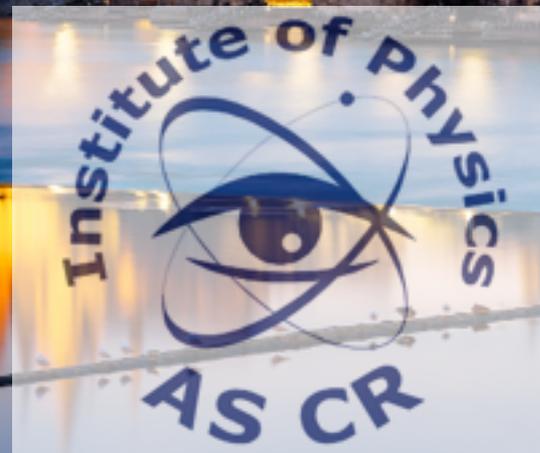


Excitonic magnetism in models and materials

Jan Kuneš



Collaborators



Pavel Augustinský
Dominique Geffroy

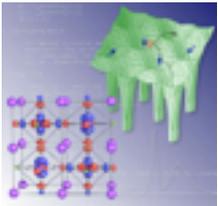
IoP Prague
Masaryk University Brno

Vladislav Pokorný
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Jindřich Kolorenč
Karel Pajskr

Uni. Augsburg + IoP Prague
IoP Prague
IoP Prague
Charles University, Prague

Ryotaro Arita

RIKEN, Tokyo



DFG Research Unit FOR1346



Czech Science Foundation



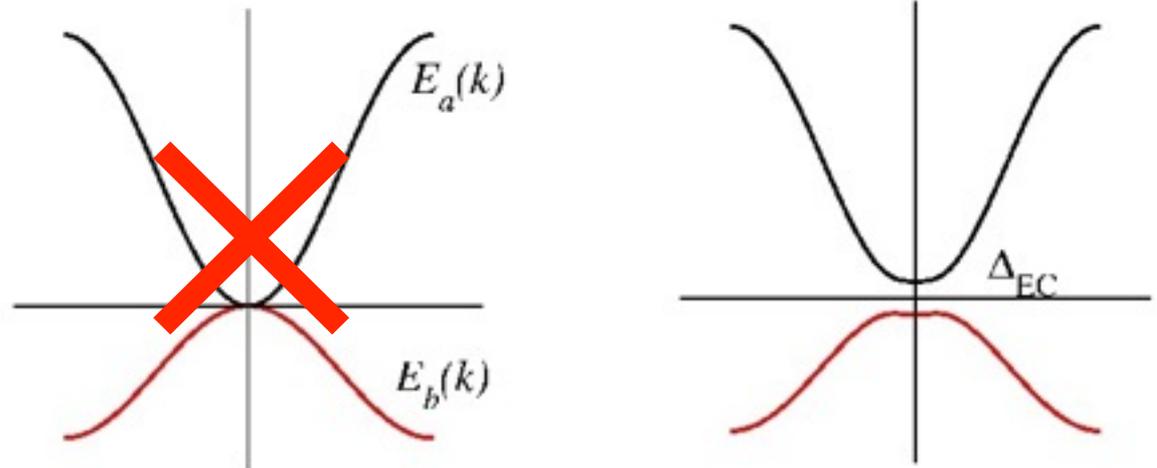
European Research Council

Excitonic insulator



“The first point that we must make about this model is that the predicted continuous increase in the number of free electrons and holes from the value zero is not possible. An electron and a positive hole will attract each other ... the electron and hole will always, when in the state of lowest energy, form pairs (excitons) ...”

Neville Mott, 1961



Knox, 1963

Keldysh and Kopaeu, 1965

des Cloizeaux, 1965

Kozlov and Maksimov, 1966

Jerome, Rice, Kohn 1967

Halperin and Rice, 1968

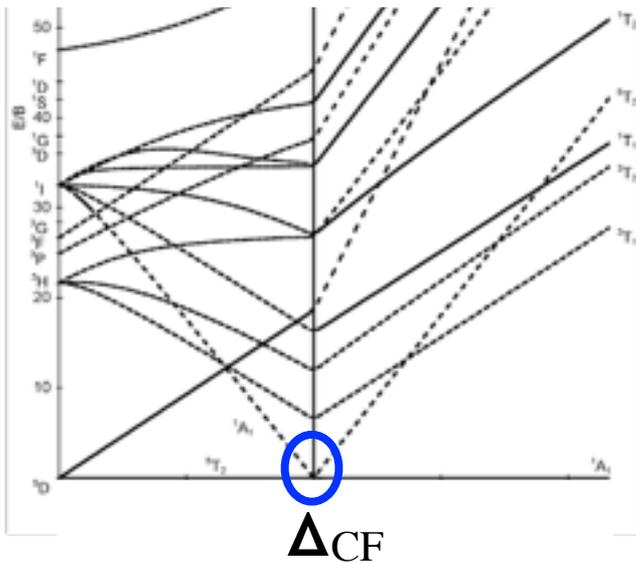


weak coupling BCS like theories

Spin-state transition

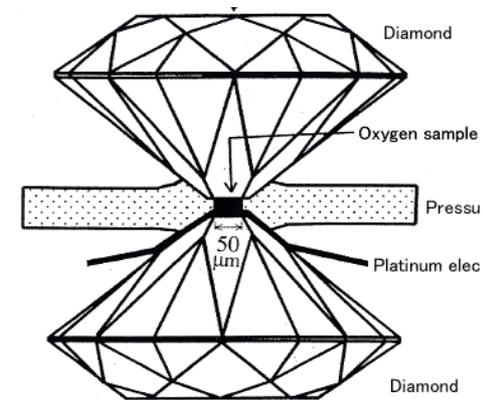
Spin-state transition is a rapid change of magnitude or disappearance of the fluctuating local moment.

Ligand field physics
(single atom)



Tanabe & Sugano, 1954

- compounds containing Mn, Fe, Co
- high pressures (~ 10 GPa)
Earth mantle or diamond anvil cell



- as a function of temperature, strain, ...
 LaCoO_3

Outline

- Two-band Hubbard model
 - Strong-coupling limit (hard-core bosons)
 - Dynamical mean-field theory (fermions)
 - Exotic properties - spin texture
- Real materials $(\text{Pr}_x\text{R}_{1-x})_y\text{Ca}_{1-y}\text{CoO}_3$ (PCCO) and Sr_2YIrO_6
 - Excitonic condensation with orbital degeneracy
 - Density functional theory (LDA+U)

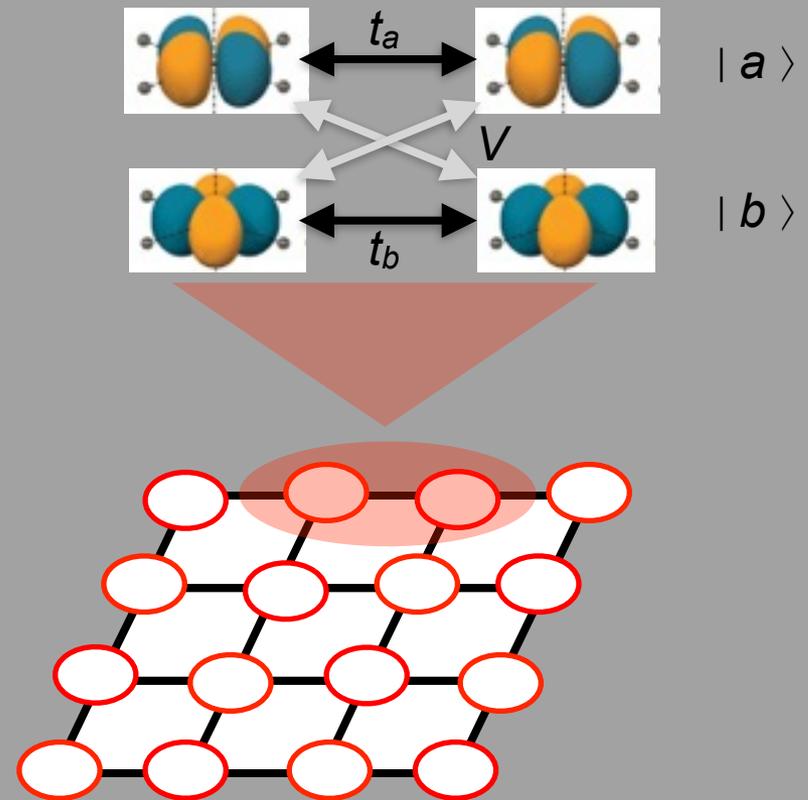
Excitonic condensation in Hubbard model

Two-band Hubbard model at $n=2$ (half filling)

$$\begin{aligned}
 H_t &= \frac{\Delta}{2} \sum_{i,\sigma} (n_{i\sigma}^a - n_{i\sigma}^b) + \sum_{i,j,\sigma} (t_a a_{i\sigma}^\dagger a_{j\sigma} + t_b b_{i\sigma}^\dagger b_{j\sigma}) \\
 &\quad + \sum_{\langle ij \rangle, \sigma} (V_1 a_{i\sigma}^\dagger b_{j\sigma} + V_2 b_{i\sigma}^\dagger a_{j\sigma} + c.c.) \\
 H_{\text{int}}^{\text{dd}} &= U \sum_i (n_{i\uparrow}^a n_{i\downarrow}^a + n_{i\uparrow}^b n_{i\downarrow}^b) + (U - 2J) \sum_{i,\sigma} n_{i\sigma}^a n_{i-\sigma}^b \\
 &\quad + (U - 3J) \sum_{i\sigma} n_{i\sigma}^a n_{i\sigma}^b \\
 H'_{\text{int}} &= J \sum_{i\sigma} a_{i\sigma}^\dagger b_{i-\sigma}^\dagger a_{i-\sigma} b_{i\sigma} + J' \sum_i (a_{i\uparrow}^\dagger a_{i\downarrow}^\dagger b_{i\downarrow} b_{i\uparrow} + c.c.).
 \end{aligned}$$



John Hubbard



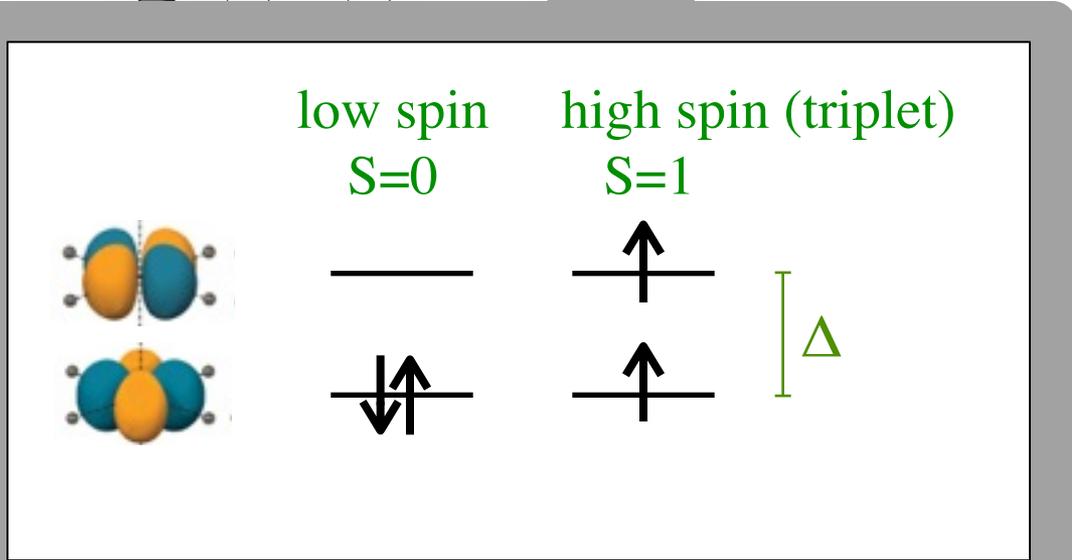
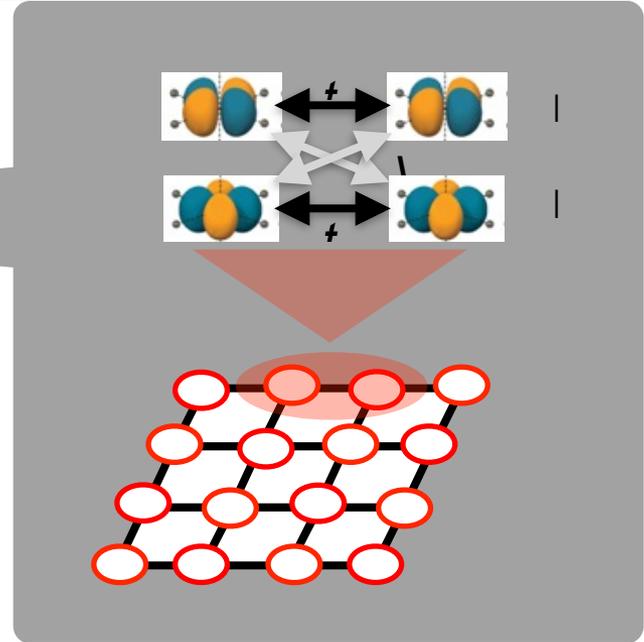
Proximity to spin-state crossover

Two-band Hubbard model at $n=2$ (half filling)

$$H_t = \frac{\Delta}{2} \sum_{i,\sigma} (n_{i\sigma}^a - n_{i\sigma}^b) + \sum_{i,j,\sigma} (t_a a_{i\sigma}^\dagger a_{j\sigma} + t_b b_{i\sigma}^\dagger b_{j\sigma})$$

$$+ \sum_{\langle ij \rangle, \sigma} (V_1 a_{i\sigma}^\dagger b_{j\sigma} + V_2 b_{i\sigma}^\dagger a_{j\sigma} + c.c.)$$

$$H_{\text{int}}^{\text{dd}} = U \sum (n_{i\uparrow}^a n_{i\downarrow}^a + n_{i\uparrow}^b n_{i\downarrow}^b) + (U - 2J) \sum n_{i\uparrow}^a n_{i\downarrow}^b$$



Competition of Hund's coupling J and crystal-field Δ

We are interested in $E_{LS} \approx E_{HS}$

Strong-coupling limit (hard-core bosons)



Strong coupling theory

Balents 2000

Rademaker et al. 2012-2014

bilayer Heisenberg model

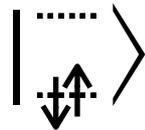
- Define restricted low-energy Hilbert space

Fermions

Bosons (hard-core)

low-spin state

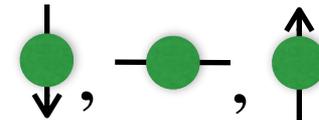
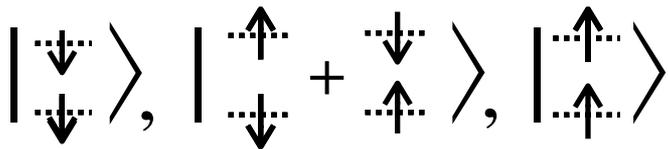
vacuum



.....

high-spin state

S=1 boson



LS → HS transition

creation of a boson

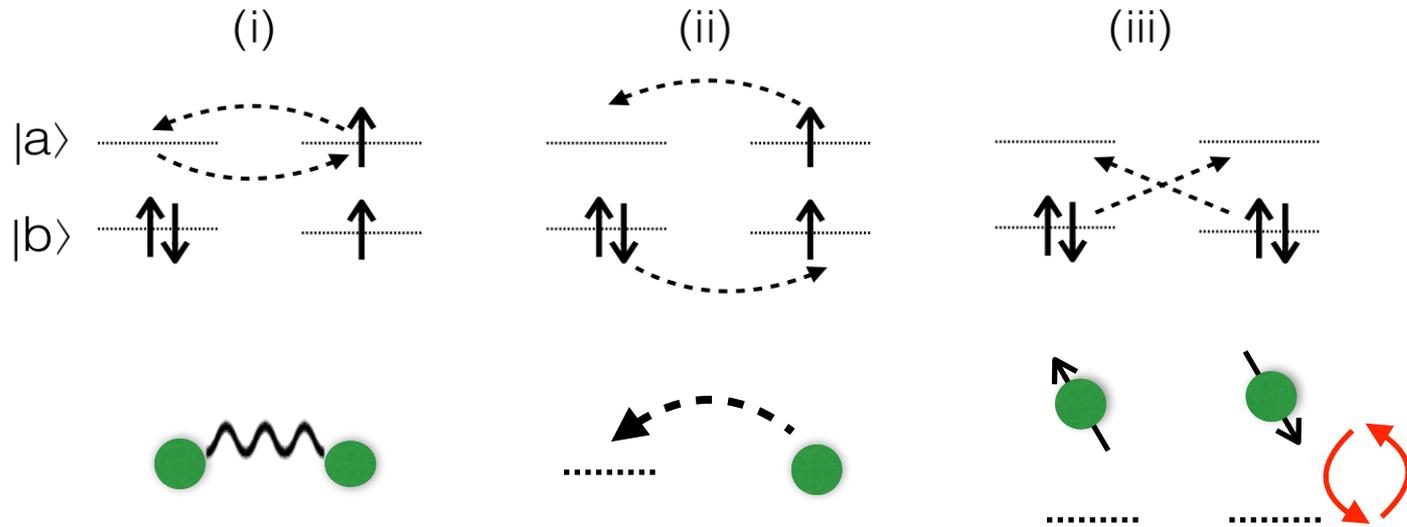
$$a_{\downarrow}^{\dagger} b_{\uparrow}, \dots$$

$$d_{-1}^{\dagger}, \dots$$

Strong coupling theory

- Decouple it from the high-energy states (Schrieffer-Wolff transformation)

Typical 2nd order processes:



Effective Hamiltonian:

$$H_{\text{eff}} = \varepsilon \sum_i n_i + K_{\perp} \sum_{\langle ij \rangle} \left(\mathbf{d}_i^{\dagger} \cdot \mathbf{d}_j + H.c. \right) +$$

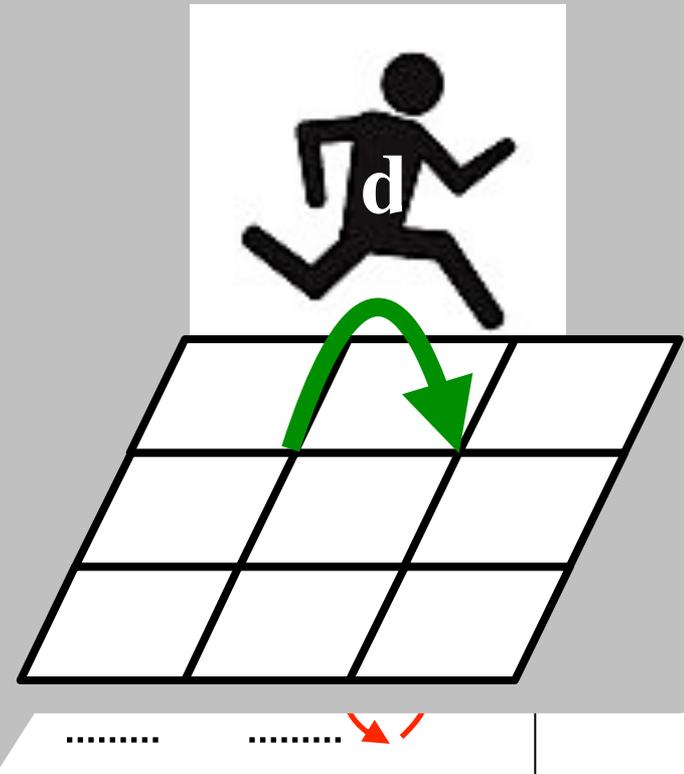
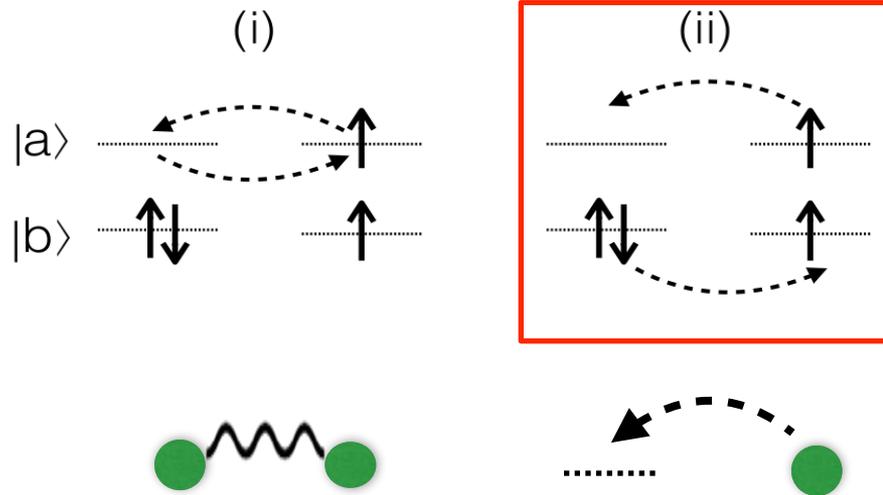
$$K_{\parallel} \sum_{\langle ij \rangle} n_i n_j + K_0 \sum_{\langle ij \rangle} \mathbf{S}_i \cdot \mathbf{S}_j + K_1 \sum_{\langle ij \rangle} \left(\mathbf{d}_i^{\dagger} \cdot \mathbf{d}_j^{\dagger} + H.c. \right) + \dots$$

Strong coupling to

d-bosons are mobile !

- Decouple it from the high-energy states (Schrieffer)

Typical 2nd order processes:



Effective Hamiltonian:

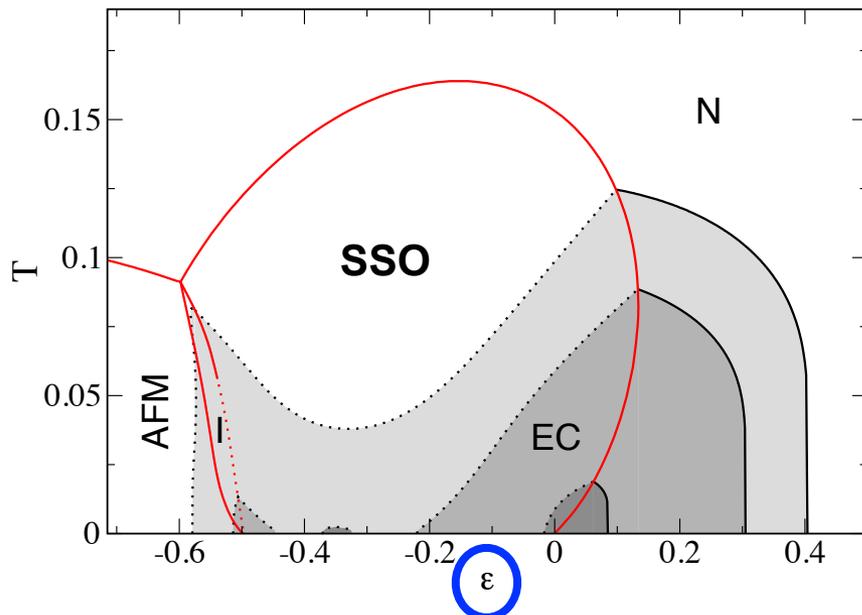
$$H_{\text{eff}} = \varepsilon \sum_i n_i + K_{\perp} \sum_{\langle ij \rangle} \left(\mathbf{d}_i^{\dagger} \cdot \mathbf{d}_j + H.c. \right) +$$

$$K_{\parallel} \sum_{\langle ij \rangle} n_i n_j + K_0 \sum_{\langle ij \rangle} \mathbf{S}_i \cdot \mathbf{S}_j + K_1 \sum_{\langle ij \rangle} \left(\mathbf{d}_i^{\dagger} \cdot \mathbf{d}_j^{\dagger} + H.c. \right) + \dots$$

Mean-field theory

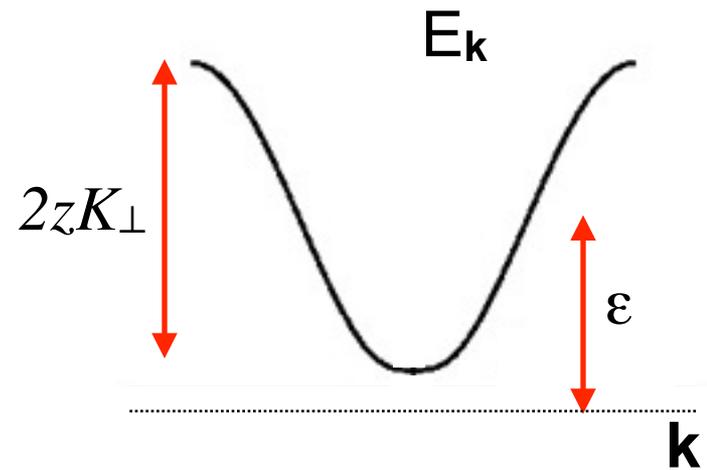
$$H_{\text{eff}} = \epsilon \sum_i n_i + K_{\perp} \sum_{\langle ij \rangle} (\mathbf{d}_i^{\dagger} \cdot \mathbf{d}_j + H.c.) + K_{\parallel} \sum_{\langle ij \rangle} n_i n_j + K_0 \sum_{\langle ij \rangle} \mathbf{S}_i \cdot \mathbf{S}_j$$

MF phase diagram:



Augustinský and Kuneš, 2014

excitations in the normal state

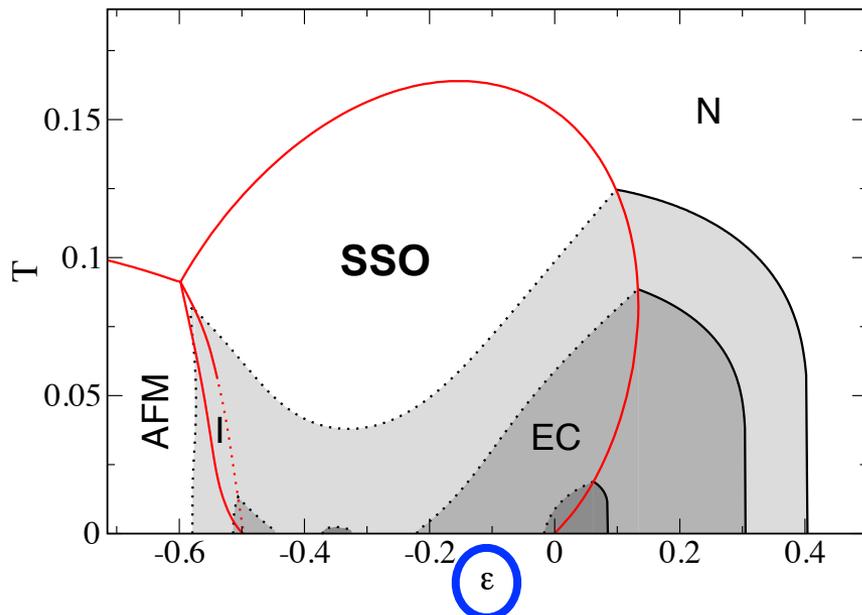


d-occupancy: $\langle n \rangle = 0$

Mean-field theory

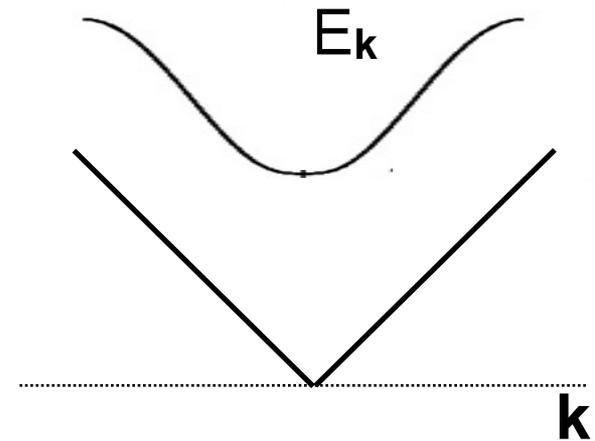
$$H_{\text{eff}} = \varepsilon \sum_i n_i + K_{\perp} \sum_{\langle ij \rangle} (\mathbf{d}_i^{\dagger} \cdot \mathbf{d}_j + H.c.) + K_{\parallel} \sum_{\langle ij \rangle} n_i n_j + K_0 \sum_{\langle ij \rangle} \mathbf{S}_i \cdot \mathbf{S}_j$$

MF phase diagram:



Augustinský and Kuneš, 2014

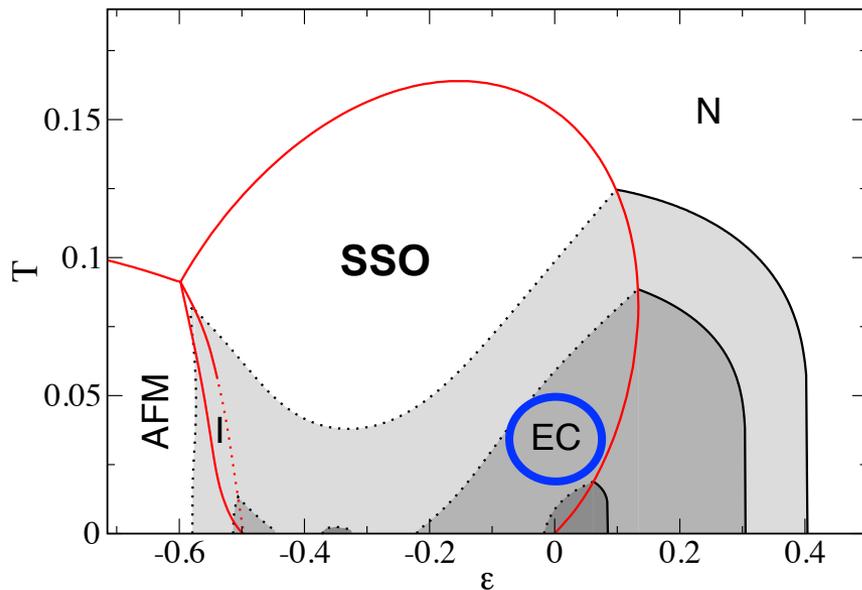
excitations of the condensate



Mean-field theory

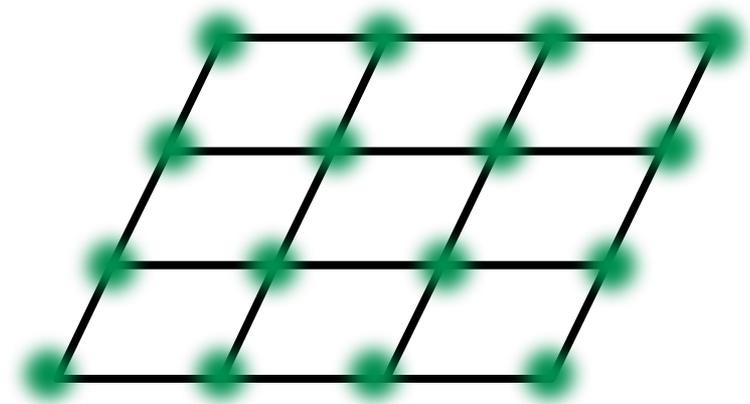
$$H_{\text{eff}} = \varepsilon \sum_i n_i + K_{\perp} \sum_{\langle ij \rangle} (\mathbf{d}_i^{\dagger} \cdot \mathbf{d}_j + H.c.) + K_{\parallel} \sum_{\langle ij \rangle} n_i n_j + K_0 \sum_{\langle ij \rangle} \mathbf{S}_i \cdot \mathbf{S}_j$$

MF phase diagram:



Augustinský and Kuneš, 2014

Bose-Einstein condensate
of d-bosons



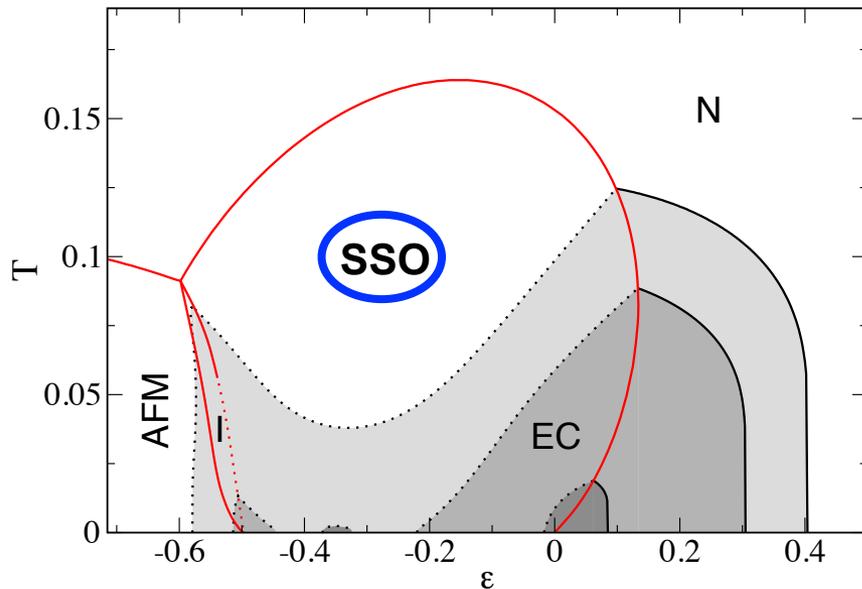
Order parameter: $\langle d_s \rangle$

d-occupancy: $0 < \langle n \rangle < 1$

Mean-field theory

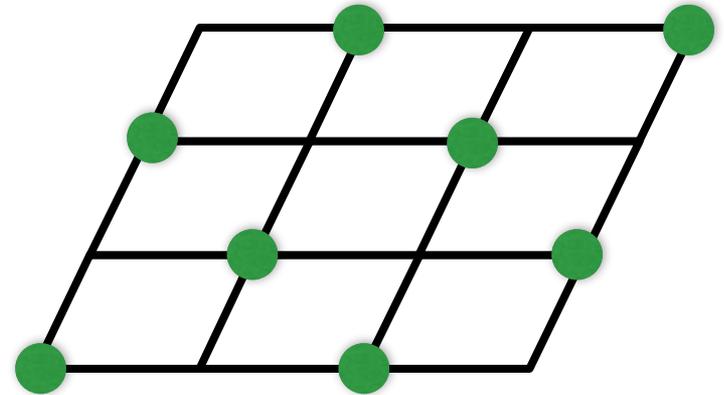
$$H_{\text{eff}} = \varepsilon \sum_i n_i + K_{\perp} \sum_{\langle ij \rangle} (\mathbf{d}_i^{\dagger} \cdot \mathbf{d}_j + H.c.) + K_{\parallel} \sum_{\langle ij \rangle} n_i n_j + K_0 \sum_{\langle ij \rangle} \mathbf{S}_i \cdot \mathbf{S}_j$$

MF phase diagram:



Augustinský and Kuneš, 2014

Checkerboard spin-state order



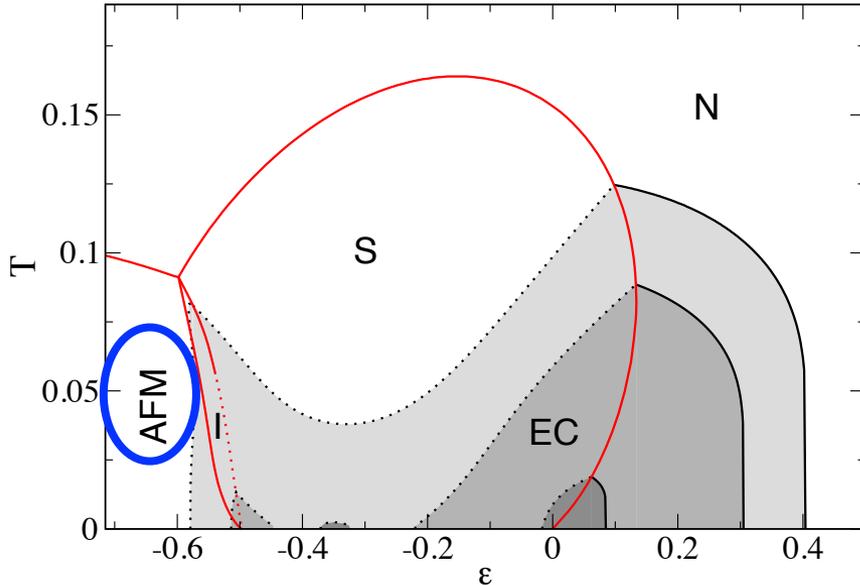
Order parameter: $\langle n_i - n \rangle$

d-occupancy: $\langle n \rangle = 1/2$

Mean-field theory

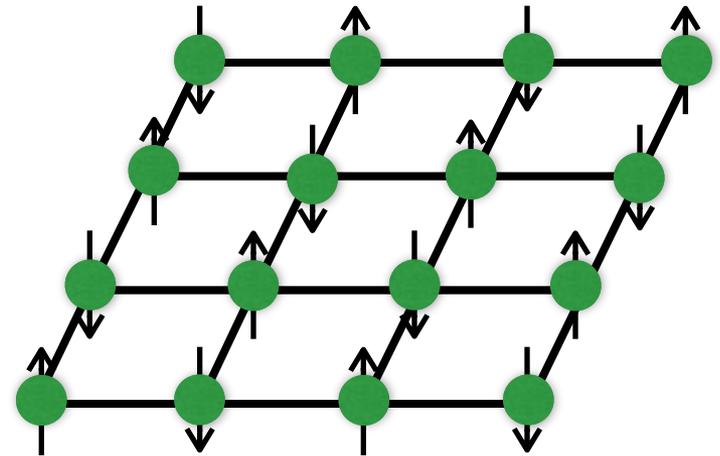
$$H_{\text{eff}} = \varepsilon \sum_i n_i + K_{\perp} \sum_{\langle ij \rangle} (\mathbf{d}_i^{\dagger} \cdot \mathbf{d}_j + H.c.) + K_{\parallel} \sum_{\langle ij \rangle} n_i n_j + K_0 \sum_{\langle ij \rangle} \mathbf{S}_i \cdot \mathbf{S}_j$$

MF phase diagram:



Augustinský and Kuneš, 2014

Heisenberg anti-ferromagnet



Order parameter: $\langle \mathbf{S}_i \rangle$

d-occupancy: $\langle n \rangle = 1$

What is exciton condensate?

Statistical mixture vs quantum superposition

What is exciton condensate?

Statistical mixture vs quantum superposition

Normal state - **statistical mixture** of $|\dots\rangle$ and $|\bullet\rangle$
 $\langle d_s \rangle = 0$

LS ground state + thermally populated HS states

$$\langle a_{\sigma}^{\dagger} b_{-\sigma} \rangle = 0$$

What is exciton condensate?

Statistical mixture vs quantum superposition

Normal state - **statistical mixture** of $|\dots\rangle$ and $|\bullet\rangle$
 $\langle d_s \rangle = 0$

LS ground state + thermally populated HS states

$$\langle a_\sigma^\dagger b_{-\sigma} \rangle = 0$$

Condensate - **superposition** $\alpha |\dots\rangle + \beta |\bullet\rangle$
 $\langle d_s \rangle \neq 0$

hybridised LS and HS states $\alpha |\dots\rangle + \beta |\dots\rangle$
 $\langle a_\sigma^\dagger b_{-\sigma} \rangle \neq 0$

Condensate breaks symmetries of the Hamiltonian, e.g. spin rotation invariance

Boson degeneracy

Degenerate excitations \rightarrow distinct condensates possible

$$\alpha \left| \cdots \right\rangle + \beta \left| \downarrow \right\rangle$$

ferromagnetic condensate

$$\alpha \left| \cdots \right\rangle + \beta \left| \downarrow \right\rangle + \beta \left| \uparrow \right\rangle$$

polar condensate

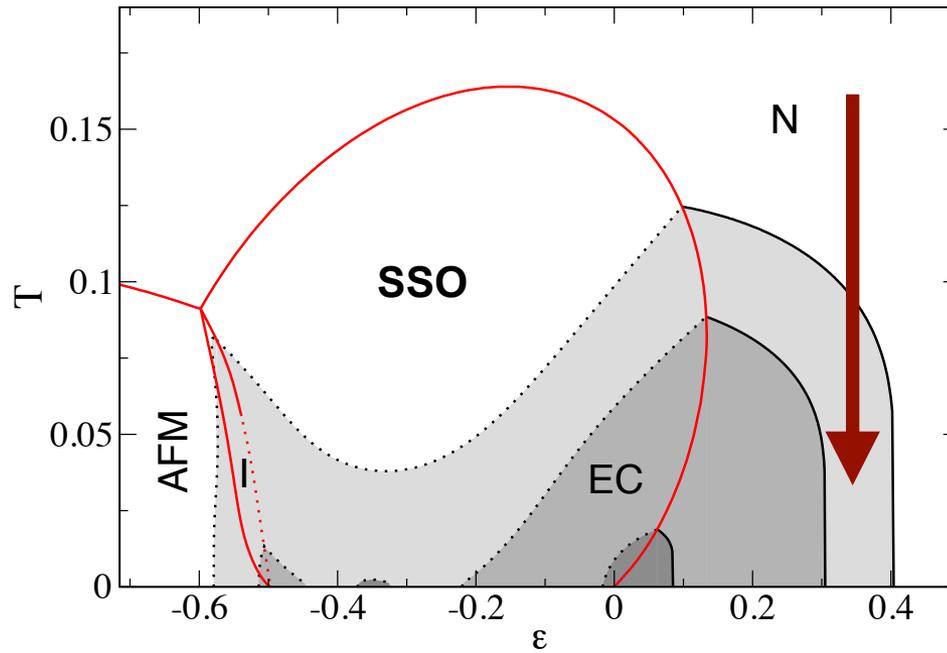
order parameter

$$\vec{\phi} = \sum_{\sigma, \sigma'} \langle a_{\sigma}^{\dagger} b_{\sigma'} \rangle \vec{\tau}_{\sigma\sigma'}$$

Back to fermions

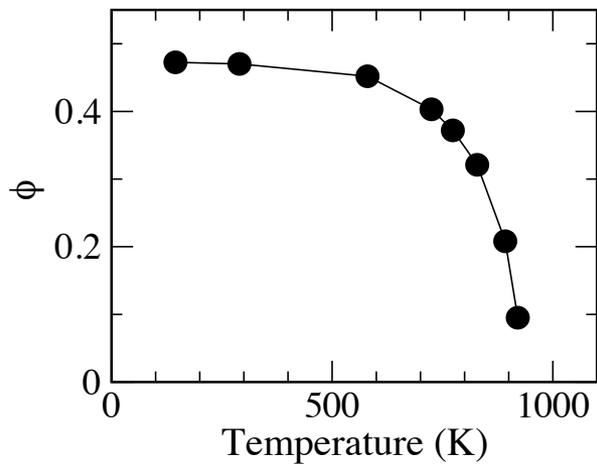


Numerical results (DMFT) excitonic instability:

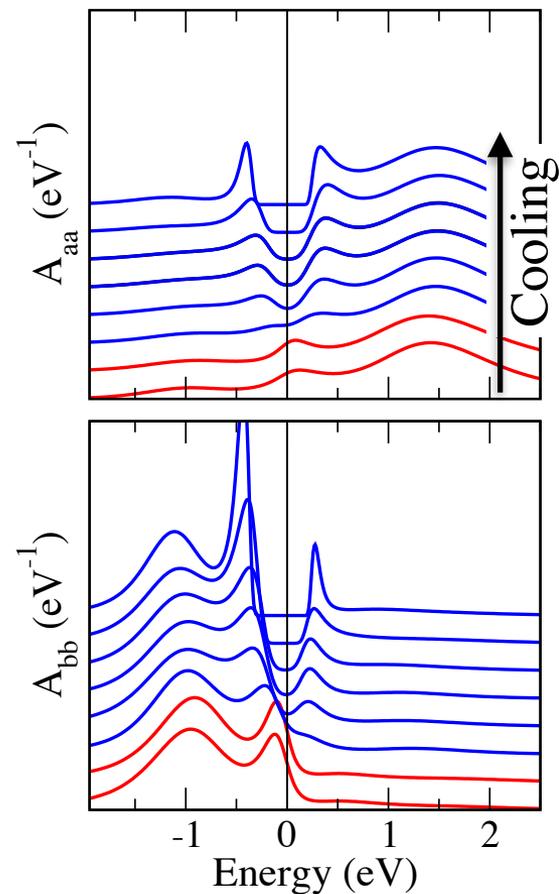


Undoped system - polar condensate

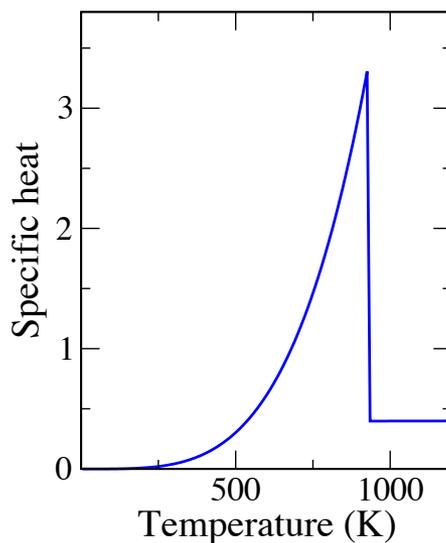
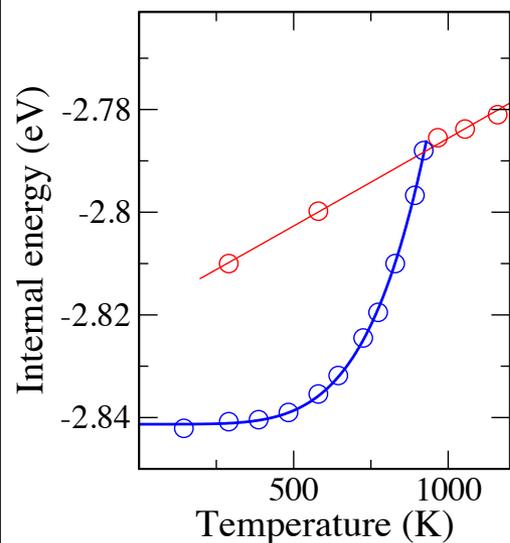
order parameter
 $\phi = (\phi, 0, 0)$



Spectral density (diagonal elements)



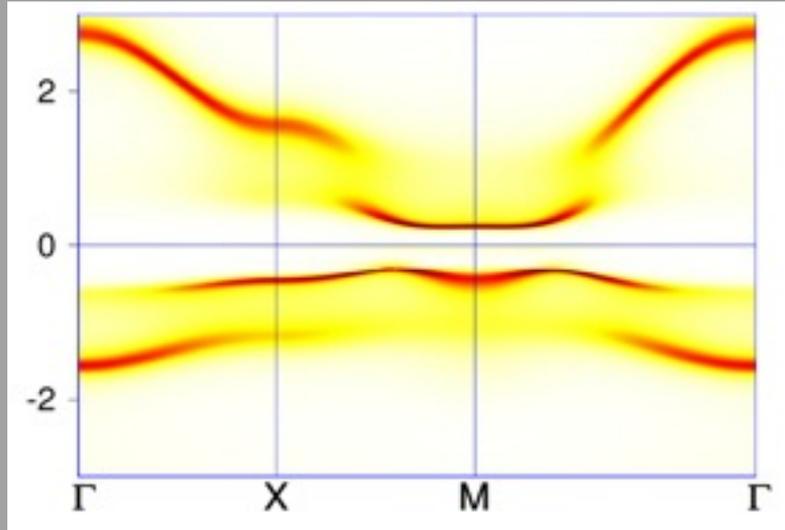
Internal energy and specific heat



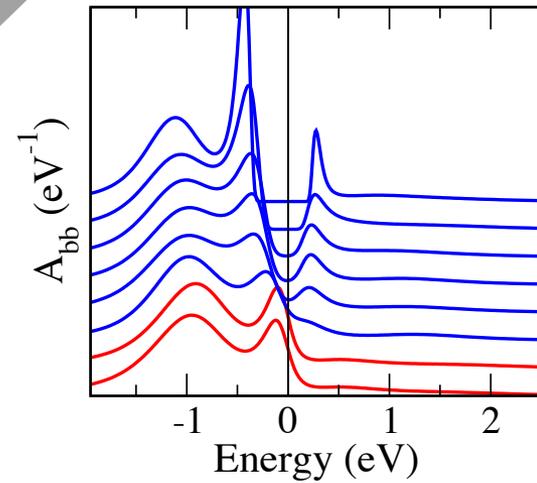
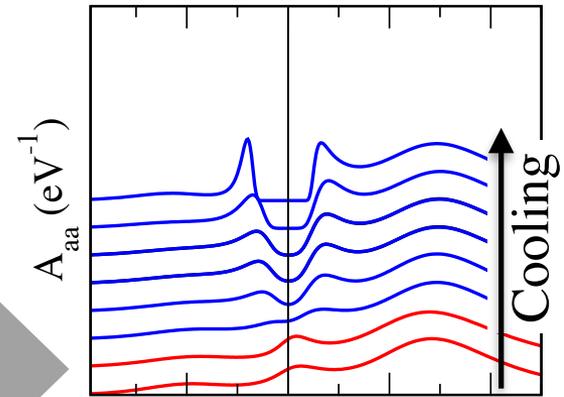
Undoped system - polar condensate

Opening of charge gap

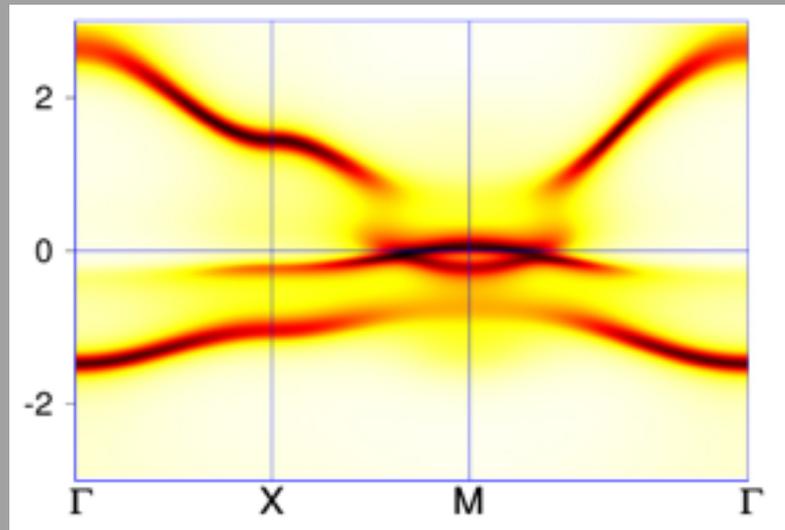
EC phase
(low T)



density (diagonal elements)

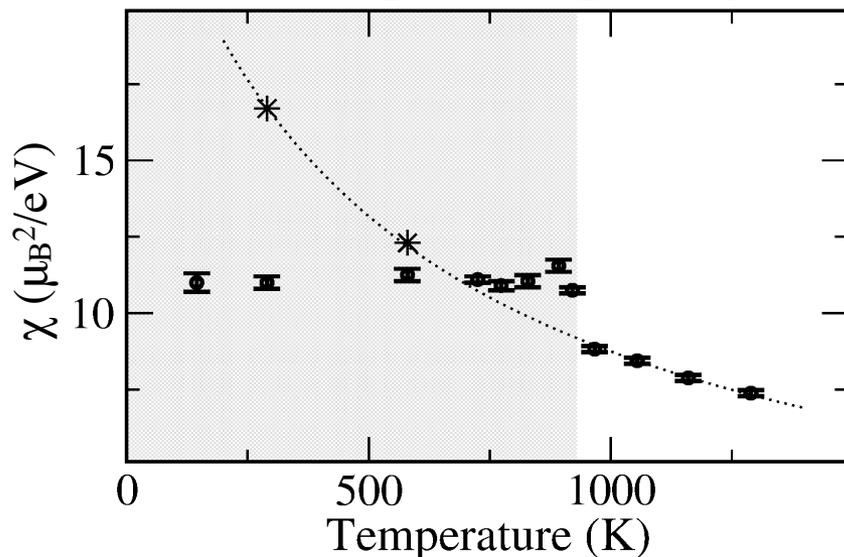


normal phase
(high T)

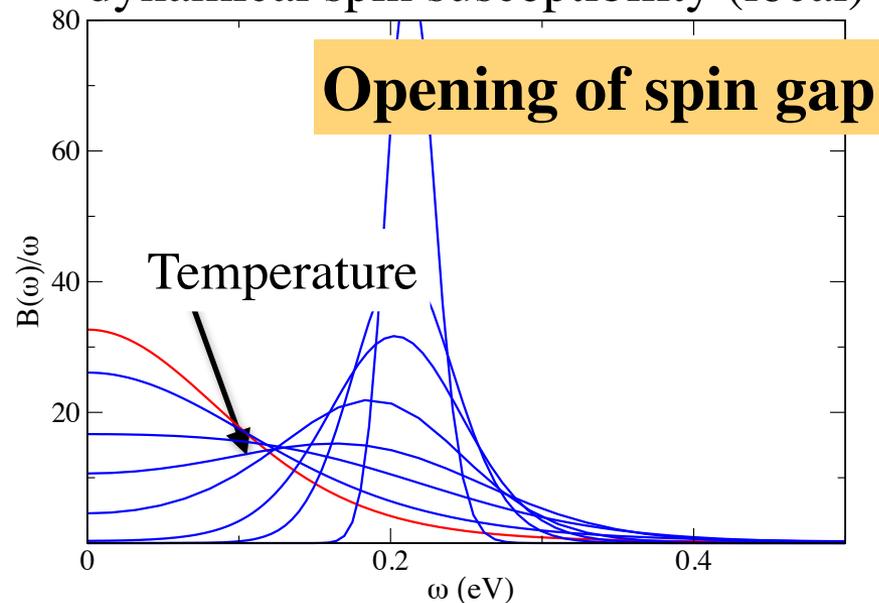


Undoped system - polar condensate

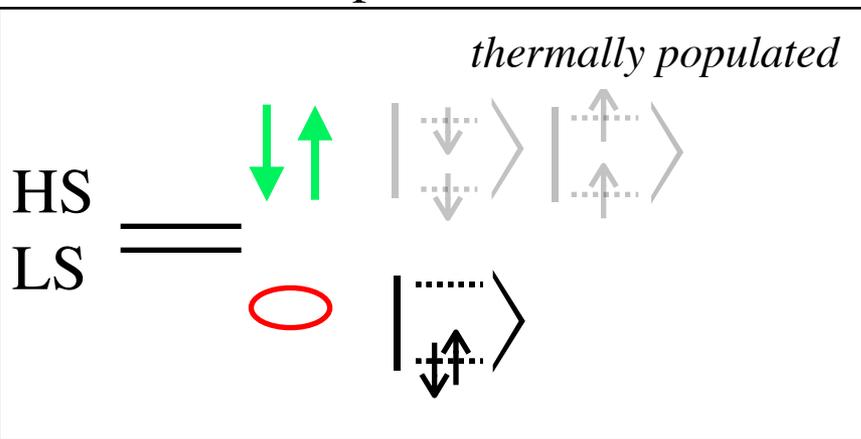
uniform spin susceptibility



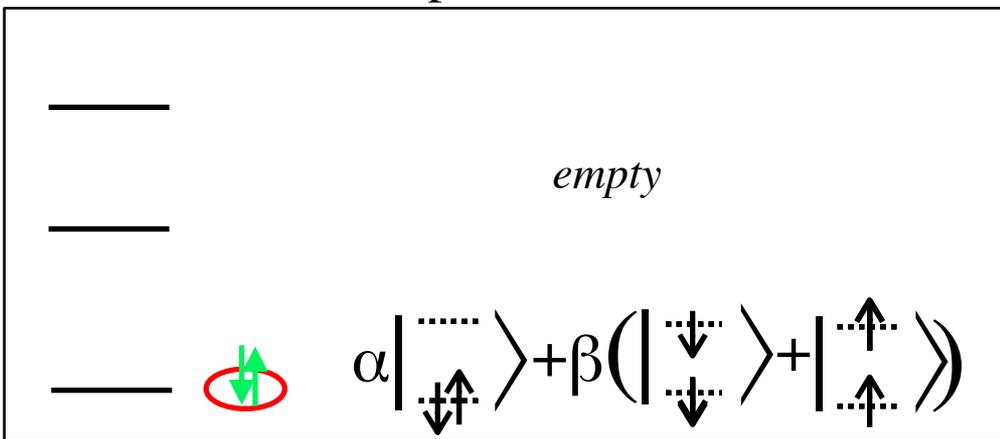
dynamical spin susceptibility (local)



atom in normal phase

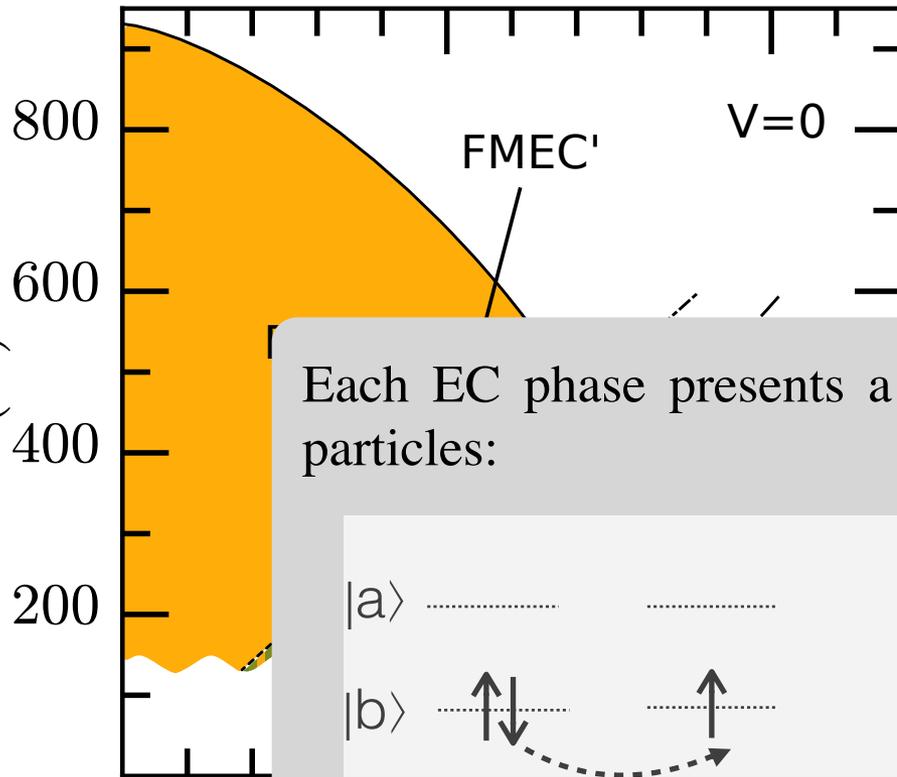


atom in condensed phase



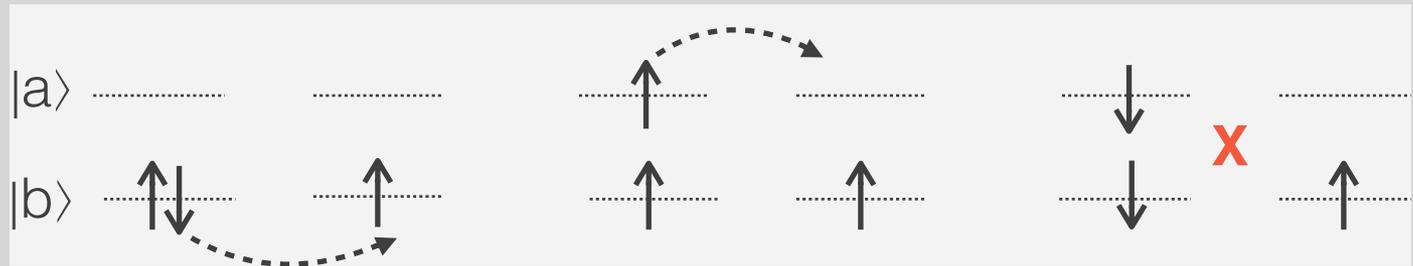
Doping (no cross-hopping)

n-T phase diagram



Competition between
AFM super-exchange (**PEC**) and
double-exchange (FMEC)

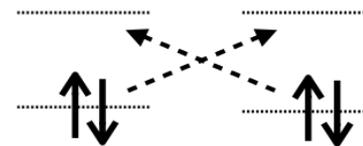
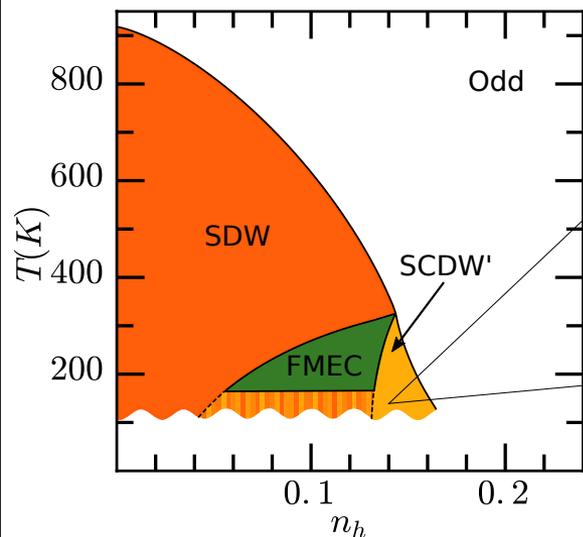
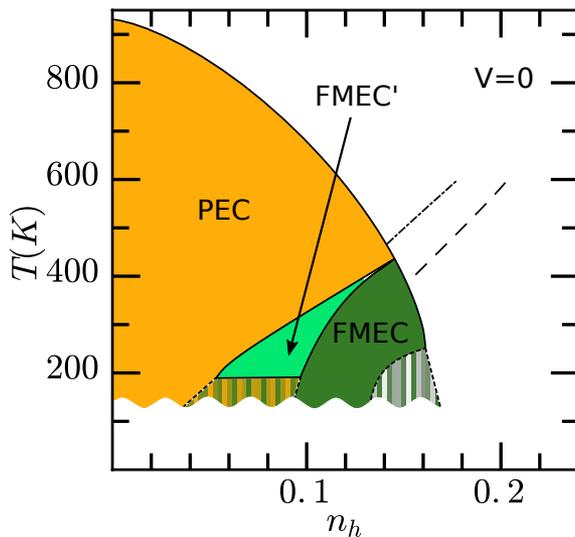
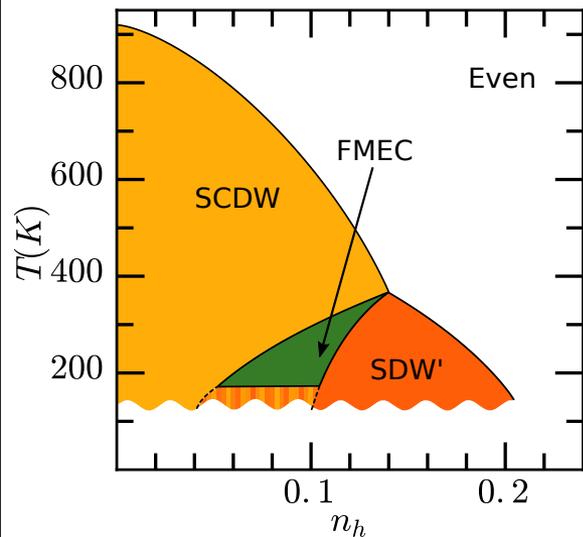
Each EC phase presents a distinct filter for propagation of doped particles:



Stable phase is a result of competition between the kinetic energy of doped particles and super-exchange contribution to the condensation energy.

PEC - pol
FMEC - fe

Finite cross-hopping

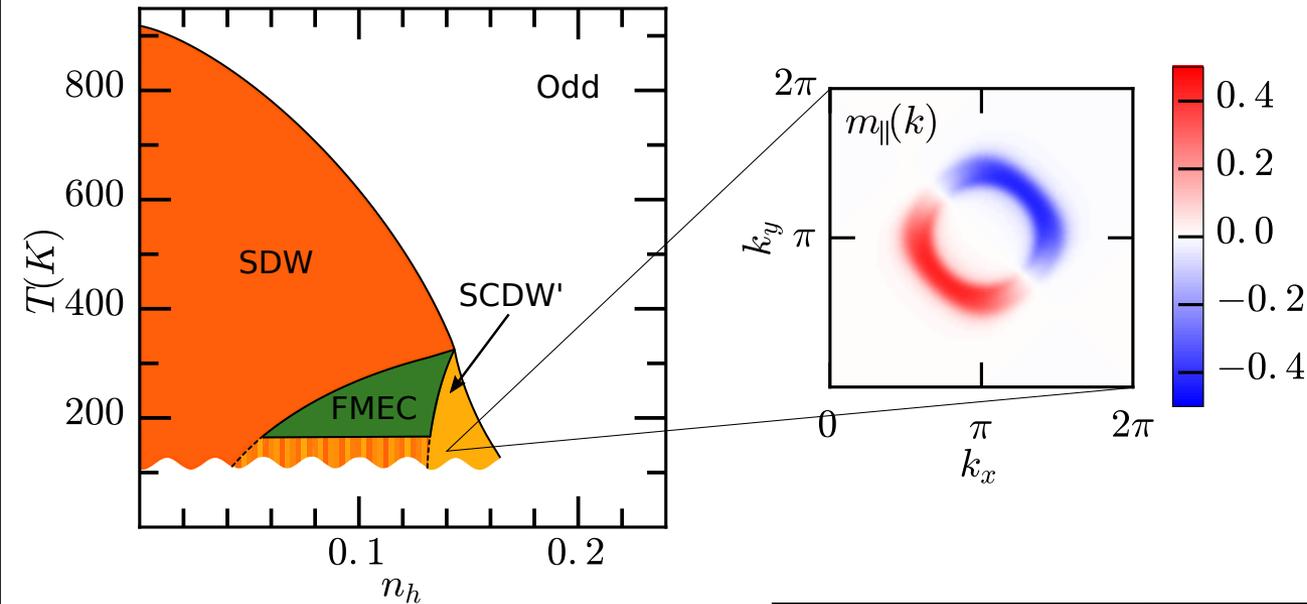


$V_1=V_2$ even cross-hopping
 $V_1=-V_2$ odd cross-hopping

Condensate state	M_{\perp}	M_{\parallel}	$\mathbf{m}(\mathbf{r})$	$\mathbf{m}_{\mathbf{k}}$	$\text{Re } \phi$	$\text{Im } \phi$
FMEC	✓	✓, 0	✓	✓	✓	✓
SDW	0	0	✓	0	✓	0
SCDW	0	0	0	0	0	✓
SDW'	0	✓	✓	✓	✓	0
SCDW'	0	0	0	✓	0	✓

PEC $\left\{ \begin{array}{l} \text{SDW} \quad \vec{\phi} = \vec{x} \\ \text{SCDW} \quad \vec{\phi} = i\vec{x} \end{array} \right.$

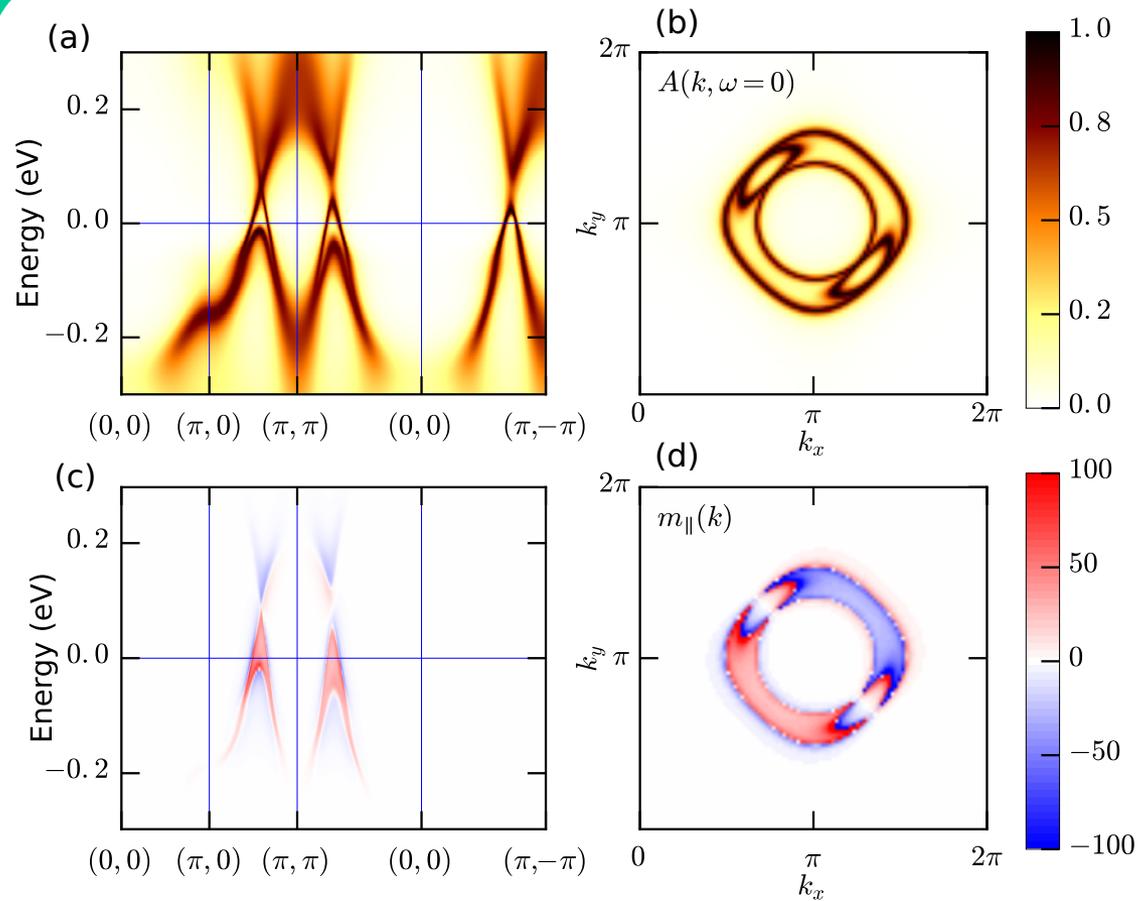
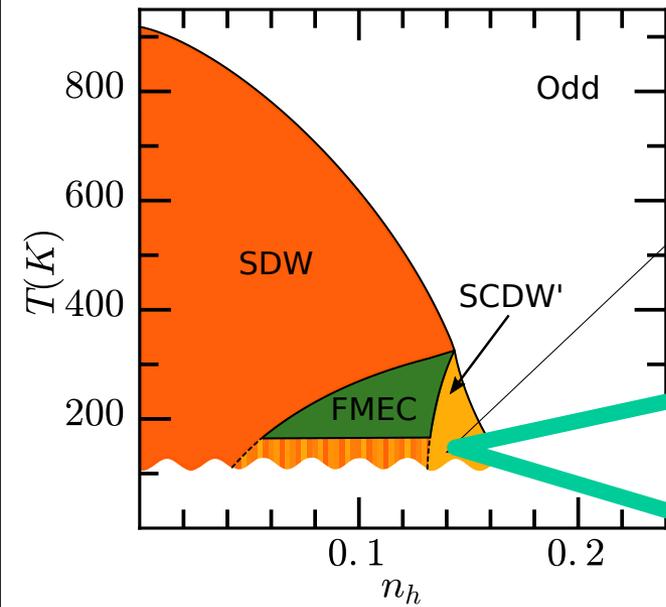
Spin texture



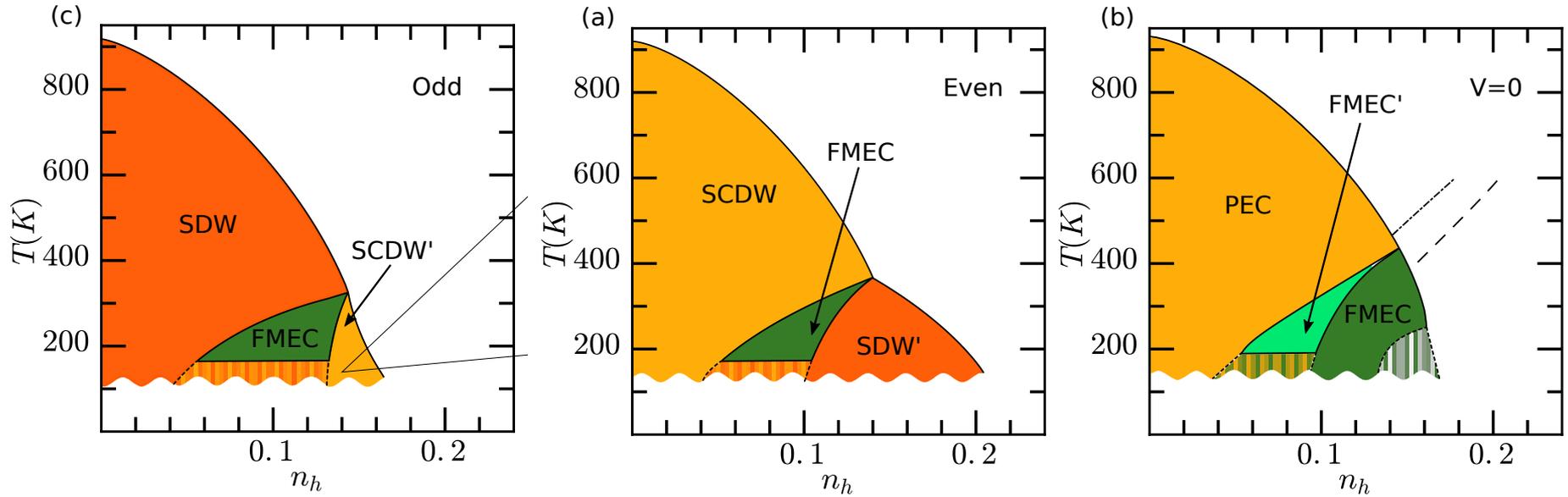
Condensate state	M_{\perp}	$M_{ }$	$\mathbf{m}(\mathbf{r})$	$\mathbf{m}_{\mathbf{k}}$	$\text{Re } \phi$	$\text{Im } \phi$
FMEC	✓	✓, 0	✓	✓	✓	✓
SDW	0	0	✓	0	✓	0
SCDW	0	0	0	0	0	✓
SDW'	0	✓	✓	✓	✓	0
SCDW'	0	0	0	✓	0	✓

Dynamically generated Dresselhaus-Rashba spin-orbit coupling
centrosymmetric Hamiltonian, no spin-orbit coupling

Spin texture



Spin texture



Effective model for propagation of doped fermions:

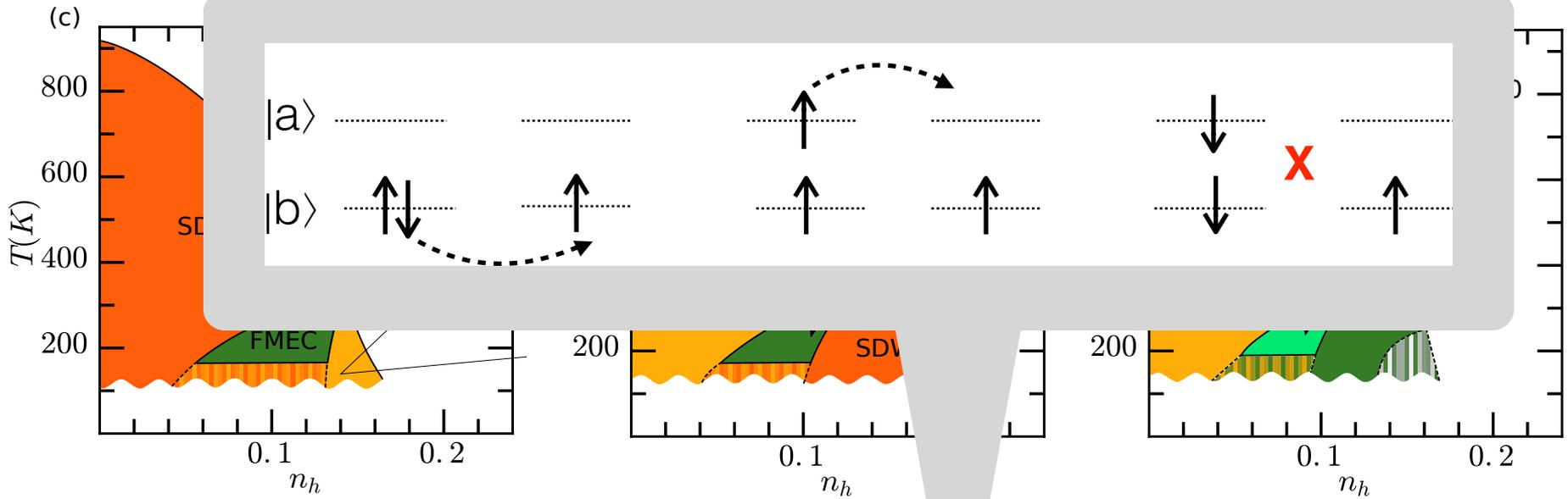
$$H_{\text{eff}} = \sum_{\langle ij \rangle} \bar{h}_{\alpha\beta} c_{i\alpha}^\dagger c_{j\beta} + \text{h.c.}$$

$$\bar{h} = t_s \bar{I} - \frac{it_a}{4s^2} (\phi^* \wedge \phi) \cdot \bar{\sigma} + \frac{1}{2} (V_1 \phi + V_2 \phi^*) \cdot \bar{\sigma}$$

$$\bar{h}_{\mathbf{k}} = 2t_s \bar{I} C_{\mathbf{k}} + 2V_1 \phi \cdot \bar{\sigma} \begin{cases} C_{\mathbf{k}} & \text{SDW}' \\ iS_{\mathbf{k}} & \text{CSDW}' \end{cases}$$

$$\text{with } C_{\mathbf{k}} = \cos k_x + \cos k_y, \quad S_{\mathbf{k}} = \sin k_x + \sin k_y.$$

Spin texture



Effective model for propagation of doped fermion

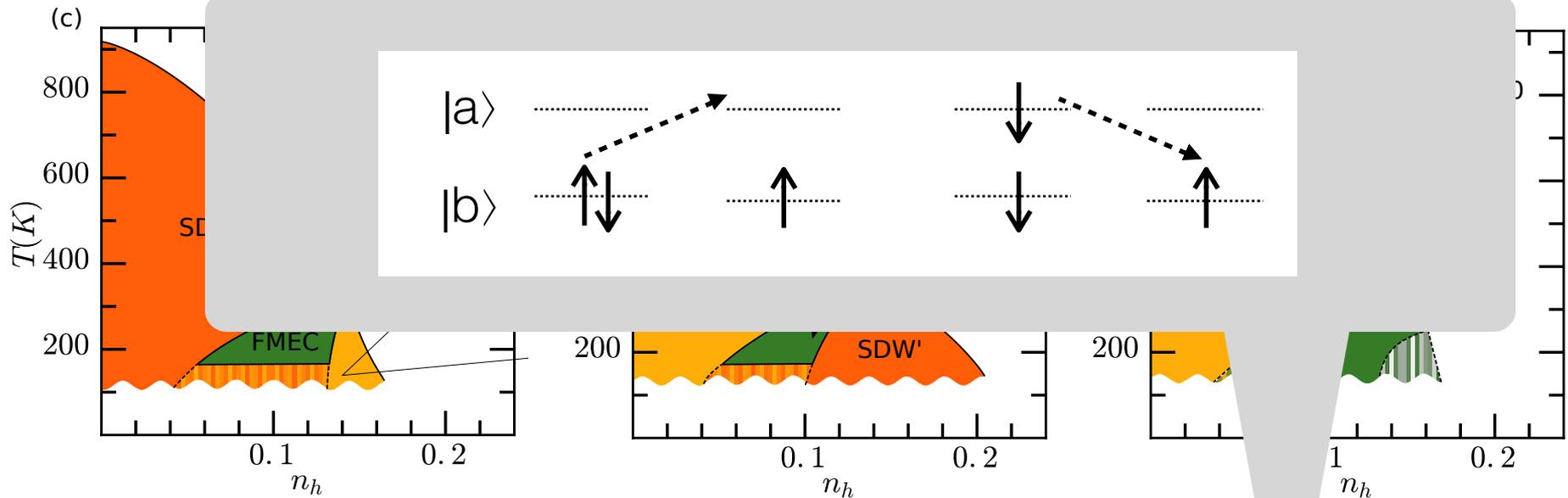
$$H_{\text{eff}} = \sum_{\langle ij \rangle} \bar{h}_{\alpha\beta} c_{i\alpha}^\dagger c_{j\beta} + \text{h.c.}$$

$$\bar{h} = t_s \bar{I} - \frac{it_a}{4s^2} (\phi^* \wedge \phi) \cdot \bar{\sigma} + \frac{1}{2} (V_1 \phi + V_2 \phi^*) \cdot \bar{\sigma}$$

$$\bar{h}_{\mathbf{k}} = 2t_s \bar{I} C_{\mathbf{k}} + 2V_1 \phi \cdot \bar{\sigma} \begin{cases} C_{\mathbf{k}} & \text{SDW}' \\ iS_{\mathbf{k}} & \text{CSDW}' \end{cases}$$

$$\text{with } C_{\mathbf{k}} = \cos k_x + \cos k_y, \quad S_{\mathbf{k}} = \sin k_x + \sin k_y.$$

Spin texture



Effective model for propagation of doped fermions:

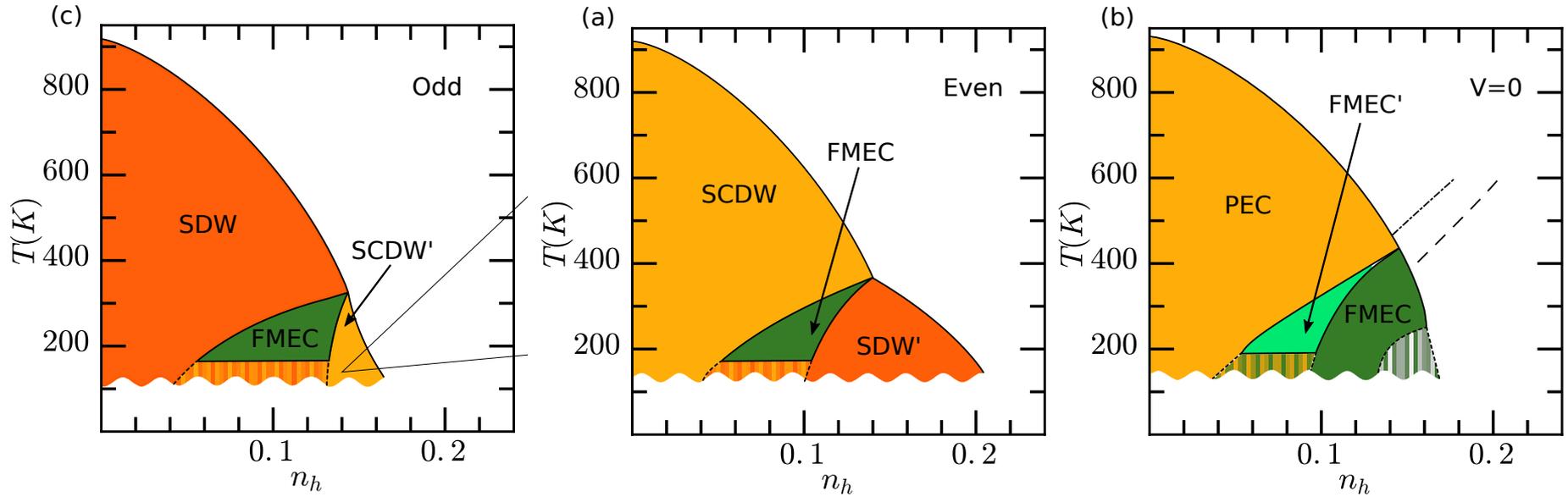
$$H_{\text{eff}} = \sum_{\langle ij \rangle} \bar{h}_{\alpha\beta} c_{i\alpha}^\dagger c_{j\beta} + \text{h.c.}$$

$$\bar{h} = t_s \bar{I} - \frac{it_a}{4s^2} (\phi^* \wedge \phi) \cdot \bar{\sigma} + \frac{1}{2} (V_1 \phi + V_2 \phi^*) \cdot \bar{\sigma}$$

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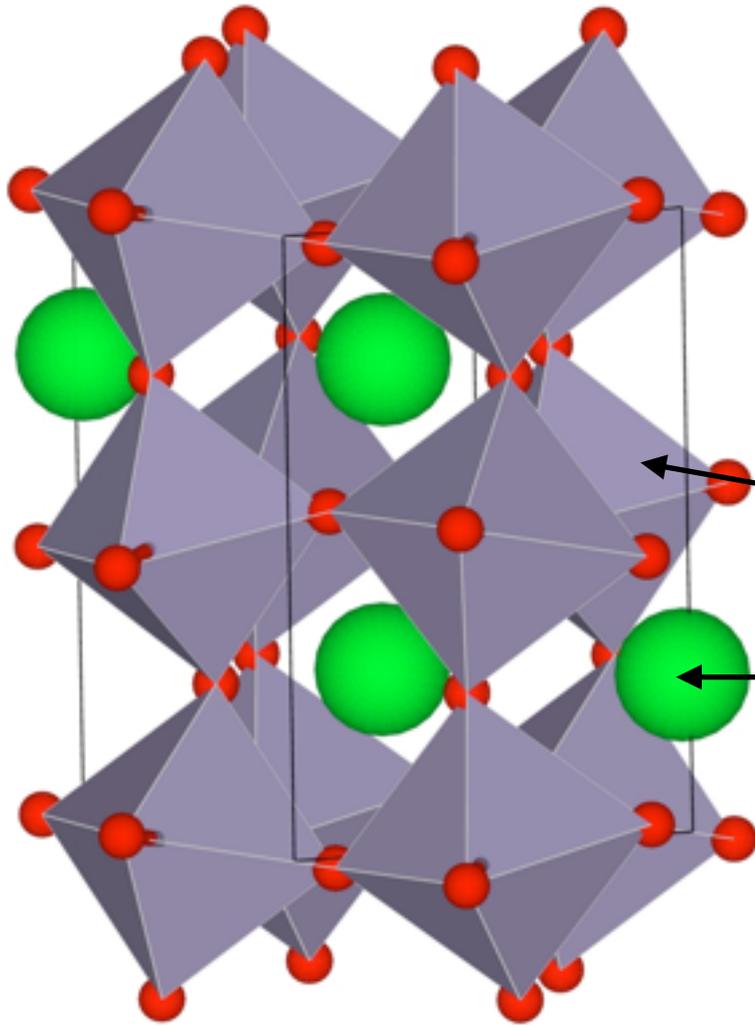
The puzzle of PCCO



Immanuel Kant

“Experience without theory is blind, but theory without experience is mere intellectual play.”

$\text{Pr}_{0.5}\text{Ca}_{0.5}\text{CoO}_3$ (PCCO)



Hole doped relative of LaCoO_3

- Co $3d^6$ in quasi-cubic crystal field
- Single-ion ground state is singlet (LS)
- Low lying excited multiplets $S=1$ or $S=2$ give rise to unusual magnetic response

CoO_6

$\text{Pr/Ca/Y}, \dots$

Group of materials: $(\text{Pr}_{1-y}\text{R}_y)_{1-x}\text{Ca}_x\text{CoO}_3$

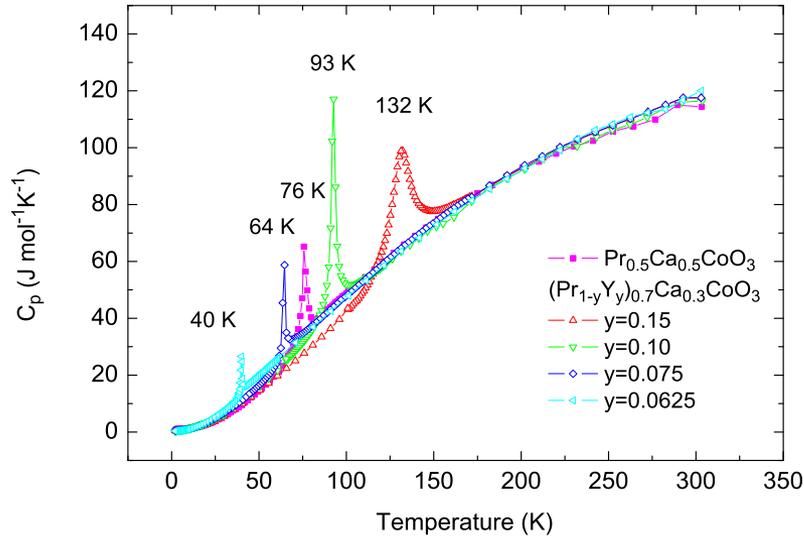
$\text{R}=(\text{Nd}, \text{Sm}, \text{Eu}, \text{Gd}, \text{Tb}, \text{Y})$

$\text{Pr}^{3+/4+}, \text{R}^{3+}, \text{Ca}^{2+}, \text{Co}^{3+}, \text{O}^{2-}$

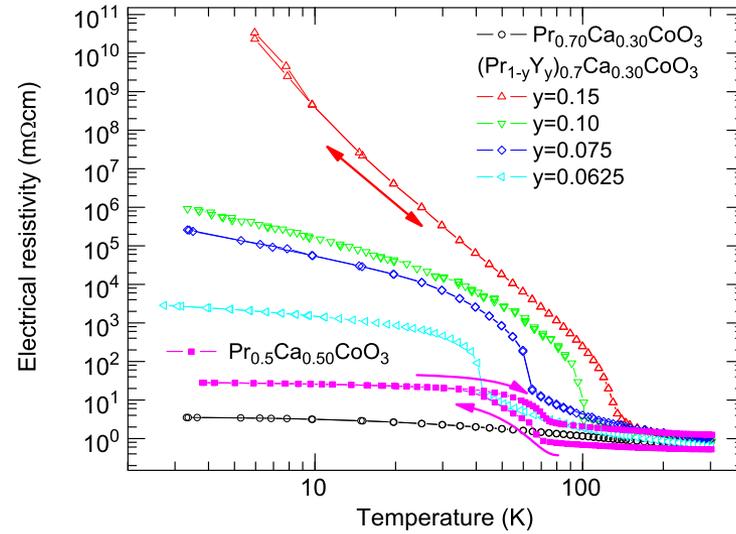
avg. valence at low T is close to A^{3+}

Pr_{0.5}Ca_{0.5}CoO₃ (PCCO)

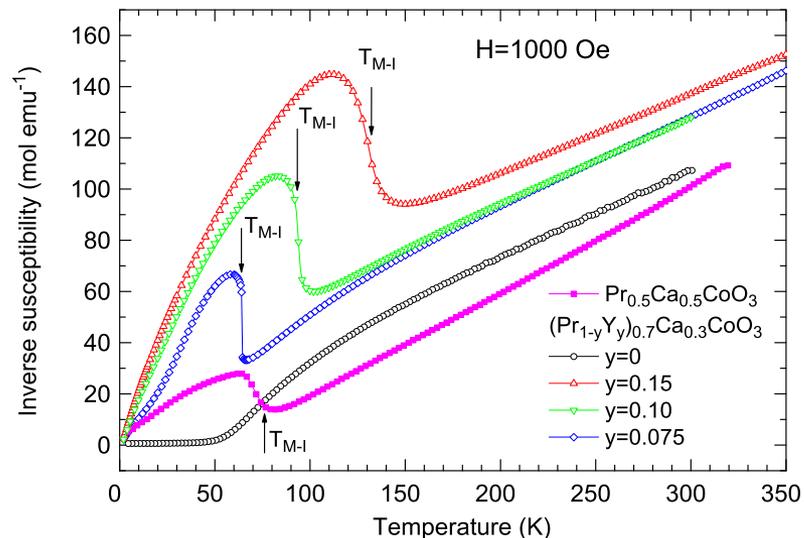
specific heat:



resistivity:



inverse susceptibility:



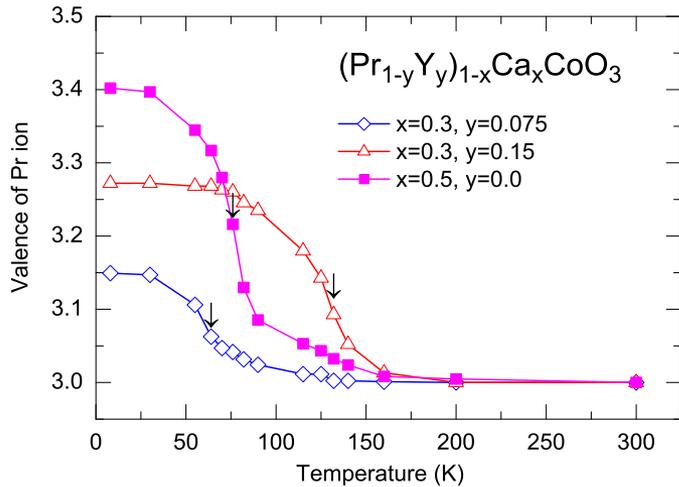
1. continuous phase transition
2. metal-insulator transition
3. drop of magnetic susceptibility

Tsubouchi et al., 2002

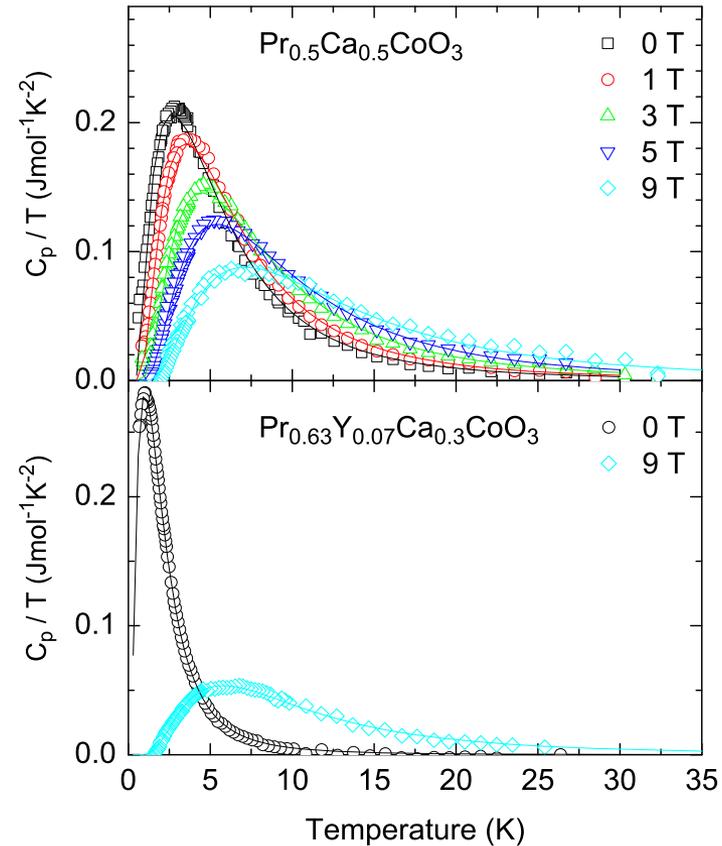
Hejtmánek et al., 2013

$\text{Pr}_{0.5}\text{Ca}_{0.5}\text{CoO}_3$ (PCCO)

$\text{Pr}^{3+} \rightarrow \text{Pr}^{4+}$ valence transition:



Pr^{4+} Schottky peak:



4. $\text{Pr}^{3+} \rightarrow \text{Pr}^{4+}$ valence transition
5. exchange splitting of Pr^{4+} ground state, but no magnetic order detected

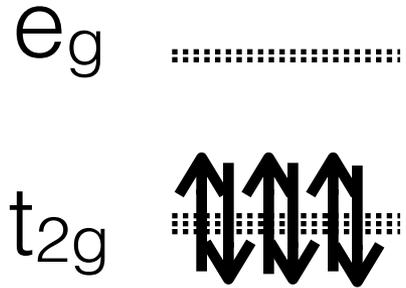
Hejtmánek et al., 2013

Excitonic condensation in cubic d^6 perovskite

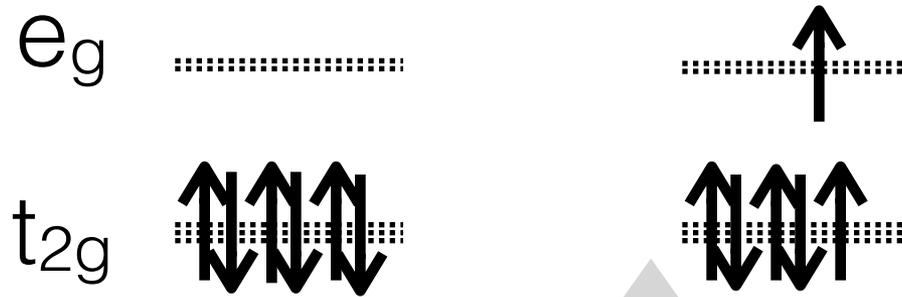
e_g

t_{2g}

Excitonic condensation in cubic d^6 perovskite

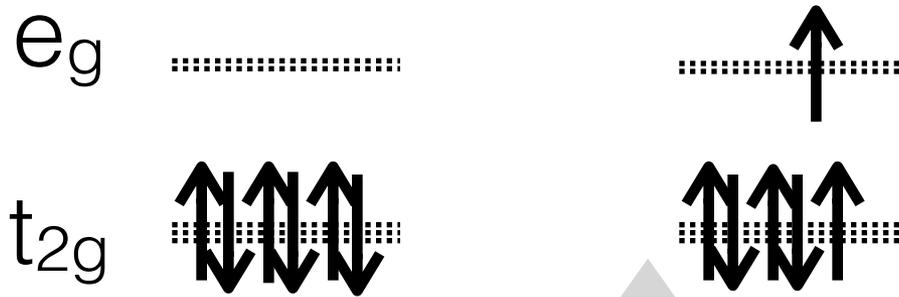


Excitonic condensation in cubic d^6 perovskite



Exciton = bound pair of e_g electron and t_{2g} hole

Excitonic condensation in cubic d^6 perovskite



Orbital degeneracy

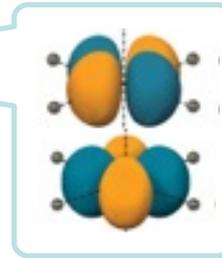
Exciton = bound pair of e_g electron and t_{2g} hole

T_{1g} exciton:

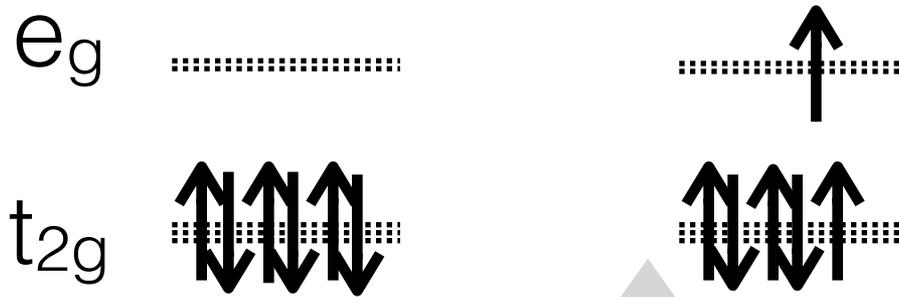
$$d_{x^2-y^2} \otimes d_{xy}$$

$$d_{z^2-x^2} \otimes d_{zx}$$

$$d_{y^2-z^2} \otimes d_{yz}$$



Excitonic condensation in cubic d^6 perovskite

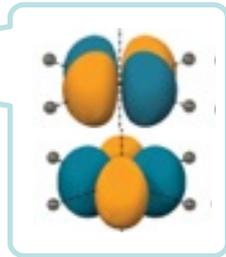


Orbital degeneracy

Exciton = bound pair of e_g electron and t_{2g} hole

T_{1g} exciton:

$$\begin{aligned}
 & d_{x^2-y^2} \otimes d_{xy} \\
 & d_{z^2-x^2} \otimes d_{zx} \\
 & d_{y^2-z^2} \otimes d_{yz}
 \end{aligned}$$



The order parameter has 9 components (or 18 real components)

ϕ_{β}^{α} $\alpha = x, y, z$ transforms like a vector under spin rotations
 $\beta = xy, zx, yz$ transforms like a pseudovector under O_h operations

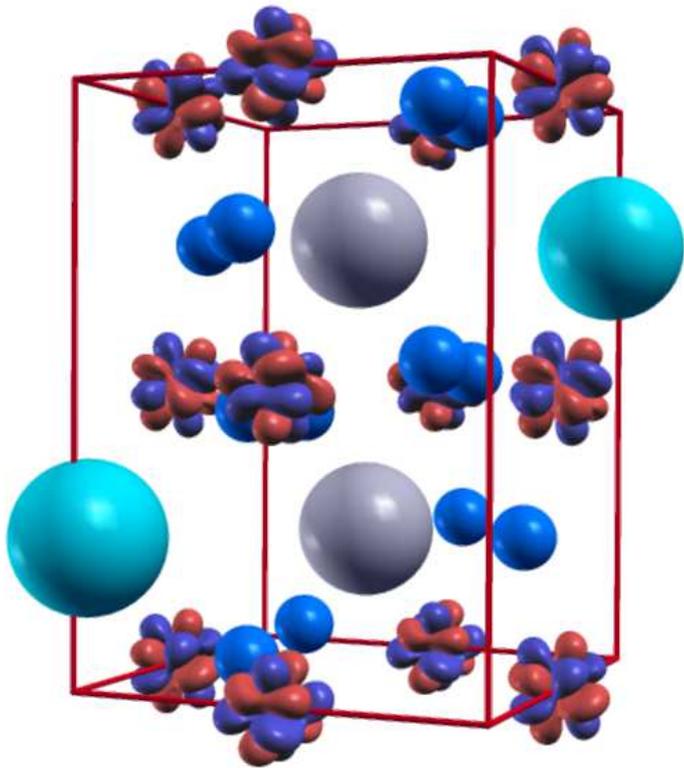
Many phases possible - order parameter is similar to ^3He

Bruder and Vollhardt, 1986

Origin of exchange splitting on Pr (LDA+U)

Coupling of Pr 4f¹ spin to p-d orbitals: effective multi-channel Kondo Hamiltonian

$$H^{(n)} = \sum_{\alpha\alpha'} \sum_{mm'} \sum_i \mathbf{S} \cdot \boldsymbol{\sigma}_{\alpha\alpha'} J_{i,mm'}^{(n)} c_{im\alpha}^\dagger c_{im'\alpha'} + \text{c.c.}$$



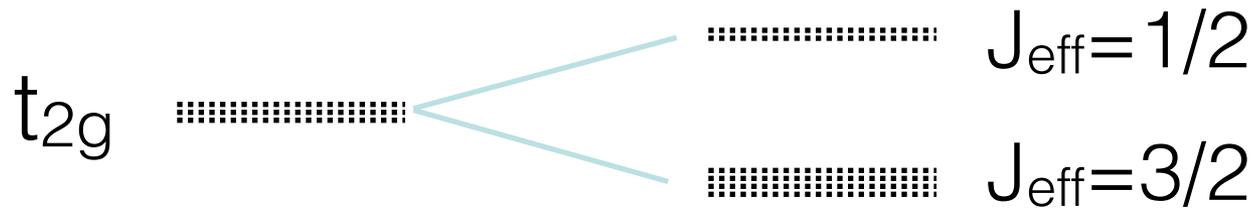
Below T_c effective exchange field appears:

$$h_\gamma^{(n)} = \sum_{imm'} J_{i,mm'}^{(n)} \sum_{\alpha\alpha'} 2 \text{Re} \langle c_{im\alpha}^\dagger \sigma_{\alpha\alpha'}^\gamma c_{im'\alpha'} \rangle$$

Cubic d^4 perovskite with strong spin-orbit coupling

e_g

Spin-orbit splitting



Cubic d^4 perovskite with strong spin-orbit coupling

$$J_{\text{eff}} = 1/2$$



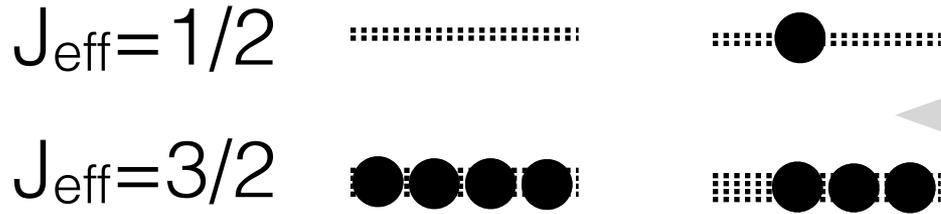
$$J_{\text{eff}} = 3/2$$



Exciton = bound pair of $j_{1/2}$ electron and $j_{3/2}$ hole

Khaliullin, 2013

Cubic d^4 perovskite with strong spin-orbit coupling

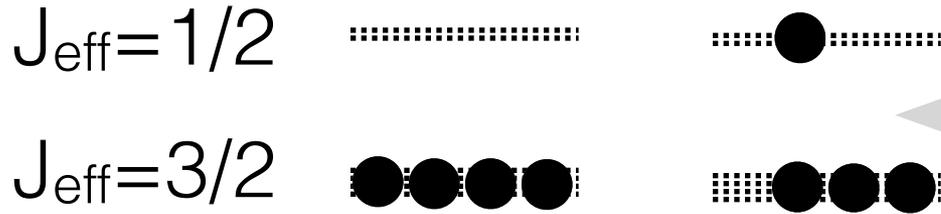


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Khaliullin, 2013

Double-perovskites Ba_2YIrO_6 and Sr_2YIrO_6 ? (exp) *Cao et al., 2014*

Cubic d^4 perovskite with strong spin-orbit coupling

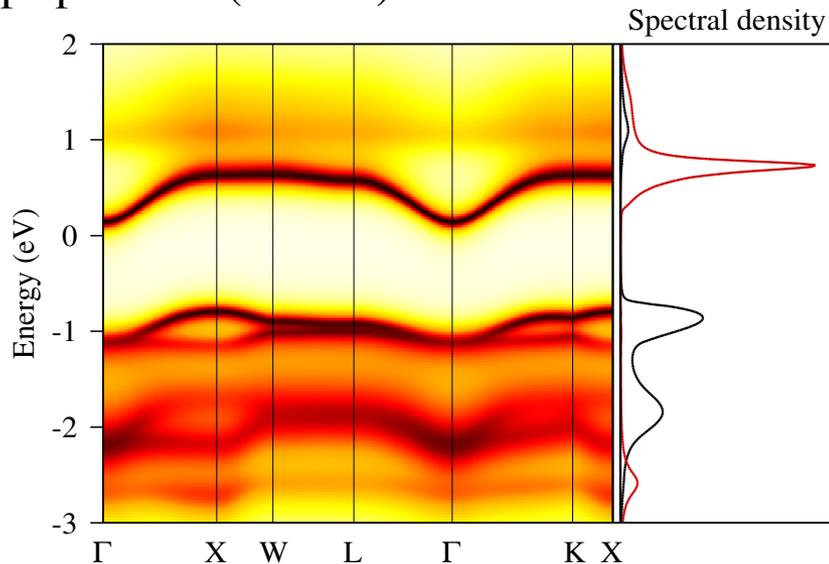


Exciton = bound pair of $j_{1/2}$ electron and $j_{3/2}$ hole

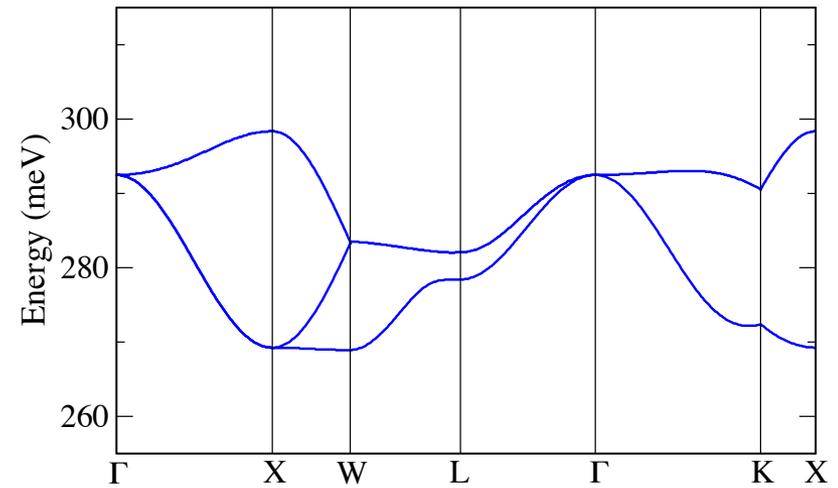
Khaliullin, 2013

Double-perovskites Ba_2YIrO_6 and Sr_2YIrO_6 ? (exp) *Cao et al., 2014*

1-p spectrum (DMFT)



2-p spectrum (strong-coupling expansion)



No excitonic magnetism! *Pajskr et al., 2013*

Conclusions

- Solids close to **spin-state transition** may be unstable towards **condensation of spinful excitons**.
- Excitonic condensation can give rise to **number of phases** with rather diverse properties.
- **Doping** activates generalised double-exchange mechanism with interesting consequences (e.g. spontaneous spin texture)
- There are some promising materials - PCCO.

Phys. Rev. B **89**, 115134 (2014)

Phys. Rev. B **90**, 235112 (2014)

Phys. Rev. B **90**, 235140 (2014)

J. Phys.: Condensed. Matter **27**, 333201 (2015) - Topical Review

Phys. Rev. B **93**, 035129 (2016)

arXiv:1602.07122