

Underlying Event & Soft Inclusive Physics

Part I

Andrzej Siódmok

CERN, Theory Division & IFJ, Cracow



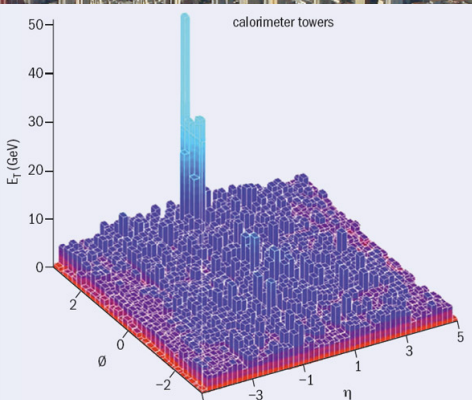
Monte Carlo School NCC/ICTP-SAIFR School and Workshop,
São Paulo, 27th April 2015

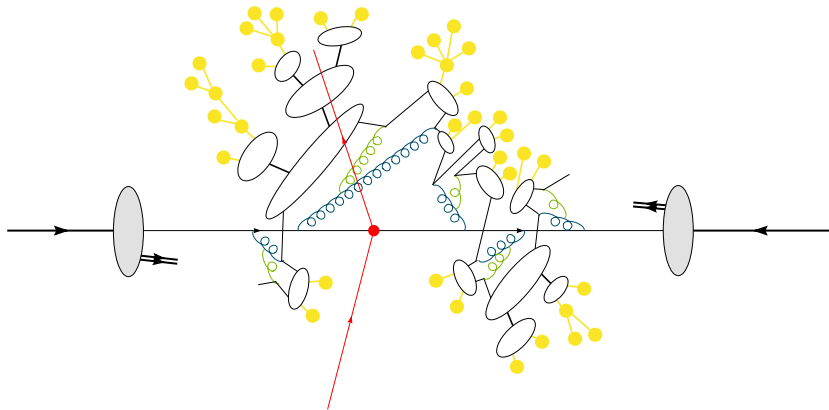
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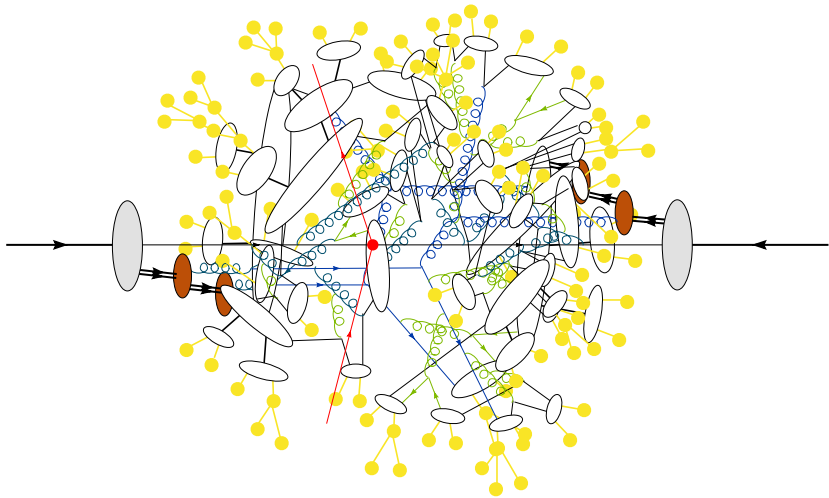
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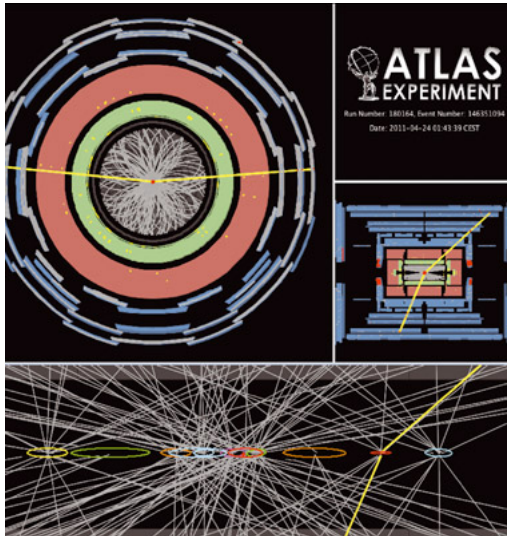






R. GOSCINNY *Asterix* A. UDERZO
Asterix IN
SWITZERLAND





This talk:

- ▶ Definition and Motivation
- ▶ Example of MPI model

This talk:

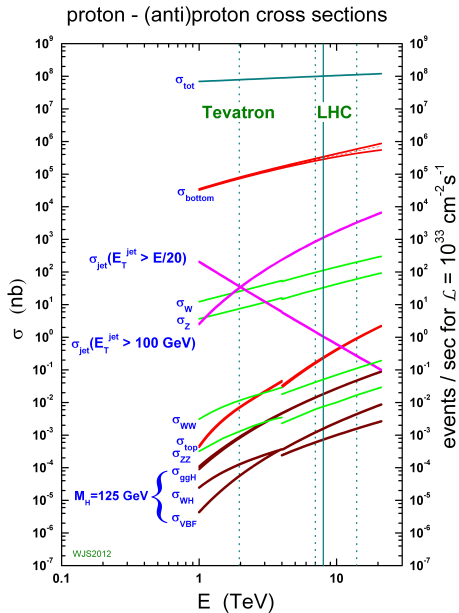
- ▶ Definition and Motivation
- ▶ Example of MPI model- Multiple Partonic Interaction model not ~~Message Passing Interface~~ :)
- ▶ Colour structure of an event
- ▶ Summary

Thursday's talk:

- ▶ Overview of MPI models
- ▶ Tuning tools - Professor
- ▶ Comparison with some LHC data
- ▶ CDF Min Bias "factorization" mystery
- ▶ Outlook

Definitions and Motivation

Zero and Minimum Bias measurements



- ▶ the “interesting” (high transverse momentum, high mass...) events are often quite a small fraction of the total number of collisions.
- ▶ most of interactions are “soft”
- ▶ and also underlying event is assumed to be mostly soft
- ▶ pile-up interactions are “soft” as well

Zero and Minimum Bias measurements

- ▶ “Zero bias” - Every event in a perfect 4π detector (or maybe almost perfect FELIX - A full acceptance detector at the LHC by Bjorken).
- ▶ A “minimum bias” event is what one would see with a totally inclusive trigger. All events, with a minimum bias from restricted trigger conditions.
- ▶ In practice this definition depends on the experiment’s trigger!

Two examples:

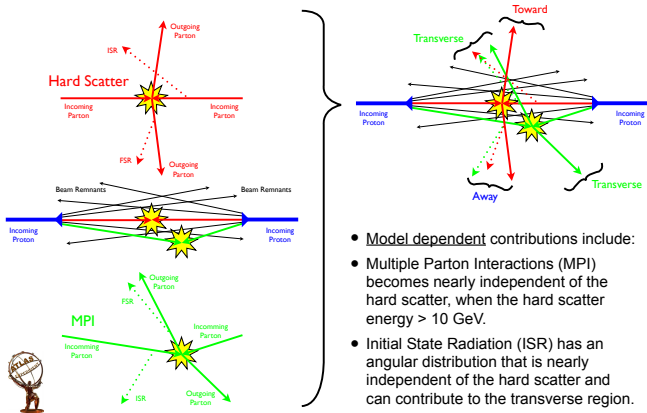
1. ATLAS, Minimum Bias Trigger Scintillator ($2.1 < |\eta| < 3.8$), single arm MBTS trigger fired, primary vertex reconstructed, phase space: $p_T > 500(100)$ MeV, $|\eta| < 2.5$, $n_{ch} \geq 1$ (2, 6, 20)
 2. CDF (2009), Minimum bias trigg. BBC ($3.2 < |\eta| < 5.9$), coincidence in time of signals in both forward and backward modules, primary vertex reconstructed, phase space: $p_T > 400$ MeV, $|\eta| < 1.0$
- ▶ Typical observables:

$$\frac{1}{N_{ev}} \cdot \frac{dN_{ch}}{d\eta}, \quad \frac{1}{N_{ev}} \cdot \frac{1}{2\pi p_T} \cdot \frac{d^2N_{ch}}{d\eta dp_T}, \quad \frac{1}{N_{ev}} \cdot \frac{dN_{ev}}{dn_{ch}} \quad \text{and} \quad \langle p_T \rangle \text{ vs. } n_{ch},$$

- ▶ We define an interesting hard process
- ▶ Underlying Event = Everything except the hard/interesting process
(and initial- and final-state showers)

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- ▶ Underlying Event = Everything except the hard/interesting process (and initial- and final-state showers)

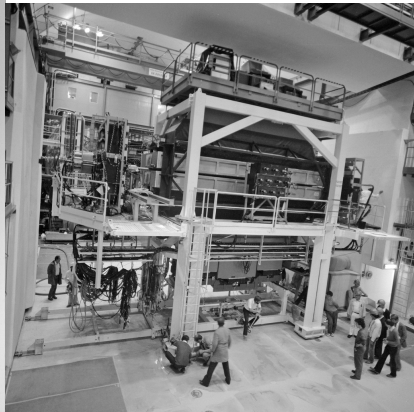
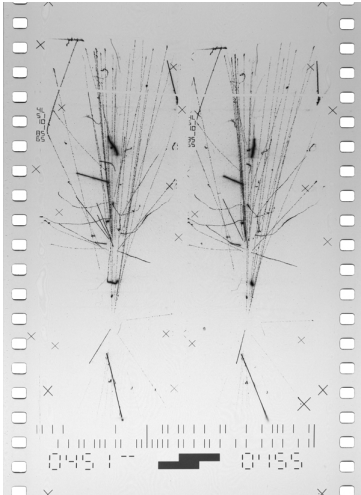
Underlying Event: What



- Model dependent contributions include:
- Multiple Parton Interactions (MPI) becomes nearly independent of the hard scatter, when the hard scatter energy > 10 GeV.
- Initial State Radiation (ISR) has an angular distribution that is nearly independent of the hard scatter and can contribute to the transverse region.

Motivation - how do we know MPI exists?

UA5 experiment at the SPS - proton-antiproton 540 GeV c.m.



Motivation - how do we know MPI exists?

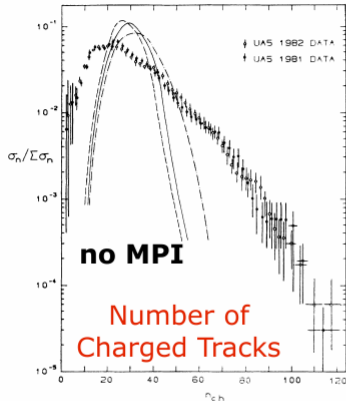


FIG. 3. Charged-multiplicity distribution at 540 GeV, UA5 results (Ref. 32) vs simple models: dashed low p_T only, full including hard scatterings, dash-dotted also including initial- and final-state radiation.

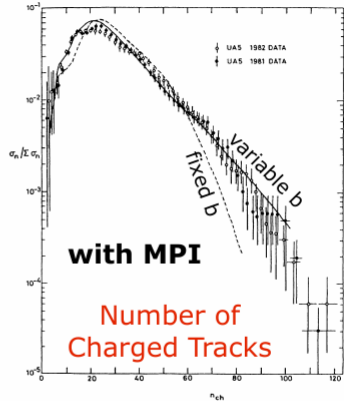


FIG. 12. Charged-multiplicity distribution at 540 GeV, UA5 results (Ref. 32) vs multiple-interaction model with variable impact parameter: solid line, double-Gaussian matter distribution; dashed line, with fix impact parameter [i.e., $\bar{O}_0(b)$].

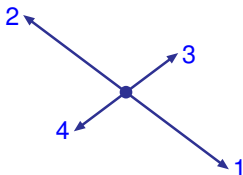
Motivation - how do we know MPI exists?

Direct observation of multiple interactions

Five studies: AFS (1987), UA2 (1991), CDF (1993, 1997), D0 (2009)

Order 4 jets $p_{\perp 1} > p_{\perp 2} > p_{\perp 3} > p_{\perp 4}$ and define φ as angle between $p_{\perp 1} \mp p_{\perp 2}$ and $p_{\perp 3} \mp p_{\perp 4}$ for AFS/CDF

Double Parton Scattering



$$|p_{\perp 1} + p_{\perp 2}| \approx 0$$

$$|p_{\perp 3} + p_{\perp 4}| \approx 0$$

$d\sigma/d\varphi$ flat

Double BremsStrahlung



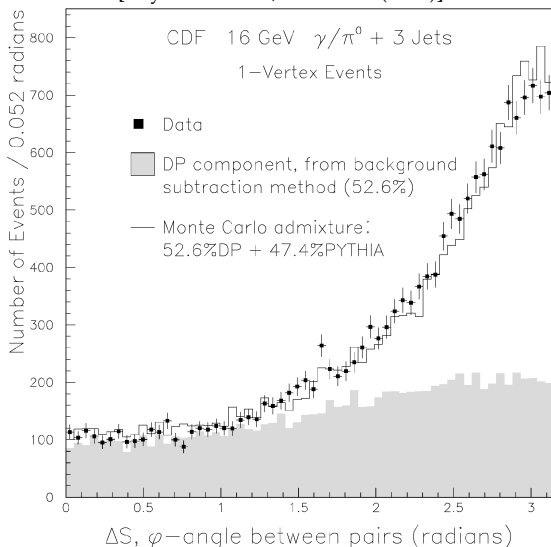
$$|p_{\perp 1} + p_{\perp 2}| \gg 0$$

$$|p_{\perp 3} + p_{\perp 4}| \gg 0$$

$d\sigma/d\varphi$ peaked at $\varphi \approx 0/\pi$ for AFS/CDF

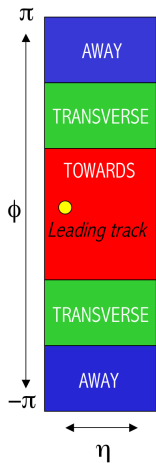
Motivation - how do we know MPI exists?

CDF: Double parton scattering in $p\bar{p}$ collisions at $\sqrt{s} = 1.8$
[Phys. Rev. D 56, 3811-3832 (1997)]



Motivation - how do we know MPI exists?

CDF Run II

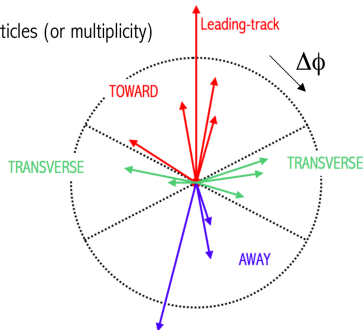


On event-by-event basis:

- 1) Identify the leading object in the event
- 2) Build TRANSVERSE REGIONS w.r.t. it
- 3) Compute Σp_T of charged particles (or multiplicity) in the different regions

SETTINGS:

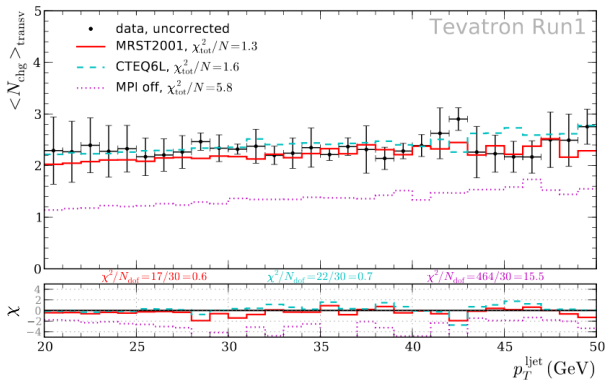
- $p_T > 0.5 \text{ GeV}/c$ (tracks and leading-track)
- leading-track not included in distributions



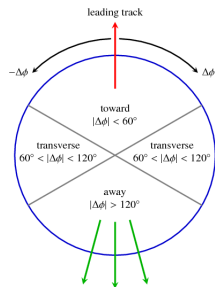
Motivation - how do we know MPI exists?

CDF Run II

Good description of Run I Underlying event data ($\chi^2 = 1.3$).



Only $p_T^{\text{ljct}} > 20 \text{ GeV}$.



Why should we be interested?

1. Quantum Chromodynamics (QCD)

- ▶ Can we predict/understand the properties of hadrons?
- ▶ Connection with:
 - ▶ diffraction
 - ▶ saturation
 - ▶ confinement
 - ▶ total cross section

2. Experiments

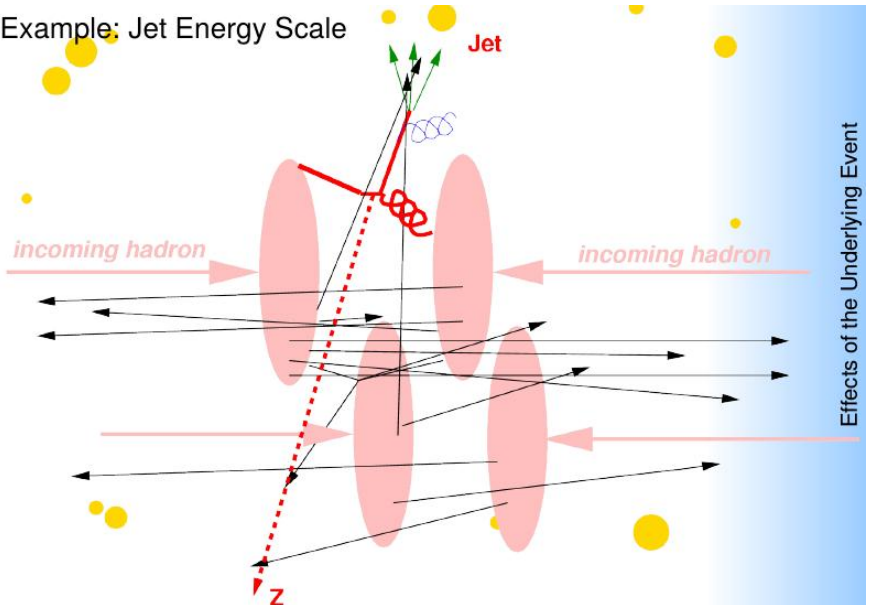
- ▶ These effects are often formally suppressed for inclusive cross sections.
- ▶ In practice, their significance can be enhanced by
 - ▶ experimental acceptance
 - ▶ cuts to suppress backgrounds
 - ▶ their impact on detector calibration
 - ▶ the need to measure more exclusive quantities
 - ▶ missing E_T reconstruction
 - ▶ Photon/lepton isolation
 - ▶ Mass reconstruction (see Jon's talk on Friday)

Example: Jet Energy Scale

- ▶ Leptonic energy scale typically much better known than the hadronic/jet energy scale.
- ▶ To determine response to hadronic jets, typically look for well measured leptonic processes (e.g. $Z \rightarrow e^+e^-$) and balance leptons against jets.
- ▶ This balance is affected by the environment of the event.

Example: Jet Energy Scale

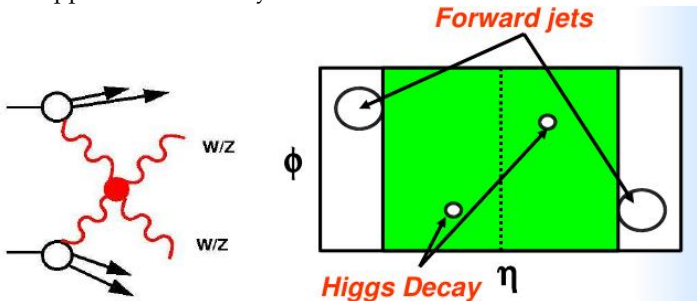
Example: Jet Energy Scale



Title: "Effects of the Underlying Event" by Jonathan Butterworth

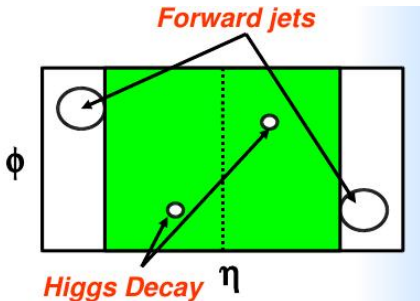
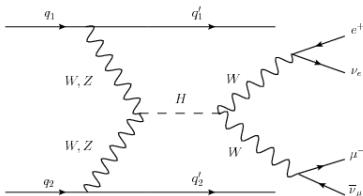
Example: Minijet veto

- ▶ In some processes there is no colour exchange between the protons.
- ▶ Suppression of QCD radiation in the event;
Important signature for reducing backgrounds.
- ▶ No suppression in activity from MPI

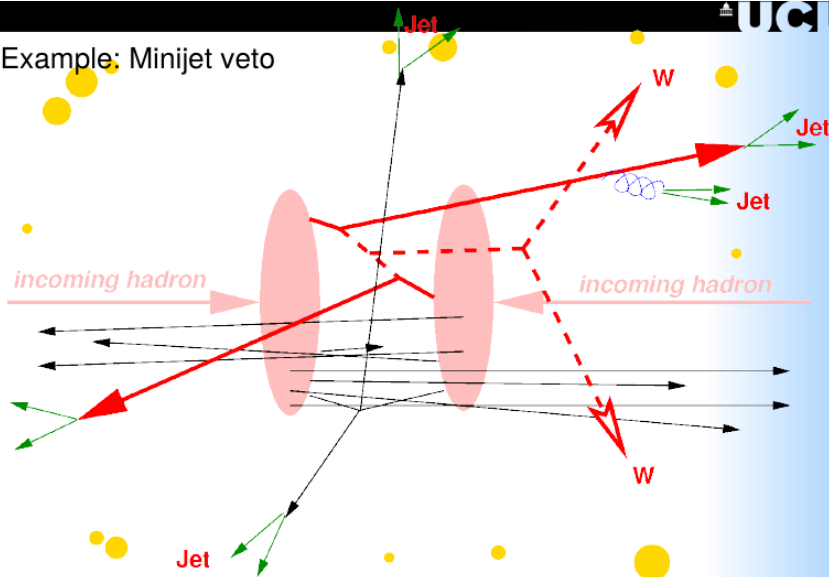


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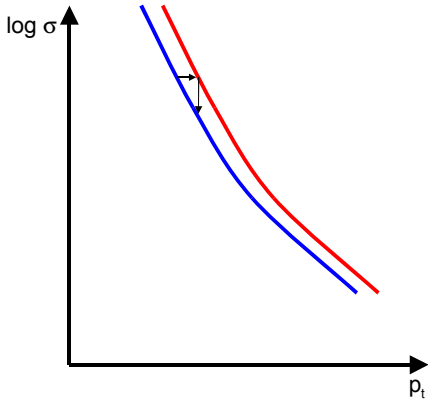
Effects of the Underlying Event

Motivation - is it really important?

Motivation:

- ▶ The minimum bias/underlying event is an unavoidable background to most collider observables and having good understand of it leads to more precise collider measurements!
- ▶ First LHC results are Minimum Bias and Underlying Event!
Alice: [0911.5430], CMS [1002.0621], ATLAS [1003.3124] so it must be important ;)
- ▶ These will be particularly relevant for the LHC as, when it is operated at design luminosity, rare signal events will be embedded in a background of more than 20 near-simultaneous minimum-bias collisions.
- ▶ Any realistic experiment simulation event generator needs to be able to model these effects.
- ▶ “Don’t worry, we will measure and subtract it” But... fluctuations and correlations crucial

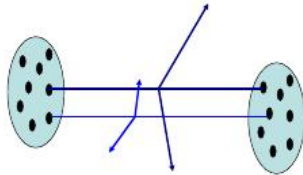
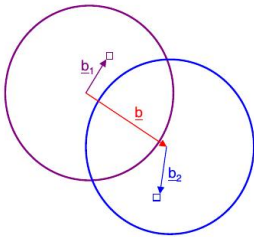
Motivation - is it really important?



- ▶ Steep distribution \Rightarrow small sideways shift = large vertical
- ▶ Rare fluctuations can have a huge influence

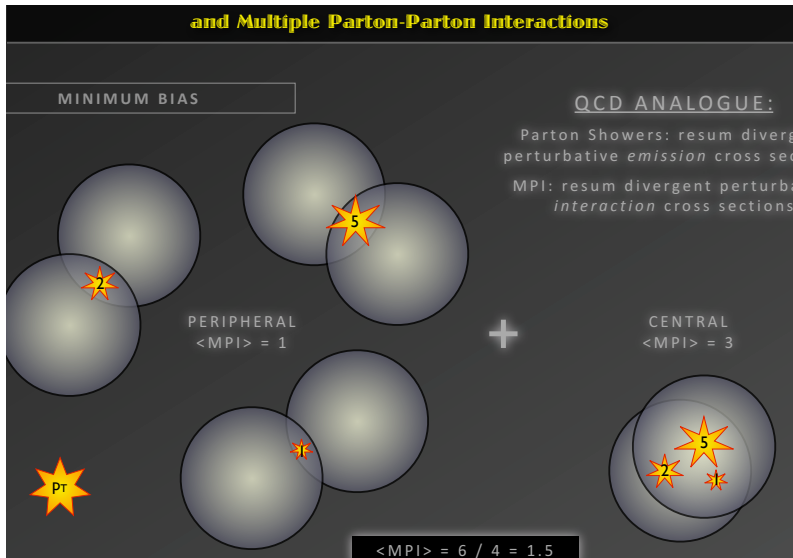
Modeling the Underlying Event

Expect impact parameter (b) dependence:



Semi hard underlying event

Taken from Peter Skands:



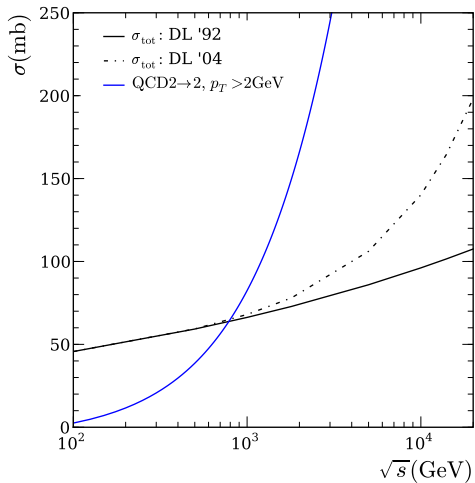
Semihard UE

- ▶ Default from Herwig++ 2.1. [Herwig++, 0711.3137]
- ▶ Multiple hard interactions, $p_t \geq p_t^{\min}$ [Bähr, Gieseke, Seymour, JHEP 0807:076]
- ▶ Similar to JIMMY [J. M. Butterworth, J. R. Forshaw and M. H. Seymour, Zeit. fur Phys. C72]
- ▶ Good description of harder Run I UE data (Jet20).

Starting point: hard inclusive jet cross section.

$$\sigma^{\text{inc}}(s; p_t^{\text{min}}) = \sum_{i,j} \int_{p_t^{\text{min}2}} dp_t^2 f_{i/h_1}(x_1, \mu^2) \otimes \frac{d\hat{\sigma}_{i,j}}{dp_t^2} \otimes f_{j/h_2}(x_2, \mu^2),$$

$\sigma^{\text{inc}} > \sigma_{\text{tot}}$ eventually (for moderately small p_t^{min}).



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$\sigma^{\text{inc}} > \sigma_{\text{tot}}$ eventually (for moderately small p_t^{min}).

Interpretation: σ^{inc} counts *all* partonic scatters that happen during a single pp collision \Rightarrow more than a single interaction.

$$\sigma^{\text{inc}} = \bar{n} \sigma_{\text{inel}}.$$

where σ_{inel} is the cross section for having one or more jet pairs above p_t^{min} .

Use eikonal approximation (= independent scatters¹). Leads to Poisson distribution, at fixed impact parameter, $b \equiv |b|$, the probability for m partonic interactions:

$$P_m(\vec{b}, s) = \frac{\bar{n}(\vec{b}, s)^m}{m!} e^{-\bar{n}(\vec{b}, s)} .$$

Then we get σ_{inel} :

$$\sigma_{\text{inel}} = \int d^2\vec{b} \sum_{n=1}^{\infty} P_n(\vec{b}, s) = \int d^2\vec{b} \left(1 - e^{-\bar{n}(\vec{b}, s)} \right) .$$

¹Real life momentum/flavor conservation suppresses high- m tail + other physical correlations

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Cf. σ_{inel} from scattering theory in eikonal approx. with scattering amplitude $a(\vec{b}, s) = \frac{1}{2i} (e^{-\chi(\vec{b}, s)} - 1)$

$$\sigma_{\text{inel}} = \int d^2\vec{b} \left(1 - e^{-2\chi(\vec{b}, s)} \right) \quad \Rightarrow \quad \chi(\vec{b}, s) = \frac{1}{2} \bar{n}(\vec{b}, s) .$$

$\chi(\vec{b}, s)$ is called *eikonal* function.

¹Real life momentum/flavor conservation suppresses high- m tail + other physical correlations

Calculation of $\bar{n}(\vec{b}, s)$ from parton model assumptions:

$$\begin{aligned}
 \bar{n}(\vec{b}, s) &= L_{\text{partons}}(x_1, x_2, \vec{b}) \otimes \sum_{ij} \int dp_t^2 \frac{d\hat{\sigma}_{ij}}{dp_t^2} \\
 &= \sum_{ij} \frac{1}{1 + \delta_{ij}} \int dx_1 dx_2 \int d^2\vec{b}' \int dp_t^2 \frac{d\hat{\sigma}_{ij}}{dp_t^2} \\
 &\quad \times D_{i/A}(x_1, p_t^2, |\vec{b}'|) D_{j/B}(x_2, p_t^2, |\vec{b} - \vec{b}'|)
 \end{aligned}$$

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 &\quad \times f_{i/A}(x_1, p_t^2) G_A(|\vec{b}'|) f_{j/B}(x_2, p_t^2) G_B(|\vec{b} - \vec{b}'|) \\
 &= A(\vec{b}) \sigma^{\text{inc}}(s; p_t^{\text{min}}) . \\
 \\
 \Rightarrow \quad \chi(\vec{b}, s) &= \frac{1}{2} \bar{n}(\vec{b}, s) = \frac{1}{2} A(\vec{b}) \sigma^{\text{inc}}(s; p_t^{\text{min}}) .
 \end{aligned}$$

From assumptions:

- ▶ at fixed impact parameter b , individual scatterings are independent,
- ▶ the distribution of partons in hadrons factorizes with respect to the b and x dependence.

we get the average number of partonic collisions at a given b value is

$$\bar{n}(b, s) = A(b)\sigma^{inc}(s; p_t^{\min}) = 2\chi(b, s)$$

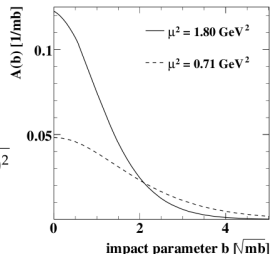
where $A(b)$ is the partonic overlap function of the colliding hadrons

$$A(b) = \int d^2\vec{b}' G_A(|\vec{b}'|) G_B(|\vec{b} - \vec{b}'|)$$

$G(\vec{b})$ from electromagnetic FF:

$$G_p(\vec{b}) = G_{\bar{p}}(\vec{b}) = \int \frac{d^2\vec{k}}{(2\pi)^2} \frac{e^{i\vec{k}\cdot\vec{b}}}{(1 + \vec{k}^2/\mu^2)^2}$$

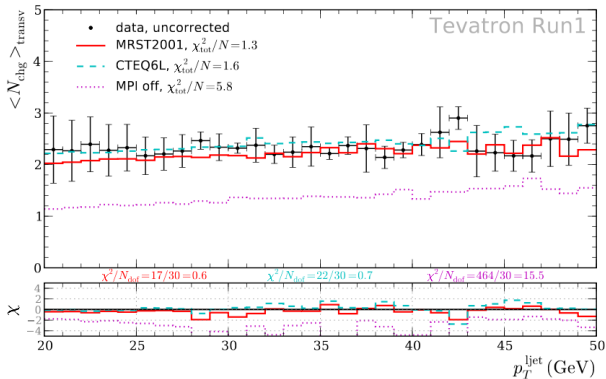
But μ^2 *not* fixed to the electromagnetic 0.71 GeV^2 .
Free for colour charges.



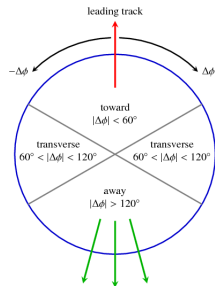
⇒ Two main parameters: μ^2, p_t^{\min} .

Semi hard underlying event

Good description of Run I Underlying event data ($\chi^2 = 1.3$).



Only $p_T^{\text{ljct}} > 20 \text{ GeV}$.



Semihard+Soft UE

- ▶ Default from Herwig++ 2.3. [Herwig++, 0812.0529]
- ▶ Extension to soft interactions, $p_t \leq p_t^{\min}$ [Bähr, Gieseke, Seymour, JHEP 0807:076]
- ▶ Theoretical work with simplest possible extension. [Bähr, Butterworth, Seymour, JHEP 0901:065]
- ▶ “Hot Spot” model. [Bähr, Butterworth, Gieseke, Seymour, 0905.4671]

So far only hard MPI.

Now extend to soft interactions with

$$\chi_{\text{tot}} = \chi_{\text{QCD}} + \chi_{\text{soft}}.$$

Similar structures of eikonal functions:

$$\chi_{\text{soft}} = \frac{1}{2} A_{\text{soft}}(\vec{b}) \sigma_{\text{soft}}^{\text{inc}}$$

Simplest possible choice:

$$A_{\text{soft}}(\vec{b}; \mu) = A_{\text{hard}}(\vec{b}; \mu) = A(\vec{b}; \mu).$$

Then

$$\chi_{\text{tot}} = \frac{A(\vec{b}; \mu)}{2} \left(\sigma_{\text{hard}}^{\text{inc}} + \sigma_{\text{soft}}^{\text{inc}} \right).$$

One new parameter $\sigma_{\text{soft}}^{\text{inc}}$.

Exploit knowledge of σ_{tot} in eikonal model:

$$\begin{aligned}\sigma_{\text{tot}} &= 2 \int d^2\vec{b} \left(1 - e^{-\chi_{\text{tot}}(\vec{b},s)} \right) \\ &= 2 \int d^2\vec{b} \left(1 - e^{-\frac{\Lambda(\vec{b};\mu)}{2}} (\sigma_{\text{hard}}^{\text{inc}} + \sigma_{\text{soft}}^{\text{inc}}) \right)\end{aligned}$$

σ_{tot} well measured. Fixes $\sigma_{\text{soft}}^{\text{inc}}$.

Energy extrapolation from Donnachie–Landshoff

▶ DL '92

[D&L, PLB296, 227 (1992)]

▶ DL '92 normalized at TVT

▶ DL '04

[D&L, PLB595, 393 (2004)]

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σ_{tot} well measured. Fixes $\sigma_{\text{soft}}^{\text{inc}}$.

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[D&L, PLB595, 393 (2004)]

Model turned out to be too simple.

→ Relax the constraint of identical overlap

$$A_{\text{soft}}(b) = A(b, \mu_{\text{soft}})$$

Extension to soft MPI, $p_t < p_t^{\min}$

Fix the two parameters μ_{soft} and $\sigma_{\text{soft}}^{\text{inc}}$ in

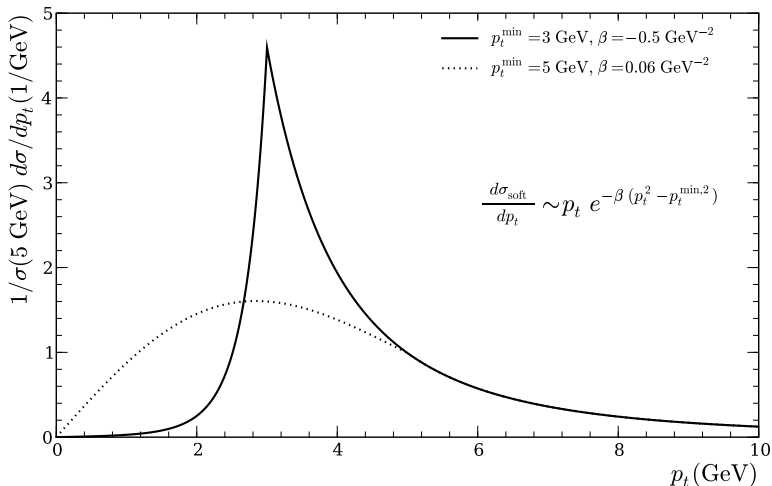
$$\chi_{\text{tot}}(\vec{b}, s) = \frac{1}{2} \left(A(\vec{b}; \mu) \sigma^{\text{inc}} \text{hard}(s; p_t^{\min}) + A(\vec{b}; \mu_{\text{soft}}) \sigma_{\text{soft}}^{\text{inc}} \right)$$

from two constraints. Require simultaneous description of σ_{tot} and b_{el} (measured/well predicted),

$$\begin{aligned} \sigma_{\text{tot}}(s) &\stackrel{!}{=} 2 \int d^2\vec{b} \left(1 - e^{-\chi_{\text{tot}}(\vec{b}, s)} \right) , \\ b_{\text{el}}(s) &\stackrel{!}{=} \int d^2\vec{b} \frac{b^2}{\sigma_{\text{tot}}} \left(1 - e^{-\chi_{\text{tot}}(\vec{b}, s)} \right) . \end{aligned}$$

Extension to soft MPI, $p_t < p_t^{\min}$

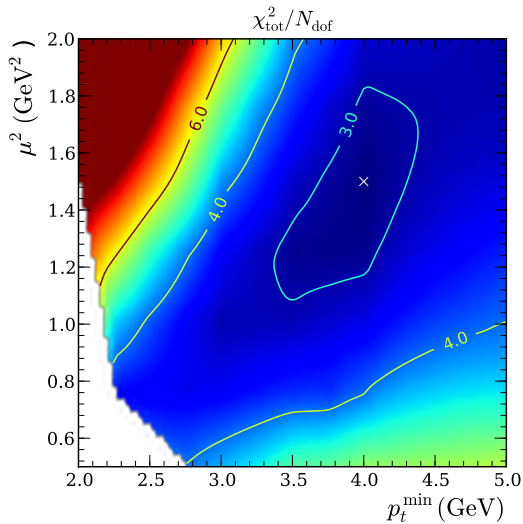
Continuation of the differential cross section into the soft region $p_t < p_t^{\min}$
(here: p_t integral kept fixed)



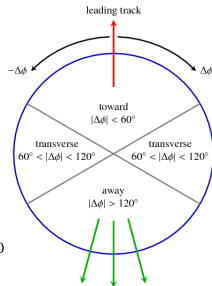
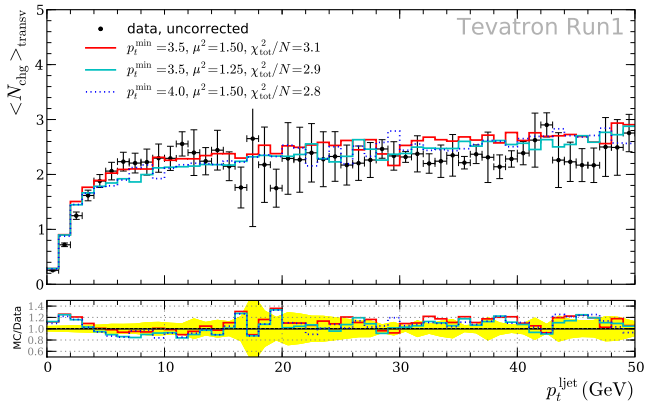
- ▶ So far: only indirect constraints from σ_{tot} and σ_{el} .
- ▶ Now use model in Herwig++ with $\vec{n}(\vec{b}, s)$ as input for MPI.
- ▶ Remaining free parameters $(p_t^{\text{min}}, \mu^2)$.
- ▶ Look at χ^2/dof for Tevatron Run I data in the $(p_t^{\text{min}}, \mu^2)$ plane.

Parameter space at Tevatron

- ▶ χ^2 for Rick's Run1 Jet analysis for **all** regions



Detailed look at observables: Transverse Region



What we have so far:

- ▶ Unitarized jet cross sections
- ▶ Fulfil constraints from σ_{tot} and σ_{el} .
- ▶ Simple model with similar overlap functions.
- ▶ No additional (explicit) energy dependence.
- ▶ Left with freedom in parameter space.

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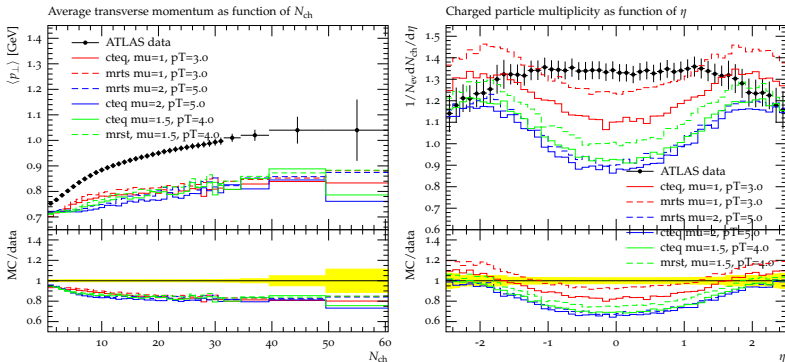
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⇒ *Look at LHC results (900 GeV).*

- ▶ ATLAS charged particles in Min Bias.
- ▶ Convenient as the analysis was quickly available in RIVET ;-)
- ▶ Three points from 'valley'
 $(p_t^{\text{min}}/\text{GeV}, \mu^2/\text{GeV}^2) = (3.0, 1.0); (4.0, 1.5); (5.0, 2.0)$

Look at LHC results (900 GeV)

- ▶ ATLAS charged particles in Min Bias ($N_{ch} \geq 1$, $p_T > 500\text{MeV}$, $|\eta| < 2.5$)
- ▶ Convenient as the analysis was quickly available in RIVET.

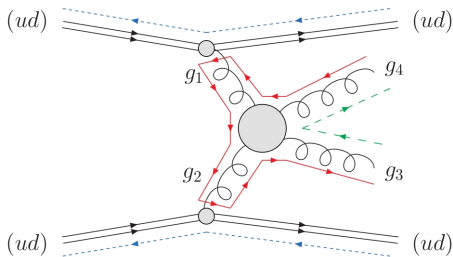


- ▶ oops, not so nice...
- ▶ despite very good agreement with Rick Field's CDF UE analysis.
- ▶ choice of PDF set (CTEQ611 vs MSTW LO**) (our default)
- ▶ Failure of a physically motivated model usually points to more, interesting physics ... colour structure?

- Colour structure of the soft interactions, $p_t \leq p_t^{\min}$

Sensitivity to parameter:

- `colourDisrupt` = P(disrupt colour lines) as opposed to hard QCD.
- `colourDisrupt` = 1, completely disconnected.



Colour Structure of the Underlying Event

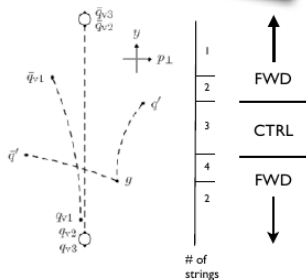
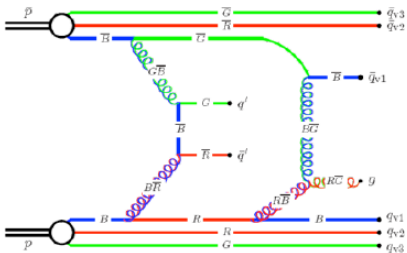
Colour Structure of the Underlying Event multiple interactions, even when soft, can cause non-trivial changes to the colour topology of the colliding system as a whole, with potentially major consequences for the particle multiplicity in the final state

Each MPI (or cut Pomeron) exchanges color between the beams

► The colour flow determines the hadronizing string topology

- Each MPI, even when soft, is a color spark
- Final distributions crucially depend on color space

Different models make different ansätze



Colour Structure of the Underlying Event

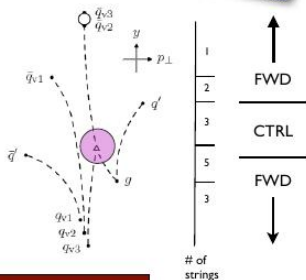
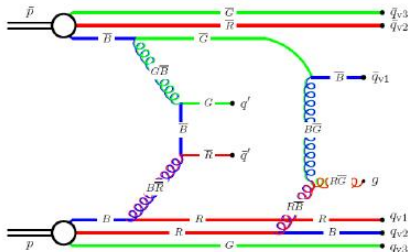
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Underlying event in Herwig++

Colour Structure of the Underlying Event multiple interactions, even when soft, can cause non-trivial changes to the colour topology of the colliding system as a whole, with potentially major consequences for the particle multiplicity in the final state

- ▶ plain Colour Reconnection (pCR) (parameter p_{reco}) - Included from Herwig++ 2.5, [Gieseke, Rohr, AS, Eur.Phys.J. C72 (2012)]
- ▶ Colour Disrupt - only Soft UE (parameter p_{CD})

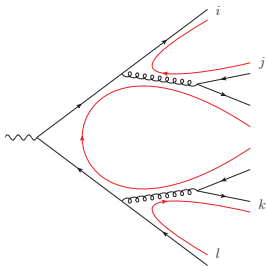
Main parameters:

- ▶ μ^2 - inverse hadron radius squared (parametrization of overlap function)
- ▶ p_t^{\min} - transition scale between soft and hard components
- ▶ p_{reco} - colour reconnection
- ▶ p_{CD} - colour structure of the Soft UE

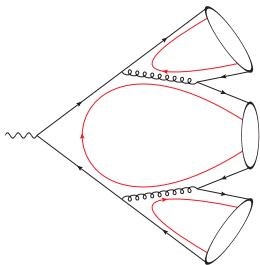
Colour reconnection (CR) in Herwig++

Extending the hadronization model in Herwig(++):

- ▶ QCD parton showers provide *pre-confinement*
⇒ colour-anticolour pairs form highly excited hadronic states, the *clusters*



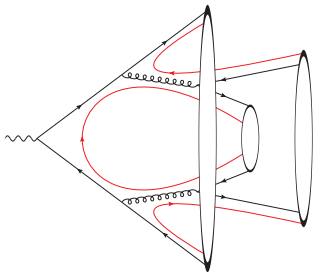
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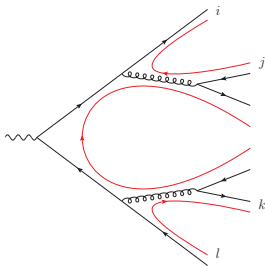
Colour reconnection (CR) in Herwig++



Extending the hadronization model in Herwig(++):

- ▶ QCD parton showers provide *pre-confinement*
 \Rightarrow colour-anticolour pairs form highly excited hadronic states, the *clusters*
- ▶ CR in the cluster hadronization model: allow *reformation* of clusters, e.g. $(il) + (jk)$
- ▶ Physical motivation: exchange of soft gluons during non-perturbative hadronization phase

Colour reconnection (CR) in Herwig++



Extending the hadronization model in Herwig(++):

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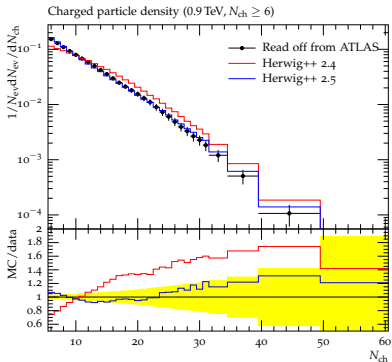
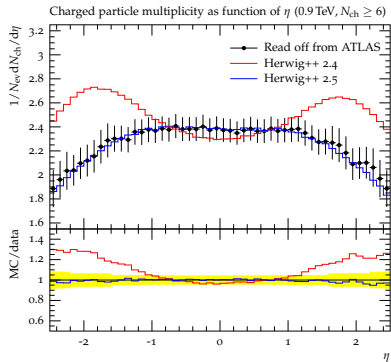
Implementation

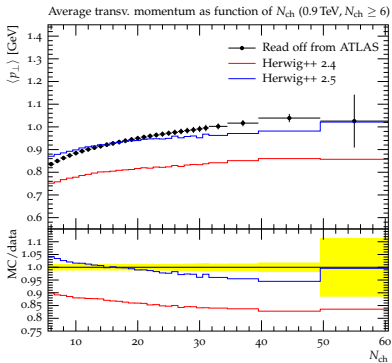
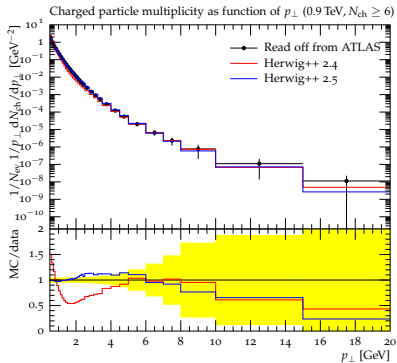
- ▶ Allow CR if the cluster mass decreases,

$$M_{il} + M_{kj} < M_{ij} + M_{kl},$$

where $M_{ab}^2 = (p_a + p_b)^2$ is the (squared) cluster mass

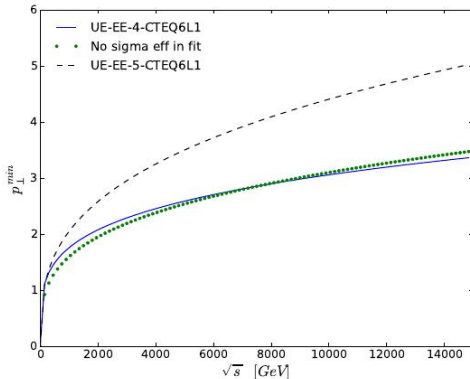
- ▶ Accept alternative clustering with probability p_{reco} (model parameter)
⇒ this allows to switch on CR smoothly





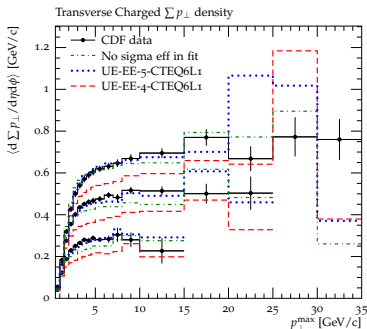
Energy interpolation

- ▶ Not possible to fit with energy-independent parameters (different parameters for different energies).
- ▶ Possible to fit with energy-dependent $p_t^{\min} = p_{t,0}^{\min} \left(\frac{\sqrt{s}}{E_0}\right)^b$ and all else energy-independent.
- ▶ Interpolation to $\sqrt{s} = 2760$ GeV

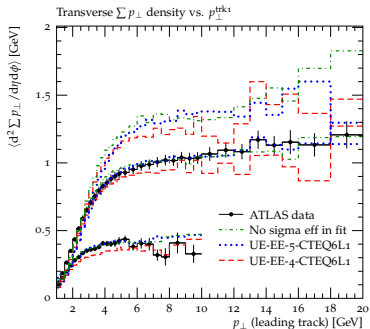


Transverse charged Particle Σp_T

CDF (300, 900, 1960 GeV)



LHC (900, 7000, 1400 GeV)



Legend:

- ▶ No sigma eff. in fit (only UE data in fit) - blue lines
- ▶ UE-EE-5-CTEQ6L1 - both sigma eff. and UE data in fit $\sigma_{\text{eff.}} = 14.8 \text{ mb}$.
- ▶ UE-EE-4-CTEQ6L1 - old tune - only UE data in fit.

Summary:

- ▶ Motivation and experimental evidence for MPI
- ▶ Example of MPI model - MPI in Herwig++
 - ▶ This was just a basics - there are many details which I had no time to talk about and "The Devil is in the detail" ...
- ▶ Colour structure of an event
- ▶ Energy extrapolation

Thursday's talk:

- ▶ Overview of MPI models
- ▶ Tuning tools - Professor
- ▶ Comparison with some LHC data
- ▶ CDF Min Bias "factorization" mystery
- ▶ Outlook

Thank you for your attention!