

**ICTP-TS/ICTP-SAIFR Summer School 2018**

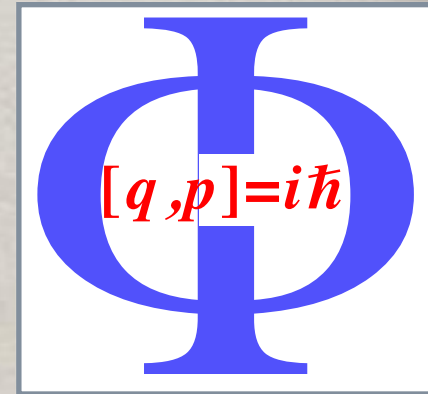
**Sao Paulo, 18th - 29th June 2018**

# **PARTICLE PHYSICS & THE EARLY UNIVERSE**



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elusives-invisiblesPlus  
neutrinos, dark matter & dark energy physics



# OUTLINE

- Lecture 1: Standard Cosmology & the cosmological parameters
- Lecture 2: Inflation & the CMB
- Lecture 3: Thermal Universe and Big Bang Nucleosynthesis
- Lecture 4: Structure Formation & Dark Matter
- Lecture 5: Baryogenesis

# LECTURE 3: OUTLINE

- The inflationary paradigm
- Single field inflationary models, the power spectrum and the Lyth bound
- CMB & the Planck data release 2013-15
- Polarization of the CMB and primordial

**THE  
INFLATIONARY  
PARADIGM**

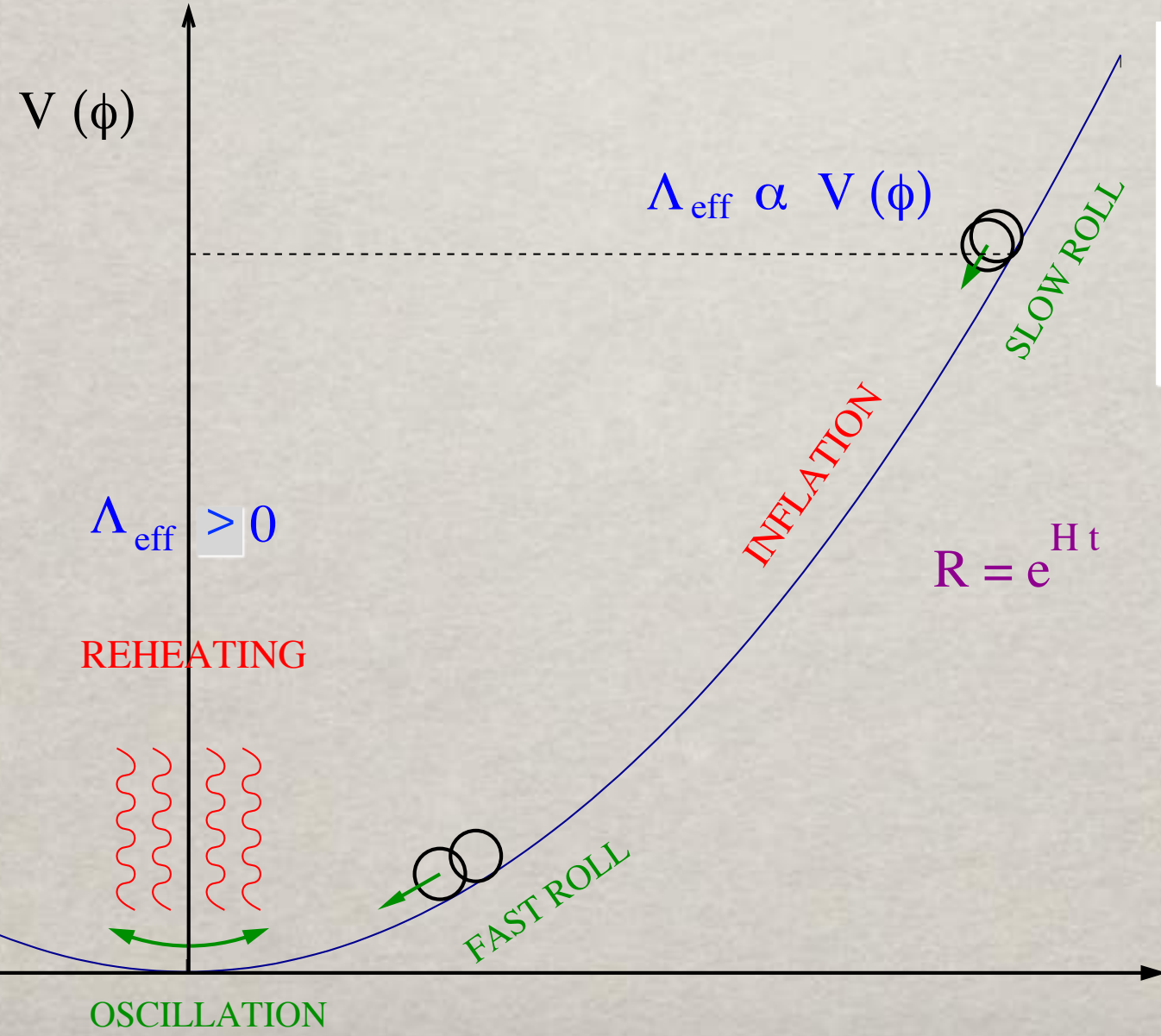
WHERE DO THE RIPPLES COME FROM?  
WHY IS THE UNIVERSE FLAT,  
HOMOGENEOUS & ISOTROPIC ?



**I N F L A T I O N**

EARLY PHASE OF EXPONENTIAL EXPANSION

# INFLATION: DRIVEN BY A SCALAR FIELD $\phi$



$$\epsilon = \frac{1}{2} \left( \frac{V'}{V} \right)^2 \ll 1$$

$$|\eta| = \left| \frac{V''}{V} \right| \ll 1$$

$R = e^{Ht}$  Quasi - de Sitter

de Sitter ?

# SCALAR FIELD IN COSMOLOGY

## Energy-momentum tensor in Quantum Field Theory

For a general lagrangian  $\mathcal{L}$  for a quantum field  $\varphi$  we have that the energy-momentum tensor is given by

$$T_{\mu\nu} = \frac{d\mathcal{L}}{d\partial^\mu\varphi} \partial_\nu\varphi - g_{\mu\nu}\mathcal{L}$$

so we have for example for a scalar field, when we can neglect space-gradients:

$$\rho = \frac{1}{2}\dot{\phi}^2 + V(\phi) \quad p = \frac{1}{2}\dot{\phi}^2 - V(\phi)$$

so we have

$$-1 \leq w = -1 + \frac{\dot{\phi}^2}{\frac{1}{2}\dot{\phi}^2 + V(\phi)} \leq 1$$

In particular  $w = -1$  for  $\dot{\phi} \simeq 0$  (slow roll) or  $w \sim 0$  for  $\dot{\phi}^2 \sim 2V(\phi)$  (oscillatory regime).

For a massless gauge field like the photon instead we have always  $w = \frac{1}{3}$ .

N.B. The Einstein equation is classical, so we should actually consider in the r.h.s. the vacuum expectation value of  $T_{\mu\nu}$ , but for a quantum field  $\langle T_{\mu\nu} \rangle$  gets contribution from all vacuum fluctuations and *diverges* !

One part of the cosmological constant problem...

# EINSTEIN'S EQUATIONS FOR A HOMOGENEOUS SCALAR FIELD

$$H^2 = \frac{1}{3M_P^2} \left( \frac{1}{2} \dot{\phi}^2 + V(\phi) \right) \quad \dot{H} = \frac{\ddot{a}}{a} - H^2 = -\frac{\dot{\phi}^2}{2M_P^2}$$
$$\ddot{\phi} + 3H\dot{\phi} + V'(\phi) = 0$$

In general one has to solve this system of coupled equations, but things greatly simplify if we assume **SLOW ROLL...**: i.e.  $\dot{\phi}^2 \ll V(\phi)$  and  $\ddot{\phi} \ll 3H\dot{\phi}$ .

Then the simple solution is

$$H^2 = \frac{V(\phi)}{3M_P^2} \simeq \text{constant} \quad \dot{H} \simeq 0 \rightarrow a \sim e^{Ht} \quad \text{INFLATION !}$$

While for the scalar field we have

$$\dot{\phi} = -\frac{V'(\phi)}{3H}.$$

Note that this solution is a *late-time attractor* as long as the potential is flat !

$$\text{SLOW ROLL: } \epsilon = \frac{M_P^2}{2} \left( \frac{V'}{V} \right)^2, \quad |\eta| = \left| \frac{M_P^2 V''}{V} \right| \ll 1$$



# BUT IT IS A QUANTUM FIELD !

Apart for the classical motion, there are fluctuations:

$$\phi = \varphi_c + \delta\varphi$$

In an inflationary (de Sitter) phase these are given by

$$\delta\varphi = \frac{H}{2\pi}$$

**THEY REMAIN IMPRINTED IN THE METRIC AND  
ARE STRETCHED TO COSMOLOGICAL SCALES !!!**

# SCALAR FIELD IN DE SITTER

For the quantum fluctuation of the field  $\phi = \varphi_c + \delta\varphi$

in an inflationary (de Sitter) phase the field equation is

$$\delta\ddot{\varphi} - \nabla^2\delta\varphi + 3H\delta\dot{\varphi} + V'''(\phi)\delta\varphi = 0$$

In conformal time & Fourier space, rescaling the field, one has

$$t \rightarrow \eta = -1/aH \quad ' \rightarrow d/d\eta \quad u_k = a \delta\phi_k$$

$$u_k'' + \left( k^2 + V'''(\phi)a^2 - \frac{a''}{a} \right) u_k = 0$$

Usually the potential term is negligible and  $\frac{a''}{a} \sim H^2 a^2$

Harmonic oscillator with negative time-dependent mass !

# SCALAR FIELD IN DE SITTER

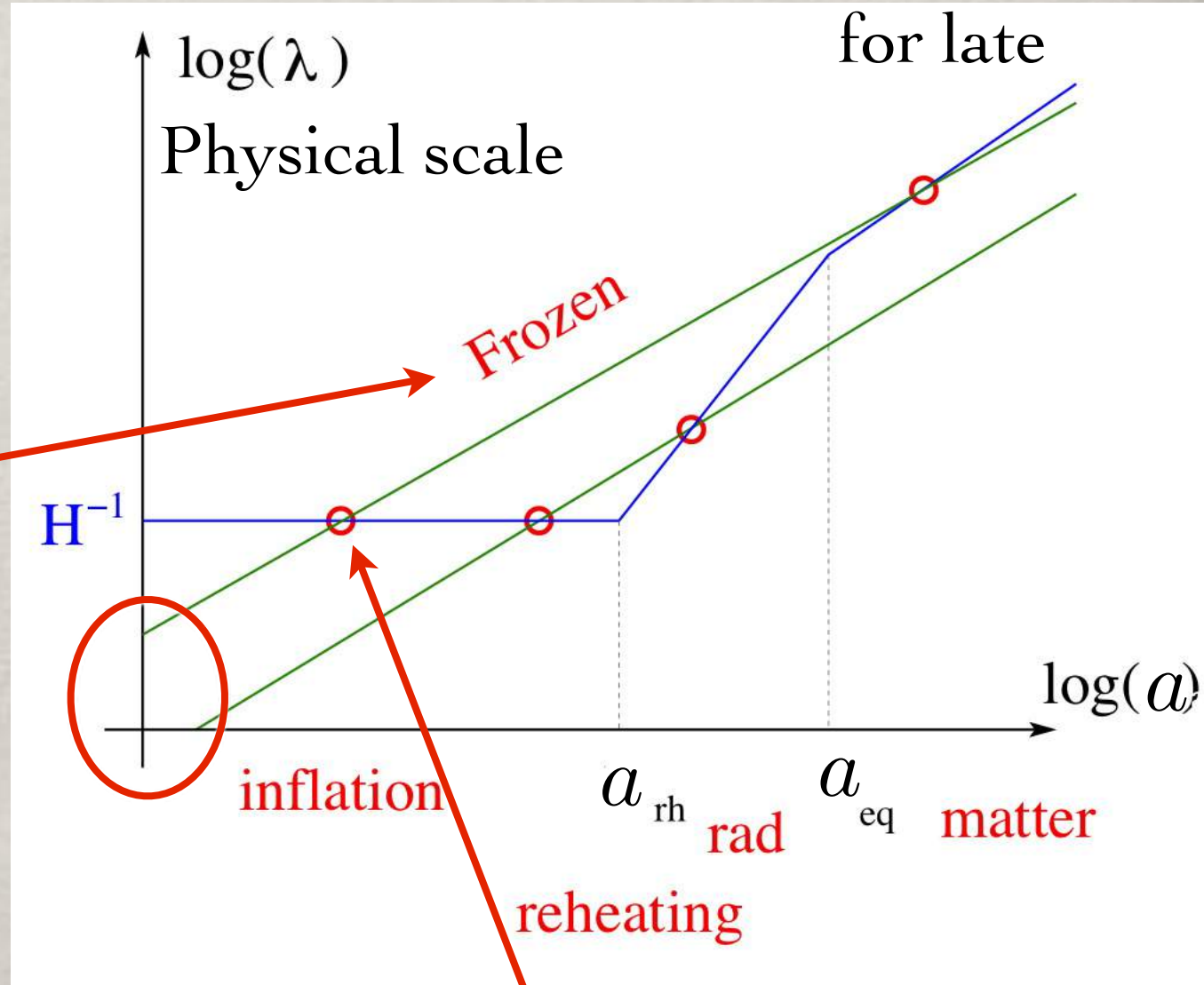
Later on for  $\lambda \gg 1/H$  ( $k \ll aH$ ) the dominant solution is the growing mode

$$u_k \propto a$$

$$\rightarrow \delta\varphi_k = \text{constant}$$

Initial condition

for late



Initial conditions for  $\lambda \ll 1/H$  ( $k \gg aH$ ) as in Minkowski

Bunch-Davies vacuum

Quantum to classical transition

# PRIMORDIAL POWER SPECTRUM

Testing inflation: Single field inflation  $\iff$  Flat Potential  $V(\phi)$

The scalar power spectrum is given by  $\mathcal{P}_{\mathcal{R}}(k) = \frac{1}{12\pi^2 M_P^6} \frac{V^3}{V'^2} \Big|_{k=aH} \propto k^{n-1}$

and its spectral index is:  $n(k)-1 = \frac{d \log(\mathcal{P}_{\mathcal{R}})}{d \log(k)} \Big|_{k=aH} = 2\eta - 6\epsilon + \dots$

For gravity waves the situation is simpler since in fact they are perfectly massless... : the gravity waves are generated by fluctuations in the metric, i.e.  $h_{ij} = \delta g_{ij}$ .

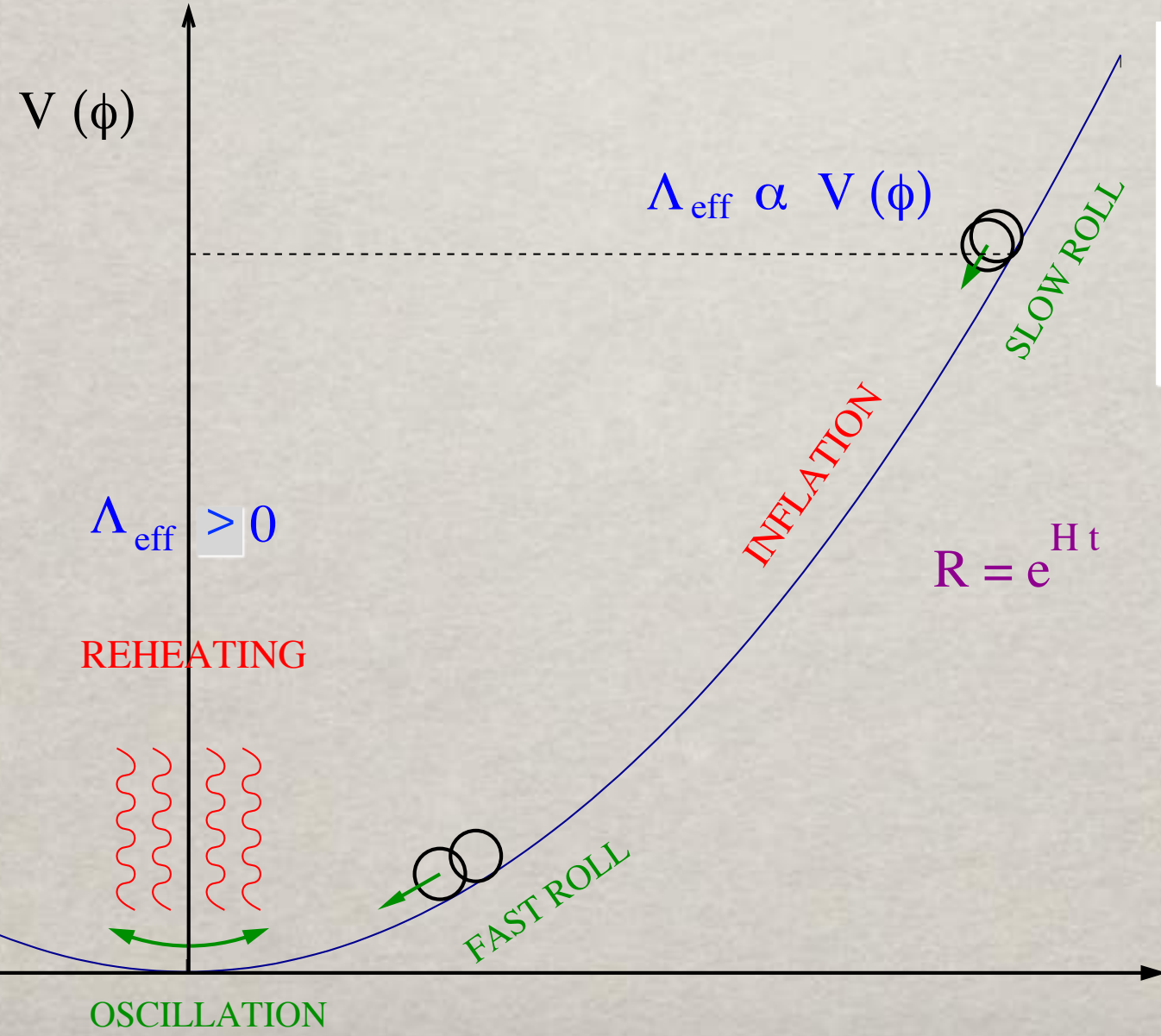
The tensor power spectrum is given by  $\mathcal{P}_{grav}(k) = \frac{1}{6\pi^2} \frac{V}{M_P^4} \Big|_{k=aH}$  Scale of inflation

and its spectral index is  $n_{grav}(k) = \frac{d \log(\mathcal{P}_{grav})}{d \log(k)} \Big|_{k=aH} = -2\epsilon + \dots$

In the simplest models the power spectrum is gaussian.

# SINGLE FIELD INFLATIONARY MODELS

# INFLATION: DRIVEN BY A SCALAR FIELD $\phi$



$$\epsilon = \frac{1}{2} \left( \frac{V'}{V} \right)^2 \ll 1$$

$$|\eta| = \left| \frac{V''}{V} \right| \ll 1$$

Quasi - de Sitter

de Sitter ?

# SIMPLE MONOMIAL MODELS

Consider the simplest possible scalar field potential:

$$V(\phi) = \lambda\phi^\alpha$$

Then the slow-roll parameters are simply:

$$\epsilon = \frac{\alpha^2}{2} \frac{M_P^2}{\phi^2} \quad \eta = \alpha(\alpha - 1) \frac{M_P^2}{\phi^2}$$

Need large field values to realize slow roll:  $\phi \gg M_P$ .

$$N = \int_{\phi_e}^{\phi} \frac{d\phi}{M_P} \frac{\phi}{\alpha M_P} \sim \frac{1}{2\alpha} \frac{\phi^2}{M_P^2} \quad \epsilon(N) = \frac{\alpha}{4N}$$
$$\eta(N) = \frac{\alpha - 1}{2N}$$

So naturally large N !

# SIMPLE MONOMIAL MODELS

The scalar power spectrum is then given by

$$P_{\mathcal{R}} = \frac{\lambda N}{6\pi^2 \alpha} M_P^{\alpha-4} (2\alpha N)^{\alpha/2} \quad n - 1 = -\frac{\alpha + 2}{2N}$$

red-tilted

Therefore to have fluctuations at the order 0.00001 we need

$$\lambda \sim 10^{-10} M_P^{4-\alpha} \frac{6\pi^2 \alpha}{N(2\alpha N)^{\alpha/2}}$$

The spectrum of gravitational waves is instead given by

$$P_{grav} = \frac{\lambda}{6\pi^2} M_P^{\alpha-4} (2\alpha N)^{\alpha/2} \quad n_{grav} = -\frac{\alpha}{2N}$$

satisfying the consistency relation  $r = \frac{P_{grav}}{P_{\mathcal{R}}} = 16\epsilon = \frac{4\alpha}{N}$



# THE LYTH BOUND

The power spectrum of the gravitational waves gives fundamental information on the inflationary model:

$$V^{1/4} \sim \left( \frac{r}{0.01} \right)^{1/4} 10^{16} \text{ GeV} \quad \text{Scale of inflation}$$

$$\Delta\phi \geq \left( \frac{r}{0.002} \right)^{1/2} \left( \frac{N}{60} \right) M_{Pl} \quad \text{Lyth bound on the field change during } N \text{ e-folds}$$

If the tensor-to-scalar ratio is in the measurable range, the inflaton range was of the order of the Planck scale.

# Zaldarriaga, Ferrara 2014

## The Lyth Bound

$$\frac{d\phi}{dN} = \sqrt{2\epsilon(N)} M_{pl} = \sqrt{\frac{r(N)}{16}} M_{pl}$$

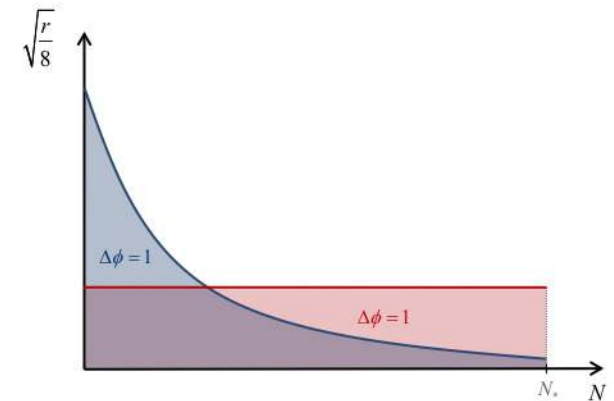
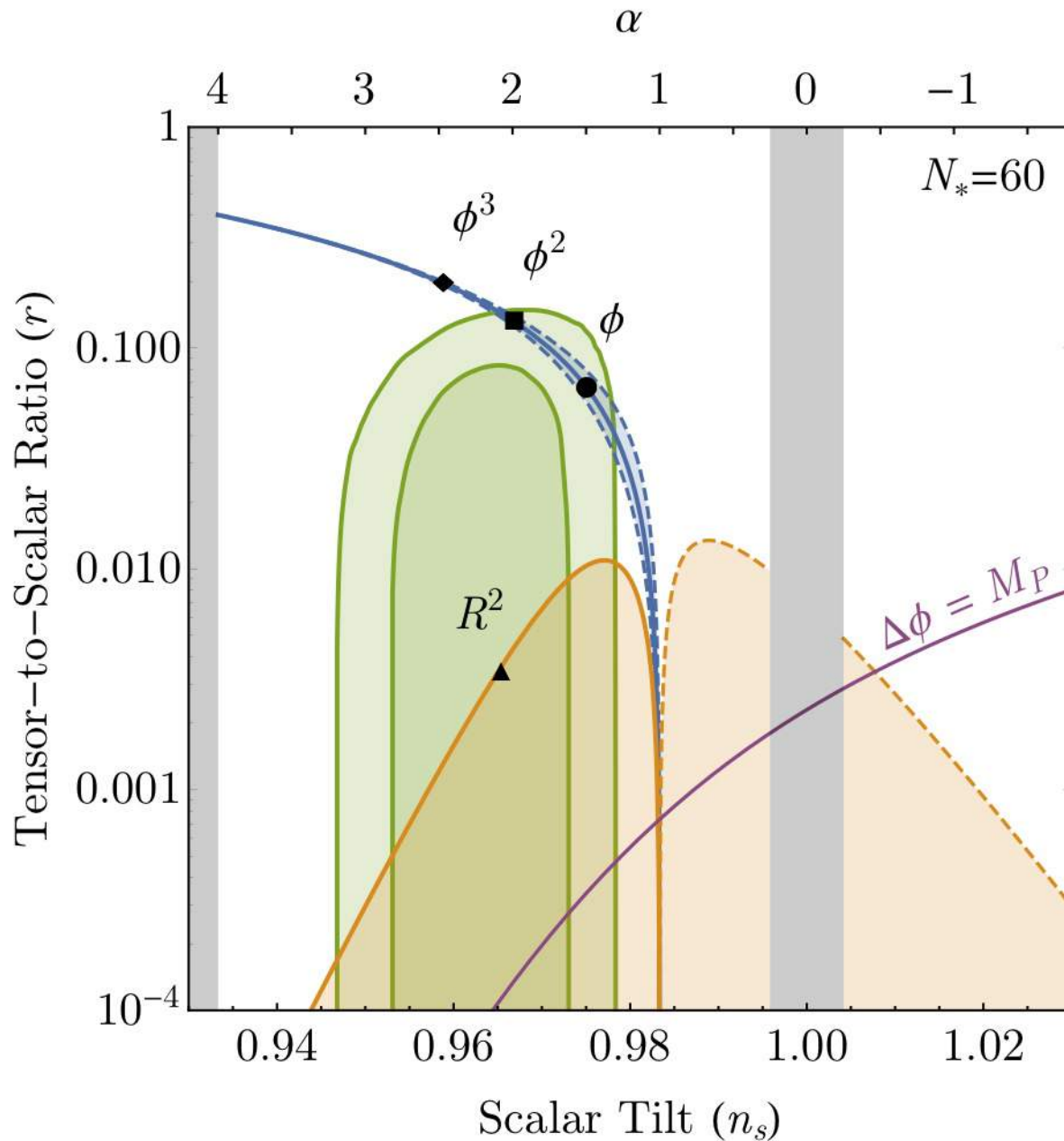


FIG. 1. Two curves indicating  $\sqrt{r(N)/8}$ . The central idea is that both have identical areas and lead to  $\Delta\phi = 1$ . The flat curve depicts the Lyth bound, while the tilted curve indicates the improvement when taking the spectral index into account.

1408.6839  
Garcia-Bellido et al.

# INFLATION: DRIVEN BY A SCALAR FIELD $\phi$

Can the Higgs do the job ? It seems a pretty obvious choice, it is the only scalar in the SM with a simple  $\lambda \phi^4$  potential !

Unfortunately it does not work...: the normalization of the spectrum requires a coupling of the order  $\lambda \sim 10^{-13}$ .

From the LEP Higgs searches we know that the Higgs mass is larger than 114 GeV, so the coupling has to be larger than

$$\lambda = \frac{m_H^2}{2v^2} \geq \frac{1}{2} \left( \frac{125}{256} \right)^2 \sim 0.1$$

But this holds only for a field minimally coupled to gravity !

# HIGGS INFLATION

[Bezukov & Shaposhnikov 09]

Couple the Higgs field non-minimally to gravity:

$$\mathcal{L}_\xi = -\frac{\xi}{2}\phi^2 R$$

The term combines with the usual Einstein-Hilbert term and changes the strength of gravity at large field:

$$(M_P^{eff})^2 = M_P^2 + \xi \phi^2$$

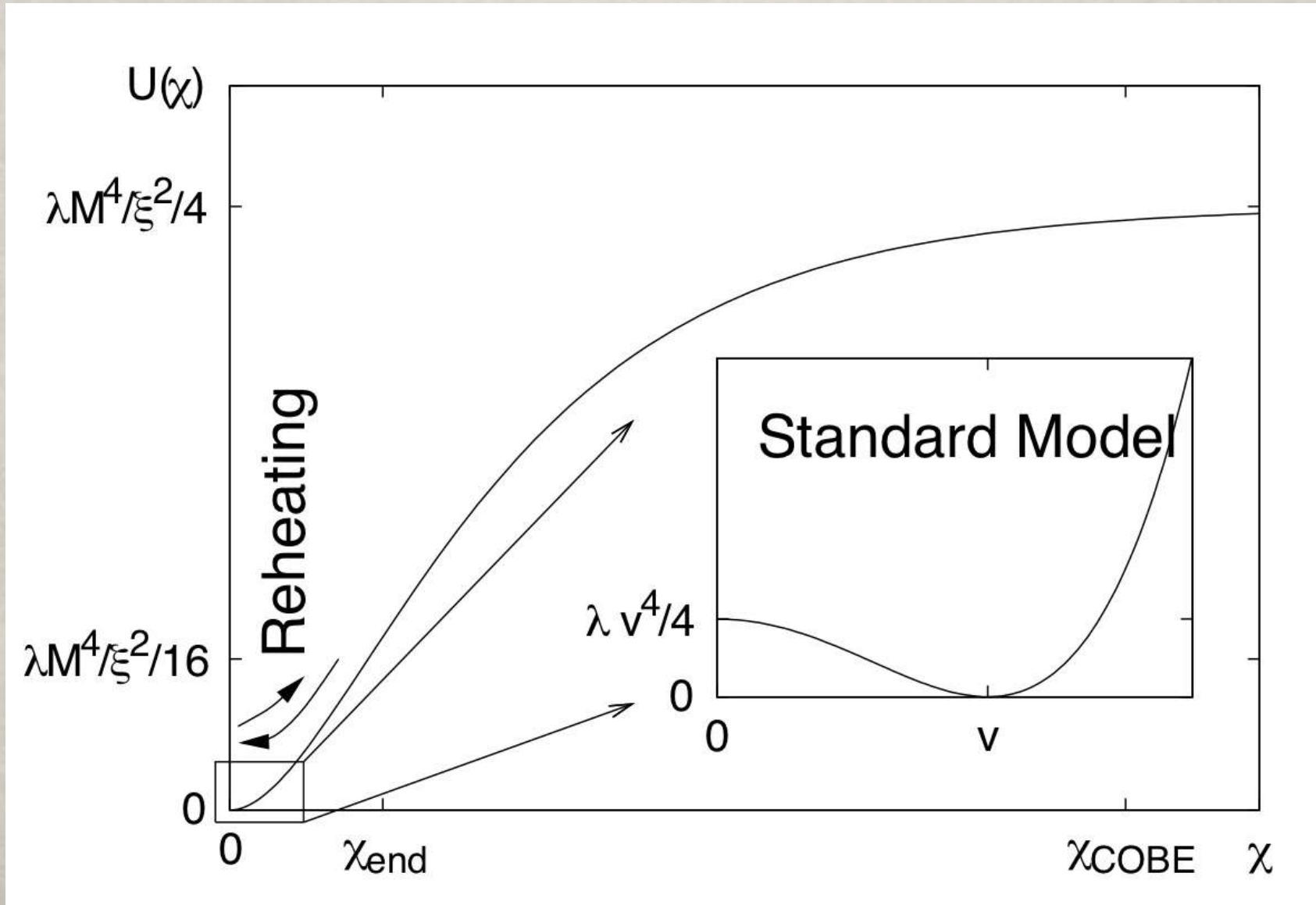
At large field values all the mass scales are proportional to the field and this can be “rescaled” away  $\gg$  flat direction !  
Indeed in the Jordan frame (via conformal transformation)

$$\tilde{g}_{\mu\nu} = \left(1 + \frac{\xi\phi^2}{M_P^2}\right) g_{\mu\nu} \quad \frac{d\chi}{d\phi} = \frac{1}{\Omega} \sqrt{1 + \frac{6\xi^2\phi^2}{\Omega^2 M_P^2}}$$

# HIGGS INFLATION

[Bezukov & Shaposhnikov 09]

In the redefined canonically normalized field the potential is:



# HIGGS INFLATION

[Bezukov & Shaposhnikov 09]

Inflation is possible, BUT

- the normalization of the CMB power spectrum requires  $\xi \sim 5 \times 10^4 \sqrt{\lambda} \gg 1$

Very large non-minimal coupling to gravity !

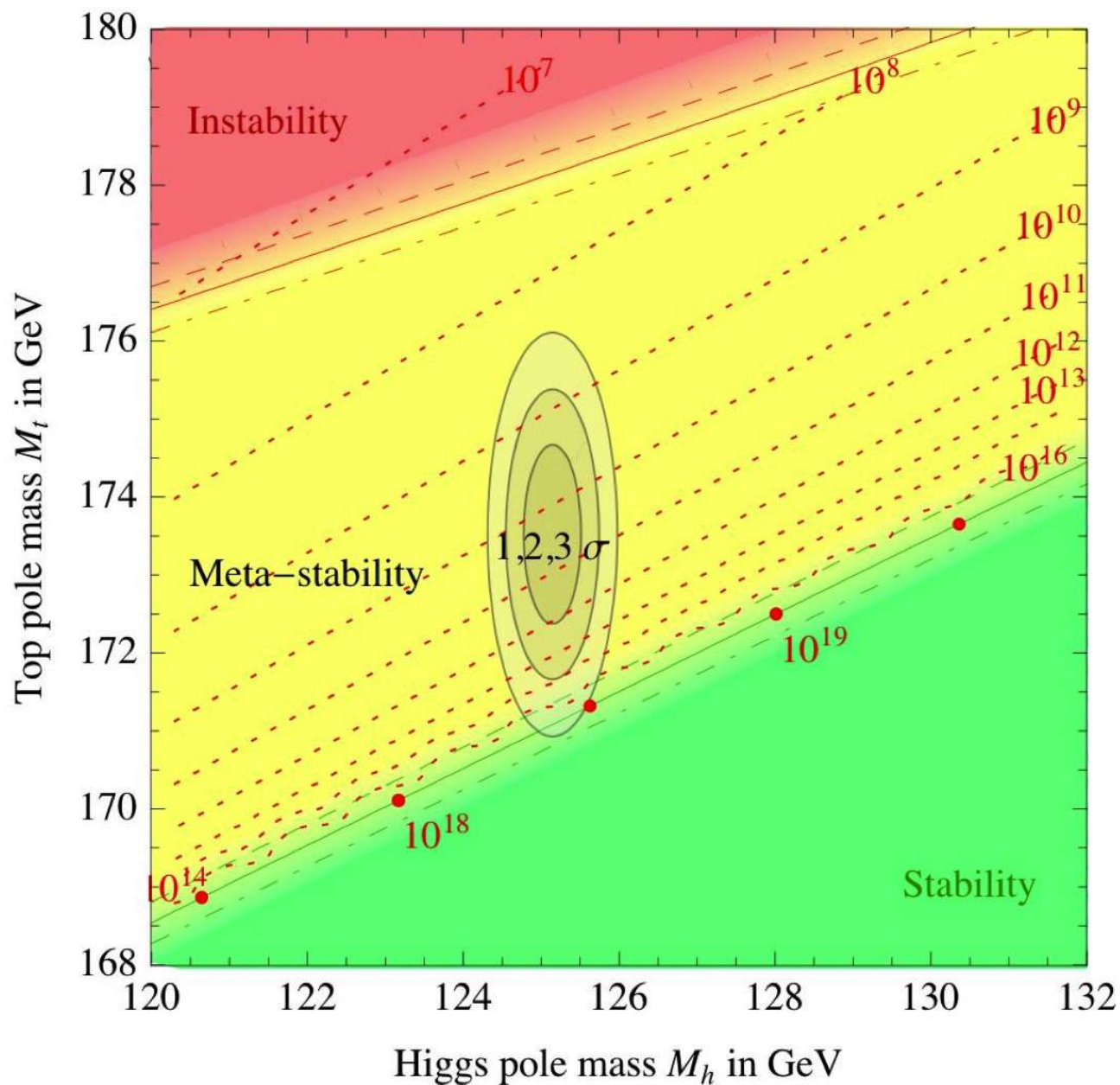
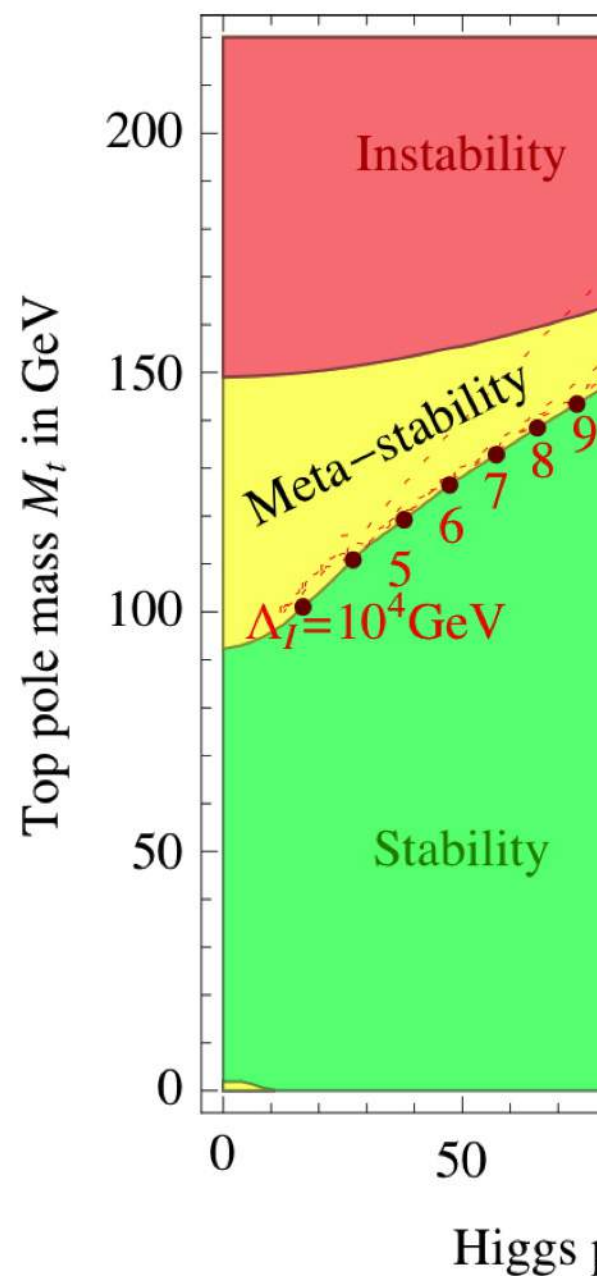
- connection to the Higgs coupling and therefore the Higgs mass as well by requiring consistency to the inflationary scale:  $130 \text{ GeV} \leq m_H \leq 194 \text{ GeV}$   
... now a bit on the boundary due to Higgs mass !
- Possible trouble: unitarity bound saturated at a scale

$$M_P / \sqrt{\xi} < M_P$$

# HIGGS POTENTIAL AT $M_{PL}$ ?

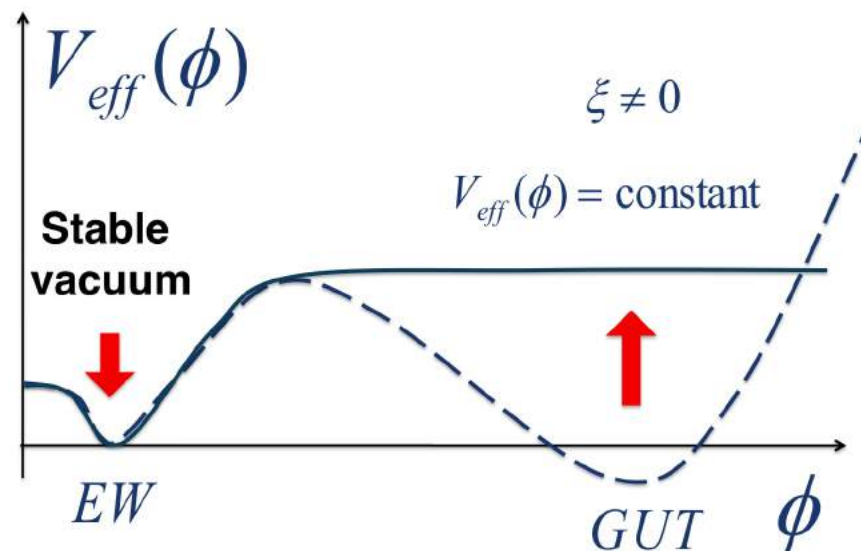
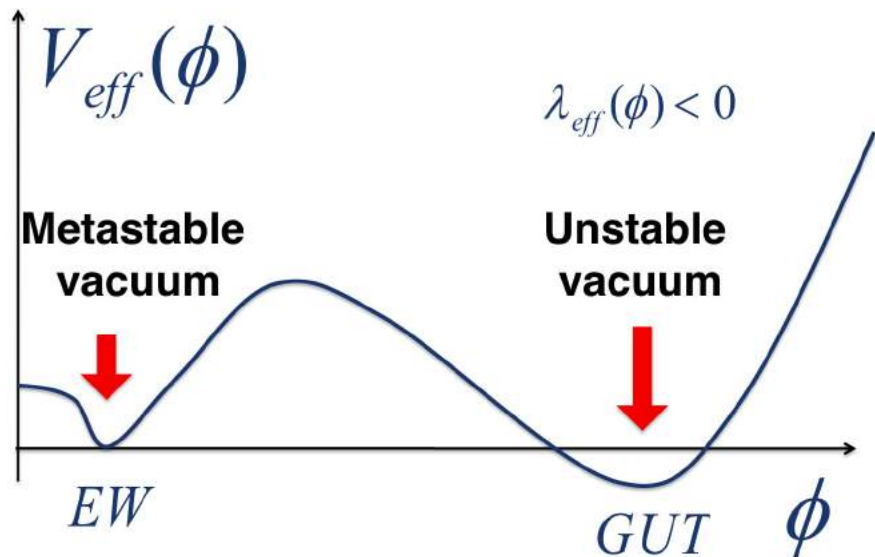
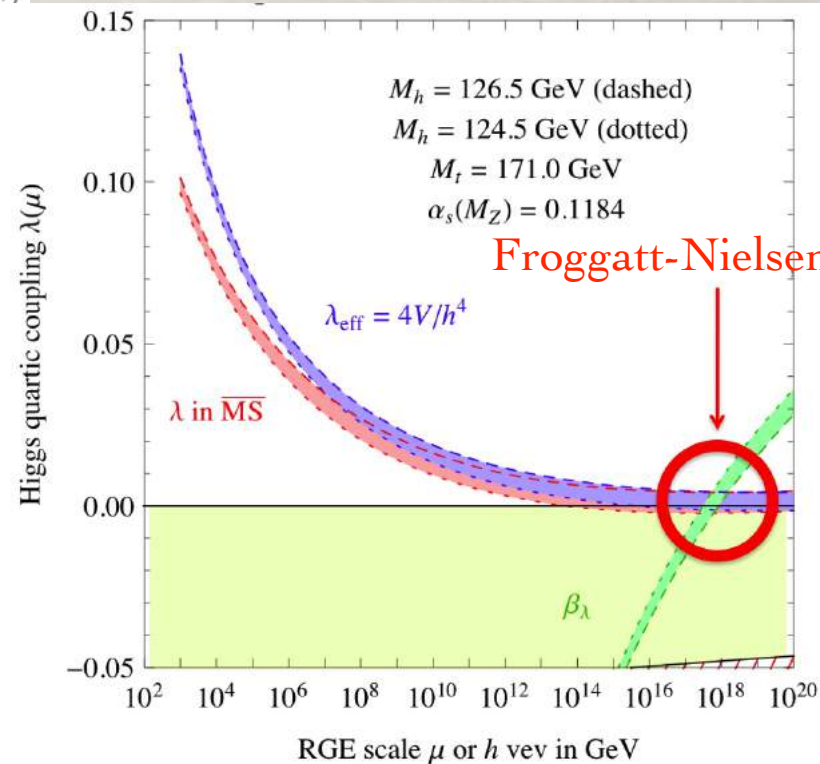
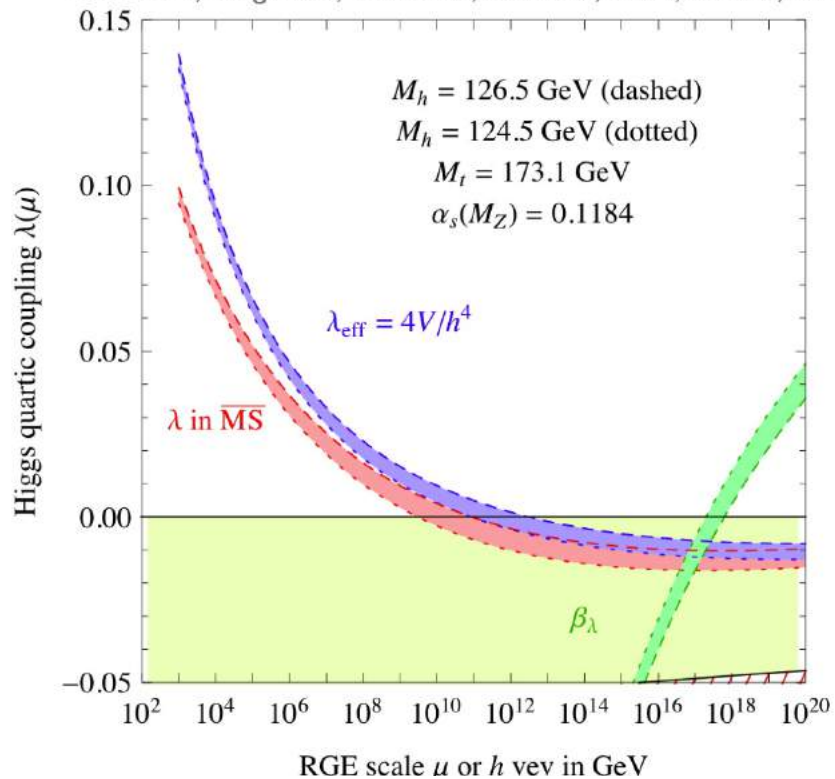
[Buttazzo & al. 14]

Buttazzo, Degrandi, Giardino, Giudice, Sala, Salvio, Strumia (2014)



# HIGGS POTENTIAL AT $M_{PL}$ ?

Buttazzo, Degrandi, Giardino, Giudice, Sala, Salvio, Strumia (2014)





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arXiv:hep-ph/9807278
- Planck results on cosmological parameters/inflation:  
arXiv:1502.01589[astro-ph.CO]  
arXiv:1502.02114[astro-ph.CO]