Practical QCD at colliders

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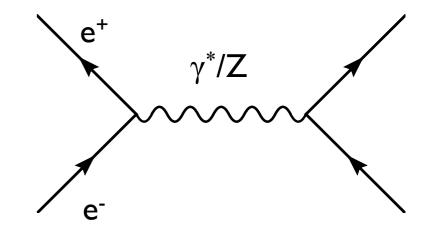
2nd Lecture

Joint ICTP-SAIFR school on Particle Physics – June 2018

Perturbative expansion of the R-ratio

The R-ratio is defined as

$$R \equiv \frac{\sigma(e^+e^- \to \text{hadrons})}{\sigma(e^+e^- \to \mu^+\mu^-)}$$



At lowest order in perturbation theory (PT)

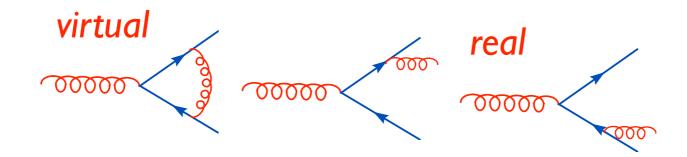
$$\sigma(e^+e^- \to \text{hadrons}) = \sigma_0(e^+e^- \to q\bar{q})$$

Since common factors cancel in numerator/denominator, to lowest order one finds

$$R_0 = \frac{\sigma_0(\gamma^* \to \text{hadrons})}{\sigma_0(\gamma^* \to \mu^+ \mu^-)} = N_c \sum_f q_f^2$$

The R-ratio: perturbative expansion

First order correction



Real and virtual do not interfere since they have a different # of particles. The amplitude squared becomes

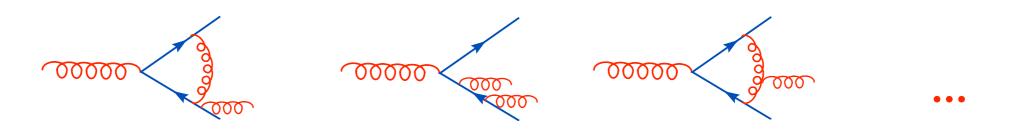
$$|A_1|^2 = |A_0|^2 + \alpha_s \left(|A_{1,r}|^2 + 2\operatorname{Re}\{A_0 A_{1,v}^*\} \right) + \mathcal{O}(\alpha_s^2) \qquad \alpha_s = \frac{g_s^2}{4\pi}$$

Integrating over phase space, the first order result reads

$$R_1 = R_0 \left(1 + \frac{\alpha_s}{\pi} \right)$$

R-ratio and UV divergences

To compute the second order correction one has to compute diagrams like these and many more



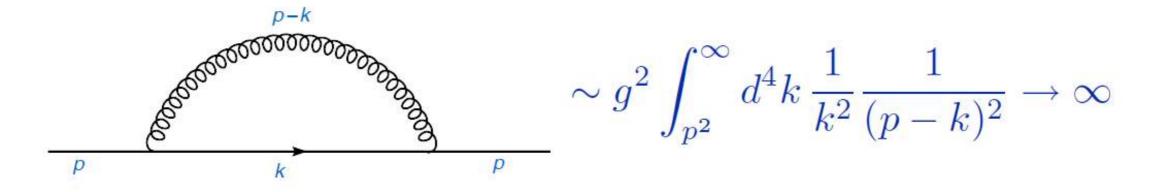
One gets

$$R_{2} = R_{0} \left(1 + \frac{\alpha_{s}}{\pi} + \left(\frac{\alpha_{s}}{\pi}\right)^{2} \left(c + \pi b_{0} \ln \frac{M_{\text{UV}}^{2}}{Q^{2}} \right) \right) \qquad b_{0} = \frac{11N_{c} - 4n_{f}T_{R}}{12\pi}$$

Ultra-violet divergences do not cancel. Result depends on UV cut-off.

Renormalization

Loop corrections in QCD are (often) divergent. Divergences originate from regions of very large momenta



QCD is a renormalizable theory. This means that that one can

I. regularize the divergence (e.g. using dimensional regularization)

$$d^4k \to \mu^{2\epsilon} d^{4-2\epsilon}k$$

2. absorbe all UV divergences into a universal redefinition of a finite number of the bare parameters of QCD

Renormalization and running coupling

For the R-ratio, the divergence is dealt with by renormalization of the coupling constant

$$\alpha_s(\mu) = \alpha_s^{\text{bare}} + b_0 \ln \frac{M_{UV}^2}{\mu^2} \left(\alpha_s^{\text{bare}}\right)^2$$

R expressed in terms of the renormalized coupling is finite

$$R = R_0 \left(1 + \frac{\alpha_s(\mu)}{\pi} + \left(\frac{\alpha_s(\mu)}{\pi} \right)^2 \left(c + \pi b_0 \ln \frac{\mu^2}{Q^2} \right) + \mathcal{O}(\alpha_s^3(\mu)) \right)$$

Renormalizability of the theory guarantees that the same redefinition of the coupling removes all UV divergences from all physical quantities (massless case)

Renormalization achieved by replacing bare masses and the bare coupling with renormalized ones. Masses and coupling become dependent on the renormalization scale. The dependence is fully predicted in pQCD

- the coupling $\Rightarrow \beta$ function
- the masses \Rightarrow anomalous dimensions γ_m

The beta-function

$$\beta(\alpha_s^{\rm ren}) \equiv \mu^2 \frac{d\alpha_s(\mu^2)}{d\mu^2}$$

The renormalized coupling is

$$\alpha_s(\mu) = \alpha_s^{\text{bare}} + b_0 \ln \frac{M_{UV}^2}{\mu^2} \left(\alpha_s^{\text{bare}}\right)^2$$

So, one immediately gets

$$\beta = -b_0 \alpha_s^2(\mu) + \dots$$

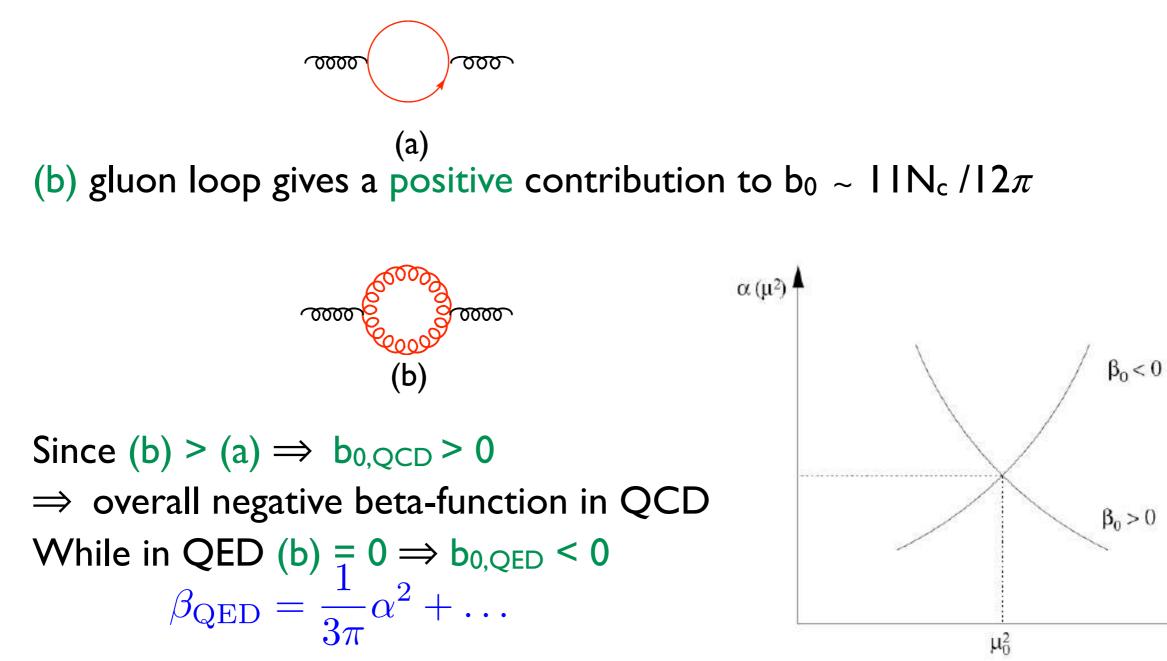
Integrating the differential equation one finds at lowest order

$$\frac{1}{\alpha_s(\mu)} = b_0 \ln \frac{\mu^2}{\mu_0^2} + \frac{1}{\alpha_s(\mu_0)} \qquad \Longrightarrow \qquad \alpha_s(\mu) = \frac{1}{b_0 \ln \frac{\mu^2}{\Lambda^2}}$$

More on the beta-function

Roughly speaking:

(a) quark loop vacuum polarization diagram gives a negative contribution to $b_0 \sim$ - $2 n_f / 12 \pi$



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More on the beta-function

- <u>QCD</u>: perturbative picture valid for scales $\mu >> \Lambda_{QCD}$ (about 300 MeV)
- <u>QED</u>: perturbative picture valid for scales $\mu << \Lambda_{QED}$

<u>Question</u>: why does nobody talk about Λ_{QED} ?

More on the beta-function

- <u>QCD</u>: perturbative picture valid for scales $\mu >> \Lambda_{QCD}$ (about 300 MeV)
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<u>Question</u>: why does nobody talk about Λ_{QED} ?

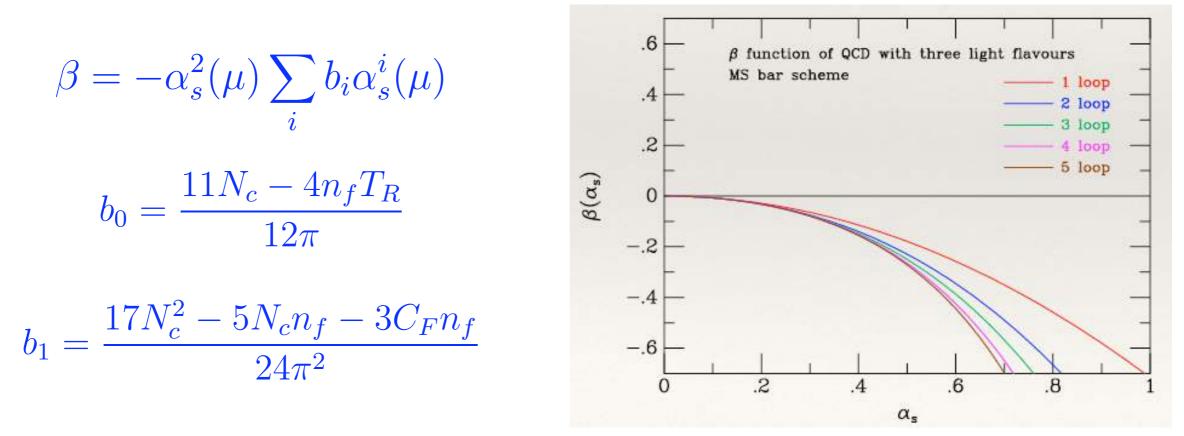
Answer:

$$\Lambda_{\rm QED} = m_e \exp\left\{-\frac{1}{2b_0 \alpha(m_e)}\right\} \sim 10^{90} \text{GeV} >> M_{\rm Planck}$$

(Note that the fact that QED is not a consistent theory up to very high scales implies that it must be an effective theory)

Back to the QCD beta-function

Perturbative expansion of the beta-function:

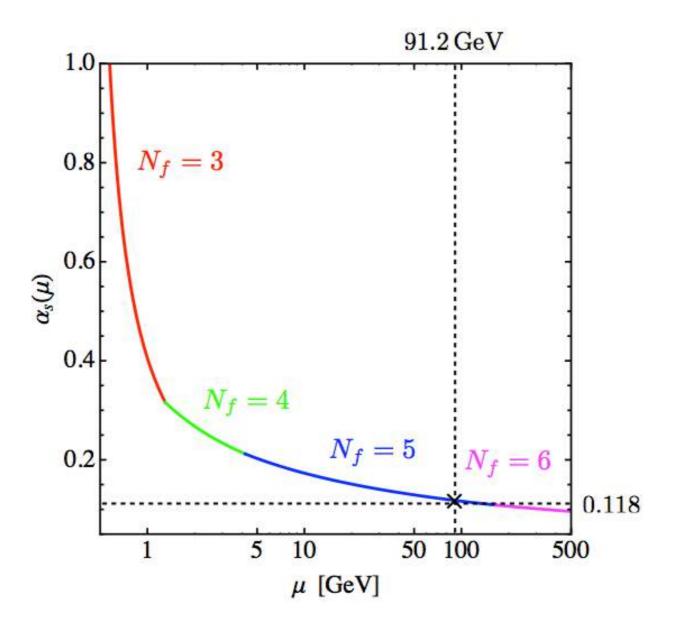


- n_f is the number of active flavours (depends on the scale)
- today, the beta-function known up to five loops, but only first two coefficients are independent of the renormalization scheme

<u>Exercise</u>: proof the above statement [hint: use the fact that at $O(\alpha_s)$ the coupling in two different schemes is related by a finite change]

Active flavours & running coupling

The active field content of a theory modifies the running of the couplings



Constrain New Physics by measuring the running at high scales?

Consider a dimensionless quantity A, function of a single scale Q. The dimensionless quantity should be independent of Q. However in quantum field theory this is not true, as renormalization introduces a second scale μ

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So, for any observable A one can write a renormalization group equation

$$\begin{bmatrix} \mu^2 \frac{\partial}{\partial \mu^2} + \mu^2 \frac{\partial \alpha_s}{\partial \mu^2} \frac{\partial}{\partial \alpha_s} \end{bmatrix} A \left(\frac{Q^2}{\mu^2}, \alpha_s(\mu^2) \right) = 0$$
$$\alpha_s = \alpha_s(\mu^2) \qquad \beta(\alpha_s) = \mu^2 \frac{\partial \alpha_s}{\partial \mu^2}$$

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Scale dependence of A enters through the running of the coupling: knowledge of $A(1, \alpha_s(Q^2))$ allows one to compute the variation of A with Q given the beta-function

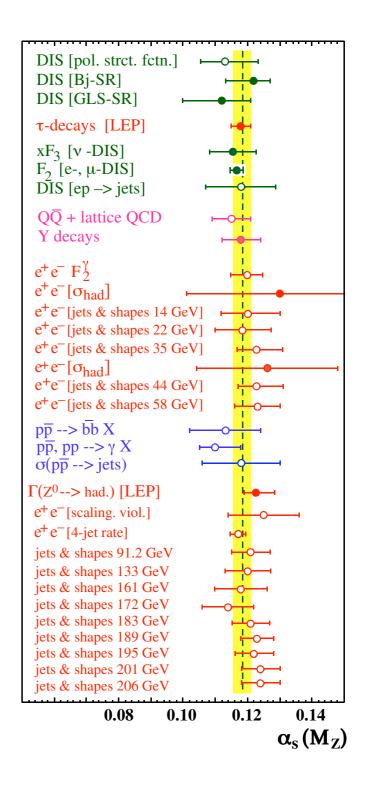
Measurements of the running coupling

Summarizing:

- overall consistent picture: α_s from very different observables compatible
- α_s is not so small at current scales
- α_s decreases slowly at higher energies (logarithmic only)
- higher order corrections are and will remain important

World average

 $\alpha_s(M_{Z^0}) = 0.1184 \pm 0.007$



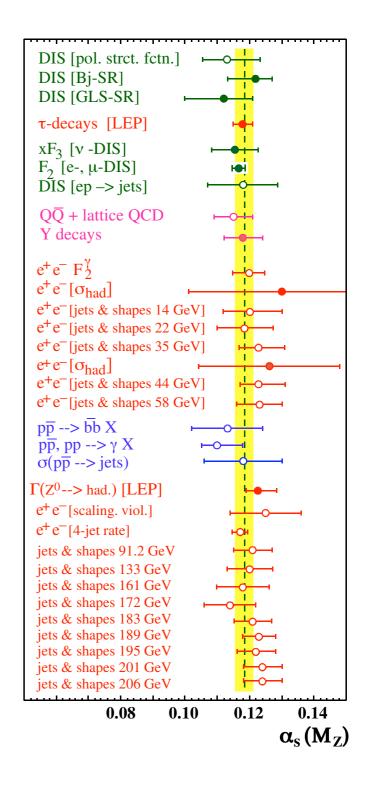
Measurements of the running coupling

Questions:

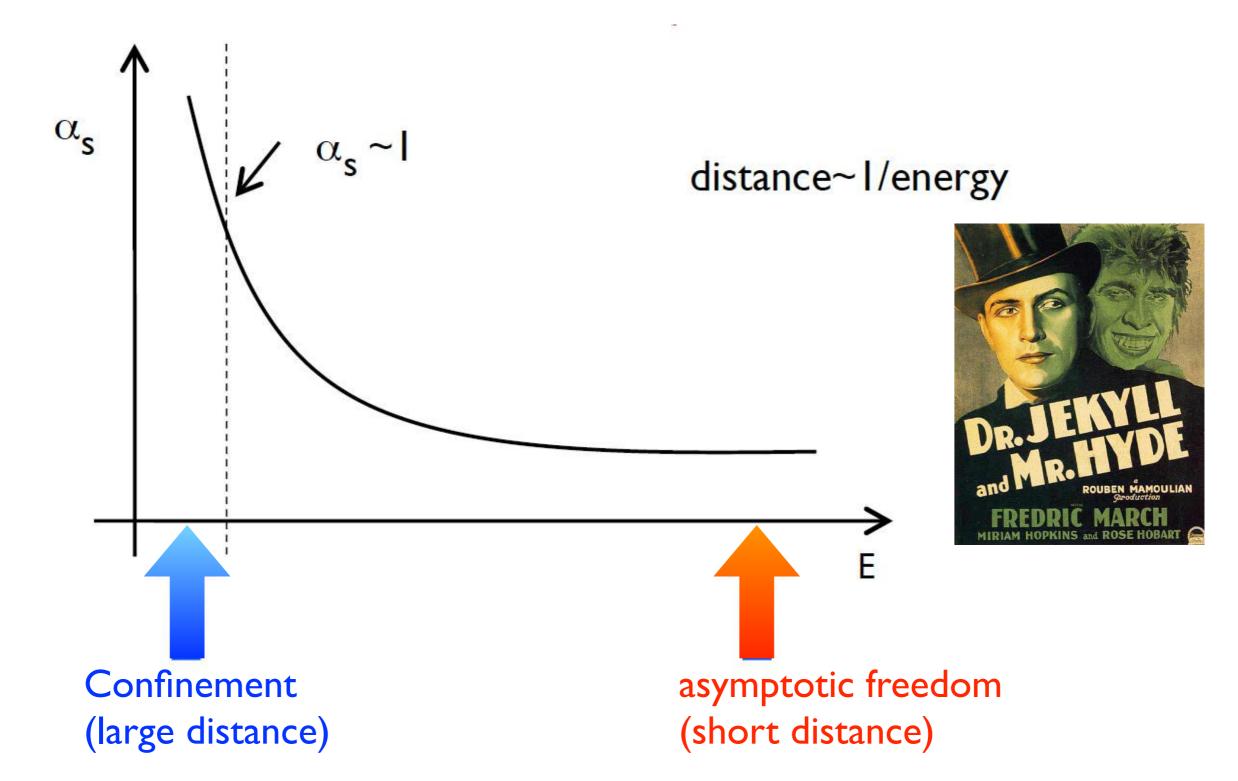
- Why is the determination of α_s from t-decays so accurate?
- Why is the determination of α_s from the four-jet rate so accurate?

World average

 $\alpha_s(M_{Z^0}) = 0.1184 \pm 0.007$



The two faces of QCD



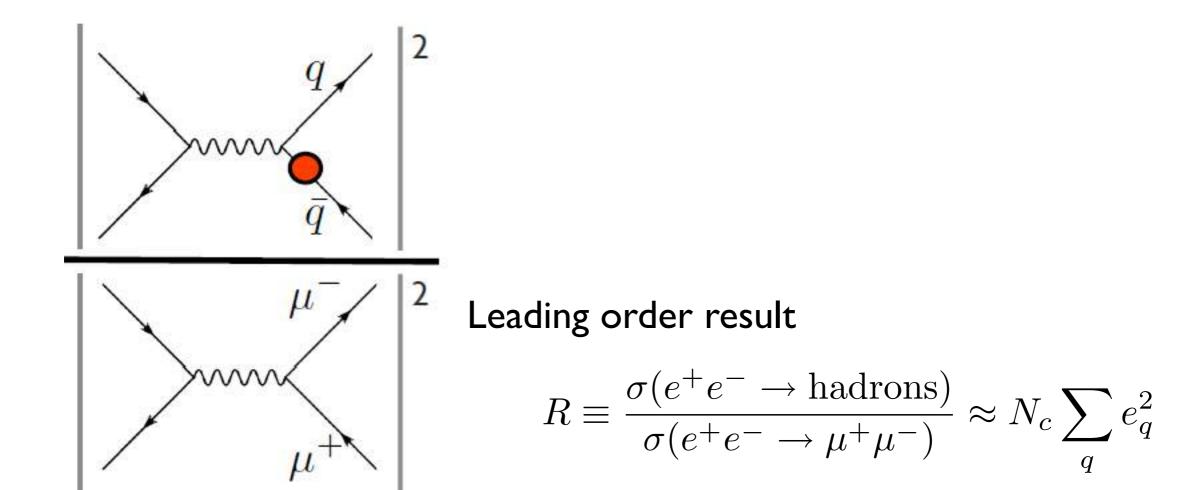
NB: no proof of confinement. We simply never observed quarks as free particles

Next

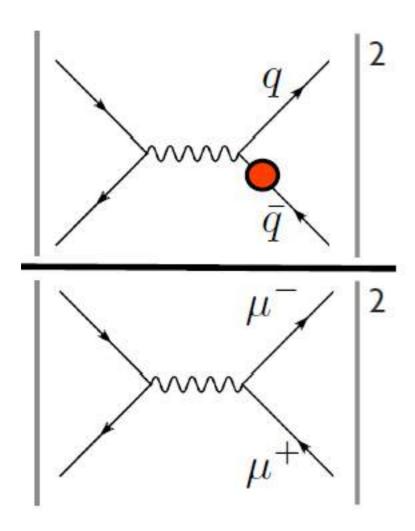
In the following we will concentrate on the perturbative regime of QCD. Next we'll discuss generic properties of QCD amplitudes

- Soft-collinear divergences (and how they are dealt with)
- Kinoshita-Lee-Nauenberg theorem
- The concept of infrared finiteness
- Sterman Weinberg jets

Let's consider again the R-ratio



Let's consider again the R-ratio



- We have seen a good agreement between the leading order result and data, but there are various unanswered questions
 - Since free quarks do not exist, why is the leading order result so good?
 - In particular, why can one identify the cross-sections for the production of quarks to that of hadrons?
 - Can one probe QCD further by testing more exclusive observables?

Quark-hadron duality

The reliability of parton-level calculations to describe hadron-level observables is known as quark-hadron duality.

This duality relies on the time separation between a hard scattering (partons are produced) and a soft process (quarks hadronize). Since the two processes happen at very different time-scales there is not quantum interference and the soft process does not alter the hard momentum flow "too much"

With this in mind, let's apply the parton description and look for a better approximation of R, i.e. let's compute QCD corrections, at least in some approximation

QCD corrections are only in the final state, i.e. corrections to $~\gamma^*
ightarrow q ar q$

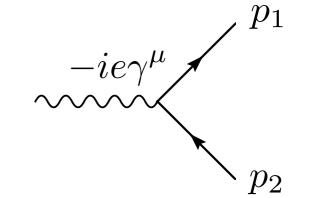
At leading order:

$$M_0^{\mu} = \bar{u}(p_1)(-ie\gamma^{\mu})v(p_2)$$

 p_1 $-ie\gamma^{\mu}$ p_2

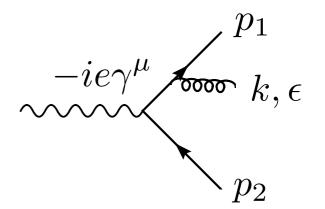
QCD corrections are only in the final state, i.e. corrections to $\gamma^* \rightarrow q\bar{q}$ At leading order:

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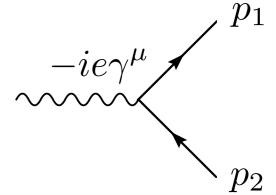
Emit one gluon:

$$M^{\mu}_{q\bar{q}g} = \bar{u}(p_1)(-ig_s t^a \not \epsilon) \frac{i(\not p_1 + \not k)}{(p_1 + k)^2} (-ie\gamma^{\mu})v(p_2) + \bar{u}(p_1)(-ie\gamma^{\mu}) \frac{i(\not p_2 - \cdot \not k)}{(p_2 - \cdot k)^2} (-ig_s t^a \not \epsilon)v(p_2)$$



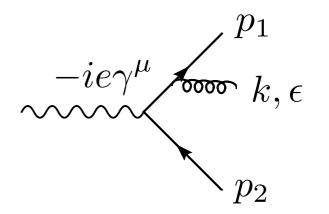
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Consider the soft approximation: $k \ll p_1, p_2$

$$M^{\mu}_{q\bar{q}g} = \bar{u}(p_1)\left((-ie\gamma^{\mu})(-ig_st^a)v(p_2)\right)\left(\frac{p_1\epsilon}{p_1k} - \frac{p_2\epsilon}{p_2k}\right)$$

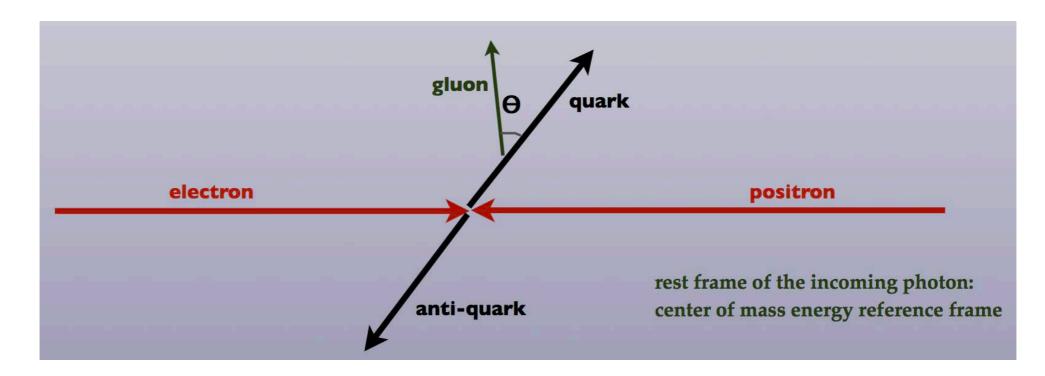
⇒ factorization of soft part (crucial for resummed calculations)

Soft divergences

The squared amplitude becomes

$$|M_{q\bar{q}g}^{\mu}|^{2} = \sum_{\text{pol}} \left| \bar{u}(p_{1}) \left((-ie\gamma^{\mu})(-ig_{s}t^{a})v(p_{2}) \right) \left(\frac{p_{1}\epsilon}{p_{1}k} - \frac{p_{2}\epsilon}{p_{2}k} \right) \right|^{2}$$
$$= |M_{q\bar{q}}|^{2} C_{F} g_{s}^{2} \frac{2p_{1}p_{2}}{(p_{1}k)(p_{2}k)}$$

The above is a Lorentz-invariant amplitude. Go to the centre-of-mass frame:



Soft divergences

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$$= |M_{q\bar{q}}|^{2} C_{F} g_{s}^{2} \frac{2p_{1}p_{2}}{(p_{1}k)(p_{2}k)}$$

Including phase space, in this frame, in terms of energy and angle of the gluon one contains

$$\begin{aligned} d\phi_{q\bar{q}g} |M_{q\bar{q}g}|^2 &= d\phi_{q\bar{q}} |M_{q\bar{q}}|^2 \frac{d^3k}{2\omega(2\pi)^3} C_F g_s^2 \frac{2p_1 p_2}{(p_1 k)(p_2 k)} \\ &= d\phi_{q\bar{q}} |M_{q\bar{q}}|^2 \omega d\omega d\cos\theta \frac{d\phi}{2\pi} \frac{2\alpha_s C_F}{\pi} \frac{1}{\omega^2(1-\cos^2\theta)} \end{aligned}$$

Soft divergences

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The differential cross section becomes

$$d\sigma_{q\bar{q}g} = d\sigma_{q\bar{q}} \frac{2\alpha_s C_F}{\pi} \frac{d\omega}{\omega} \frac{d\theta}{\sin\theta} \frac{d\phi}{2\pi}$$

Soft & collinear divergences

Cross section for producing a $q\bar{q}$ -pair and a gluon is infinite (IR divergent)

$$d\sigma_{q\bar{q}g} = d\sigma_{q\bar{q}} \frac{2\alpha_s C_F}{\pi} \frac{d\omega}{\omega} \frac{d\theta}{\sin\theta} \frac{d\phi}{2\pi}$$

 $\underline{\omega} \rightarrow 0$: soft divergence

 $\theta \rightarrow 0$: collinear divergence

Soft & collinear divergences

Cross section for producing a qq-pair and a gluon is infinite (IR divergent)

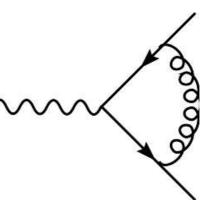
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 $\underline{\omega} \rightarrow 0$: soft divergence

 $\theta \rightarrow 0$: collinear divergence

But the full $O(\alpha_s)$ correction to R is finite, because one must include a virtual correction which cancels the divergence of the real radiation

$$d\sigma_{q\bar{q},v} \sim -d\sigma_{q\bar{q}} \frac{2\alpha_s C_F}{\pi} \frac{d\omega}{\omega} \frac{d\theta}{\sin\theta} \frac{d\phi}{2\pi}$$



NB: here we kept only soft terms, if we do the full calculation one gets a finite correction of α_s/π

Soft & collinear divergences

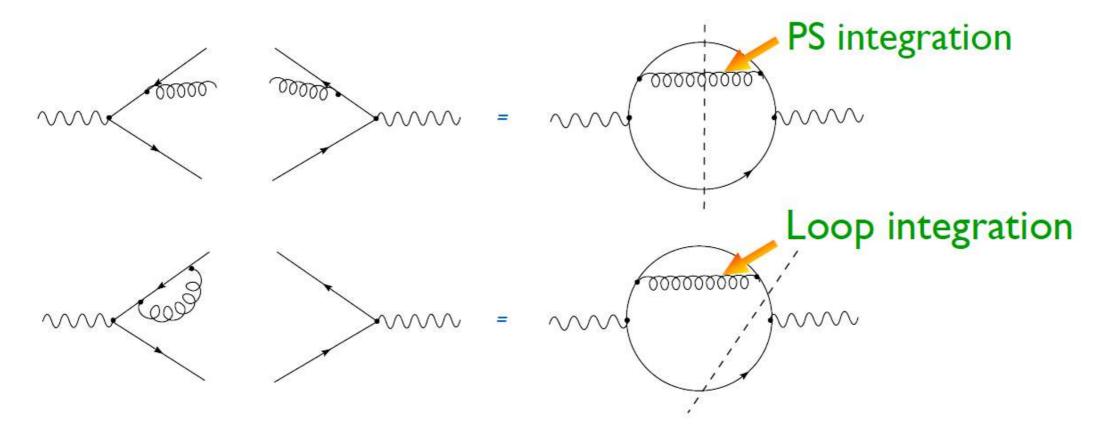
 $\underline{\omega} \rightarrow 0$ soft divergence: the four-momentum of the emitted particle approaches zero, typical of gauge theories, even if matter (radiating particle) is massive

 $\theta \rightarrow 0$ collinear divergence: particle emitted collinear to emitter. Divergence present only if all particles involved are massless

NB: the appearance of soft and collinear divergences discussed in the specific contect of $e^+e^- \rightarrow qq$ are a general property of QCD

Infrared finiteness

Cancellation of IR divergences in R is not a miracle. It follows directly from unitarity provided the measurement is inclusive enough



In the infrared region real and virtual are kinematically equivalent but for a (-1) from unitarity

Compute and regulate real and virtual separately, until a cancelation of divergences is achieved

KLN Theorem

Kinoshita-Lee-Nauenberg theorem: Infrared singularities in a massless theory cancel out after summing over degenerate (initial and final) states



Physically a hard parton can not be distinguished from a hard parton plus a soft gluon or from two collinear partons with the same energy. They are degenerate states.

Hence, one needs to add them to get a physically sound observable

Infrared safety (= finiteness)

So, the R-ratio is an infrared safe quantity.

In perturbation theory one can compute only IR-safe quantities, otherwise get infinities, which can not be renormalized away (why not...?)

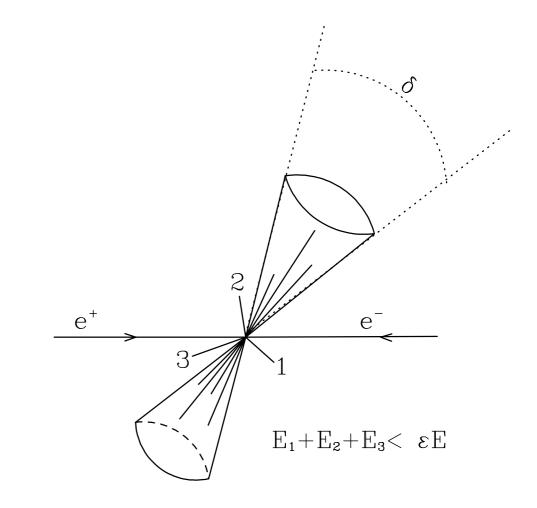
So, the natural questions are:

- are there other IR-safe quantities?
- what property of R guarantees its IR-safety?

Sterman-Weinberg jets

First formulation of cross-sections which are finite in perturbation theory and describe the hadronic final state

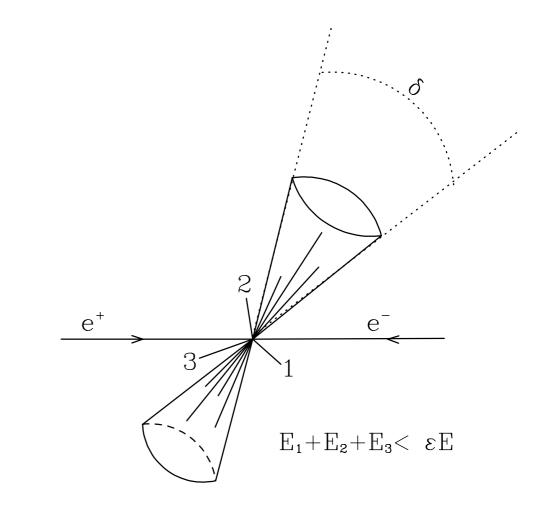
Introduce two parameters ε and δ : a pair of Sterman-Weinberg jets are two cones of opening angle δ that contain all the energy of the event excluding at most a fraction ε



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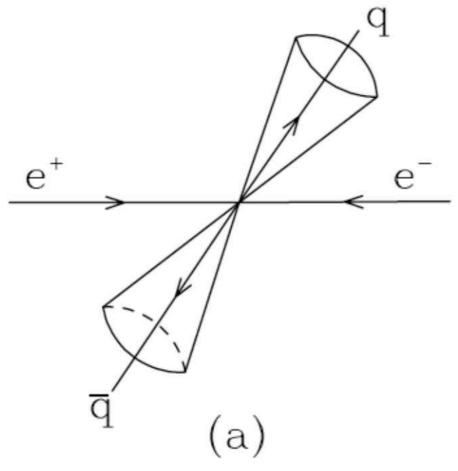
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Why finite? the cancelation between real and virtual is not destroyed in the soft/collinear regions



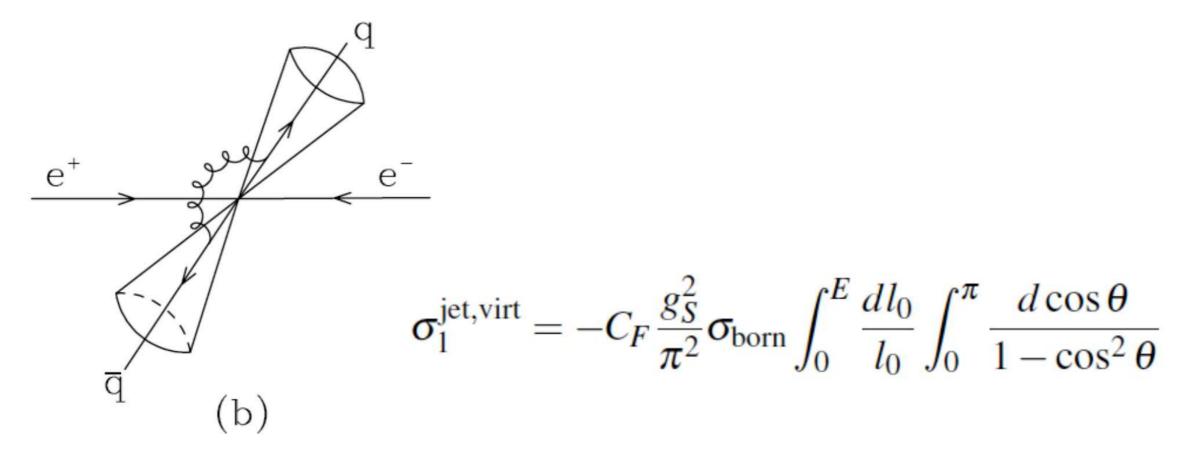
Let's compute the O(as) correction to the Sterman-Weinberg jet cross-section in the soft-collinear approximation

a) We have a Born term σ_B which is completely within the Sterman-Weinberg jet definition: since there are only two quarks they keep all the energy inside the cones



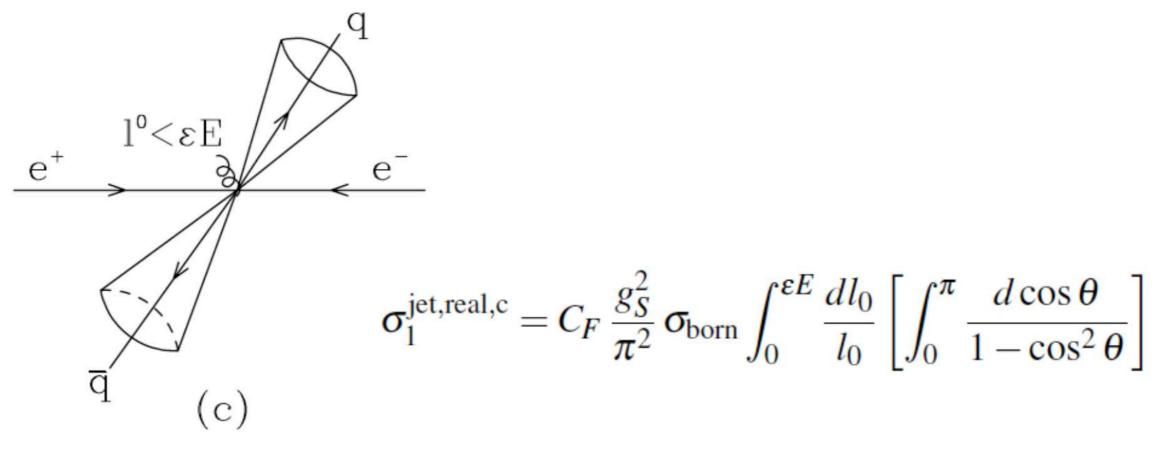
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b) We have a virtual term which is also completely within the Sterman-Weinberg jet definition (only two quarks)



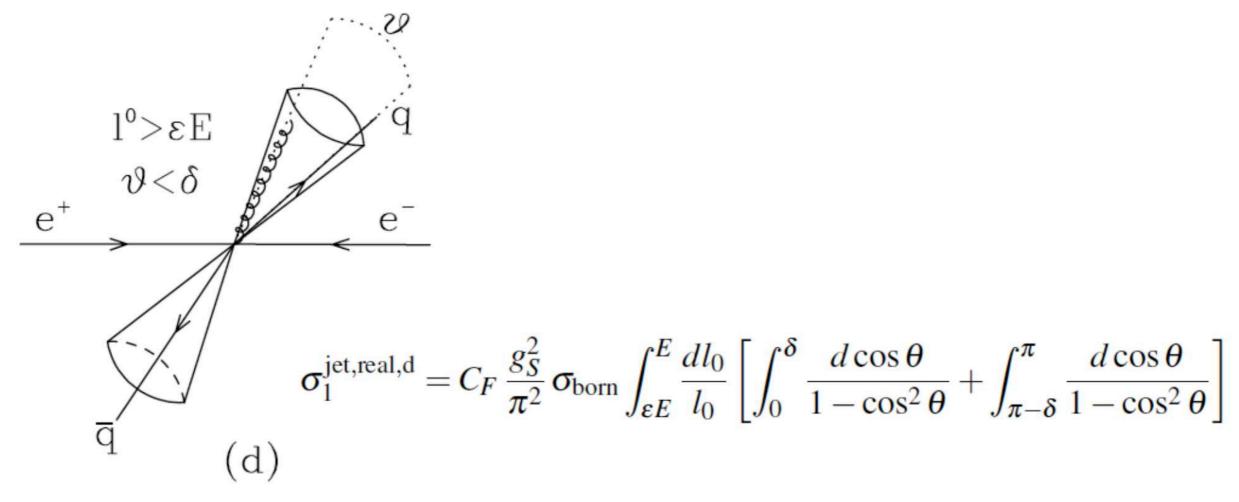
Let's compute the O(as) correction to the Sterman-Weinberg jet crosssection in the soft-collinear approximation

c) We have a real term: the emitted gluon can be emitted also outside the jet provided it carries only little energy, or..

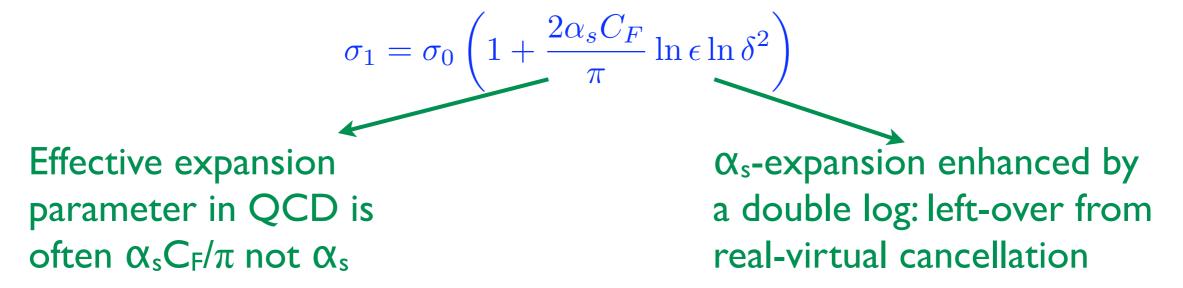


Let's compute the O(as) correction to the Sterman-Weinberg jet crosssection in the soft-collinear approximation

d) .. or it can carry a considerable fraction of energy provided it is emitted inside the cones



Adding all the contributions, the Sterman-Weinberg jet cross-section up to $O(\alpha_s)$ in the soft-collinear approximation is given by

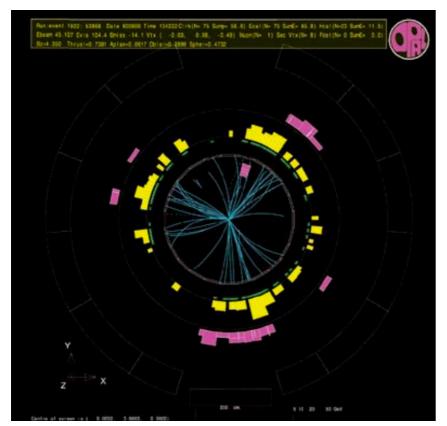


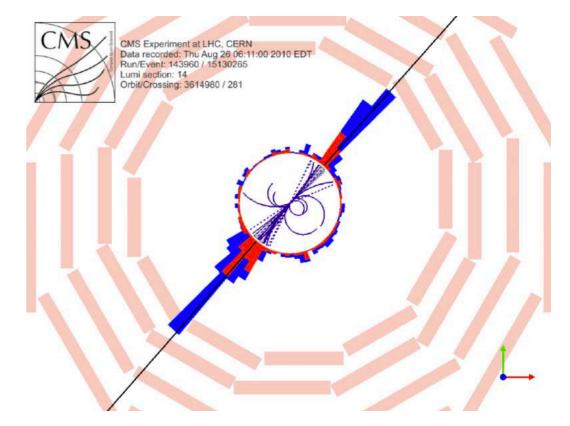
- if more gluons are emitted, one gets for each gluon
 - a power of $\alpha_s C_F/\pi$
 - a soft logarithm $\ln\!\varepsilon$
 - a collinear logarithm $\ln\!\delta$
- if ϵ and/or δ become too small the above result diverges
- if the logs are large, fixed order meaningless, one needs to resum large infrared and collinear logarithms to all orders in the coupling constant

lets

- Jets were discovered in the late 70s in electron-position collision
- They provided the first direct evidence for the gluon (we'll discuss indirect evidence later)
- In the 80s and 90s jets provided many other stringent tests of QCD at LEP
- Today jets are one of the powerful tools to look for New Physics at the LHC

<u>Gluon discovery: 3jet event in e+e-</u><u>High energy di-jet event at CMS</u>





Infrared safety: definition

An observable $\ensuremath{\mathcal{O}}$ is infrared and collinear safe if

 $\mathcal{O}_{n+1}(k_1, k_2, \ldots, k_i, k_j, \ldots, k_n) \to \mathcal{O}_n(k_1, k_2, \ldots, k_i + k_j, \ldots, k_n)$

whenever one of the k_i/k_j becomes soft or k_i and k_j are collinear

i.e. the observable is insensitive to emission of soft particles or to collinear splittings

Infrared safe ?

- energy of the hardest particle in the event
- multiplicity of gluons
- momentum flow into a cone in rapidity and angle
- cross-section for producing one gluon with $E > E_{min}$ and $\theta > \theta_{min}$
- jet cross-sections

NO

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YES

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- jet cross-sections

Infrared safe ?

- energy of the hardest particle in the event NO
 multiplicity of gluons NO
 momentum flow into a cone in rapidity and angle YES
 cross-section for producing one gluon with E > E_{min} and θ > θ_{min} NO
- jet cross-sections

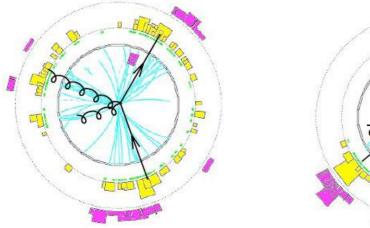
Infrared safe ?

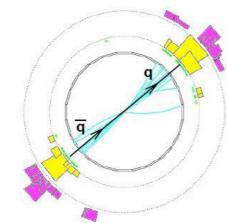
energy of the hardest particle in the event NO
 multiplicity of gluons NO
 momentum flow into a cone in rapidity and angle YES
 cross-section for producing one gluon with E > E_{min} and θ > θ_{min} NO
 jet cross-sections DEPENDS

Other IR safe quantities

Event shapes: describe the shape of the event, but are largely insensitive to soft and collinear branching

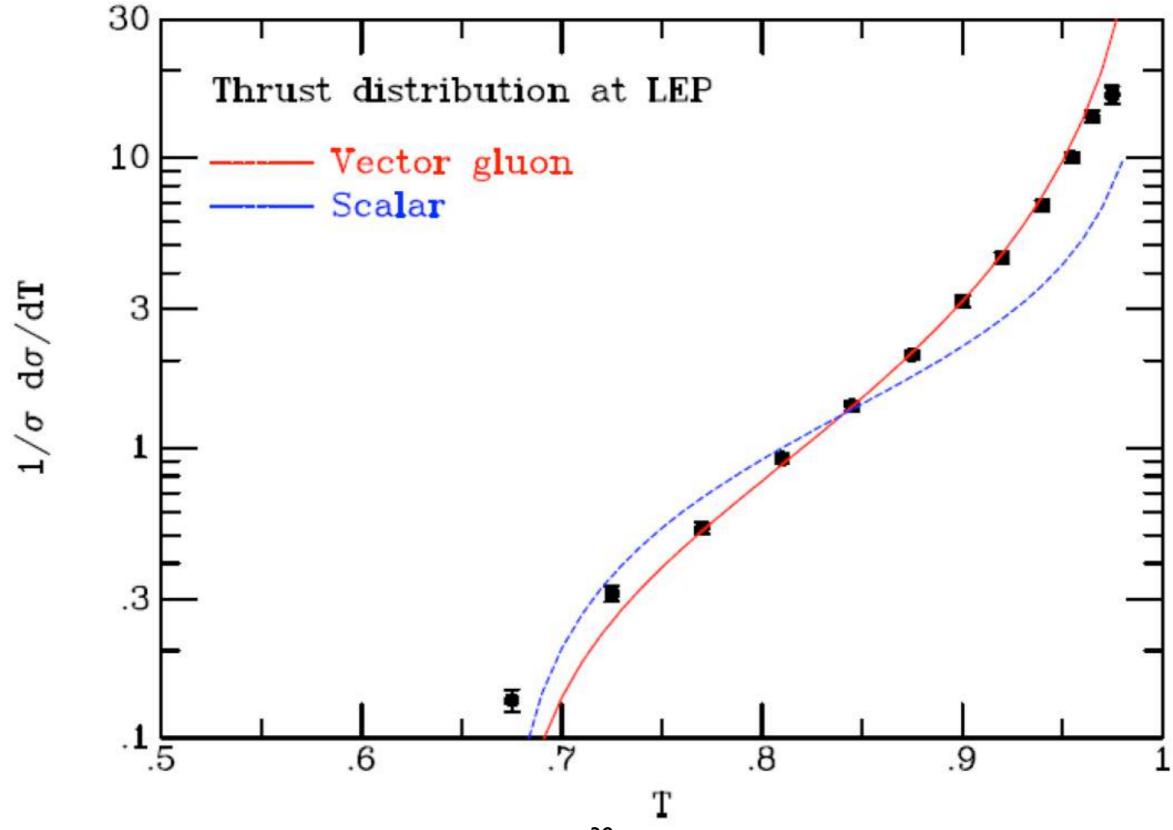
- widely used to measure α_s
- measure color factors
- test QCD
- learn about non-perturbative physics





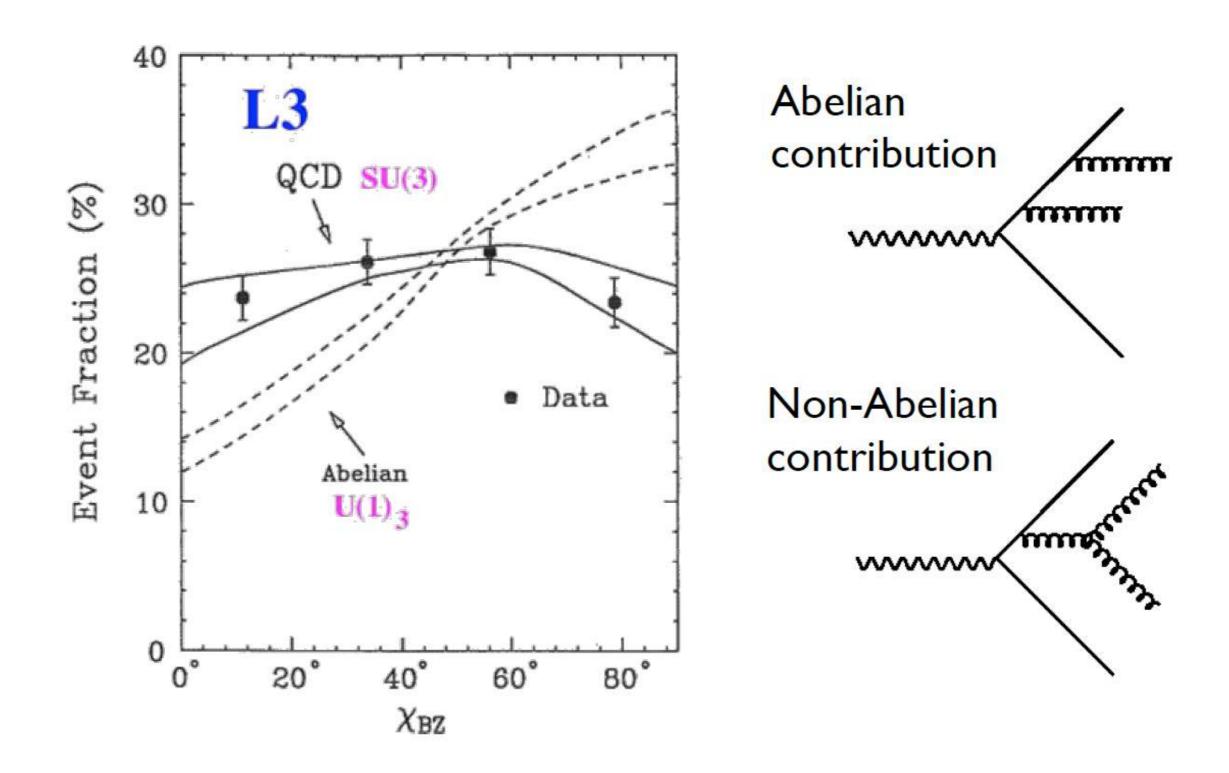
Name of Observable	Definition	Typical Value for:			
		€	Â	*	QCD calculation
Thrust	$T = \max_{\vec{n}} \left(\frac{\sum_{i} \vec{p}_{i}\vec{n} }{\sum_{i} \vec{p}_{i} } \right)$	1	≥2/3	≥1/2	$(resummed) \\ O(\alpha_s^2)$
Thrust major	Like T, however T_{maj} and $\vec{\pi}_{maj}$ in plane $\perp \vec{n}_{T}$	0	≤1/3	≤1/√2	$O(\alpha_s^2)$
Thrust minor	Like T, however T_{min} and \vec{n}_{min} in direction \perp to \vec{n}_{T} and \vec{n}_{maj}	0	0	≤1/2	$O(\alpha_s^2)$
Oblateness	$O = T_{maj} - T_{min}$	0	≤1/3	0	$O(\alpha_s^2)$
Sphericity	$S = 1.5 (Q_1 + Q_2); Q_1 \le \le Q_3 \text{ are}$ Eigenvalues of $S^{\alpha\beta} = \frac{\sum_i p_i^{\alpha} p_i^{\beta}}{\sum_i p_i^2}$	0	≤3/4	≤1	none (not infrared safe)
Aplanarity	A = 1.5 Q ₁	0	0	≤1/2	none (not infrared safe)
Jet (Hemis- phere) masses	$\begin{split} & M_{\pm}^{2} = \left(\sum_{i} E_{i}^{2} - \sum_{i} \vec{p}_{i}^{2} \right)_{i \in S_{\pm}} \\ & (S_{\pm}: \text{Hemispheres } \perp \text{ to } \vec{n}_{T}) \\ & M_{H}^{2} = \max(M_{\pm}^{2}, M_{-}^{2}) \\ & M_{D}^{2} = M_{\pm}^{2} - M_{-}^{2} \end{split}$	0	≤1/3 ≤1/3	≤1/2 0	(resummed) $O(\alpha_s^2)$
Jet broadening	$B_{\pm} = \frac{\sum_{i \in S_{\pm}} \vec{p}_i \times \vec{n}_T }{2 \sum_i \vec{p}_i }; B_T = B_+ + B$ $B_w = \max(B_+, B)$	0			(resummed)
Energy-Energy Correlations	$EEC(\chi) = \sum_{events} \sum_{i,j} \frac{E_i E_j}{E_{vis}^2} \int_{\chi \star \frac{\Delta \chi}{2}}^{\chi \frac{\Delta \chi}{2}} \delta(\chi - \chi_{ij})$				(resummed) $O(\alpha_s^2)$
Asymmetry of EEC	$\operatorname{AEEC}(\chi) = \operatorname{EEC}(\pi\text{-}\chi) - \operatorname{EEC}(\chi)$		π/2 0 π/2	2 0 π/2	$O(\alpha_s^2)$
Differential 2-jet rate	$D_2(y) = \frac{R_2(y - \Delta y) - R_2(y)}{\Delta y}$				(resummed) $O(\alpha_s^2)$

Example: spin of the gluon

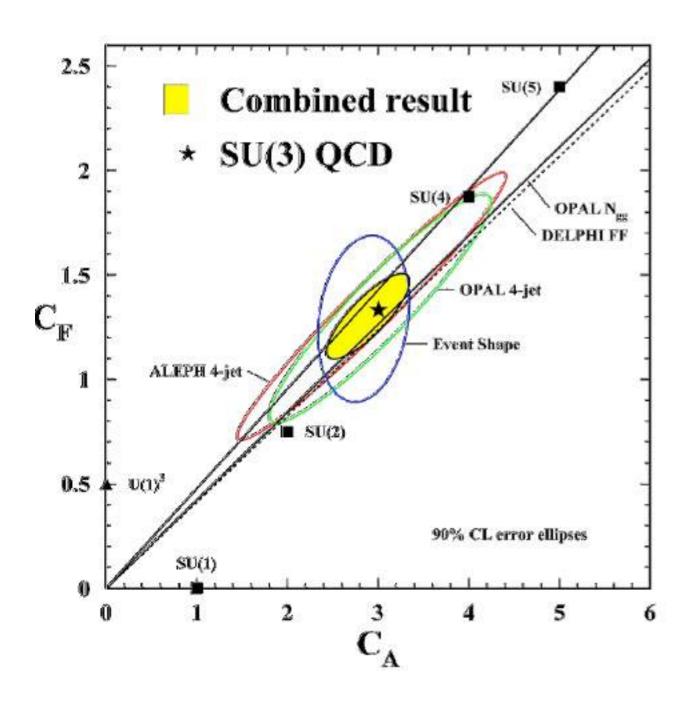


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Example: non-abelian nature of QCD



Example: fits of colour fators



Fits of colour factors from 4-jet rates and event shapes

$$egin{pmatrix} C_A &= 2.89 \pm 0.21 \ C_F &= 1.30 \pm 0.09 \ \end{bmatrix}$$

Well compatible with QCD:

$$C_A = 3$$
$$C_F = \frac{4}{3}$$

Recap

In this lecture we have first discussed the UV behaviour of QCD

- discussed renormalisation of UV divergences
- introduced the running of the coupling constant and the beta-function (in QED and QCD)
- discussed measurements of the coupling constant

We then moved to discuss the infrared behaviour of QCD

- we have seen that soft and collinear divergences arise universally in QCD calculations
- these divergences cancel in e⁺e⁻ observables in inclusive observables (KLN theorem)
- we have performed a first genuine QCD calculation: the cross-section for Sterman Weinberg jets in e⁺e⁻ collisions
- perturbative QCD can be used to compute jet-cross section and other infrared-safe event shape variables
- comparison of theory and calculations provide stringent tests of QCD

Next

Processes with partons in the initial state

