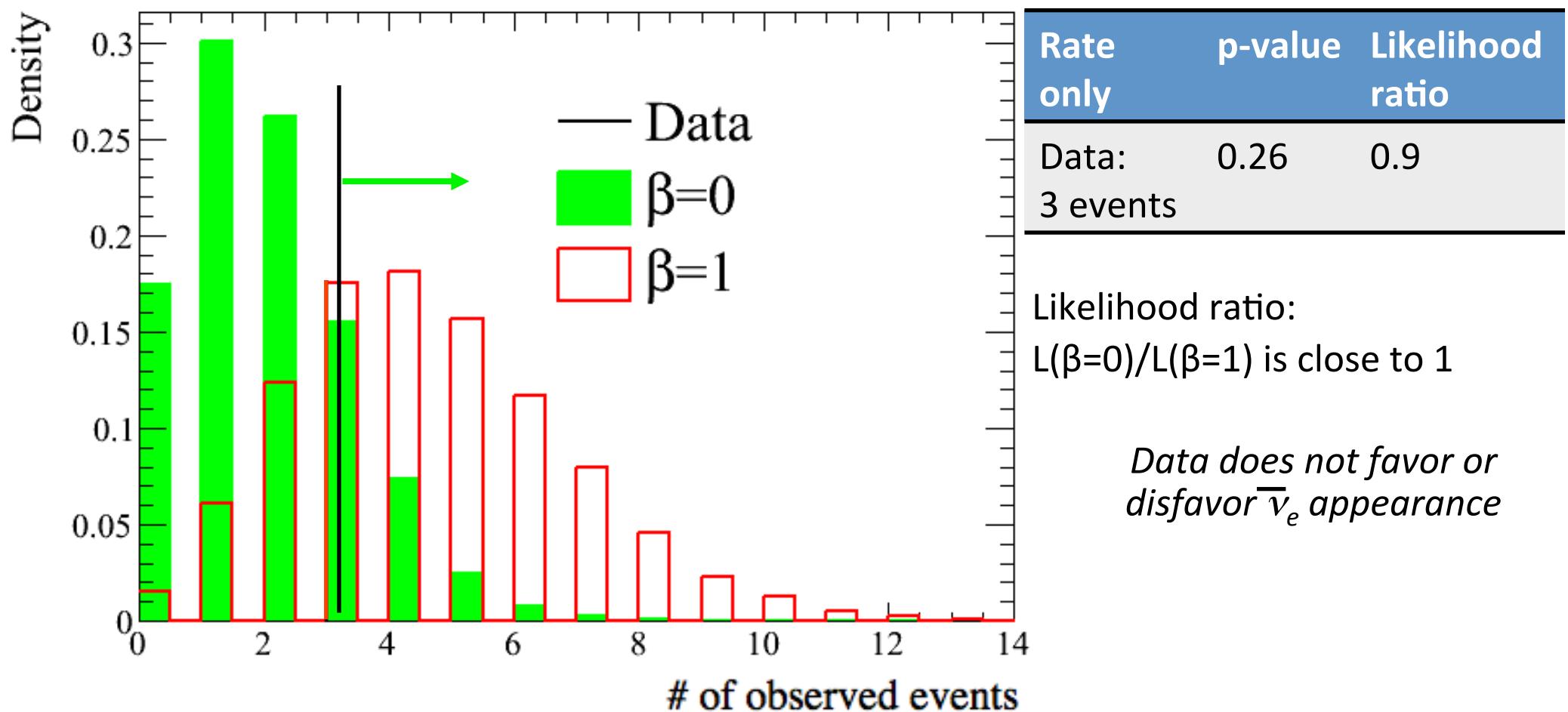
- Significant expected contribution from  $\nu_e$  appearance
- $\beta=0$ : no  $\bar{\nu}_e$  appearance
- $\beta=1$ :  $\bar{\nu}_e$  appearance

$$P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) = \beta \times P_{\text{PMNS}}(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$$

# T2K antineutrino appearance results

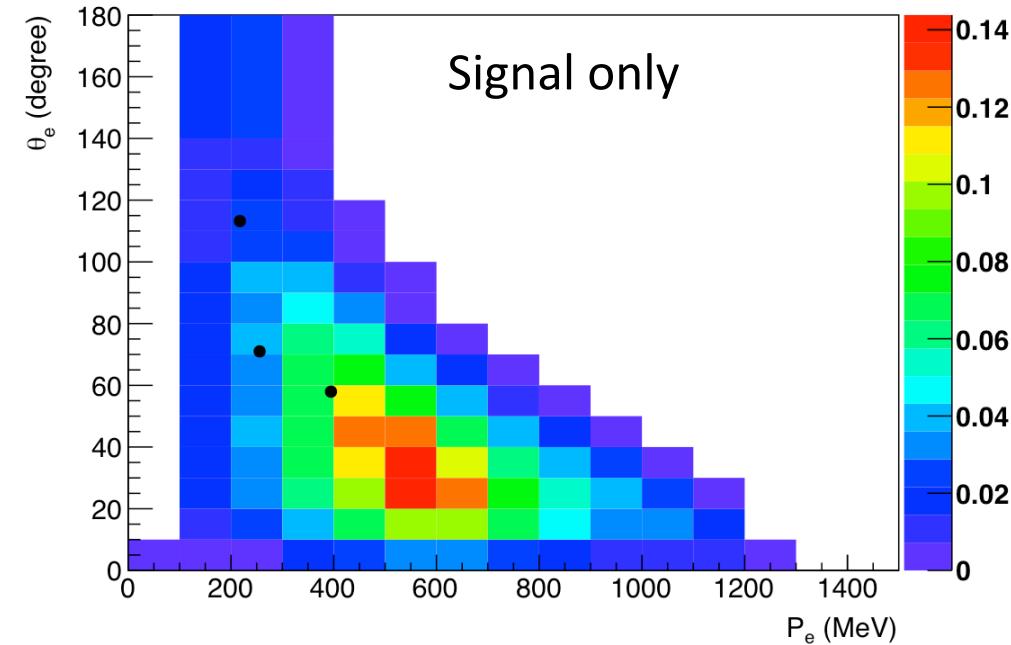
Generate an ensemble of test experiments with  $\beta=0$  (no  $\bar{\nu}_e$  appearance)

- p-value: fraction of test experiments that have as many or more candidate events as T2K data
- Sensitivity: mean p-value for an ensemble of fake data experiments with  $\beta=1$



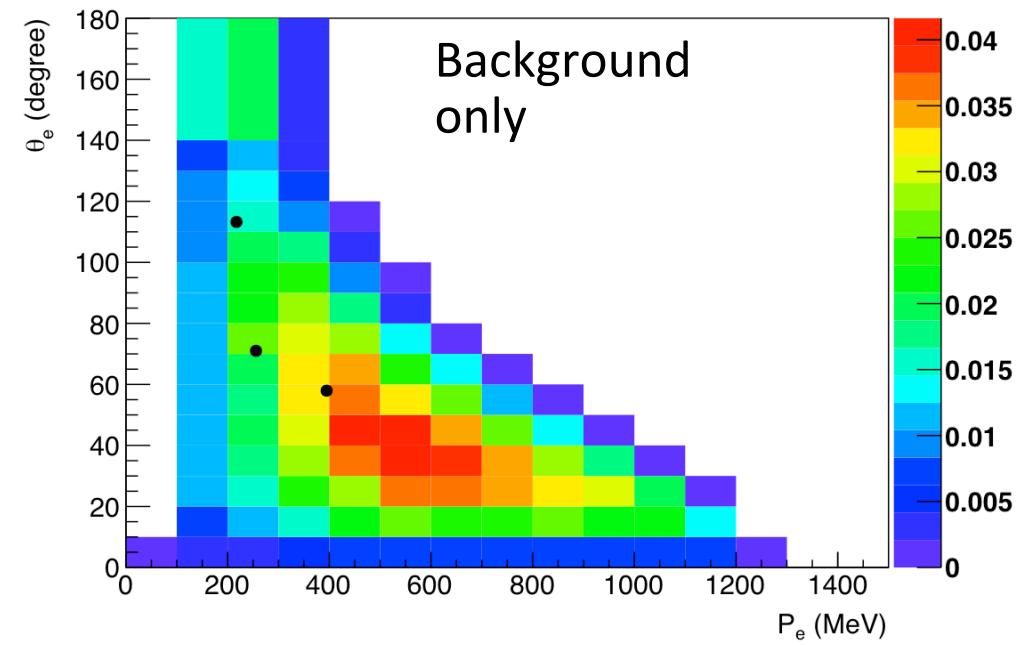
# T2K antineutrino appearance results

1R e-like events



Signal only

1R e-like events



Background only

*Data does not favor or disfavor  $\bar{\nu}_e$  appearance*

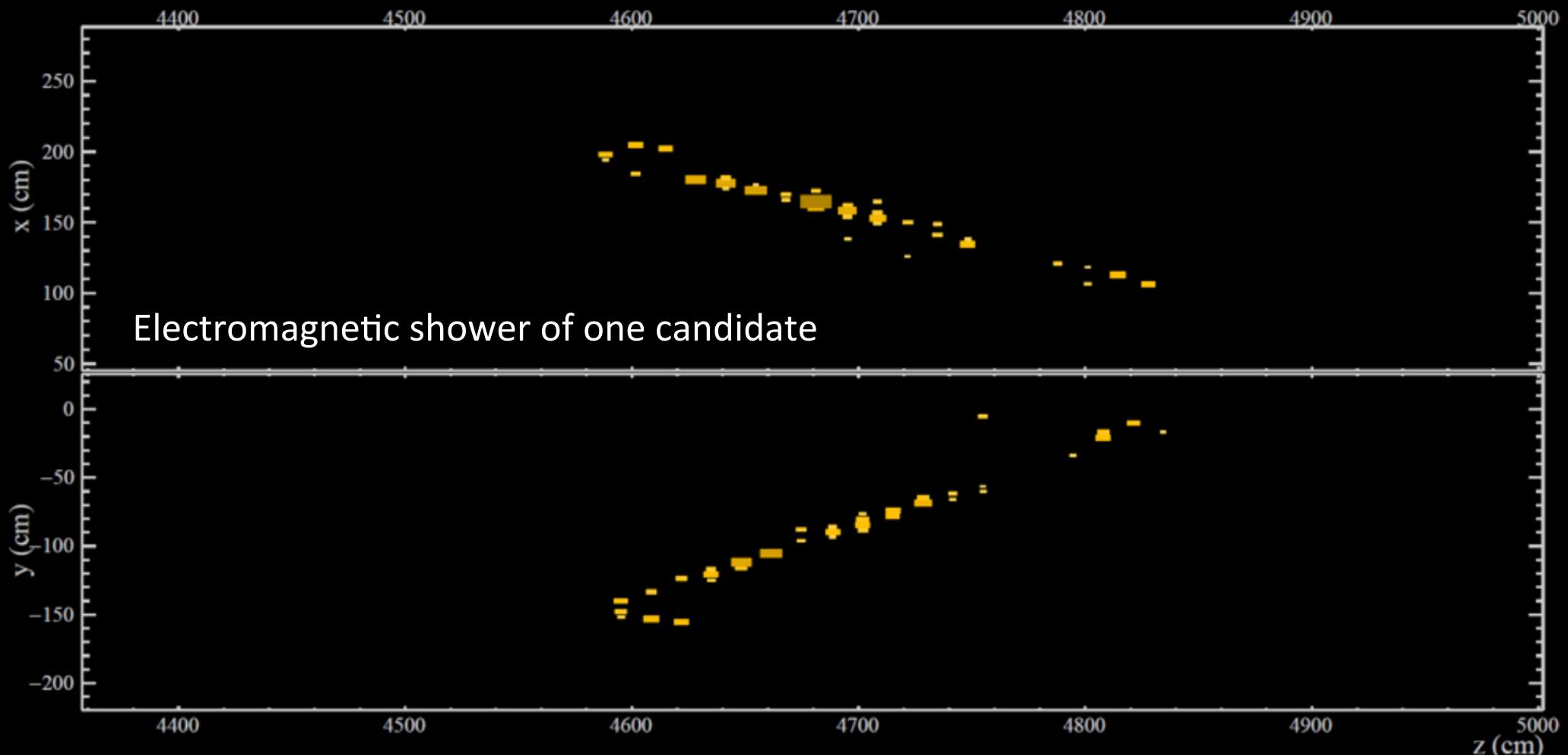
# NOvA $\nu_e$ appearance results

M. Sanchez, NuFact2015

6, 11 candidate  $\nu_e$  events  
observed with two selections  
in tracking detector

- Also signal dominated ( $\sim 1$  event background)
- $3.3\sigma/5.5\sigma$  evidence for CC  $\nu_e$  appearance
- Consistent with T2K

# NOvA $\nu_e$ appearance results



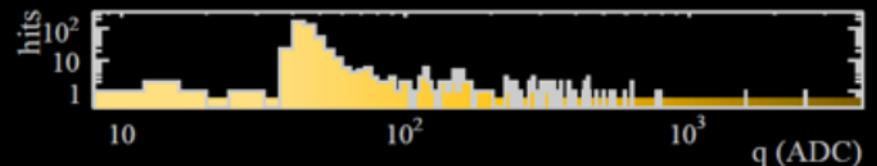
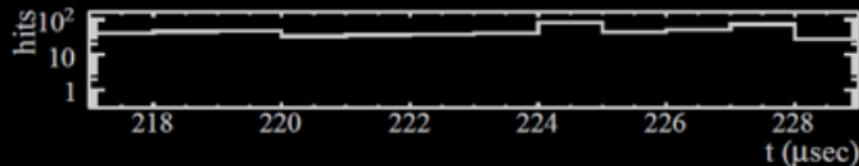
NOvA - FNAL E929

Run: 19165 / 62

Event: 920415 / --

UTC Mon Mar 23, 2015

11:43:54.311669120



# Aside 1: blind analyses

In a blind analysis, the analysers:

- Perform all checks and cross checks required prior to looking at the data; prevents tuning away or enhancing signals
- Report any changes post-unblinding
- Example: Expect nue appearance. Hide the expected nue appearance signal in a ‘box’ until the entire analysis chain is prepared and reviewed. Then, count the number of nue candidates
- Possible bias:
  - Revisiting event selection after seeing the data
  - Could try to reject events (because you want no signal events) or add events to the sample (because you want a signal, this one’s close enough!)

*Blindness is to avoid bias, additional resources in backups*

*NOvA used this for their first results*

## Aside 2: trials factor

NOvA sees 6 events with one selection, and 11 events with another selection

- ``Beware of trials factor for choosing 11 events after the fact''

Trials factor: With large data sets, expect some number of anomalies (statistically)

- Example: 200 medical researchers test 200 drugs. One researcher finds a statistically significant effect at the 99.9% C.L., and publishes. The other 199 find nothing, and publish nothing.
- But, chance of one drug giving a false signal: 0.1%.
- Chance that at least one of 199 drugs will give a significant result at this level: 18%

*NOvA chose the primary analysis in advance of seeing the event rate, so avoided the risk of choosing the more exciting answer after the fact*

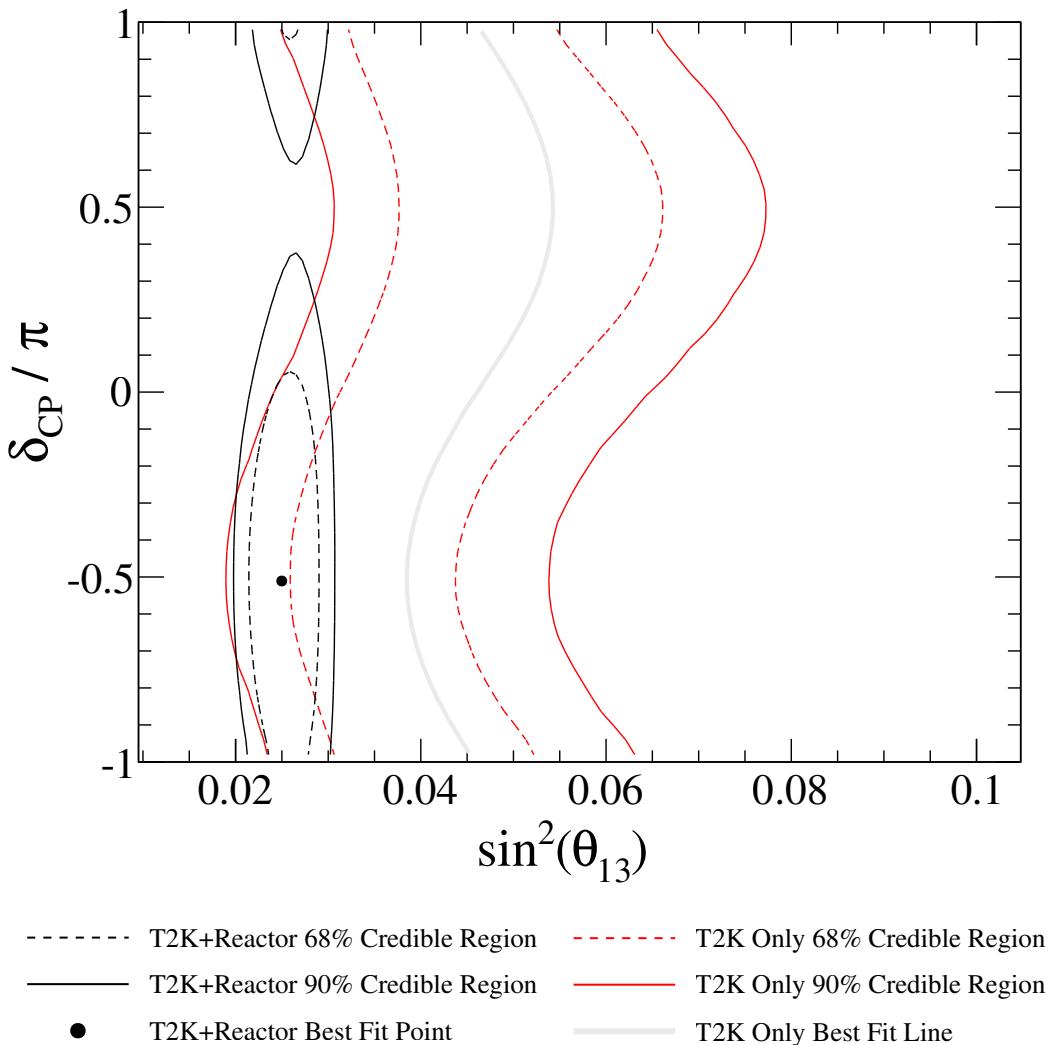
*Report what you measure!*

# Global picture of $\nu_e$ appearance

Long baseline experiments depend on  $\delta_{CP} + \theta_{13} + \dots$

Reactor experiments are pure measurements of  $\theta_{13}$

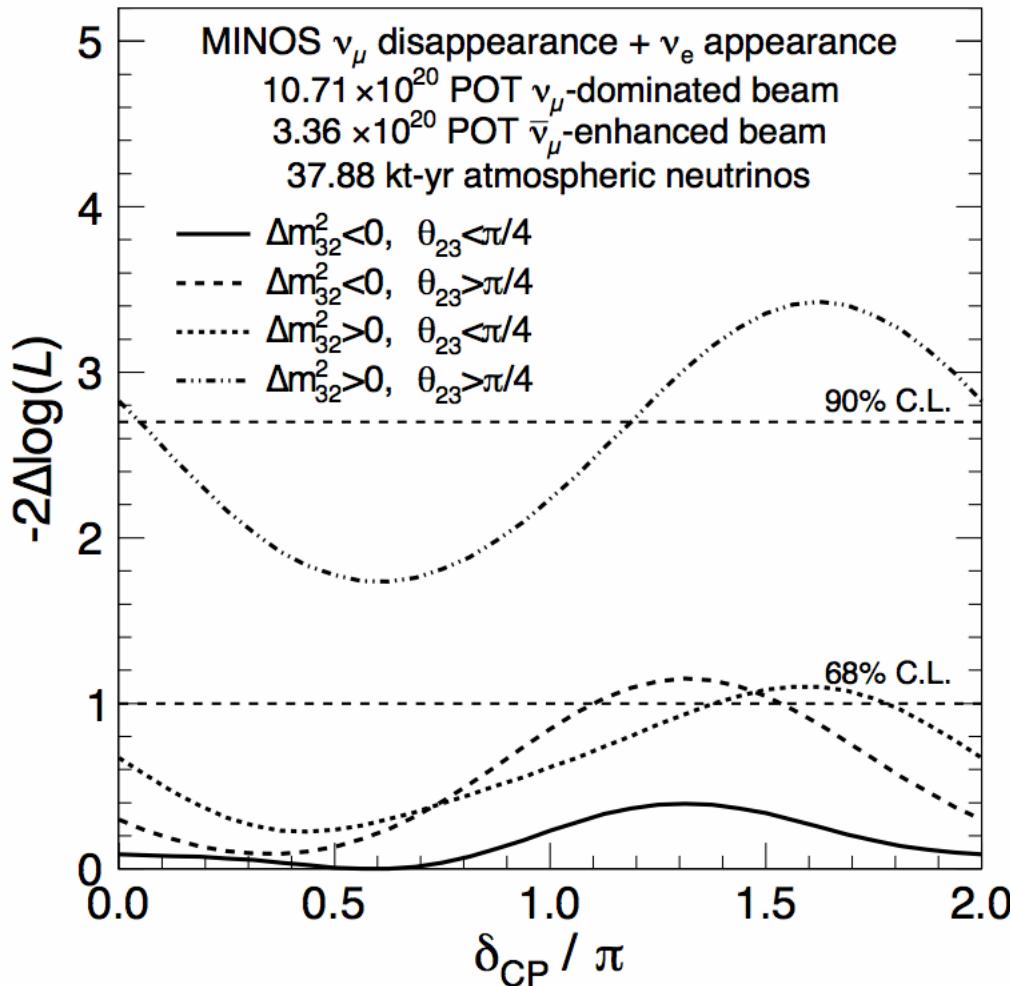
Large number of events observed by T2K, NOvA restrict allowed hierarchy +  $\delta_{CP}$



PRD 91, 072010 (2015)

# Global picture of $\nu_e$ appearance

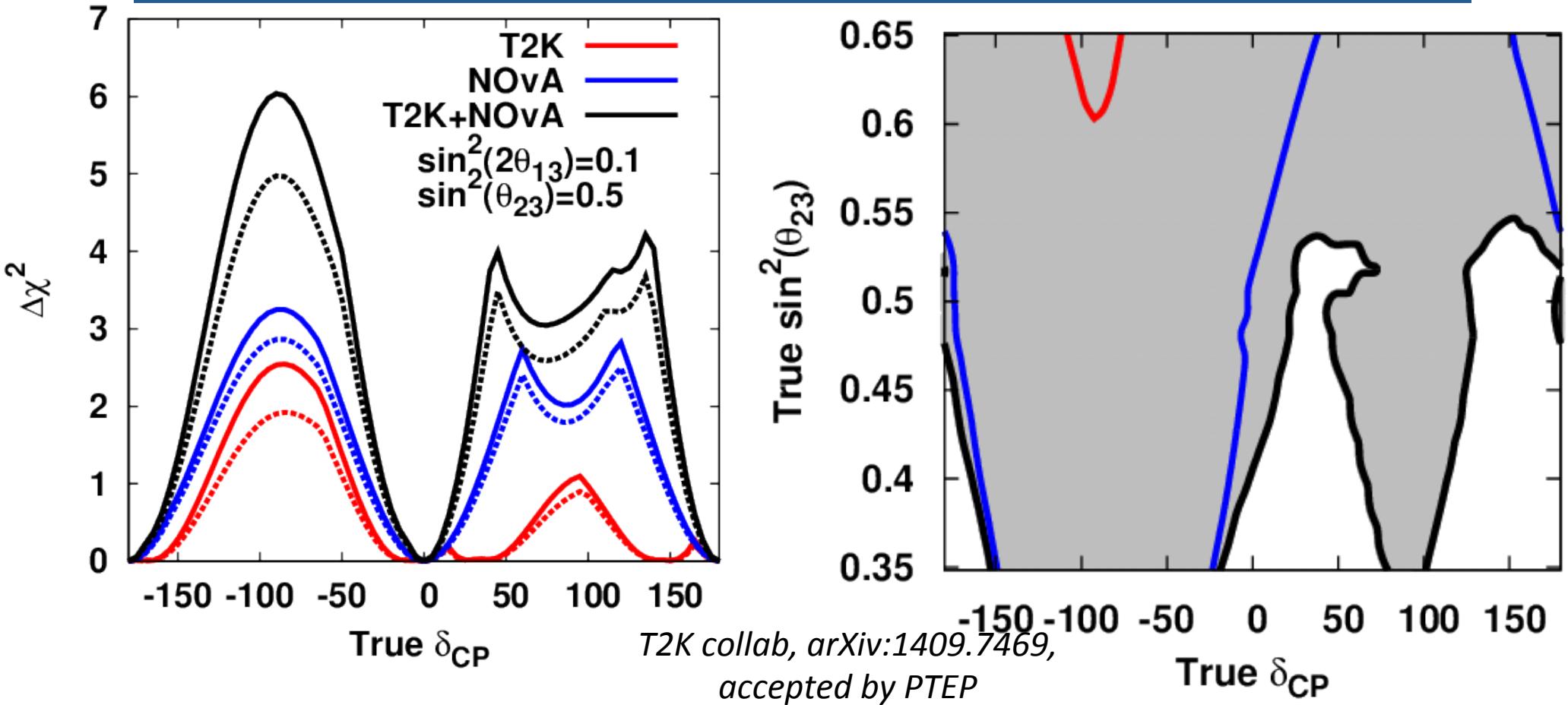
- T2K, NOvA favors  $\delta_{CP}$  around  $3\pi/2$  at 90%CL, disfavored by MINOS?
- But hierarchy is not determined due to entanglement with  $\delta_{CP}$  and octant
  - Not strong statements yet!



PRD 91, 072010 (2015)

Probability	$\Delta m^2_{32} > 0$	$\Delta m^2_{32} < 0$	Sum
$\sin^2 \theta_{23} \leq 0.5$	16.5%	20.0%	36.5%
$\sin^2 \theta_{23} > 0.5$	28.8%	34.7%	63.5%
Sum	45.3%	54.7%	

# Future $\nu_e$ appearance results



NOvA's higher energy (peak  $E_\nu \sim 2$  GeV) and longer baseline ( $L \sim 810$  km) has a different dependence on mass hierarchy through the matter effect than T2K's

- Gray regions are where the mass hierarchy can be determined to 90% CL for T2K (red), NOvA (blue), and T2K+NOvA (black) for full run of both experiments
  - **95%CL determination for 1/3<sup>rd</sup> of  $\delta_{CP}$  space for maximal  $\theta_{23}$**

*Unknown complications of  $\theta_{23}$  and  $\delta_{CP}$  in this equation mean multiple experiments are complementary*

# The OPERA experiment

On-axis beam ( $E_\nu \approx 17$  GeV)

CERN to Gran Sasso, Italy (730km)

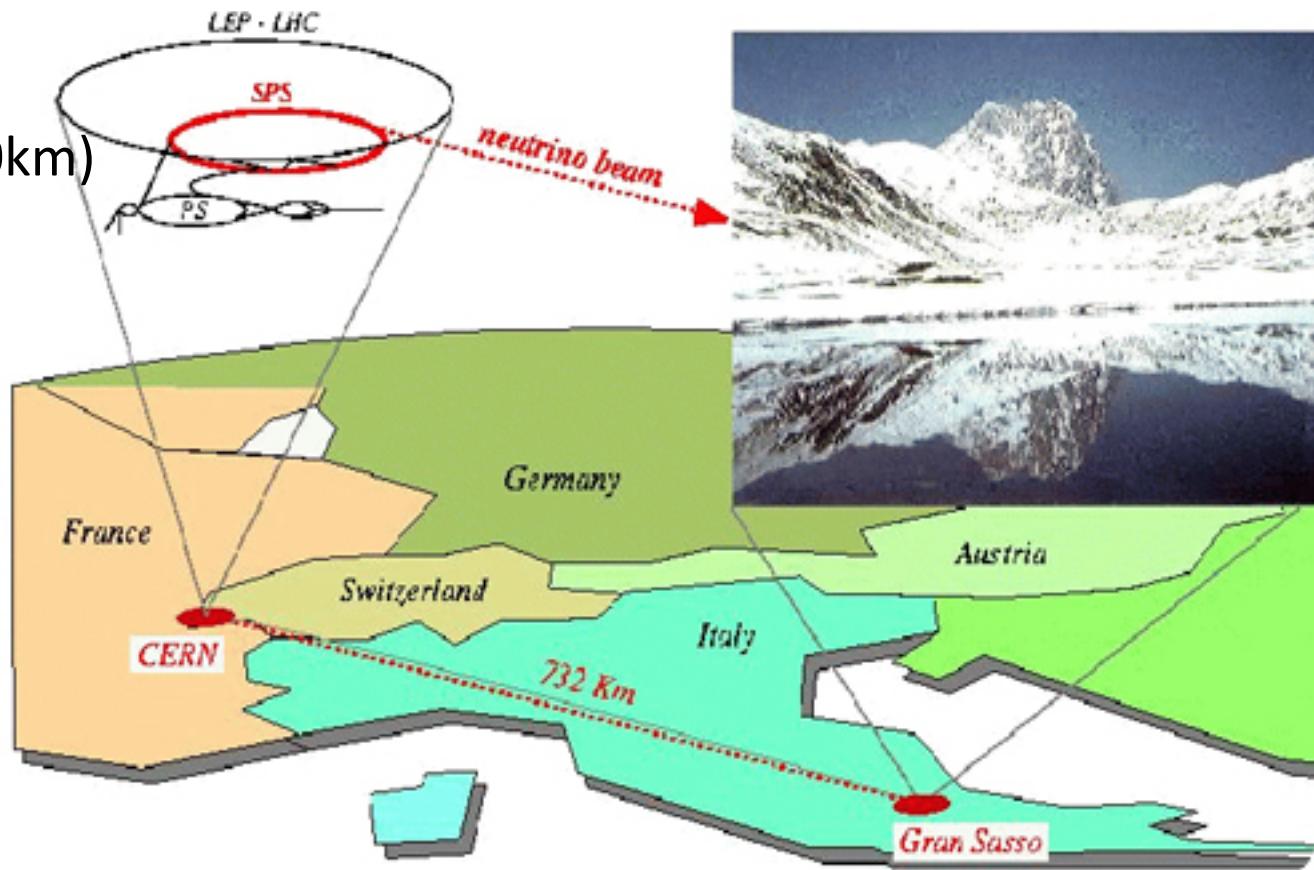
## OPERA physics run:

Operated from 2008-2012

Neutrinos:  $1.8 \times 10^{20}$  POT

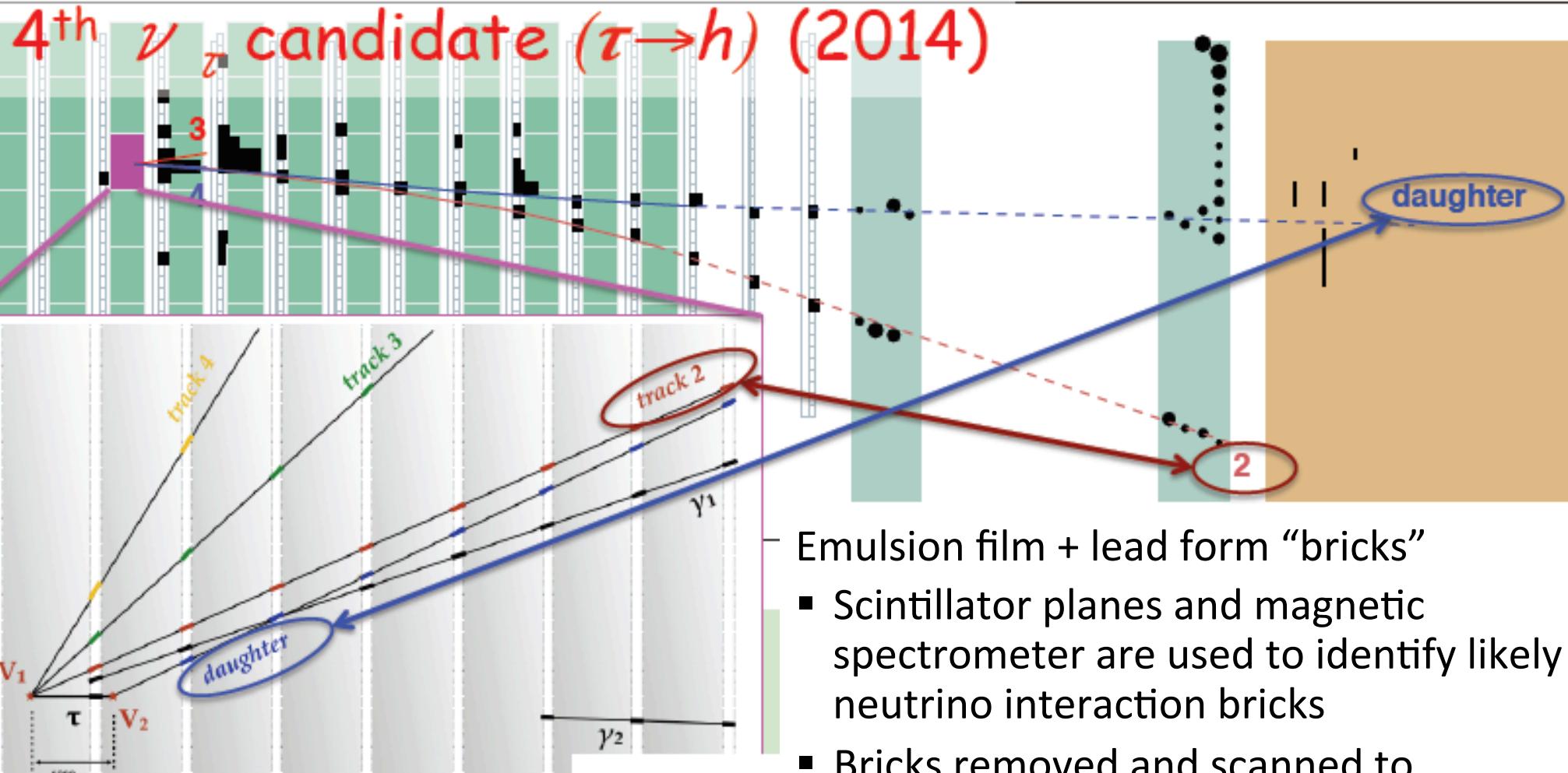
## Measurements of:

$\nu_\tau$  appearance



*OPERA plots from  
S. Dusini, Neutrino2014*

# OPERA $\nu_T$ appearance results



$\nu_T$  appearance expected signal  $2.10 \pm 0.4$ , background  $0.23 \pm 0.04$

Observed 4 candidate events (no oscillation excluded at  $4.2\sigma$ )

# A third appearance experiment

LSND Experiment: observation of  $3.8\sigma$  excess of  $\bar{\nu}_e$  in  $\bar{\nu}_\mu$  beam

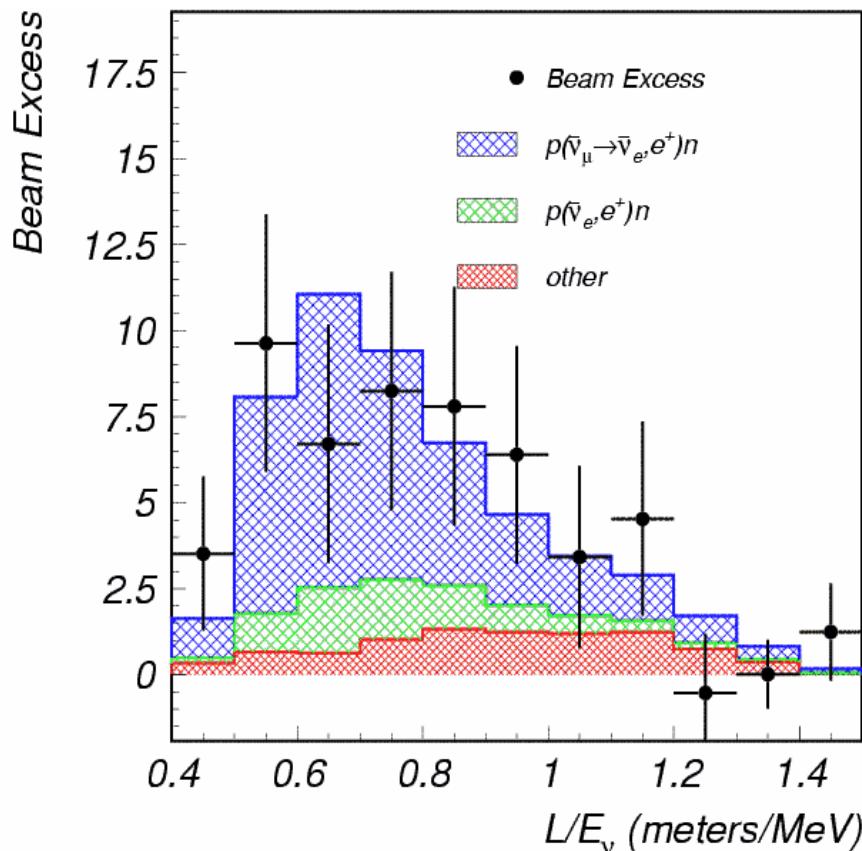
“Short baseline”:  $E_\nu \sim 30$  MeV,  $L \sim 30$ m

$\bar{\nu}_e$  detected with inverse beta decay and delayed n capture

There are two independent  $\Delta m^2$ , as  $\Delta m^2_{21} + \Delta m^2_{32} = \Delta m^2_{31}$

LSND observed  $\Delta m^2 \sim 1$ eV $^2$  >>  $\Delta m^2_{21}$  ( $10^{-5}$  eV $^2$ ) +  $\Delta m^2_{32}$  ( $10^{-3}$  eV $^2$ )

Inconsistent with just three mass eigenstates, is it new physics?



# A third appearance experiment

LSND Experiment: observation of  $3.8\sigma$  excess of  $\bar{\nu}_e$  in  $\bar{\nu}_\mu$  beam

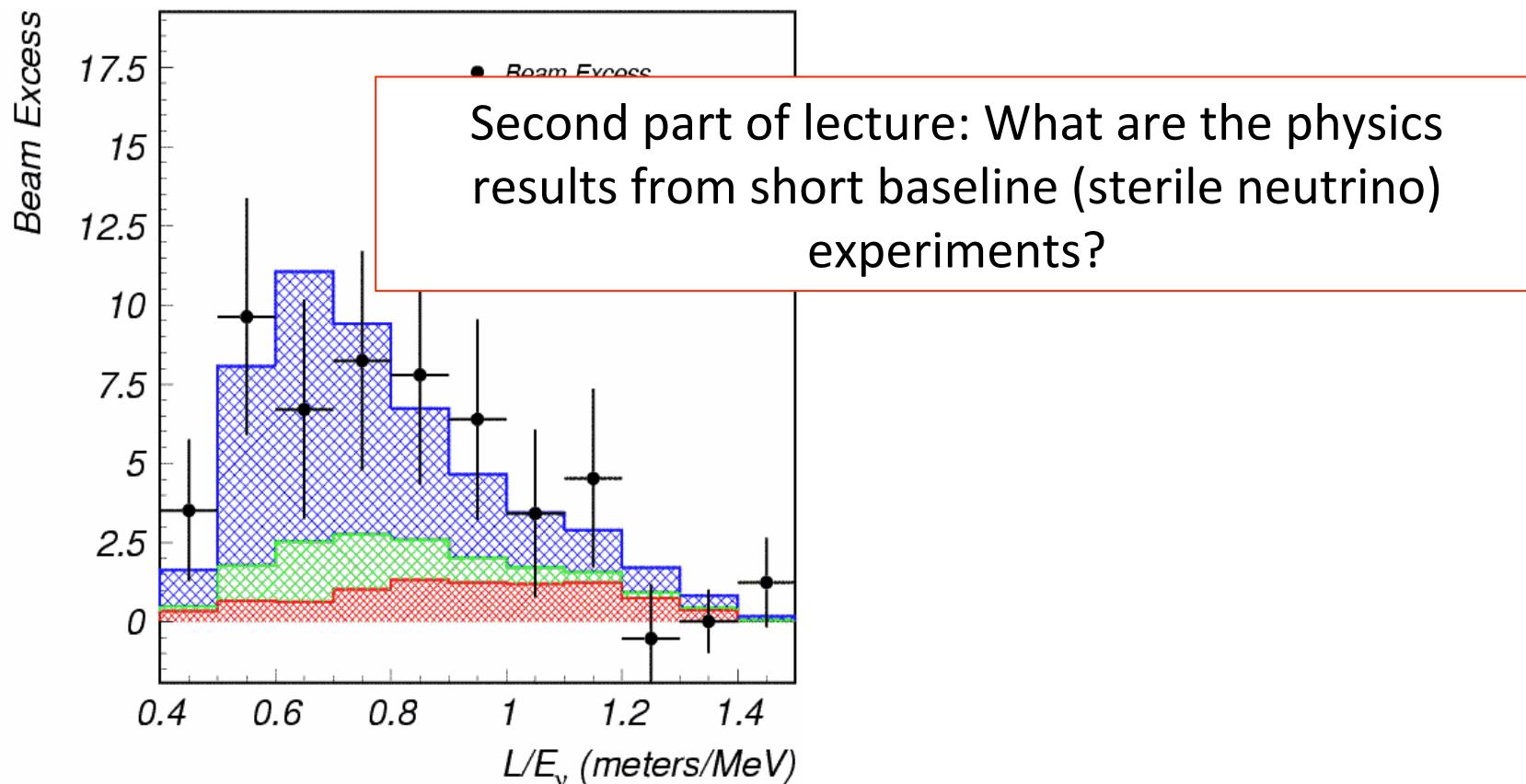
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Inconsistent with just three mass eigenstates, is it new physics?



# Sterile neutrinos

One explanation for the LSND oscillation signal is to add another “sterile” flavor of neutrino (or 2 or N) to the mixing matrix:  
 Adding 1 sterile neutrino is 3+1, adding N is 3+N

$$U_{\alpha i} = \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \\ \vdots \\ \nu_s \end{pmatrix} \begin{pmatrix} U_{e1} & U_{e2} & \cdots & U_{eN} \\ U_{\mu 1} & U_{\mu 2} & \cdots & U_{\mu N} \\ U_{\tau 1} & U_{\tau 2} & \cdots & U_{\tau N} \\ \vdots & \vdots & \ddots & \vdots \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \\ \vdots \\ \nu_N \end{pmatrix}$$

3+1 oscillation probability:

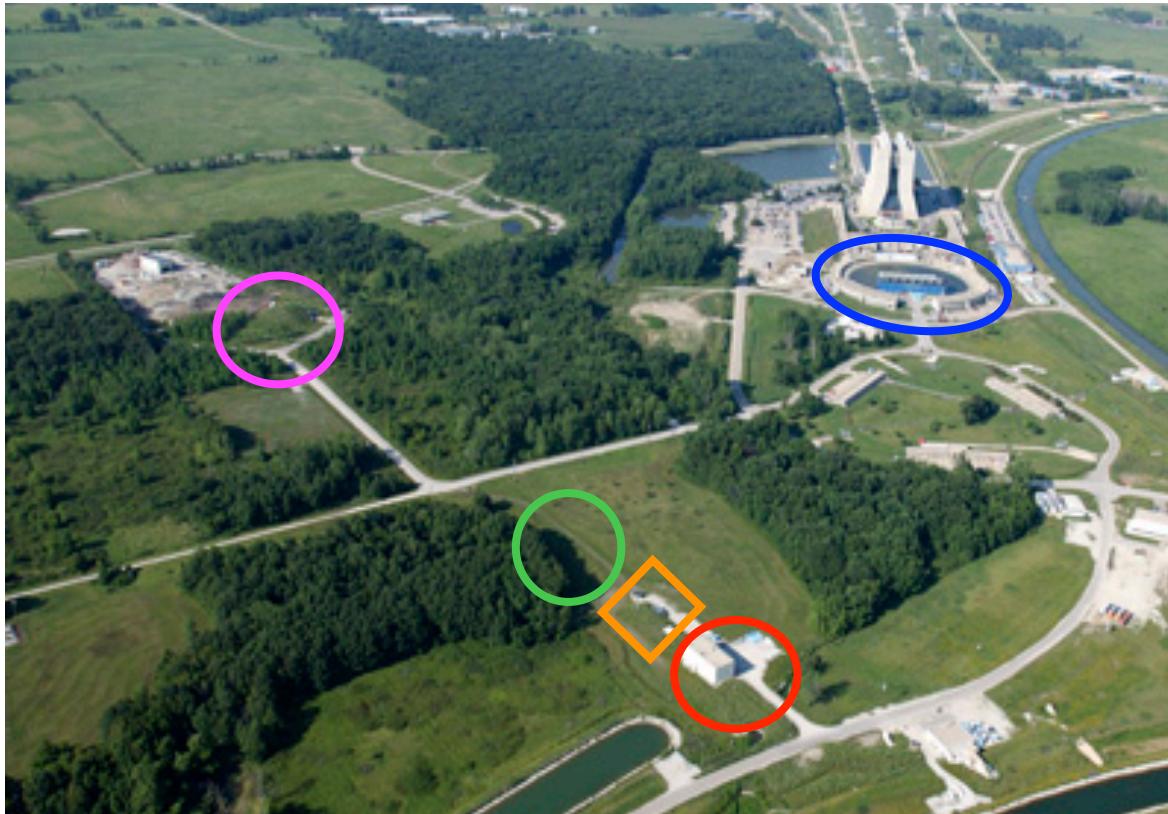
$$P(\nu_\mu \rightarrow \nu_e) = 4 |U_{e4}|^2 |U_{\mu 4}|^2 \sin^2 \left( \frac{1.27 \Delta m_{41}^2 L}{E} \right)$$

$$P(\nu_\mu \rightarrow \nu_x) = 1 - 4 |U_{\mu 4}|^2 (1 - |U_{\mu 4}|^2) \sin^2 \left( \frac{1.27 \Delta m_{41}^2 L}{E} \right)$$



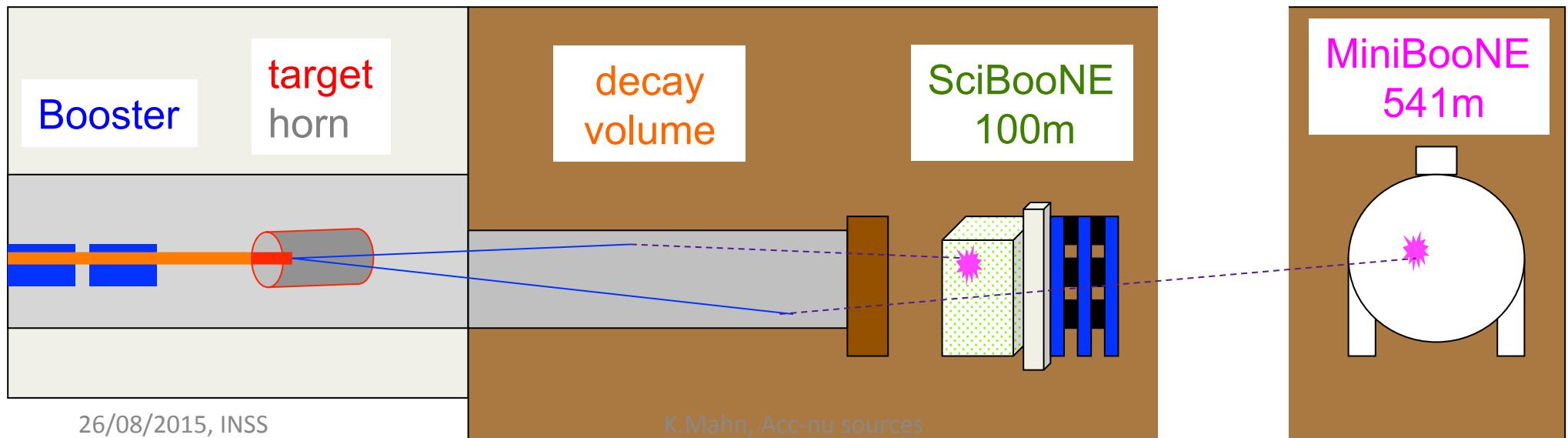
W.C. Louis,  
 Nature, Volume: 478,  
 Pages: 328–329

# The Booster Neutrino Experiments (BooNEs)

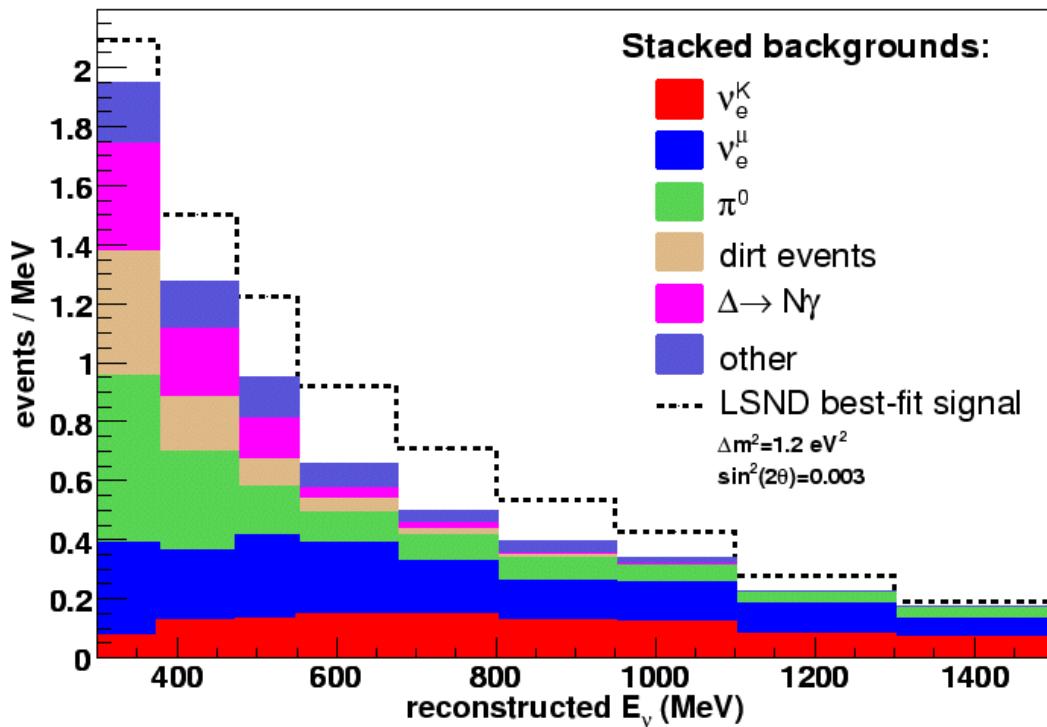


- MiniBooNE placed for maximal LSND oscillation (541 m at 1 GeV;  $\Delta m^2 \sim 1\text{eV}^2$ )
- Different signal identification, systematics
- SciBooNE reused from earlier experiments

*Beamline now to be used for short baseline neutrino program (SBN)*



# MiniBooNE $\nu_e$ appearance analysis



Signal

( $Dm^2=1\text{eV}^2$ ,  $\sin^2 2q=0.004$ )

Background

- misidentified  $\nu_\mu$  (mainly  $\pi^0$ s)
- $\nu_e$  from  $\mu^+$  decay
- $\nu_e$  from  $K^+, K^0$  decay
- $\Delta \Rightarrow N\gamma$
- External backgrounds

Similar to T2K appearance analysis:

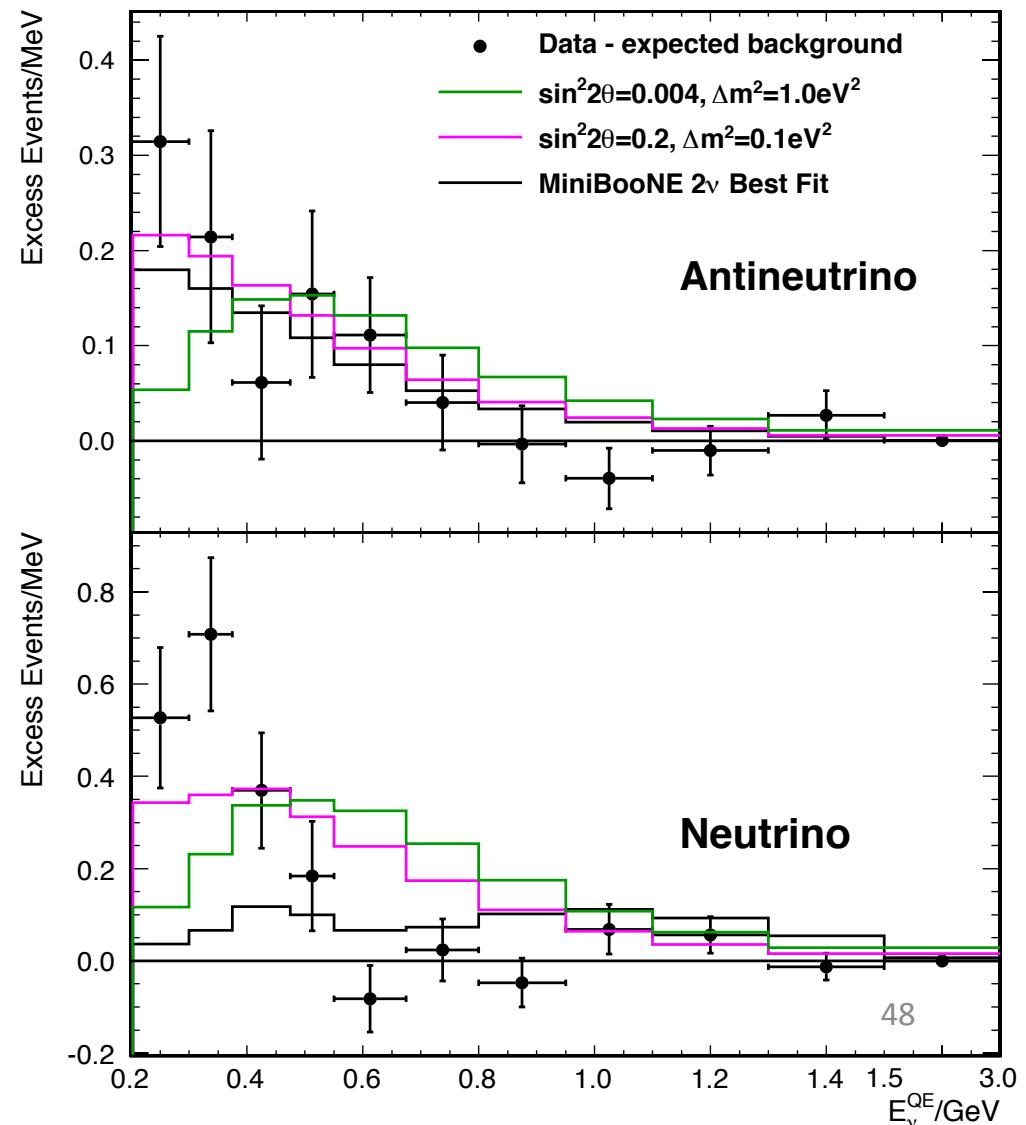
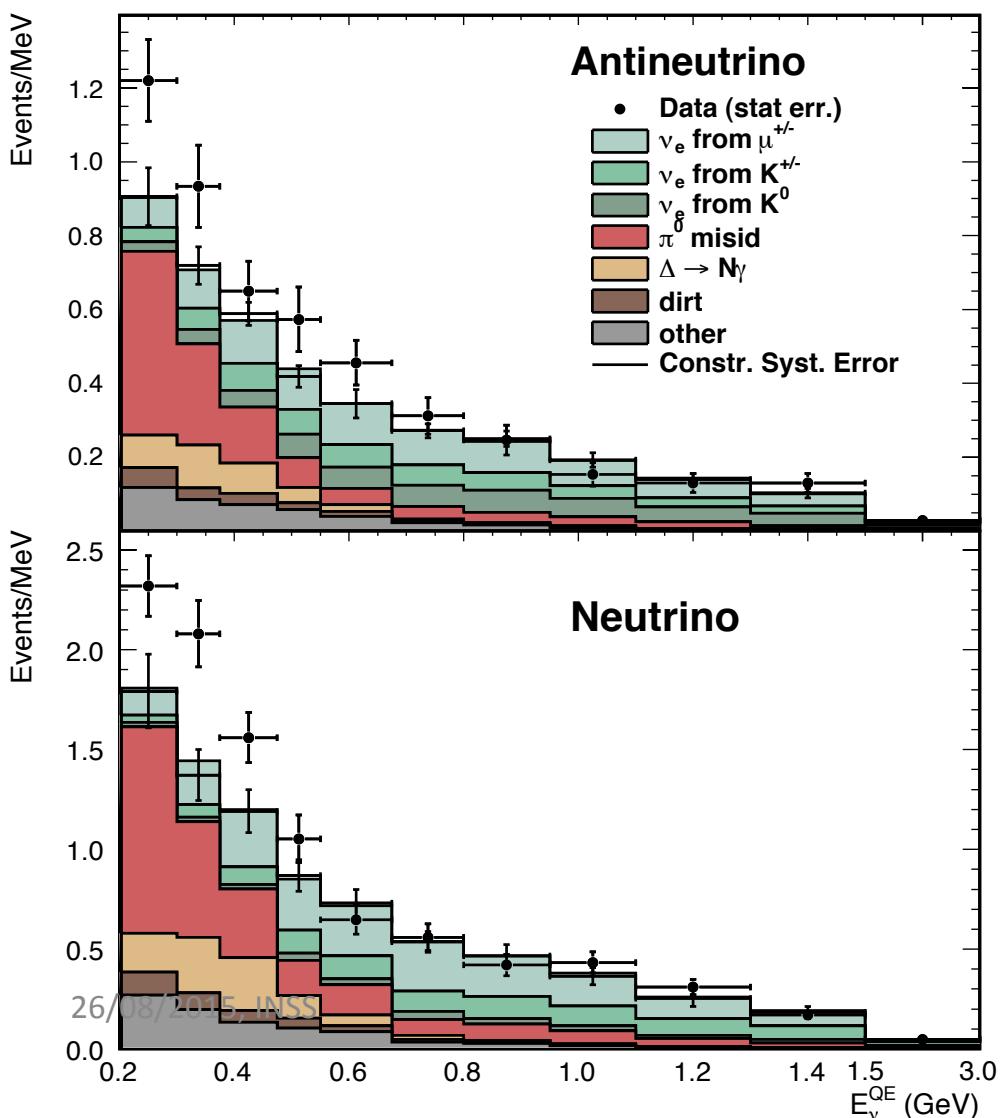
- Backgrounds from NC, intrinsic  $\nu_e$

Main difference: much smaller oscillation probability (0.25%), background dominated

# MiniBooNE $\nu_e$ appearance results

Lack of  $\nu_\mu \rightarrow \nu_e$  appearance but observation of  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  appearance

- Low energy excess drives tension in neutrinos, not well mapped to 3+1 signal
- Photon background? MicroBooNE to test

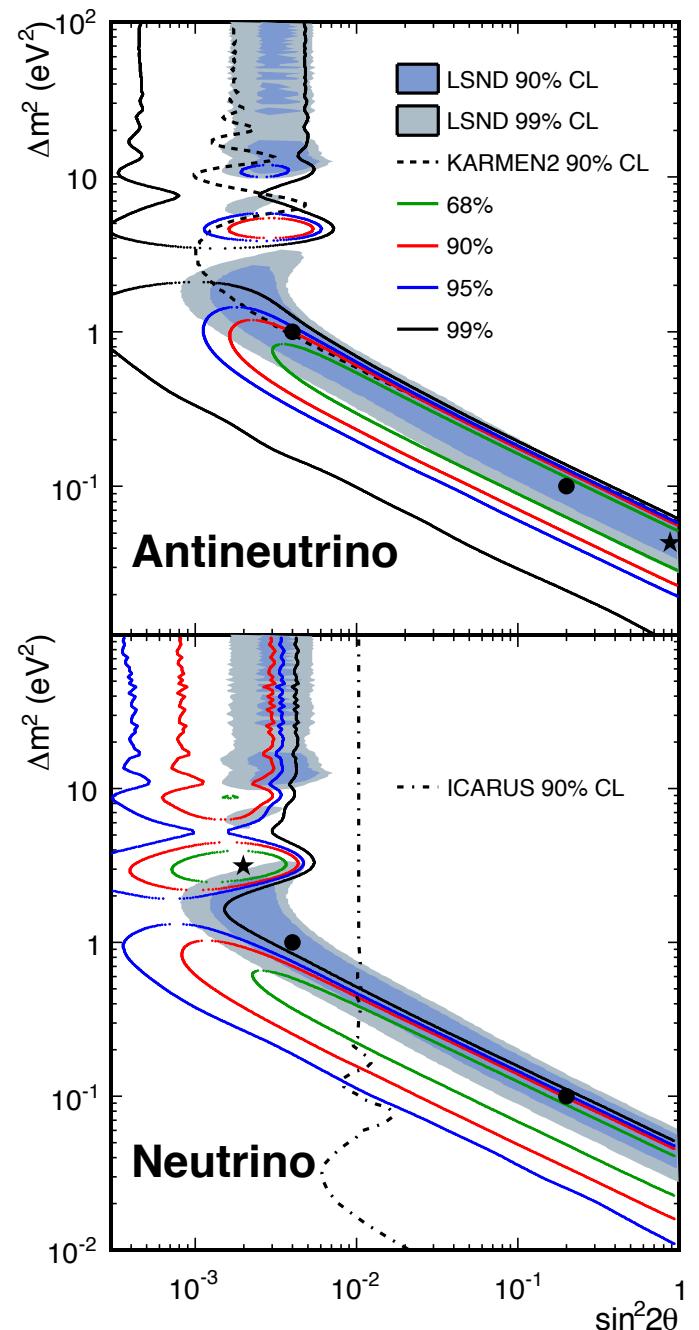


# Global $\nu_e$ appearance results

- Neutrino data fit: PRL 98:231801, 2007
- Antineutrino data fit: PRL 105:181801, 2010
- Combined fit of neutrino and antineutrino data have  $3.8\sigma$  signal for  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  appearance
  - PRL 110, 161801 (2013)

ICARUS experiment ( $\sim 730$ km flight distance, 20 GeV neutrinos) sees no evidence for appearance

- Eur. Phys. J. C (2013) 73:2345
- Will move to beamline at FNAL for additional tests



# Sterile neutrinos

One explanation for the LSND oscillation signal is to add another “sterile” flavor of neutrino (or 2 or N) to the mixing matrix:  
 Adding 1 sterile neutrino is 3+1, adding N is 3+N

$$U_{\alpha i} = \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \\ \vdots \\ \nu_s \end{pmatrix} \begin{pmatrix} U_{e1} & U_{e2} & \cdots & U_{eN} \\ U_{\mu 1} & U_{\mu 2} & \cdots & U_{\mu N} \\ U_{\tau 1} & U_{\tau 2} & \cdots & U_{\tau N} \\ \vdots & \vdots & \ddots & \vdots \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \\ \vdots \\ \nu_N \end{pmatrix}$$

3+1 oscillation probability:

$$P(\nu_\mu \rightarrow \nu_e) = 4 |U_{e4}|^2 |U_{\mu 4}|^2 \sin^2 \left( \frac{1.27 \Delta m_{41}^2 L}{E} \right)$$

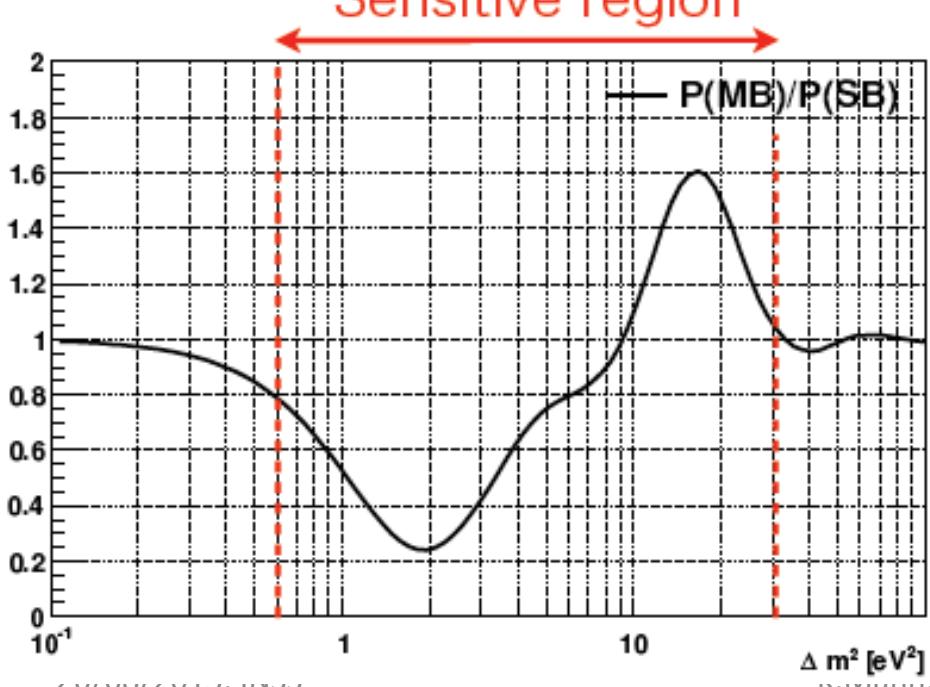
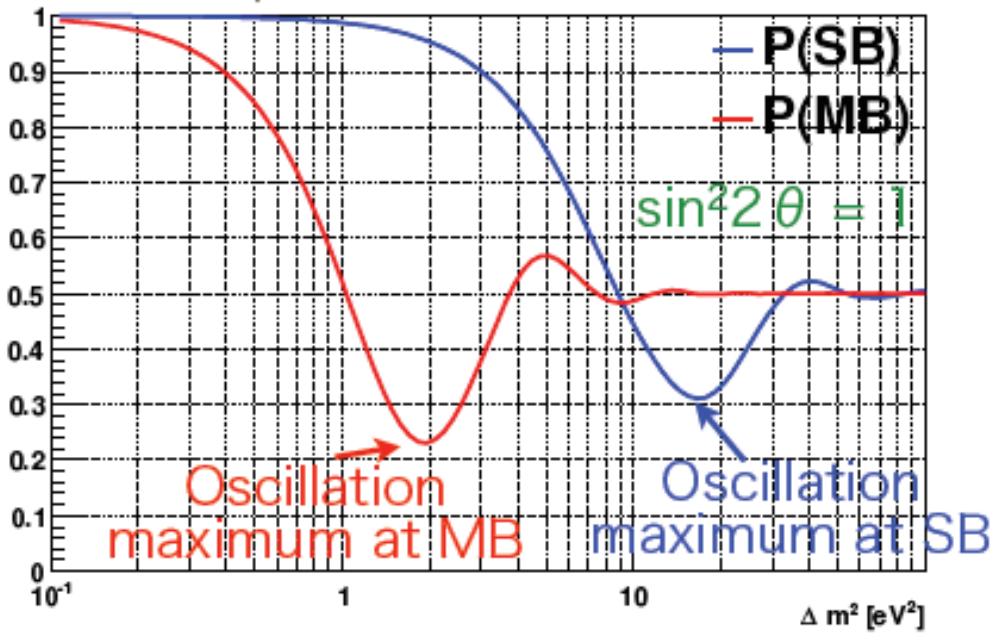
$$P(\nu_\mu \rightarrow \nu_x) = 1 - 4 |U_{\mu 4}|^2 (1 - |U_{\mu 4}|^2) \sin^2 \left( \frac{1.27 \Delta m_{41}^2 L}{E} \right)$$



W.C. Louis,  
 Nature, Volume: 478,  
 Pages: 328–329

# Disappearance at SciBooNE and MiniBooNE

$\nu_\mu$  survival prob. for the total # of events



Consider a 3+1 oscillation model:

$$P(\nu_\mu \rightarrow \nu_{x \neq \mu}) \cong \sin^2 2\theta \sin^2 \left( \frac{\Delta m^2 L}{4E} \right)$$

Below  $\Delta m^2 \sim 0.5 \text{ eV}^2$ ,  $\nu_\mu$  have not oscillated yet

At  $0.5 < \Delta m^2 < 2 \text{ eV}^2$ , events at MiniBooNE undergo oscillation

At  $2 < \Delta m^2 < 30 \text{ eV}^2$ , events at SciBooNE also undergo oscillation

Above  $\Delta m^2 \sim 30 \text{ eV}^2$ , oscillation is an overall normalization change, where MiniBooNE/SciBooNE are insensitive

# Oscillation probability vs neutrino energy

Disappearance observable as a deficit and distortion to neutrino energy spectrum

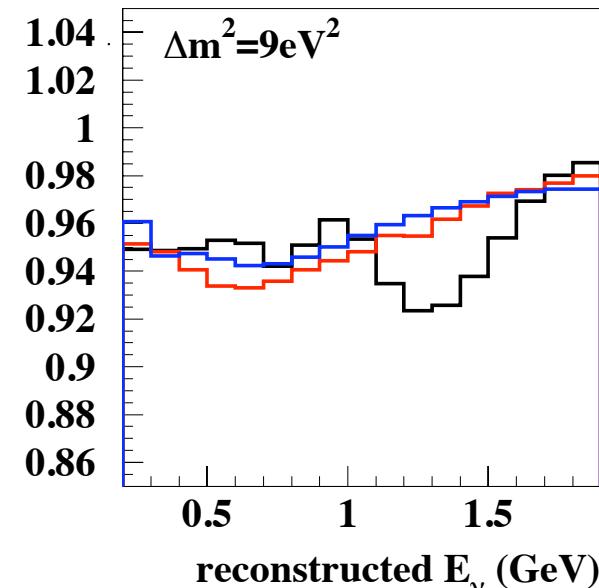
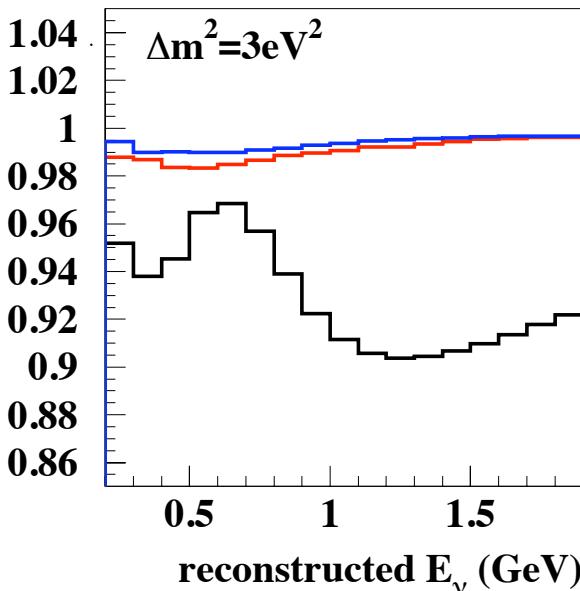
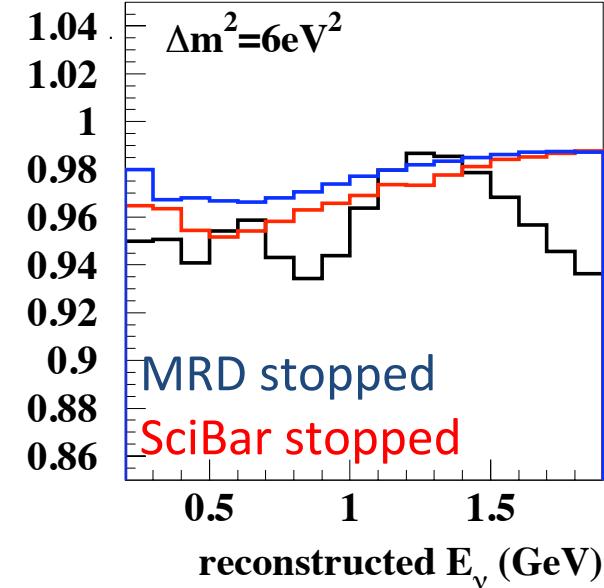
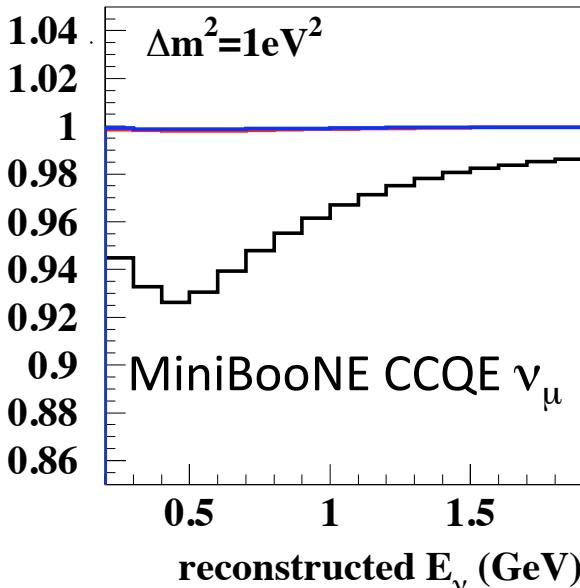
Includes:

- Oscillation of all CC  $\nu_\mu$  interactions at SciBooNE and MiniBooNE
- Distribution of distance travelled by neutrinos ( $L$ )

Mean L	
SciBooNE	~76m
MiniBooNE	~520m

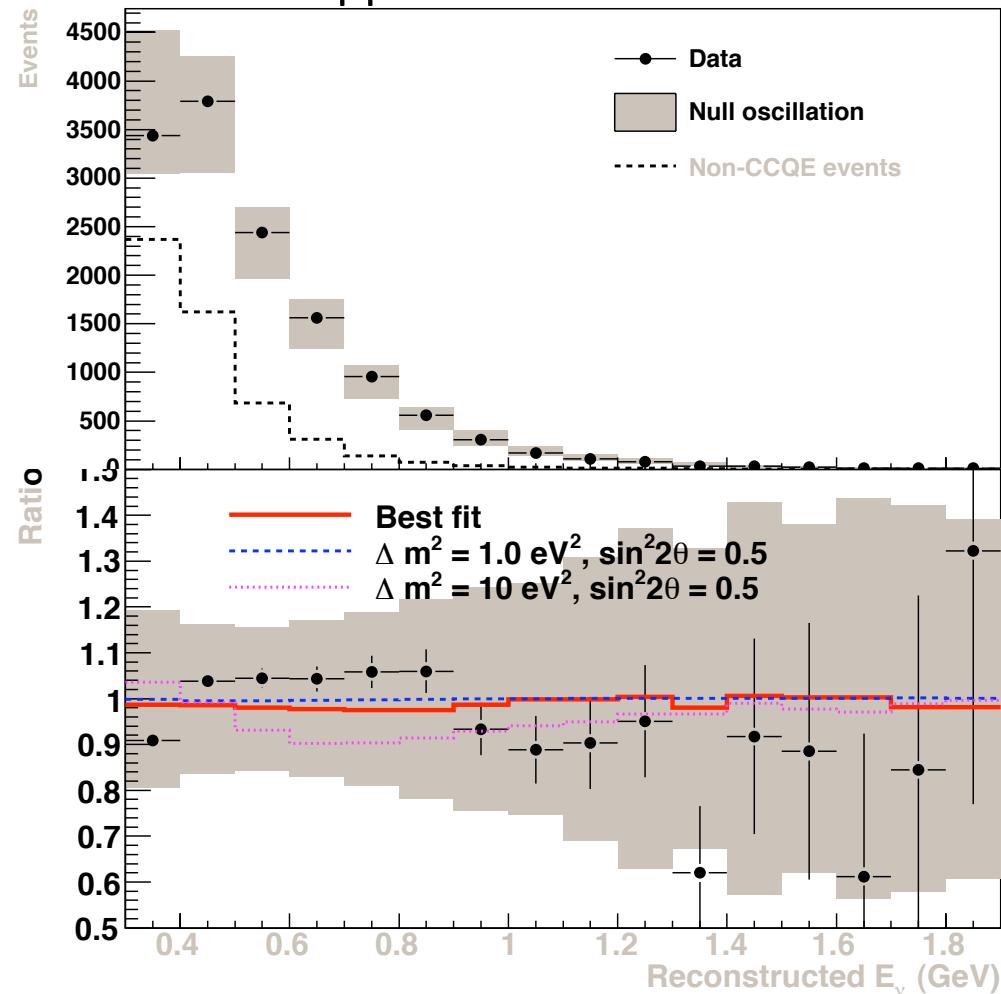
~50m spread in  $L$  due to finite decay volume

Ratio of oscillated spectrum to unoscillated ( $\sin^2 2\theta = 0.10$ )

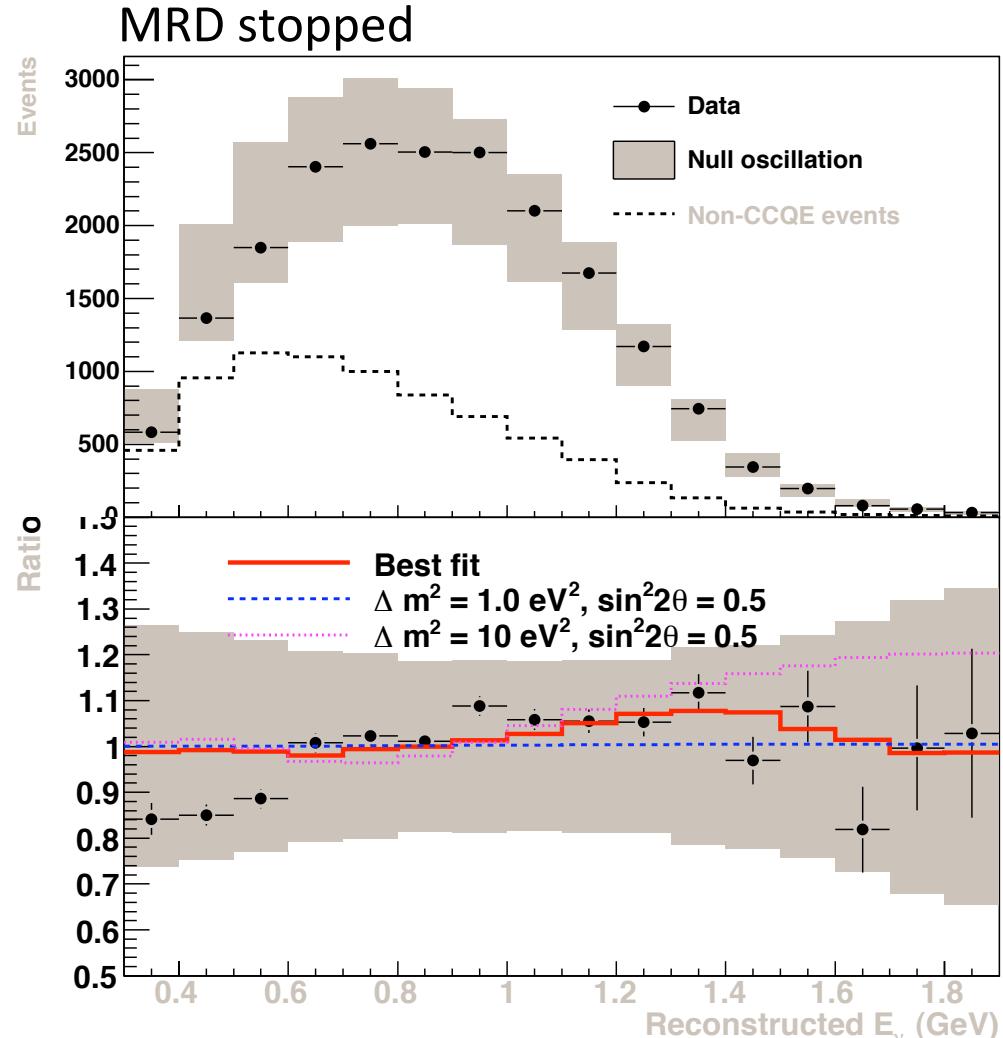


# SciBooNE CC $\nu_\mu$ data set

SciBar stopped



MRD stopped



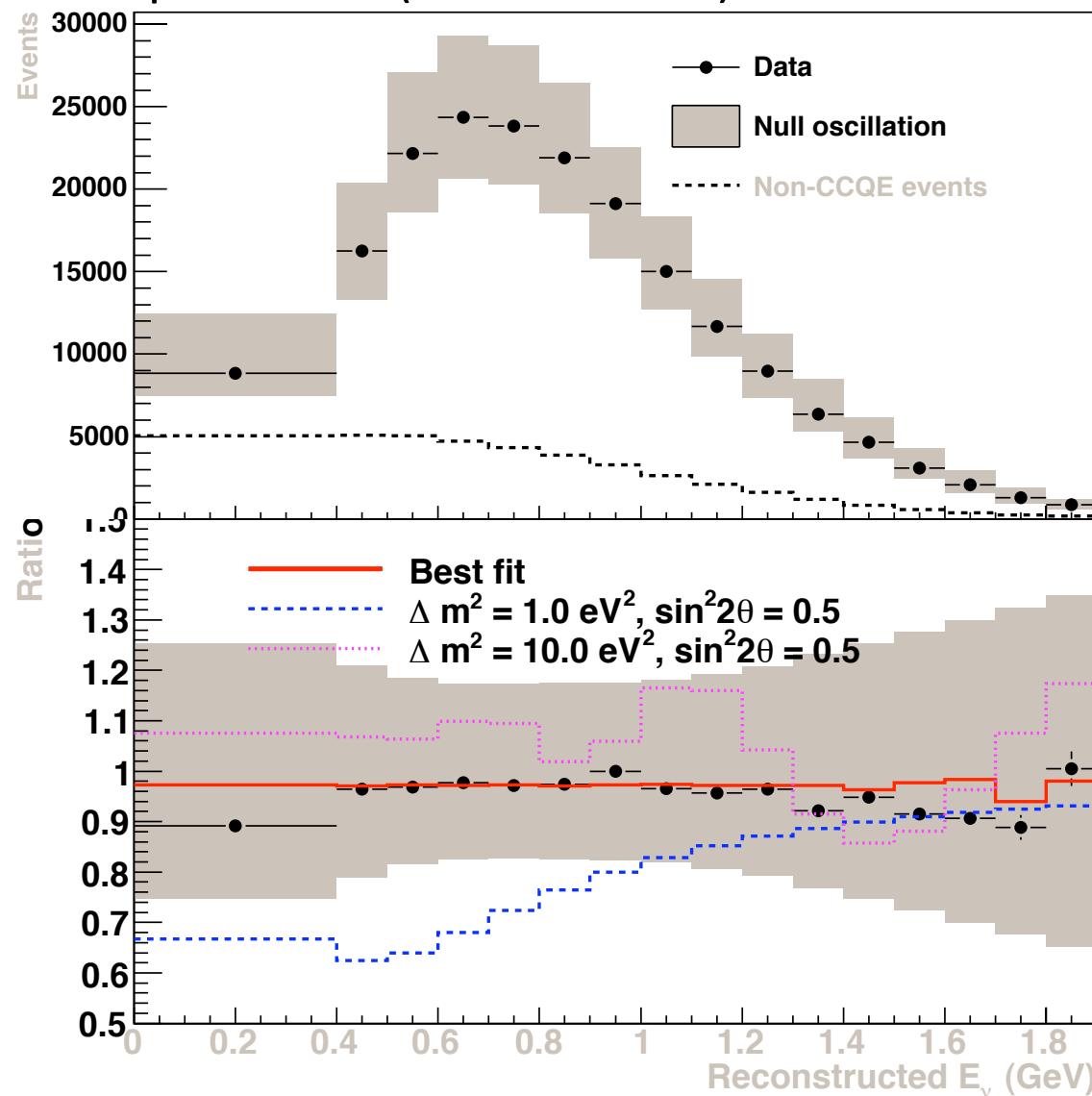
First, test agreement of SciBooNE datasets:

- No evidence for oscillation at SciBooNE

Error bands include neutrino flux, cross section and detector uncertainties

# MiniBooNE CCQE $\nu_\mu$ data set

MiniBooNE CCQE  $\nu_\mu$  data set  
+ prediction (no oscillation)



Fit 16+16+16 bins in total = 48

$$\chi^2 (\text{null}) = 45.1 / 48 \text{ (DOF)}$$

$$\chi^2 (\text{best}) = 39.5 / 46 \text{ (DOF)}$$

At  $\Delta m^2 = 43.7 \text{ eV}^2, \sin^2 2\theta = 0.60$

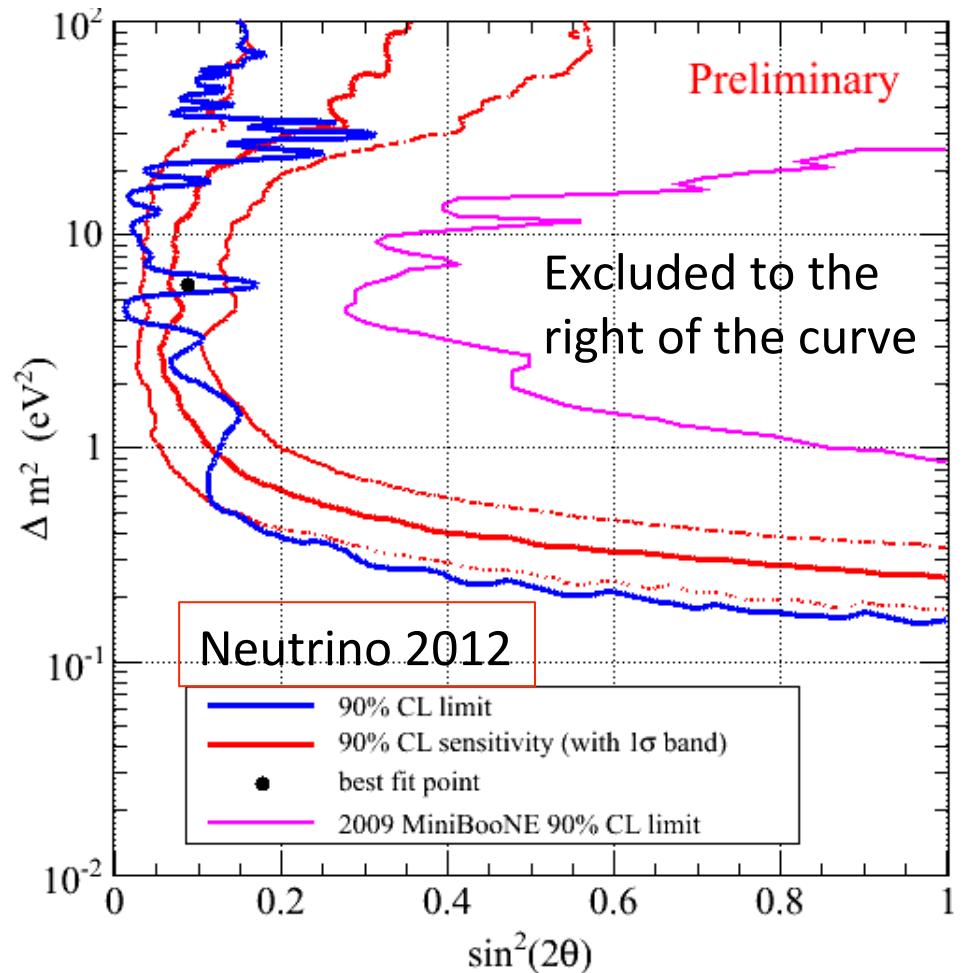
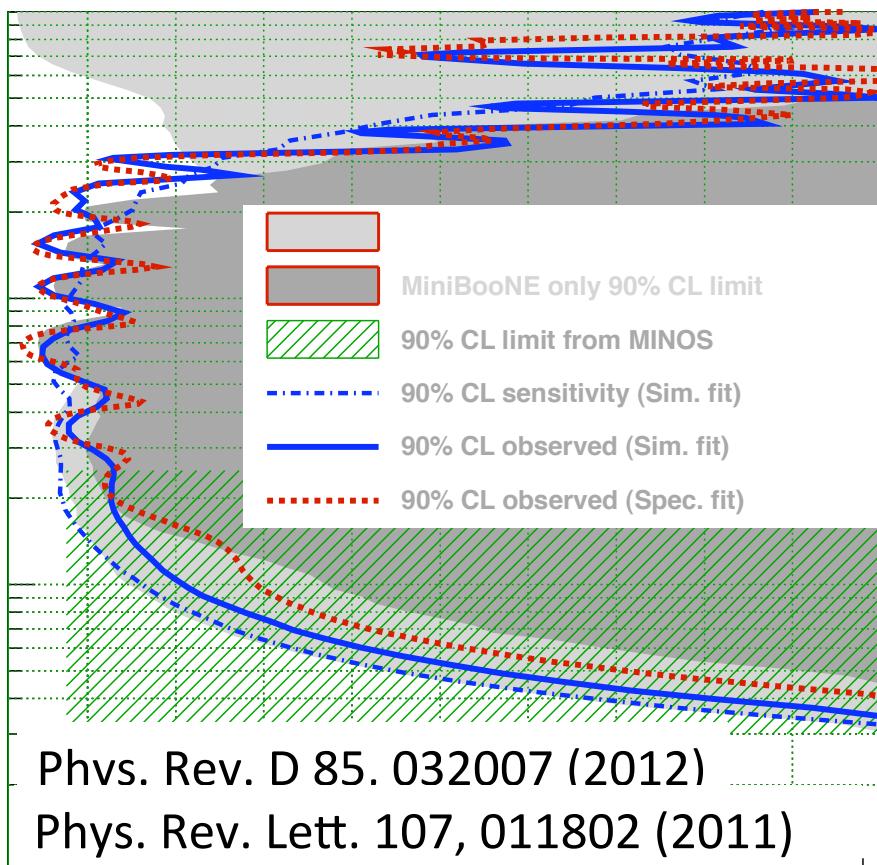
$$\Delta \chi^2 = \chi^2(\text{null}) - \chi^2(\text{best}) = 5.6$$

$$\Delta \chi^2 (90\% \text{ CL, null}) = 9.3$$

(estimated from frequentist techniques)

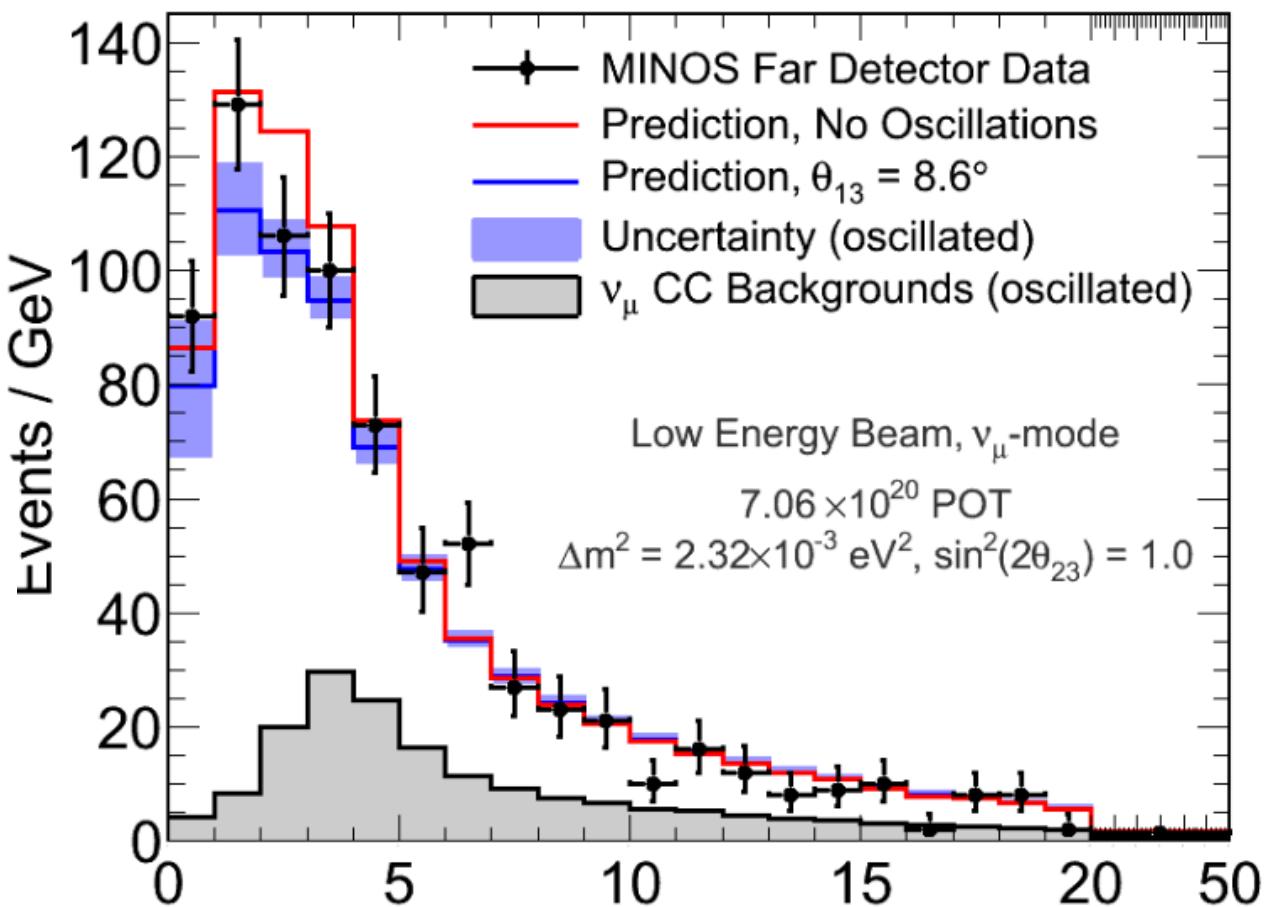
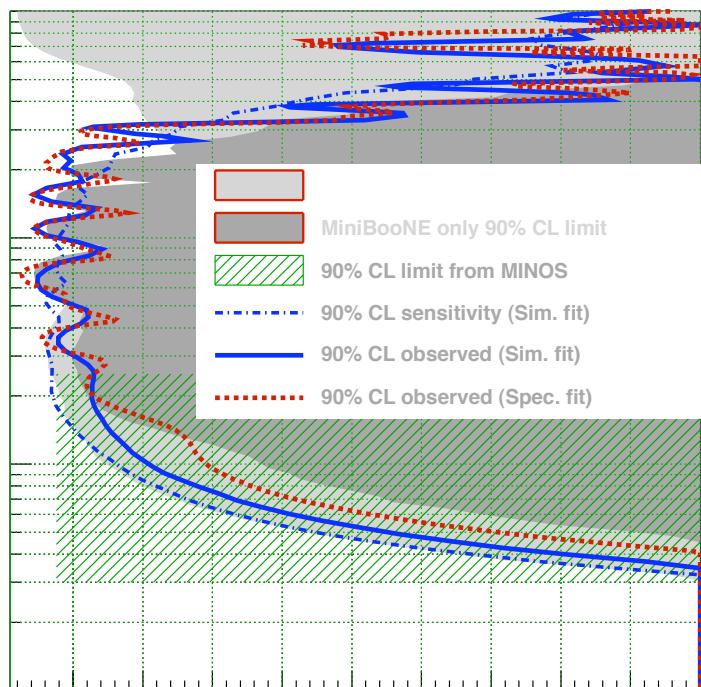
# Non-standard $\nu_\mu$ disappearance results

Joint search for non standard disappearance with MiniBooNE and SciBooNE data is consistent with no  $\nu_\mu$  or  $\bar{\nu}_\mu$  disappearance at 90%CL



# Short baseline physics at long baseline

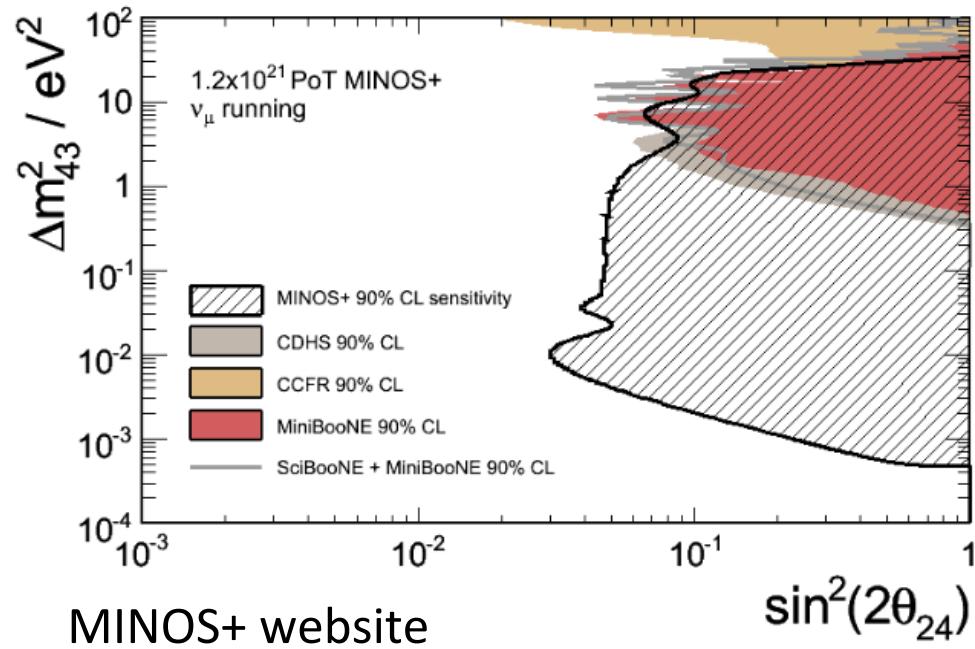
The presence of a sterile neutrino would produce a deficit of active flavors at the far detector (NC deficit)



Green limit from MINOS NC far detector events

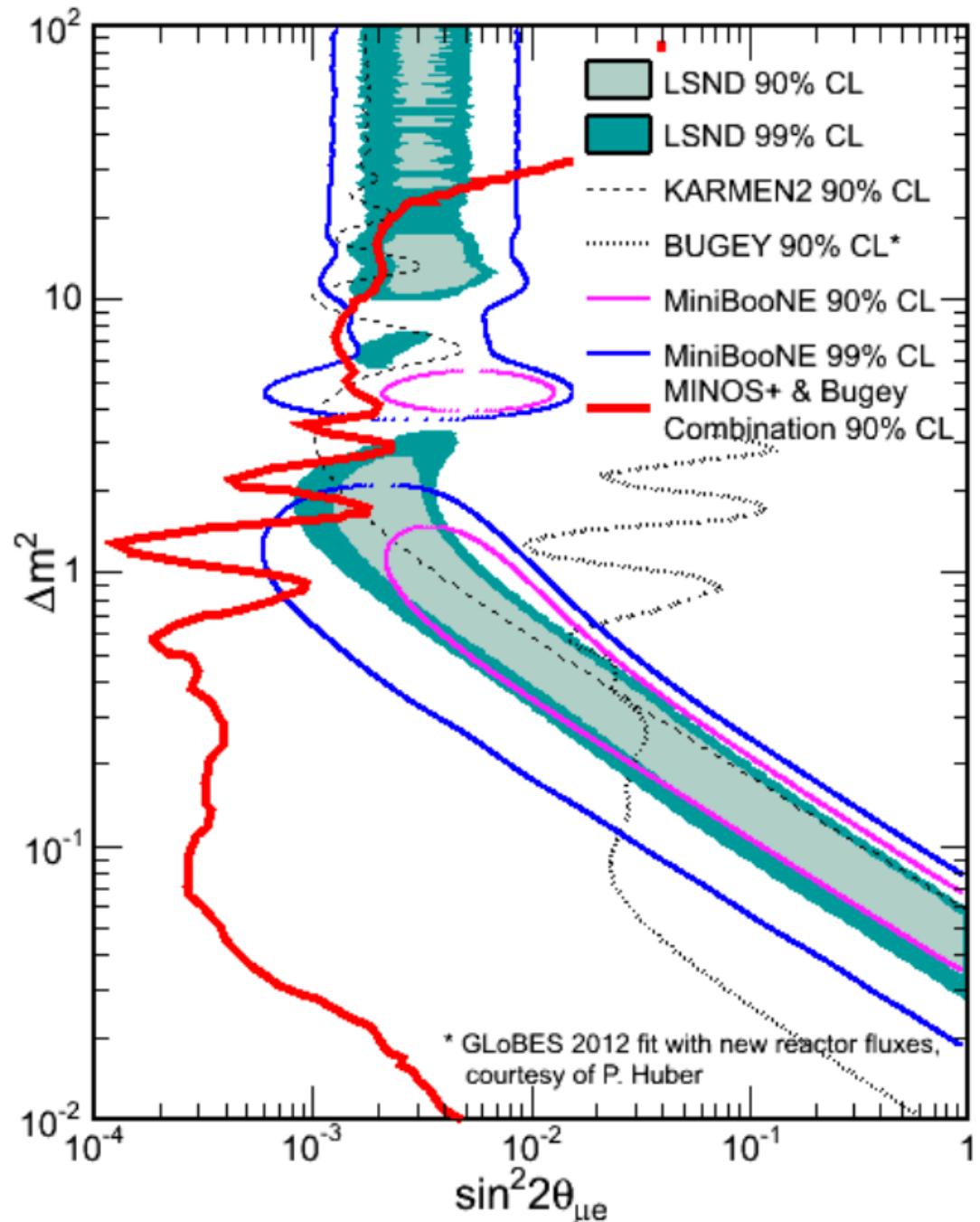
- Consistent with expected flux, and no sterile mixing

# Short baseline physics at long baseline



MINOS+ experiment running concurrent with NOvA can expand the sterile search

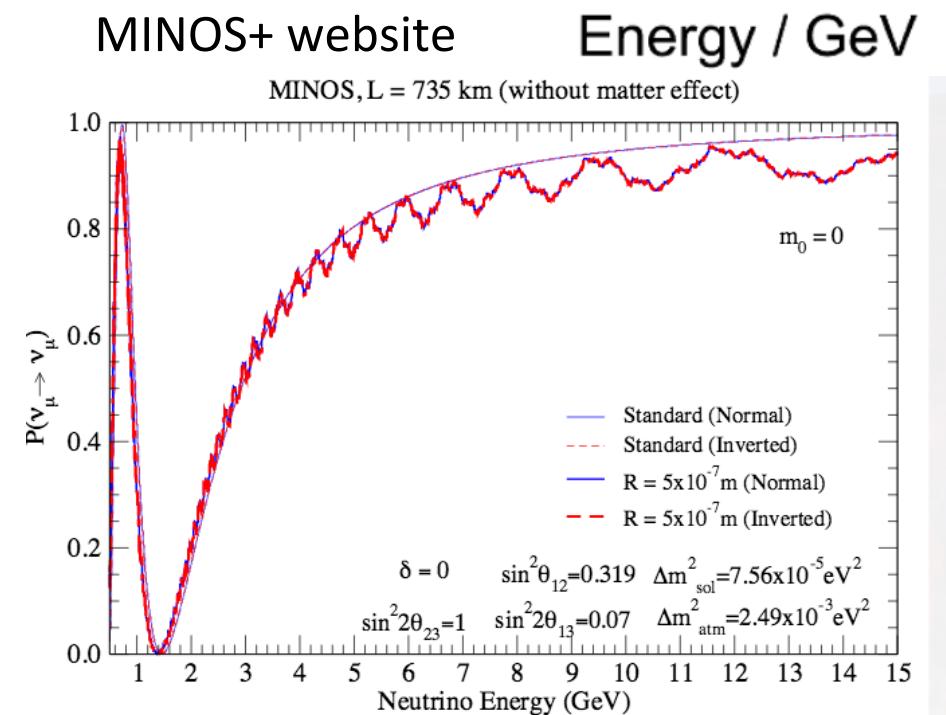
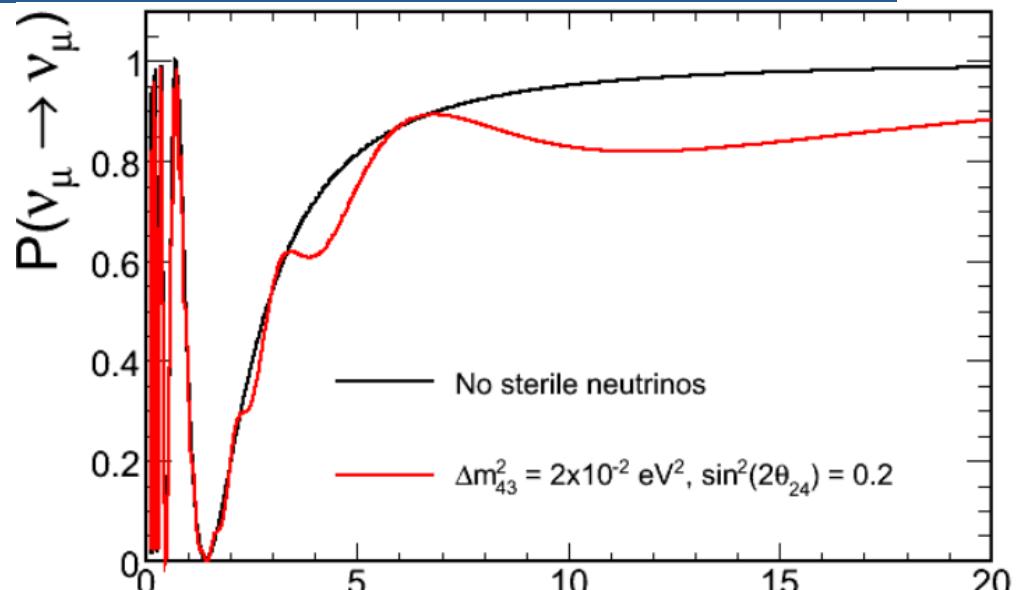
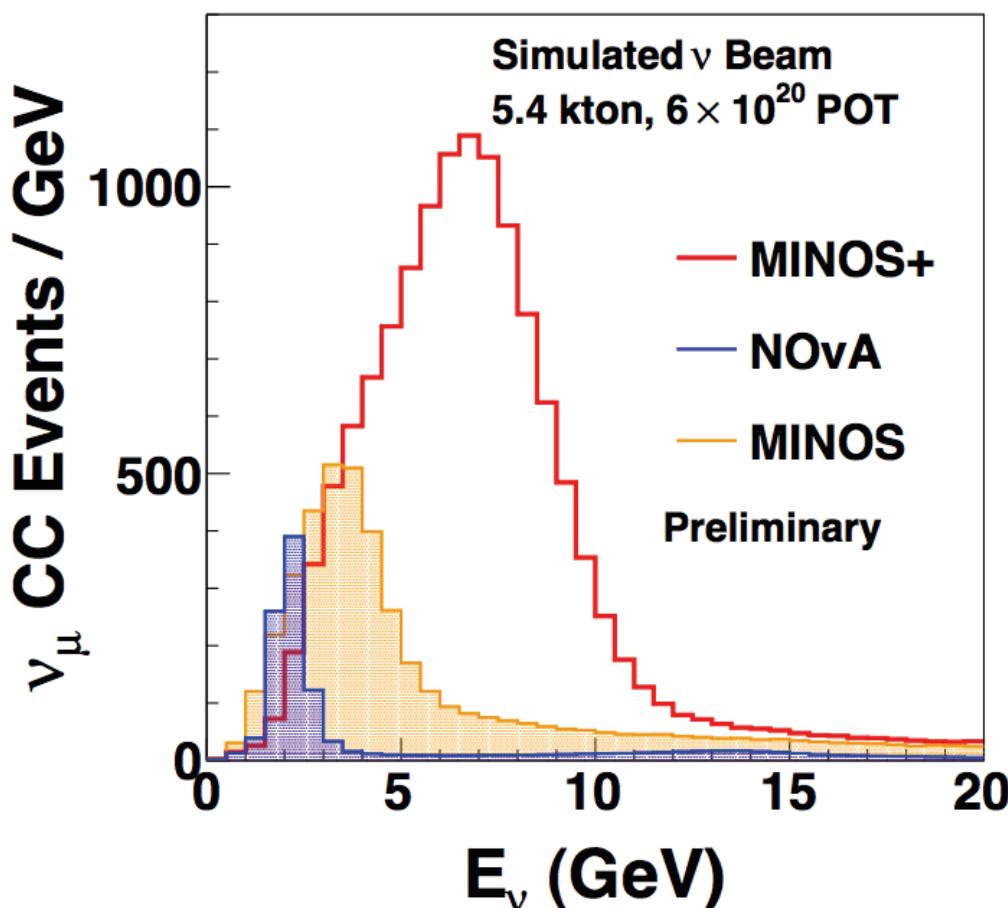
Tension between SBL CC, LBL NC disappearance results and SBL CC appearance results



# NSI long baseline physics

MINOS+ experiment running concurrent with NOvA will also use CC samples to probe steriles, NSI mixing (large extra dimensions)

- MINOS has done tests of NSI coupling as well already



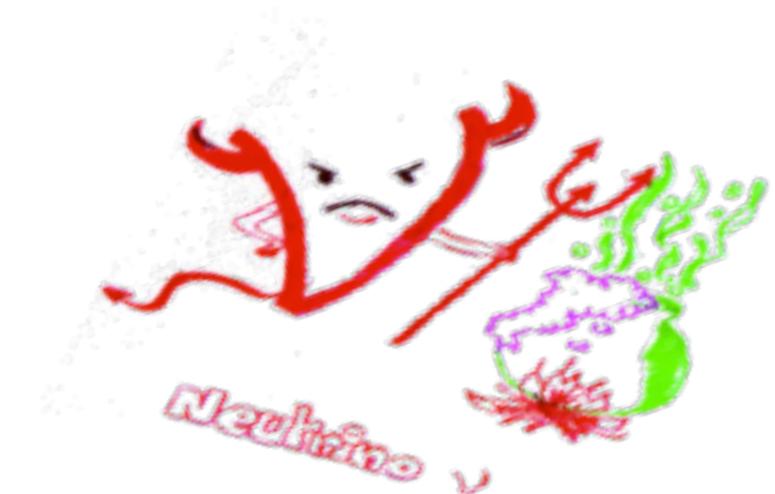
# First lecture summary

(Some of) the rich physics which uses neutrino beams

- Consistent picture emerging of three active flavor mixing
- Puzzles remain for non standard  $\nu$  oscillation such as sterile  $\nu$

Next lecture: the devil is in the details

- How do we build neutrino beams?
- What are the challenges of performing experiments?



*Questions? Want to know more  
about a particular topic?  
Let's talk!*

S. Gilardoni  
INSS09

# Backup slides

# Blindness resources

*Selectivity and Discord*, by Allan Franklin, University of Pittsburgh Press, 2002.

*How Experiments End*, by Peter Louis Galison, University of Chicago Press, 1987.

“Blind analysis”, P.F. Harrison, J. Phys G: Nucl. Part. Phys. 28 2679-2691, 2002.

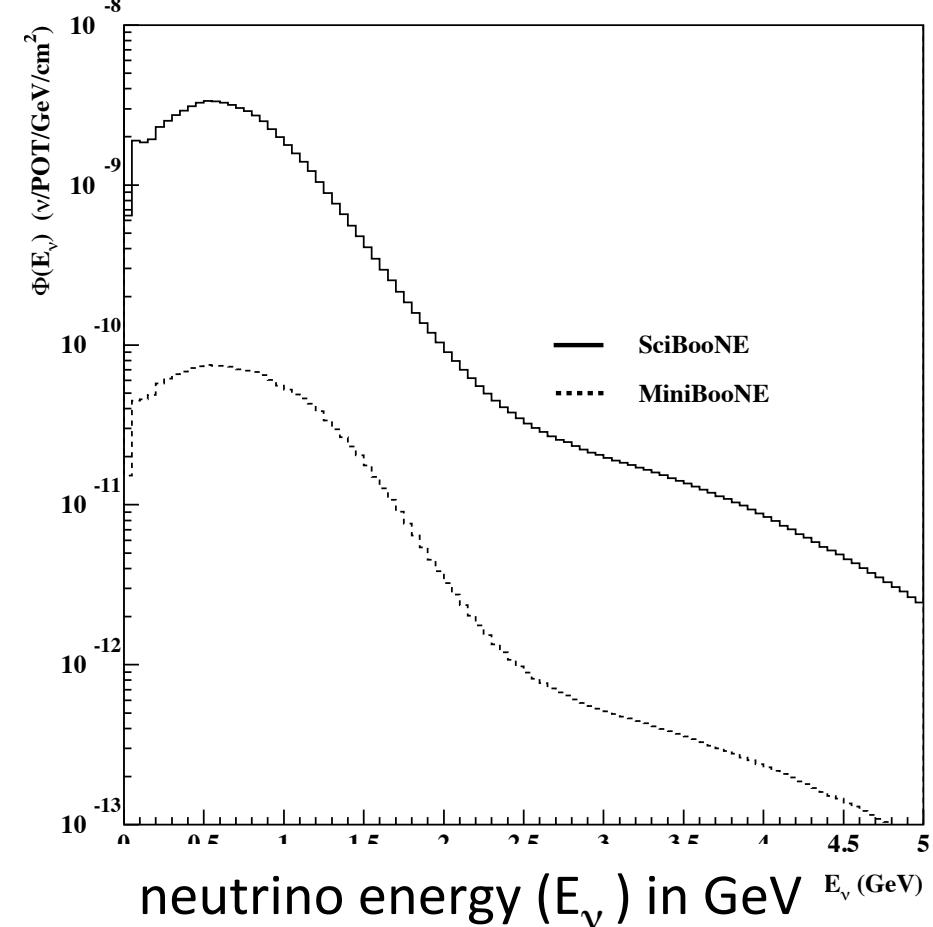
“Benefits of Blind Analysis Techniques”, Joel G. Heinrich, University of Pennsylvania, CDF internal note CDF/MEMO/STATISTICS/PUBLIC/6576  
[http://www-cdf.fnal.gov/publications/cdf6576\\_blind.pdf](http://www-cdf.fnal.gov/publications/cdf6576_blind.pdf)

“Blind Analysis in Nuclear and Particle Physics”, Joshua R. Klein & Aaron Roodman, Ann. Rev. Nucl. and Part. Systems, Vol. 55, Issue 1, pp. 141-163, 2006.

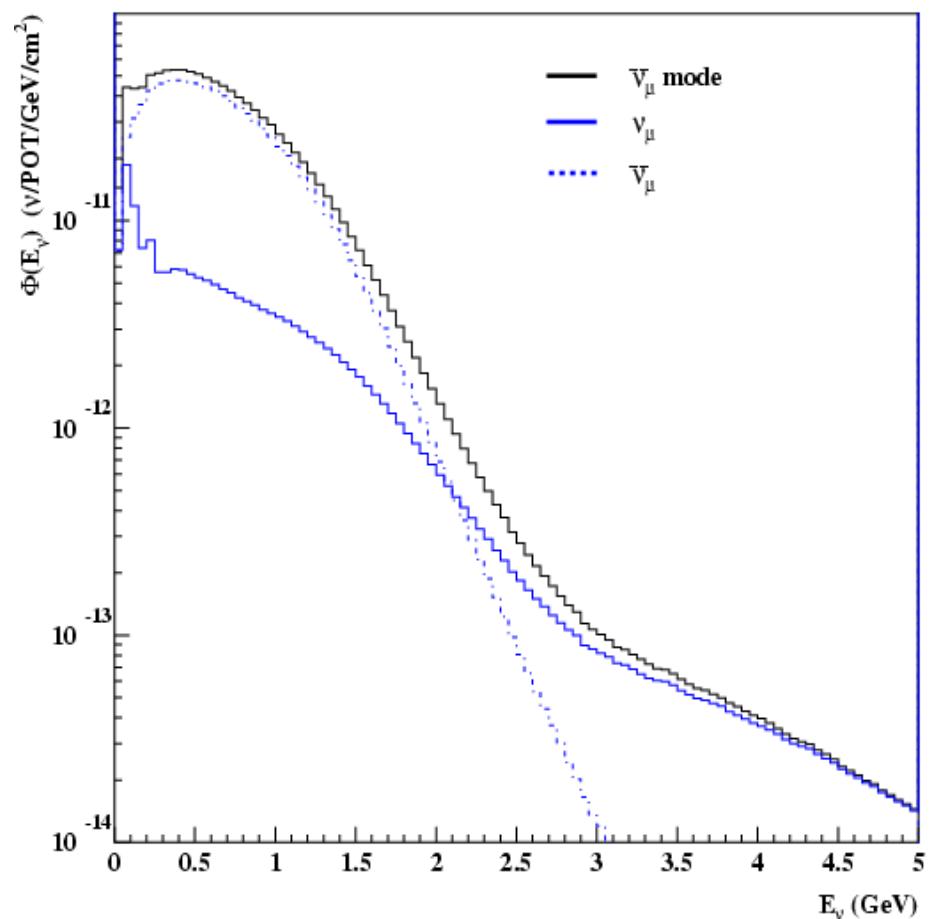
*Thanks to S. Oser*

# MiniBooNE (wide-band) neutrino flux

Neutrino flux



Antineutrino flux



neutrino energy ( $E_\nu$ ) in GeV

Predominantly  $\nu_\mu$

- ~6%  $\bar{\nu}_\mu$ , ~0.5%  $\nu_\epsilon + \bar{\nu}_\epsilon$

In MiniBooNE: ~1 v per 1e15 POT

In SciBooNE: ~0.5 v per 1e15 POT

~5x closer, ~50x smaller