Collective neuronal phenomena: the rise and fall of Directed Percolation in modeling neuronal avalanches

> Mauro Copelli mcopelli@df.ufpe.br

Departamento de Física - UFPE - Recife

School on Fundamentals of Complex Networks and Applications to Neuroscience ICTP-SAIFR - São Paulo - 2015-10



Outline:

Part I: The rise

Neuronal avalanches in cortical slices, anesthetized or resting animals

The critical brain hypothesis

Directed percolation, branching processes etc An example of a (theoretical) critical brain at work Summary of the pros

Part II: The fall

Fingerprints of brain criticality in freely-behaving animals The undersampling issue Summary of the cons

What next then?

Undersampling Long-range time correlations

Concluding remarks



・ ロ ト ・ 雪 ト ・ 目 ト ・ 日 ト

Outline:

Part I: The rise

Neuronal avalanches in cortical slices, anesthetized or resting animals

The critical brain hypothesis

Directed percolation, branching processes etc An example of a (theoretical) critical brain at work

Summary of the pros

Part II: The fall

Fingerprints of brain criticality in freely-behaving animals The undersampling issue Summary of the cons

What next then?

Undersampling Long-range time correlations

Concluding remarks



3

・ロ ・ ・ 一 ・ ・ 日 ・ ・ 日 ・

Acknowledgements

- Tiago L. Ribeiro (NIH, USA)
- Leonardo L. Gollo (Queensland, Australia)
- Vladimir R. V. Assis (UEFS, Brazil)
- Lucas S. Furtado (Petrobras, Brazil)
- Leonardo Dalla Porta (Recife, Brazil)
- Osame Kinouchi (USP Ribeirão Preto, Brazil)
- Sidarta Ribeiro (Natal, Brazil)
- Fábio Caixeta (Natal, Brazil)
- Hindiael Belchior (Natal, Brazil)
- Dante R. Chialvo (L.A., USA)

Financial Support:

- PRONEX (FACEPE/CNPq) Biological Physics
- PRONEM (FACEPE/CNPq)
- CNPq & CAPES







Outline:

Part I: The rise

Neuronal avalanches in cortical slices, anesthetized or resting animals

The critical brain hypothesis Directed percolation, branching processes etc An example of a (theoretical) critical brain at work Summary of the pros

Part II: The fall

Fingerprints of brain criticality in freely-behaving animals The undersampling issue Summary of the cons

What next then?

Undersampling Long-range time correlations

Concluding remarks





Beggs and Plenz, J. Neurosci., 23 11167 (2003)



< □ > < □ > < □ > < □ >



Beggs and Plenz, J. Neurosci., 23 11167 (2003)



< 口 > < 同 >



Beggs and Plenz, J. Neurosci., 23 11167 (2003)

Neuronal avalanches:





Beggs and Plenz, J. Neurosci., 23 11167 (2003)

Neuronal avalanches:

Separation of Time Scales (STS)

(duration « recurrence interval)

• • • • • • • • •





Beggs and Plenz, J. Neurosci., 23 11167 (2003)



(日)



Beggs and Plenz, J. Neurosci., 23 11167 (2003)

$$P(s) \sim s^{-3/2}$$



ヘロト ヘ戸ト ヘヨト ヘ







A D > A B > A B > A B >





Beggs and Plenz, J. Neurosci., 23 11167 (2003)



イロト イ理ト イヨト イヨト

Anesthetized rats





Unanesthetized (but resting) monkeys



Petermann et al., PNAS, 106 15921 (2009)



<ロト <回 > < 注 > < 注 >

Forest fires



UFPE

▲口▶▲圖▶▲臣▶▲臣▶ 臣 のえぐ

Rice piles



Solar flares



PEAK COUNTING RATE (counts s⁻¹)

Fig. 3. Peak rate spectrum of all complete events detected with HXRBS from launch to February 1985. The straight line through the data corresponds to the power-law expression given in the text with a spectral index of -1.8.

Dennis, Solar Phys., 100 465 (1985)



Outline:

Part I: The rise

Neuronal avalanches in cortical slices, anesthetized or resting animals

The critical brain hypothesis

Directed percolation, branching processes etc An example of a (theoretical) critical brain at work Summary of the pros

Part II: The fall

Fingerprints of brain criticality in freely-behaving animals The undersampling issue Summary of the cons

What next then?

Undersampling Long-range time correlations

Concluding remarks



・ コット (雪) (小田) (コット 日)

The critical brain hypothesis

The brain (as a dynamical system) operates near a critical point



Turing (50's) Bak & Chialvo (90's) Chialvo *Nat. Phys.* (2010) Shew & Plenz *Neuroscientist* (2013)



Second order phase transition

(日)



The critical brain hypothesis

The brain (as a dynamical system) operates near a critical point



Turing (50's) Bak & Chialvo (90's) Chialvo *Nat. Phys.* (2010) Shew & Plenz *Neuroscientist* (2013)



Second order phase transition

Control parameter(s)? Order parameter(s)?



Example: ferromagnetism



PE

Example: ferromagnetism

 $\left[\text{Iron atoms} \quad \Longrightarrow \quad \text{Magnet} \right]$

Low temperature



High temperature



http://www.youtube.com/watch?v=haVX24hOwQI

Example: ferromagnetism





▲□▶ ▲□▶ ▲ □▶ ▲ □▶ - □ - つくで

The critical brain hypothesis

The brain (as a dynamical system) operates near a critical point



Turing (50's) Bak & Chialvo (90's) Chialvo *Nat. Phys.* (2010) Shew & Plenz *Neuroscientist* (2013)



Second order phase transition

Control parameter(s)? Order parameter(s)?



Two-slide course on phase transitions



Phase transition at a critical point (CP)



Control parameter

Nontrivial scale-invariant behavior near CP characterized by critical exponents:

- $F_0 \propto (\sigma \sigma_c)^{\beta}$ (order parameter near CP)
- $F \propto^{\sigma = \sigma_c} h^{1/\delta_h}$ (response to stimulus *h* at CP)
- C(x) ∝ e^{-|x|/ξ}, ξ ∝ |σ − σ_c|^{-ν⊥} (divergent correlation length)
- $P(s) \sim s^{- au}$ (no characteristic avalanche size)



・ロット (雪) ・ (日) ・ (日)

Phase transition at a critical point (CP)



Control parameter

Nontrivial scale-invariant behavior near CP characterized by critical exponents:

- $F_0 \propto (\sigma \sigma_c)^{\beta}$ (order parameter near CP)
- $F \propto^{\sigma = \sigma_c} h^{1/\delta_h}$ (response to stimulus *h* at CP)
- ► $C(\mathbf{x}) \propto e^{-|\mathbf{x}|/\xi}, \xi \propto |\sigma \sigma_c|^{-\nu_{\perp}}$ (divergent correlation length)
- $P(s) \sim s^{-\tau}$ (no characteristic avalanche size)



・ ロ ト ・ 雪 ト ・ 雪 ト ・ 日 ト



Let us imagine two different models, A and B:



Let us imagine two different models, A and B:

Model A:



Let us imagine two different models, A and B: Model A:

•
$$F_0 \propto (\sigma - \sigma_c)^{\beta}$$

- $\blacktriangleright F \stackrel{\sigma = \sigma_c}{\propto} h^{1/\delta_h}$
- $\xi \propto |\sigma \sigma_c|^{-\nu_\perp}$
- ► $P(s) \sim s^{-\tau}$

▶ ...



Let us imagine two different models, A and B: Model A: Model B:

•
$$F_0 \propto (\sigma - \sigma_c)^{\beta}$$

$$\blacktriangleright F \stackrel{\sigma=\sigma_c}{\propto} h^{1/\delta_h}$$

- $\xi \propto |\sigma \sigma_{\rm C}|^{-\nu_{\perp}}$
- ► $P(s) \sim s^{-\tau}$

▶ ...



Let us imagine two different models, A and B:

Model A:

Model B:

- $F_0 \propto (\sigma \sigma_c)^{\beta}$
- $\blacktriangleright F \stackrel{\sigma=\sigma_c}{\propto} h^{1/\delta_h}$
- $\xi \propto |\sigma \sigma_c|^{-\nu_\perp}$
- ► P(s) ~ s^{-τ}

...

- $F_0 \propto (\sigma \sigma_c')^{\beta'}$
- $\blacktriangleright F \stackrel{\sigma = \sigma'_c}{\propto} h^{1/\delta'_h}$

▶ ...

• $\xi \propto |\sigma - \sigma'_c|^{-\nu'_\perp}$ • $P(s) \sim s^{-\tau'}$

UFPE
What is universality?

Let us imagine two different models, A and B:

Model A:

Model B:

- $F_0 \propto (\sigma \sigma_c)^{\beta}$
- $\blacktriangleright F \stackrel{\sigma=\sigma_c}{\propto} h^{1/\delta_h}$
- $\xi \propto |\sigma \sigma_c|^{-\nu_\perp}$
- ► P(s) ~ s^{-τ}

• $F_0 \propto (\sigma - \sigma_c')^{\beta'}$

$$\blacktriangleright F \stackrel{\sigma = \sigma'_c}{\propto} h^{1/\delta'_h}$$

• $\xi \propto |\sigma - \sigma'_c|^{-\nu'_\perp}$ • $P(s) \sim s^{-\tau'}$

Models A and B belong to the same **universality class** if their critical exponents are the same:

 $\beta = \beta'$ $\delta_h = \delta'_h$ $\nu_\perp = \nu'_\perp$ $\tau = \tau'$:



End of two-slide course on phase transitions



The brain (as a dynamical system) operates near a critical point



Why would you expect the hypothesis to be true?

Theory predicts that scale-invariant dynamics provide

- optimal transmission capacity
- optimal information processing
- largest "repertoire" (e.g. for memory storage) etc.
- optimal sensitivity and dynamic range to incoming stimuli

All highly desirable for a brain! (evolutionary pressure)



The brain (as a dynamical system) operates near a critical point



Why would you expect the hypothesis to be true? Theory predicts that scale-invariant dynamics provide

optimal transmission capacity

Beggs & Plenz, J. Neurosci. 23, 11167, 2003

- optimal information processing
- largest "repertoire" (e.g. for memory storage) etc.
- optimal sensitivity and dynamic range to incoming stimuli

All highly desirable for a brain! (evolutionary pressure)



The brain (as a dynamical system) operates near a critical point



Why would you expect the hypothesis to be true? Theory predicts that scale-invariant dynamics provide

- optimal transmission capacity
- optimal information processing

Bertschinger & Natschläger, Neural Comput. 16, 1413, 2004

- largest "repertoire" (e.g. for memory storage) etc.
- optimal sensitivity and dynamic range to incoming stimuli

All highly desirable for a brain! (evolutionary pressure)



(日)

The brain (as a dynamical system) operates near a critical point



Why would you expect the hypothesis to be true? Theory predicts that scale-invariant dynamics provide

- optimal transmission capacity
- optimal information processing
- largest "repertoire" (e.g. for memory storage) etc.

Haldeman & Beggs, Phys. Rev. Lett. 94, 058101, 2005, Shew et al., J. Neurosci. 31, 55, 2011

optimal sensitivity and dynamic range to incoming stimuli
 All highly desirable for a brain! (evolutionary pressure)



(日)

The brain (as a dynamical system) operates near a critical point



Why would you expect the hypothesis to be true? Theory predicts that scale-invariant dynamics provide

- optimal transmission capacity
- optimal information processing
- largest "repertoire" (e.g. for memory storage) etc.
- optimal sensitivity and dynamic range to incoming stimuli Kinouchi & Copelli, Nat. Phys. 2 348, 2006, Shew et al., J. Neurosci. 29 15595, 2009

All highly desirable for a brain! (evolutionary pressure)



Outline:

Part I: The rise

Neuronal avalanches in cortical slices, anesthetized or resting animals

The critical brain hypothesis

Directed percolation, branching processes etc

An example of a (theoretical) critical brain at work Summary of the pros

Part II: The fall

Fingerprints of brain criticality in freely-behaving animals The undersampling issue Summary of the cons

What next then?

Undersampling Long-range time correlations

Concluding remarks



・ コット (雪) (小田) (コット 日)

Example of criticality in a (very) simple model of the brain



Example of criticality in a (VERY VERY) SIMPLE model of the brain







Random graph: $\langle K_i \rangle = K$ (Erdős-Rényi)







Control parameter: Branching ratio: $\sigma =$

$$\sigma = \langle \sigma_i \rangle \Big)$$

イロト イ理ト イヨト イヨト



Random graph: $\langle K_i \rangle = K$ (Erdős-Rényi)









Random graph: $\langle K_i \rangle = K$ (Erdős-Rényi)



Control parameter:

Branching ratio:

$$\boxed{\boldsymbol{\sigma} = \langle \sigma_i \rangle}$$

イロト イ理ト イヨト イヨト

Order parameter:

Firing rate

$$\overline{\boldsymbol{F}} = \langle \rho \rangle = \lim_{t \to \infty} \boldsymbol{P}_t(1)$$







Random graph: $\langle K_i \rangle = K$ (Erdős-Rényi)



Control parameter:

Branching ratio:

$$\boxed{\boldsymbol{\sigma} = \langle \sigma_i \rangle}$$

Order parameter:

Firing rate

$$\overline{\boldsymbol{F}} = \langle \rho \rangle = \lim_{t \to \infty} \boldsymbol{P}_t(1)$$

Mean-field equation:

$$F = \underbrace{(1 - (n - 1)F)}_{P(! \text{ from neighbors})} \times \underbrace{(1 - p_h)}_{P(! \text{ from neighbors})} \times \underbrace{(1 - p_h)}_{P(! \text{ external})}$$
Without stimulus:
$$F_0 = F(p_h = 0)$$

・ロト ・ 同ト ・ ヨト ・ ヨト

Branching process (Galton-Watson model, 1874)

Contact process (Harris, 1974), Directed percolation (DP) universality class



▲□▶ ▲□▶ ▲豆▶ ▲豆▶ □ ○ ○ ○

Branching process (Galton-Watson model, 1874)

Contact process (Harris, 1974), Directed percolation (DP) universality class



D. Chialvo, Nat. Phys. 2, 301 (2006)

・ロ ・ ・ 一 ・ ・ 日 ・ ・ 日 ・

-

More rigorous criterion for criticality:

E.g. Scale-free networks etc.

 $\lambda =$ largest eigenvalue of $\{p_{ij}\} = 1$ at criticality

($\sigma_c = 1 = \lambda$ for uncorrelated random graphs!)



Larremore et al., *Phys. Rev. Lett.* **106** 058101 (2011) Larremore et al., *Phys. Rev. E* **85** 066131 (2012)



Theory vs. Experiments

(STS = Separation of Time Scales)

Theoretical prediction:

System at critical point + STS by hand=





э

・ロット (雪) (日) (日)

Theory vs. Experiments

(STS = Separation of Time Scales)

Theoretical prediction:







Theory vs. Experiments (STS = Separation of Time Scales)

Theoretical prediction:

System at critical point + STS by hand= power-law distributed avalanches!



Experimental results:



Theory vs. Experiments (STS = Separation of Time Scales)

Theoretical prediction:





Experimental results:



Theory vs. Experiments (STS = Separation of Time Scales)

Theoretical prediction:



Experimental results:

Critical exponents interdependent via scaling theory

Directed percolation (DP) universality class for d = 4: upper critical dimension

Exponent	d = 1	d=2	d=3	d = 4
$\beta = \beta'$	0.27649(4) ^a	0.583(4) ^b	0.805(10) ^c	1
$1/\delta_h$	$0.111(3)^{d}$	$0.285(35)^{d}$	0.45(2) ^e	1/2
γ'	0.54386(7) ^a	0.35	0.19	0
ν_{\parallel}	1.73383(3) ^a	$1.295(6)^{f}$	1.105(5) ^c	1
ν_{\perp}	1.09684(1) ^a	0.733(4) ^g	0.581(5)	1/2
$\delta = \theta$	0.15947(3) ^a	$0.4505(10)^{h}$	0.730(4) ^c	1
η	$0.31368(4)^{a}$	0.2295(10) ^h	0.114(4) ^c	0
Ζ	1.26523(3) ^a	1.1325(10) ^h	1.052(3) ^c	1
v_{\parallel}/v_{\perp}	1.58074(4)	1.766(2)	1.901(5)	2
au	1.108	1.268	1.395	3/2
σ	0.391	0.459	0.490	1/2
γ	2.277	1.593	1.232	1
D_f	2.328	2.968	3.507	4
τ_t	1.159	1.450	1.730	2
σ_t	0.576	0.771	0.904	1
γ_t	1.457	0.7 11	0.298	0

Muñoz et al, Phys. Rev. E, 59 6175 (1999)



Outline:

Part I: The rise

Neuronal avalanches in cortical slices, anesthetized or resting animals

The critical brain hypothesis

Directed percolation, branching processes etc An example of a (theoretical) critical brain at work

Summary of the pros

Part II: The fall

Fingerprints of brain criticality in freely-behaving animals The undersampling issue Summary of the cons

What next then?

Undersampling Long-range time correlations

Concluding remarks



Phase transition at a critical point (CP)



Control parameter

Nontrivial behavior near CP characterized by critical exponents:

- $F_0 \propto (\sigma \sigma_c)^{\beta}$ (order parameter near CP)
- $F \propto^{\sigma = \sigma_c} h^{1/\delta_h}$ (response to stimulus *h* at CP)
- $C(t) \propto e^{-t/\tau}, \tau \propto |\sigma \sigma_c|^{-\nu_{\parallel}}$ (divergent correlation time)
- C(x) ∝ e^{-|x|/ξ}, ξ ∝ |σ − σ_c|^{-ν⊥} (divergent correlation length)



・ コット (雪) (小田) (コット 日)

Phase transition at a critical point (CP)



Control parameter

Nontrivial behavior near CP characterized by critical exponents:

•
$$F_0 \propto (\sigma - \sigma_c)^{\beta}$$
 (order parameter near CP)

 $\blacktriangleright \quad F \stackrel{\sigma = \sigma_c}{\propto} h^{1/\delta_h} \quad \text{(response to stimulus } h \text{ at CP)}$

- $C(t) \propto e^{-t/\tau}, \tau \propto |\sigma \sigma_c|^{-\nu_{\parallel}}$ (divergent correlation time)
- C(x) ∝ e^{-|x|/ξ}, ξ ∝ |σ − σ_c|^{-ν⊥} (divergent correlation length)



▶ ...

Psychophysics



Psychophysics

Founded in 1860: first experimental area of neuropsychology

What is the human perception of the intensity of a sensory stimulus?





Psychophysics: Nonlinear Response!





э

・ロト ・ 理 ト ・ 理 ト ・ 理 ト

Stevens law: perception \propto (stimulus intensity)^{*m*}





Stevens law: perception \propto (stimulus intensity)^{*m*}





◆□▶ ◆□▶ ◆臣▶ ◆臣▶ ─ 臣 ─ のへで

Stevens law: perception \propto (stimulus intensity)^{*m*}





Stevens law: perception \propto (stimulus intensity)^m Psychophysics



Continuum	Measured Exponent	Stimulus condition
Brightness	0.5	Point source
Visual length	1.0	Projected line
Visual area	0.7	Projected square
Taste	1.4	Salt
Taste	0.8	Sacarine
Smell	0.6	Heptane
Warmth	1.6	Metal contact on arm
Warmth	0.7	Irradiation of skin

< □ > < 同 > < 三

S. S. Stevens, "Psychophysics: Introduction to its Perceptual, Neural and Social Prospects" (1975)



Response to weak stimulus (no coupling, $\sigma = 0$)



Response to stronger stimulus (no coupling, $\sigma = 0$)



Response to very strong stimulus (no coupling, $\sigma = 0$)


Response to stimulus (weak coupling, $\sigma \gtrsim 0$)



Response to stimulus (stronger coupling, $\sigma \leq 1$)



Response to stimulus (critical coupling, $\sigma \simeq 1$)



Response to stimulus (supercritical coupling, $\sigma > 1$)



Family of response curves

Random graphs:

critical exponent $m = 1/\delta_h \le 1/2$ agrees with:

- psychophysical exponents (Stevens law)
- olfactory glomerulus responses to odorants





Family of response curves

Random graphs:

critical exponent $m = 1/\delta_h \le 1/2$ agrees with:

- psychophysical exponents (Stevens law)
- olfactory glomerulus responses to odorants





Critical exponents interdependent via scaling theory

Directed percolation (DP) universality class for d = 4: upper critical dimension!

Exponent	d = 1	d=2	d=3	d = 4
$\beta = \beta'$	0.27649(4) ^a	0.583(4) ^b	0.805(10) ^c	1
$1/\delta_h$	$0.111(3)^{d}$	$0.285(35)^{d}$	0.45(2) ^e	1/2
γ'	0.54386(7) ^a	0.35	0.19	0
ν_{\parallel}	1.73383(3) ^a	$1.295(6)^{f}$	1.105(5) ^c	1
ν_{\perp}	$1.09684(1)^{a}$	0.733(4) ^g	0.581(5)	1/2
$\delta = \theta$	$0.15947(3)^{a}$	0.4505(10) ^h	0.730(4) ^c	1
η	$0.31368(4)^{a}$	$0.2295(10)^{h}$	0.114(4) ^c	0
Ζ	1.26523(3) ^a	1.1325(10) ^h	1.052(3) ^c	1
$ u_{\parallel}/\nu_{\perp}$	1.58074(4)	1.766(2)	1.901(5)	2
τ	1.108	1.268	1.395	3/2
σ	0.391	0.459	0.490	1/2
γ	2.277	1.593	1.232	1
D_f	2.328	2.968	3.507	4
τ_t	1.159	1.450	1.730	2
σ_t	0.576	0.771	0.904	1
γ_t	1.457	0.711	0.298	0

Muñoz et al, Phys. Rev. E, 59 6175 (1999)



Stevens law: perception \propto (stimulus intensity)^m Psychophysics



Continuum	Measured Exponent	Stimulus condition	
Brightness	0.5	Point source	
Visual length	1.0	Projected line	
Visual area	0.7	Projected square	
Taste	1.4	Salt	
Taste	0.8	Sacarine	
Smell	0.6	Heptane	
Warmth	1.6	Metal contact on arm	
Warmth	0.7	Irradiation of skin	

< □ > < 同 > < 三

S. S. Stevens, "Psychophysics: Introduction to its Perceptual, Neural and Social Prospects" (1975)



Supported by experimental data

Gap Junctions in the mouse retina



Deans et al., Neuron, 36 703 (2002)



・ロット (雪) (日) (日)

Gap Junctions in the mouse retina



Deans et al., Neuron, 36 703 (2002)



A B > A B >

э

Ganglion cell response has exponent $m \simeq 0.58!$



L. S. Furtado & M. Copelli, Phys. Rev. E, 73 011907 (2006)



◆□▶ ◆□▶ ◆ □▶ ◆ □▶ ● □ ● ● ●

Family of response curves



Dynamic range definition:



(日)



General property of excitable media



Kinouchi & Copelli Nat. Phys. 2 348 (2006)



General property of excitable media

Supported by very different models:

- Probabilistic excitable models
 - random graphs: Kinouchi & MC (Nat. Phys. 06)
 - hypercubic lattices: Furtado & MC (PRE 06), Assis & MC (PRE 08)
 - scale-free networks: MC & Campos (EPJB 07), Wu et al. (PRE 07), Larremore et al. (PRL 11)
- Deterministic excitable models
 - cellular automata: MC et al (PRE 02), MC & Kinouchi (Phys. A 05), MC et al (Neurocomput. 05)
 - Morris-Lecar lattices: Ribeiro & MC (PRE 08)
- Detailed conductance-based retina model: Publio et al (*PLoS ONE* 10)
- Active dendritic trees: Gollo et al (PLoS CB 09, PRE 12)
- Disinhibition transition in the antennal lobe: Buckley & Nowotny (PRL 11)



・ロン ・ 雪 と ・ ヨ と ・ ヨ ・

General property of excitable media



General property of excitable media

Is it true experimentally?



ъ

・ロン ・四 と ・ ヨ と ・ ヨ と

Stimulated slices



Shew et al., J. Neurosci. 29 15595 (2009)



Stimulated slices





General property of excitable media





General property of excitable media



Outline:

Part I: The rise

Neuronal avalanches in cortical slices, anesthetized or resting animals

The critical brain hypothesis

Directed percolation, branching processes etc An example of a (theoretical) critical brain at work

Summary of the pros

Part II: The fall

Fingerprints of brain criticality in freely-behaving animals The undersampling issue Summary of the cons

What next then?

Undersampling Long-range time correlations

Concluding remarks



・ コット (雪) (小田) (コット 日)

Are critical branching processes satisfactory models of neuronal avalanches?

Branching-process-like models (absorbing vs active phases):

- Pros:
 - Neuronal avalanche exponents ($\tau = 3/2$ and $\tau_t = 2$)
 - ► Response exponents (1/δ_h = 1/2: psychophysics, sensory systems, ...) + optimal dynamic range at criticality
 - Theoretical workhorse (useful picture) for over a decade



Are critical branching processes satisfactory models of neuronal avalanches?

Branching-process-like models (absorbing vs active phases):

- ► Pros:
 - Neuronal avalanche exponents ($\tau = 3/2$ and $\tau_t = 2$)
 - ► Response exponents (1/δ_h = 1/2: psychophysics, sensory systems, ...) + optimal dynamic range at criticality
 - Theoretical workhorse (useful picture) for over a decade



Outline:

Part I: The rise

Neuronal avalanches in cortical slices, anesthetized or resting animals The critical brain hypothesis

Directed percolation, branching processes etc An example of a (theoretical) critical brain at work Summary of the pros

Part II: The fall

Fingerprints of brain criticality in freely-behaving animals The undersampling issue Summary of the cons

What next then?

Undersampling Long-range time correlations

Concluding remarks



3

・ロ ・ ・ 一 ・ ・ 日 ・ ・ 日 ・

Outline:

Part I: The rise

Neuronal avalanches in cortical slices, anesthetized or resting animals The critical brain hypothesis Directed percolation, branching processes etc An example of a (theoretical) critical brain at work Summary of the pros

Part II: The fall

Fingerprints of brain criticality in freely-behaving animals

The undersampling issue Summary of the cons

What next then?

Undersampling Long-range time correlations

Concluding remarks



・ コット (雪) (小田) (コット 日)

Acknowledgements

- Tiago L. Ribeiro (NIH, USA)
- Leonardo L. Gollo (Queensland, Australia)
- Vladimir R. V. Assis (UEFS, Brazil)
- Lucas S. Furtado (Petrobras, Brazil)
- Leonardo Dalla Porta (Recife, Brazil)
- Osame Kinouchi (USP Ribeirão Preto, Brazil)
- Sidarta Ribeiro (Natal, Brazil)
- Fábio Caixeta (Natal, Brazil)
- Hindiael Belchior (Natal, Brazil)
- Dante R. Chialvo (L.A., USA)

Financial Support:

- PRONEX (FACEPE/CNPq) Biological Physics
- PRONEM (FACEPE/CNPq)
- CNPq & CAPES







Non-anesthetized and freely-behaving rats

In collaboration with Brain Institute UFRN (Natal)







Ribeiro et. al, Frontiers Neurosci. 1 43 (2007)

Main differences:

- Freely-behaving (FB) rats, across the sleep-wake cycle (WK, SWS, REM)
- 3 brain regions (V1, S1 and HP)
- Exposure to novel objects (PRE, EXP, POST)
- Spikes (not LFPs)
- Anesthesia (AN) as well

イロト イポト イヨト イヨト



Spike avalanches in freely-behaving (FB) rats

- Separation of time scales in AN, but not in FB (avalanches?)
- time bin = $\langle ISI \rangle$ (calculated for each situation)



Size distribution of spike avalanches







Avalanche size time series

Can we obtain fingerprints of criticality from the FB time series? 1) Fourier spectrum & DFA







UFPE

< □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > ○ ○

DFA = Detrended Fluctuation Analysis

$$\begin{aligned} F(n) &= \sqrt{\frac{1}{N} \sum_{k=1}^{N} \left[y(k) - y_n(k) \right]} \\ \text{If } F(n) &\sim n^{\alpha}, \text{ then} \\ \hline C(\tau) &\sim \tau^{-\gamma}, \text{ with } \gamma = 2 - 2\alpha \\ \hline S(f) &\sim f^{\beta}, \text{ with } \beta = 1 - \gamma = 2\alpha - 1 \end{aligned}$$

- $0 < \alpha < 0.5 \Rightarrow$ anticorrelations
- $\blacktriangleright \alpha = 0.5 \Rightarrow$ white noise
- $0.5 < \alpha < 1 \Rightarrow \text{persistent}$ long-range power-law correlations

•
$$\alpha = 1 \Rightarrow 1/f$$
 noise



Avalanche size time series

Can we obtain fingerprints of criticality from the FB time series? 2) Waiting-time distribution





Scaling in the distribution of waiting times

Waiting-time distribution





(日)

Scaling in the distribution of waiting times

Waiting-time distribution






Waiting-time distribution





• • • • • • • • •















Universality: Single scaling function for 7 rats and 6 decades... Well fit by double power law (like earthquakes!)



What is universality?

Let us imagine two different models, A and B:

Model A:

Model B:

- $F_0 \propto (\sigma \sigma_c)^{\beta}$
- $\blacktriangleright F \stackrel{\sigma=\sigma_c}{\propto} h^{1/\delta_h}$
- $\xi \propto |\sigma \sigma_{\rm c}|^{-\nu_{\perp}}$
- ► P(s) ~ s^{-τ}

• $F_0 \propto (\sigma - \sigma_c')^{\beta'}$

$$\blacktriangleright F \stackrel{\sigma = \sigma'_c}{\propto} h^{1/\delta'_h}$$

• $\xi \propto |\sigma - \sigma'_c|^{-\nu'_\perp}$ • $P(s) \sim s^{-\tau'}$

Models A and B belong to the same **universality class** if their critical exponents are the same:

 $\beta = \beta'$ $\delta_h = \delta'_h$ $\nu_\perp = \nu'_\perp$ $\tau = \tau'$

:





Universality + Long-range time correlations in experiments!



Universality + Long-range time correlations in experiments! But what about models?



STS = separation of time scales

Universality + Long-range time correlations in experiments! But what about models?

Theoretical prediction:

System at critical point + STS by hand= power-law distributed avalanches!





Universality + Long-range time correlations in experiments! But what about models?

Theoretical prediction:

System at critical point + STS by hand= power-law distributed avalanches!



If STS has to be imposed by hand in a model, by construction it does not have long-range time correlations.

Waiting-time distributions are also arbitrarily chosen in the simulation...

(日)



Larremore et al. (2012)

Pros and cons so far...



Are critical branching processes satisfactory models of neuronal avalanches?

Branching-process-like models (absorbing vs active phases):

- ► Pros:
 - Neuronal avalanche exponents ($\tau = 3/2$ and $\tau_t = 2$)
 - ► Response exponents (1/δ_h = 1/2: psychophysics, sensory systems, ...) + optimal dynamic range at criticality
 - Theoretical workhorse (useful picture) for over a decade



Are critical branching processes satisfactory models of neuronal avalanches?

Branching-process-like models (absorbing vs active phases):

- ► Pros:
 - Neuronal avalanche exponents ($\tau = 3/2$ and $\tau_t = 2$)
 - ► Response exponents (1/δ_h = 1/2: psychophysics, sensory systems, ...) + optimal dynamic range at criticality
 - Theoretical workhorse (useful picture) for over a decade
- Cons:
 - STS has to be imposed by hand
 - No time correlations between consecutive avalanches



Outline:

Part I: The rise

Neuronal avalanches in cortical slices, anesthetized or resting animals The critical brain hypothesis Directed percolation, branching processes etc An example of a (theoretical) critical brain at work Summary of the pros

Part II: The fall

Fingerprints of brain criticality in freely-behaving animals

The undersampling issue

Summary of the cons

What next then?

Undersampling Long-range time correlations

Concluding remarks



3

・ロ ・ ・ 一 ・ ・ 日 ・ ・ 日 ・

Spike avalanches in freely-behaving rats



Ribeiro et al., PLoS One 5 e14129 (2010)



- Priesemann et al., BMC Neurosci. 10 40 (2009)
- Ribeiro et al., PLoS One 5 e14129 (2010)
- Priesemann et al., PLoS Comput. Biol. 9 e1002985 (2013)
- Priesemann et al., Front. Syst. Neurosci. 8 108 (2014)



・ロット (雪) ・ (日) ・ (日)

●=neurons (7×7) ○=measured neurons (3×3) red=active



• avalanche size measured with full sampling = 1

 \circ avalanche size measured with undersampling = 0



э

・ロット (雪) (日) (日)



avalanche size measured with full sampling = 3
 avalanche size measured with undersampling = 1 (finished!)



э

・ロット (雪) (日) (日)



 \circ =measured neurons (3 \times 3)





- avalanche size measured with full sampling = 5
- \circ avalanche size measured with undersampling = 0



[1]

(日)



avalanche size measured with full sampling = 8
avalanche size measured with undersampling = 2 (finished!)





avalanche size measured with full sampling = 10
 avalanche size measured with undersampling = 0



[1, 2]

・ロト ・ 理 ト ・ 理 ト ・ 理 ト



- avalanche size measured with full sampling = 12
- \circ avalanche size measured with undersampling = 1



[1, 2]

・ロト ・ 四ト ・ ヨト ・ ヨト



avalanche size measured with full sampling = 12
 avalanche sizes measured with undersampling = 1, 2, 1



э

・ロット (雪) (日) (日)

Undersampling solves one problem, but creates another...



Undersampling solves one problem, but creates another...

<u>Anesthetized rats</u> with the the same undersampling: \implies power-law size distributions!



・ ロ ト ・ 雪 ト ・ 目 ト ・

Spike avalanches in anesthetized rats



- 150 µm-500 µm electrode spacing
- Anesthesia: ketamine-xylazine (8 mg/kg)







If the model is undersampled (LIKE THE DATA IS!), can it still yield power-law size distributions?



(日)

Investigating undersampling in 3 topologies p_r =rewiring probability; $K_{out} = 16$



Determining the critical point

p=transmission probability per link



▲日 ▶ ▲圖 ▶ ▲ 臣 ▶ ▲ 臣 ▶ 二 臣 三 丞

Varying the number of sampled sites (fixed spacing)



- 0 3 neurons per electrode
- *d_m* = 8 ⇒
 ~ 250 µm among electrodes
- L_m = 8 (64 electrodes): typical arrays
- L_m = 30 (900 electrodes): state of the art!
- Subcritical case: nothing changes
- Supercritical case: bumps for large enough matrices
- Critical case: power laws gone! UFPE

(日)



Varying the density of sampled sites (fixed number)



- 0 3 neurons per electrode
- L_m = 8: typical arrays
- All cases: little changes. Power laws gone!



Last resort: choosing a rescaled time bin



$$\blacktriangleright \left(\Delta t^* = \langle ISI \rangle \right)$$

- SW model and data (4 rats):
 L_m = 4 and
 - $d_m = 16 (500 \ \mu m)$
- Fit is better, but not much (e.g. wrong cutoff)

• • • • • • • • • • •



Outline:

Part I: The rise

Neuronal avalanches in cortical slices, anesthetized or resting animals The critical brain hypothesis Directed percolation, branching processes etc An example of a (theoretical) critical brain at work

Summary of the pros

Part II: The fall

Fingerprints of brain criticality in freely-behaving animals The undersampling issue

Summary of the cons

What next then?

Undersampling Long-range time correlations

Concluding remarks



・ コット (雪) (小田) (コット 日)

Are critical branching processes satisfactory models of neuronal avalanches?

Branching-process-like models (absorbing vs active phases):

- ► Pros:
 - Neuronal avalanche exponents ($\tau = 3/2$ and $\tau_t = 2$)
 - ► Response exponents (1/δ_h = 1/2: psychophysics, sensory systems, ...) + optimal dynamic range at criticality
 - Theoretical workhorse (useful picture) for over a decade


Are critical branching processes satisfactory models of neuronal avalanches? Only if fully sampled!

Branching-process-like models (absorbing vs active phases):

► Pros:

- Neuronal avalanche exponents ($\tau = 3/2$ and $\tau_t = 2$)
- Response exponents (1/δ_h = 1/2: psychophysics, sensory systems, ...) + optimal dynamic range at criticality
- Theoretical workhorse (useful picture) for over a decade
- Cons:
 - STS has to be imposed by hand
 - No time correlations between consecutive avalanches
 - Fail with undersampling. We found no power laws.



Outline:

Part I: The rise

Neuronal avalanches in cortical slices, anesthetized or resting animals

The critical brain hypothesis

Directed percolation, branching processes etc An example of a (theoretical) critical brain at work Summary of the pros

Part II: The fall

Fingerprints of brain criticality in freely-behaving animals The undersampling issue Summary of the cons

What next then?

Undersampling Long-range time correlations

Concluding remarks



3

・ロ ・ ・ 一 ・ ・ 日 ・ ・ 日 ・

Outline:

Part I: The rise

Neuronal avalanches in cortical slices, anesthetized or resting animals

The critical brain hypothesis

Directed percolation, branching processes etc An example of a (theoretical) critical brain at work Summary of the pros

Part II: The fall

Fingerprints of brain criticality in freely-behaving animals The undersampling issue Summary of the cons

What next then?

Undersampling

Long-range time correlations

Concluding remarks



3

・ロ ・ ・ 一 ・ ・ 日 ・ ・ 日 ・





э

イロト 不得 トイヨト イヨト



$$\langle K \rangle = 16$$



э

イロト 不得 トイヨト イヨト



$\langle K \rangle = 16$ But if the brain has $\sim 10^9$ neurons, and each cortical neuron receives $\sim 10^4$ synapses...



・ロット (雪) (日) (日)



 $\langle K \rangle = 16$ But if the brain has $\sim 10^9$ neurons, and each cortical neuron receives $\sim 10^4$ synapses... ... then maybe a better model would have $\langle K \rangle = \sqrt{N}$



(日)

Are critical branching processes satisfactory models of neuronal avalanches? Only if fully sampled!

Branching-process-like models (absorbing vs active phases):

- ► Pros:
 - Neuronal avalanche exponents ($\tau = 3/2$ and $\tau_t = 2$)
 - Response exponents (1/δ_h = 1/2: psychophysics, sensory systems, ...) + optimal dynamic range at criticality
 - Theoretical workhorse (useful picture) for over a decade
- Cons:
 - STS has to be imposed by hand
 - No time correlations between consecutive avalanches
 - Fail with undersampling. We found no power laws.



Are critical branching processes satisfactory models of neuronal avalanches? Only if fully sampled!

Branching-process-like models (absorbing vs active phases):

- ► Pros:
 - Neuronal avalanche exponents ($\tau = 3/2$ and $\tau_t = 2$)
 - Response exponents (1/δ_h = 1/2: psychophysics, sensory systems, ...) + optimal dynamic range at criticality
 - Theoretical workhorse (useful picture) for over a decade
- Cons:
 - STS has to be imposed by hand
 - No time correlations between consecutive avalanches
 - Fail with undersampling. We found no power laws.

Perspectives:

- Can power laws survive undersampling with different topologies?
 - $K \sim \mathcal{O}(N^{1/2})$ instead of $\mathcal{O}(N^0)$





Griffiths phases and the stretching of criticality in brain networks Paolo Moretti¹ & Miguel A. Muñoz¹ Nat. Commun. 4 2521 (2013)



э

・ロット (雪) ・ (日) ・ (日)

Griffiths phases and the stretching of criticality in brain networks Paolo Moretti¹ & Miguel A. Muñoz¹ Nat. Commun. 4 2521 (2013)





Hierarchical-modular networks





Disorder \Rightarrow Rare active regions \Rightarrow Generic power laws!

Moretti & Muñoz, Nat. Commun. 4 2521 (2013)



イロト イ理ト イヨト イヨト



Moretti & Muñoz, Nat. Commun. 4 2521 (2013)



A B > A B >

Are critical branching processes satisfactory models of neuronal avalanches? Only if fully sampled!

Branching-process-like models (absorbing vs active phases):

- ► Pros:
 - Neuronal avalanche exponents ($\tau = 3/2$ and $\tau_t = 2$)
 - ► Response exponents (1/δ_h = 1/2: psychophysics, sensory systems, ...) + optimal dynamic range at criticality
 - Theoretical workhorse (useful picture) for over a decade
- ► Cons:
 - STS has to be imposed by hand
 - No time correlations between consecutive avalanches
 - Fail with undersampling. We found no power laws.

Perspectives:

- Can power laws survive undersampling with different topologies?
 - $K \sim \mathcal{O}(N^{1/2})$ instead of $\mathcal{O}(N^0)$



Are critical branching processes satisfactory models of neuronal avalanches? Only if fully sampled!

Branching-process-like models (absorbing vs active phases):

- ► Pros:
 - Neuronal avalanche exponents ($\tau = 3/2$ and $\tau_t = 2$)
 - ► Response exponents (1/δ_h = 1/2: psychophysics, sensory systems, ...) + optimal dynamic range at criticality
 - Theoretical workhorse (useful picture) for over a decade
- ► Cons:
 - STS has to be imposed by hand
 - No time correlations between consecutive avalanches
 - Fail with undersampling. We found no power laws.

Perspectives:

- Can power laws survive undersampling with different topologies?
 - $K \sim \mathcal{O}(N^{1/2})$ instead of $\mathcal{O}(N^0)$
 - Hierarchical networks (critical point or Griffiths phase??)



・ロット (雪) ・ (ヨ) ・ (ヨ) ・ ヨ

Outline:

Part I: The rise

Neuronal avalanches in cortical slices, anesthetized or resting animals

The critical brain hypothesis

Directed percolation, branching processes etc An example of a (theoretical) critical brain at work Summary of the pros

Part II: The fall

Fingerprints of brain criticality in freely-behaving animals The undersampling issue Summary of the cons

What next then?

Undersampling

Long-range time correlations

Concluding remarks



・ コット (雪) (小田) (コット 日)

Dynamic range is optimal at criticality



Kinouchi & Copelli Nat. Phys. 2 348 (2006)



(日)



Supercritical slices





Supercritical slices: collective oscillations?

Directed percolation (DP) not fully compatible with "supercritical" collective oscillations (coexistence?) in experiment





Oscillations in DP-like models, but avalanches?...



Nat. Phys. 2 348 (2006)

Rozenblit & Copelli JSTAT P01012 (2011)



< □ > < □ > < 亘 > < 亘 > < 亘 > < 回 > < □ > <

Spiking neurons, not excitable!

 50×50 grid (interaction square: 7 \times 7)



Poil et al., *J. Neurosci.* **32** 9817 (2012)



25%

Input

Synaptic Model

Spiking Model

Spiking neurons, not excitable!

WIE

WEI

A

В

 W_{EE}

Background

Input

 50×50 grid (interaction square: 7×7)

Avalanche: above threshold



Poil et al., J. Neurosci. 32 9817 (2012)



- Avalanches with power-law size distribution!
- But only along a transition line in parameter space
- What kind of transition is this?





- Oscillations emerge! (8-16 Hz)
- Similar to MEG data

- Peak frequency increases with excitatory connectivity
- Transition from low to high peak power
- DFA exponent a ~ 1 at the transition



DFA = Detrended Fluctuation Analysis

$$\begin{aligned} F(n) &= \sqrt{\frac{1}{N} \sum_{k=1}^{N} \left[y(k) - y_n(k) \right]} \\ \text{If } F(n) &\sim n^{\alpha}, \text{ then} \\ \hline C(\tau) &\sim \tau^{-\gamma}, \text{ with } \gamma = 2 - 2\alpha \\ \hline S(f) &\sim f^{\beta}, \text{ with } \beta = 1 - \gamma = 2\alpha - 1 \end{aligned}$$

- $0 < \alpha < 0.5 \Rightarrow$ anticorrelations
- $\blacktriangleright \alpha = 0.5 \Rightarrow$ white noise
- $0.5 < \alpha < 1 \Rightarrow \text{persistent}$ long-range power-law correlations

•
$$\alpha = 1 \Rightarrow 1/f$$
 noise



Spiking neurons, not excitable!



IFPF





Spiking neurons, not excitable!

But ...

- How robust is the model if system size is increased (beyond 50 × 50...)?
- Should the "interaction square" be scaled up as well?
- If so, is the system really two-dimensional? (Remember, $\tau = 3/2$ is a mean-field exponent!)
- What would be an appropriate order parameter to characterize the transition?
- Does $P(s) \sim s^{-3/2}$ survive undersampling?

Poil et al., *J. Neurosci.* **32** 9817 (2012)



Outline:

Part I: The rise

Neuronal avalanches in cortical slices, anesthetized or resting animals

The critical brain hypothesis

Directed percolation, branching processes etc An example of a (theoretical) critical brain at work Summary of the pros

Part II: The fall

Fingerprints of brain criticality in freely-behaving animals The undersampling issue Summary of the cons

What next then?

Undersampling Long-range time correlations

Concluding remarks



・ コット (雪) (小田) (コット 日)

Are critical branching processes satisfactory models of neuronal avalanches? Only if fully sampled!

Branching-process-like models (absorbing vs active phases):

- Pros:
 - Neuronal avalanche exponents ($\tau = 3/2$ and $\tau_t = 2$)
 - Response exponents (1/δ_h = 1/2: psychophysics, sensory systems, ...) + optimal dynamic range at criticality
 - Theoretical workhorse (useful picture) for over a decade
- Cons:
 - STS has to be imposed by hand
 - No time correlations between consecutive avalanches
 - Fail with undersampling. We found no power laws.

Perspectives:

- Can power laws survive undersampling with different topologies?
 - $K \sim \mathcal{O}(N^{1/2})$ instead of $\mathcal{O}(1)$
 - Hierarchical networks (critical point or Griffiths phase??) [Moretti & Muñoz, Nat. Comm. (2013)]
- Avalanches as collective excitations near oscillatory behavior? [Poil et al, J. Neurosci. (2012)]



Thank you!



New neuroelectrophysiology lab: students and post-docs wanted!



Come visit us in Recife!



