

Effective Field Theories

Lecture 4

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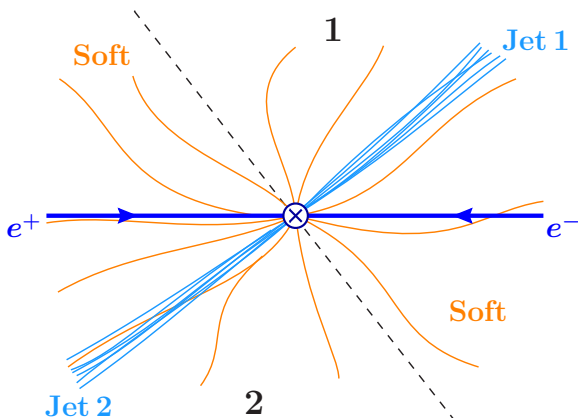
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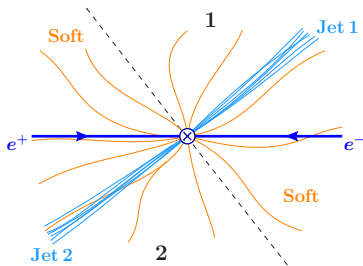
SCET

Soft-Collinear Effective Theory — describes energetic particles.

$$e^+ e^- \rightarrow q \bar{q} \rightarrow \text{jet} + \text{jet}$$

Two-Jet events





$$E_{\text{CM}}^2 = Q^2 \gg M_{\text{jet}}^2 \gg \Lambda_{\text{QCD}}^2$$

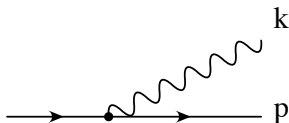
Narrow energetic jets.

Power counting:

$$M_{\text{jet}}^2 = Q^2 \lambda^2 \quad \Lambda_{\text{QCD}}^2 = Q^2 \lambda^4 = \frac{M^4}{Q^2} \quad \lambda = \sqrt{\frac{\Lambda_{\text{QCD}}}{Q}}$$

Theory is called SCET_I.

Infrared Singularities in Radiation



The intermediate propagator is

$$\frac{1}{(p+k)^2 - m^2} = \frac{1}{2p \cdot k + k^2} = \frac{1}{2E_p \omega_k - 2|\mathbf{p}| |\mathbf{k}| \cos \theta}$$

For massless particles, $E_p = |\mathbf{p}|$ and $\omega_k = |\mathbf{k}|$

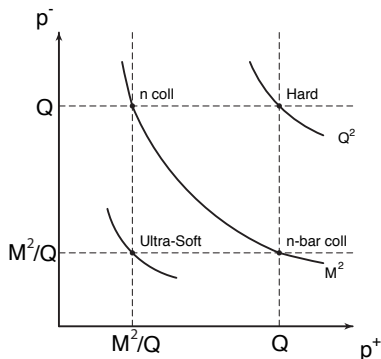
$$2E\omega (1 - \cos \theta)$$

singularities as $\omega \rightarrow 0$ (soft) and $\theta \rightarrow 0$ (collinear).

SCET degrees of freedom (modes)

$$p^+ = E - p_z, \quad p^- = E + p_z$$

- Light Cone Coordinates:
- Hard Modes: $p^2 \sim Q^2$
integrated out
- Collinear modes: $p^2 \sim M^2$
- Ultra-Soft modes: $p^2 \sim M^4/Q^2$



Sudakov Double Logs

In exclusive processes, there are two powers of

$$L = \ln Q^2/M^2$$

at each order in perturbation theory.

These are the Sudakov double-logarithms. Lead to a large radiative corrections and a rapid breakdown of fixed order perturbation theory.

General perturbative structure of $F_E(Q)$

$$L = \log Q^2/M^2$$

$$\begin{aligned} F_E(Q) = & \quad 1 && \text{LO} \\ & + \alpha_s^1 \left(L^2 + L^1 + L^0 \right) && \text{NLO} \\ & + \alpha_s^2 \left(L^4 + L^3 + L^2 + L^1 + L^0 \right) && \text{N}^2\text{LO} \\ & + \alpha_s^3 \left(L^6 + L^5 + L^4 + L^3 + L^2 + L^1 + L^0 \right) && \text{N}^3\text{LO} \end{aligned}$$

The α_s^n term has powers of L up to L^{2n} . $2n + 1$ terms at order n

- The $\alpha_s L^2, \alpha_s^2 L^4, \alpha_s^3 L^6$ series is called LL_{FO} .
- The $\alpha_s L, \alpha_s^2 L^3, \alpha_s^3 L^5$ series is called NLL_{FO} .

Structure of series

The series for $\log F_E(Q^2)$ takes a simpler form

$$\begin{aligned}\log F_E = & \alpha_s \left(L^2 + L + L^0 \right) \\ & + \alpha_s^2 \left(L^3 + L^2 + L + L^0 \right) \\ & + \alpha_s^3 \left(L^4 + \dots + L^0 \right) + \dots\end{aligned}$$

with the α_s^n term having power of L upto L^{n+1} . $n + 2$ terms at order n .

RGE counting: $L f_0$ is LL, f_1 is NLL, etc.

$$\log F_E = L f_0(\alpha_s L) + f_1(\alpha_s L) + \alpha_s f_2(\alpha_s L) + \dots$$

Resummation

$$A = \begin{pmatrix} 1 \\ \alpha_s L^2 & \alpha_s L & \alpha_s \\ \alpha_s^2 L^4 & \alpha_s^2 L^3 & \alpha_s^2 L^2 & \alpha_s^2 L & \alpha_s^2 \\ \alpha_s^3 L^6 & & \dots & & \\ \vdots & & & & \end{pmatrix}$$

In the leading-log regime $L \sim 1/\alpha_s$, the various terms are of order

$$A = \begin{pmatrix} 1 \\ \frac{1}{\alpha_s} & 1 & \alpha_s \\ \frac{1}{\alpha_s^2} & \frac{1}{\alpha_s} & 1 & \alpha_s & \alpha_s^2 \\ \frac{1}{\alpha_s^3} & & \dots & & \\ \vdots & & & & \end{pmatrix}.$$

Resummation: Exponentiated Form

Exponentiated form:

$$\log A = \begin{pmatrix} \alpha_s L^2 & \alpha_s L & \alpha_s & & & \\ \alpha_s^2 L^3 & \alpha_s^2 L^2 & \alpha_s^2 L & \alpha_s^2 & & \\ \alpha_s^3 L^4 & \alpha_s^3 L^3 & \alpha_s^3 L^2 & \alpha_s^3 L & \alpha_s^3 & \\ \alpha_s^4 L^5 & & & \dots & & \\ \vdots & & & & & \end{pmatrix}$$

In the leading-log regime:

$$\log A = \begin{pmatrix} \frac{1}{\alpha_s} & 1 & \alpha_s & & & \\ \frac{1}{\alpha_s} & 1 & \alpha_s & \alpha_s^2 & & \\ \frac{1}{\alpha_s} & 1 & \alpha_s & \alpha_s^2 & \alpha_s^3 & \\ \frac{1}{\alpha_s} & & & \dots & & \\ \vdots & & & & & \end{pmatrix}.$$

Resummation: Exponentiated Form

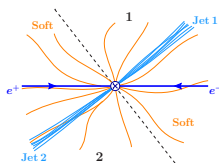
$$\begin{aligned}\log A &= \frac{1}{\alpha_s} f_0 + f_1 + \alpha_s f_2 + \dots \\ &= \frac{1}{\alpha_s} \left[f_0 + \alpha_s f_1 + \alpha_s^2 f_2 + \dots \right]\end{aligned}$$

so that f_1 and f_2 are corrections to $\log A$. However,

$$\begin{aligned}A &= \exp \left[\frac{1}{\alpha_s} f_0 + f_1 + \alpha_s f_2 + \dots \right] \\ &= e^{\frac{1}{\alpha_s} f_0} \times e^{f_1} \times e^{\alpha_s f_2} \times \dots\end{aligned}$$

Must include the LL and NLL series.

Notation



Introduce null vectors

$$n^\mu = (1, \mathbf{n})$$

$$\bar{n}^\mu = (1, -\mathbf{n})$$

$$\mathbf{n} \cdot \mathbf{n} = 1$$

which satisfy

$$n^2 = 0,$$

$$\bar{n}^2 = 0,$$

$$\bar{n} \cdot n = 2.$$

If there are many directions, use

$$n_i^\mu = (1, \mathbf{n}_i)$$

$$\bar{n}_i^\mu = (1, -\mathbf{n}_i)$$

In the back-to-back case, $\bar{n}_1 = n_2$, $\bar{n}_2 = n_1$.

Light-Cone Coordinates

$$p^+ = n \cdot p \quad p^- = \bar{n} \cdot p, \quad n \cdot p_\perp = \bar{n} \cdot p_\perp = 0$$

\perp only has two components.

$$\begin{aligned} p^\mu &= \frac{1}{2} (\bar{n} \cdot p) n^\mu + \frac{1}{2} (n \cdot p) \bar{n}^\mu + p_\perp^\mu \\ &= \frac{1}{2} p^- n^\mu + \frac{1}{2} p^+ \bar{n}^\mu + p_\perp^\mu \end{aligned}$$

$$a \cdot b = \frac{1}{2} a^+ b^- + \frac{1}{2} a^- b^+ + a_\perp \cdot b_\perp$$

If $\mathbf{n} = \hat{\mathbf{z}}$

$$p^+ = E - p_z$$

$$p^- = E + p_z$$

$$p^2 = p^+ p^- + p_\perp^2 = m^2$$

For an energetic particle $E \gg m$ moving in the $+z$ direction,

$$p^+ = E - p_z \approx \frac{m^2}{2E} \qquad p^- = E + p_z \approx 2E$$

Pick \mathbf{n} to be near the direction of the particle:

SCET power counting $\lambda \ll 1$

$$n\text{-collinear} : \quad (p^+ \sim \lambda^2 Q, p^- \sim 1Q, p_\perp \sim \lambda Q)$$

$$\bar{n}\text{-collinear} : \quad (p^+ \sim 1Q, p^- \sim \lambda^2 Q, p_\perp \sim \lambda Q)$$

n and \bar{n} collinear quarks and gluons.

Can also have ultrasoft gluons:

$$(p^+ \sim \lambda^2 Q, p^- \sim \lambda^2 Q, p_\perp \sim \lambda^2 Q)$$

$$n - \text{collinear} : \quad p_n = (p^+ \sim \lambda^2 Q, p^- \sim 1 Q, p_\perp \sim \lambda Q)$$

$$\bar{n} - \text{collinear} : \quad p_{\bar{n}} = (p^+ \sim 1 Q, p^- \sim \lambda^2 Q, p_\perp \sim \lambda Q)$$

$$\text{usoft} : \quad p_{us} = (p^+ \sim \lambda^2 Q, p^- \sim \lambda^2 Q, p_\perp \sim \lambda^2 Q)$$

$$p_n^2 \sim p_{\bar{n}}^2 \sim Q^2 \lambda^2$$

$$p_{us}^2 \sim Q^2 \lambda^4$$

$$p_n + p_n \rightarrow p_n$$

$$p_{\bar{n}} + p_{\bar{n}} \rightarrow p_{\bar{n}}$$

$$p_{us} + p_{us} \rightarrow p_{us}$$

$$p_n + p_{us} \rightarrow p_n$$

$$p_{\bar{n}} + p_{us} \rightarrow p_{\bar{n}}$$

$$p_n + p_{\bar{n}} \rightarrow (Q, Q, \lambda Q)$$

$$(p_n + p_{\bar{n}})^2 \sim Q^2 \quad \text{hard}$$

γ -matrix convention

$$\gamma = \begin{pmatrix} 0 & \sigma \\ -\sigma & 0 \end{pmatrix}, \quad \gamma^0 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

Then

$$\gamma_5 = i\gamma^0\gamma^1\gamma^2\gamma^3 = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix}$$

$$\sigma^{0i} = i\gamma^0\gamma^i = \begin{pmatrix} -i\sigma^i & 0 \\ 0 & i\sigma^i \end{pmatrix}$$

$$\sigma^{ij} = i\gamma^i\gamma^j = \begin{pmatrix} \sigma^k & 0 \\ 0 & \sigma^k \end{pmatrix}$$

$$n = (1, 0, 0, 1), \bar{n} = (1, 0, 0, -1)$$

$$\not{n} = \begin{pmatrix} 0 & 1 - \sigma^3 \\ 1 + \sigma^3 & 0 \end{pmatrix} \quad \not{\bar{n}} = \begin{pmatrix} 0 & 1 + \sigma^3 \\ 1 - \sigma^3 & 0 \end{pmatrix}$$

$$P_n = \frac{\not{n}\not{\bar{n}}}{4} = \begin{pmatrix} \frac{1 - \sigma^3}{2} & 0 \\ 0 & \frac{1 + \sigma^3}{2} \end{pmatrix} = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

$$P_{\bar{n}} = \frac{\not{\bar{n}}\not{n}}{4} = \begin{pmatrix} \frac{1 + \sigma^3}{2} & 0 \\ 0 & \frac{1 - \sigma^3}{2} \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

$$P_n = \frac{\hbar\vec{n}}{4}$$

$$P_{\bar{n}} = \frac{\vec{n}\hbar}{4}$$

P_n and $P_{\bar{n}}$ are two orthogonal projection operators.

$$1 = P_n + P_{\bar{n}} \quad P_n^2 = P_n \quad P_{\bar{n}}^2 = P_{\bar{n}} \quad 0 = P_n P_{\bar{n}} = P_{\bar{n}} P_n$$

Also

$$\psi = P_n \psi \quad \bar{\psi} = \bar{\psi} P_{\bar{n}}$$

The Dirac equation is

$$\begin{pmatrix} -m & E - \mathbf{p} \cdot \boldsymbol{\sigma} \\ E + \mathbf{p} \cdot \boldsymbol{\sigma} & -m \end{pmatrix} \begin{pmatrix} \phi \\ \chi \end{pmatrix} = 0$$

Helicity $h = \pm 1$:

$$\mathbf{p} \cdot \boldsymbol{\sigma} \psi = h |\mathbf{p}| \psi$$

For $E > 0$, $\mathbf{p} = p \hat{\mathbf{z}}$, $h = 1$:

$$\sqrt{2E} \begin{pmatrix} 0 \\ 0 \\ 1 \\ 0 \end{pmatrix} e^{-iE(t-z)}$$

$E > 0$, $\mathbf{p} = p \hat{\mathbf{z}}$, $h = -1$:

$$\sqrt{2E} \begin{pmatrix} 0 \\ 1 \\ 0 \\ 0 \end{pmatrix} e^{-iE(t-z)}$$

For antiparticles, $E < 0$.

For an antiparticle moving in the $+z$ direction, $\mathbf{p} = -p\hat{\mathbf{z}}$

For an antiparticle with helicity h , $\mathbf{p} \cdot \boldsymbol{\sigma} \psi = h|\mathbf{p}|\psi$

For $E < 0$, $\mathbf{p} = -p\hat{\mathbf{z}}$, $h = 1$:

$$\sqrt{2E} \begin{pmatrix} 0 \\ 1 \\ 0 \\ 0 \end{pmatrix} e^{iE(t-z)}$$

$E < 0$, $\mathbf{p} = -p\hat{\mathbf{z}}$, $h = -1$:

$$\sqrt{2E} \begin{pmatrix} 0 \\ 0 \\ 1 \\ 0 \end{pmatrix} e^{iE(t-z)}$$

particle with $h = +1$ and antiparticle with $h = -1$ ($\gamma_5 = 1$):

$$\sqrt{2E} \begin{pmatrix} 0 \\ 0 \\ 1 \\ 0 \end{pmatrix} e^{\mp iE(t-z)}$$

particle with $h = -1$ and antiparticle with $h = 1$ ($\gamma_5 = -1$):

$$\sqrt{2E} \begin{pmatrix} 0 \\ 1 \\ 0 \\ 0 \end{pmatrix} e^{\mp iE(t-z)}$$

Which one decided by sign of E in $e^{\mp iEt}$.

$$1 = \frac{\not{n}\vec{n}}{4} + \frac{\vec{n}\not{n}}{4}$$

$$\psi = \xi_n + \Xi_n$$

Ξ_n is the small component, and can be integrated out.

$$\xi_n = \frac{\not{n}\vec{n}}{4} \xi_n = P_n \xi_n$$

is the SCET spinor.

$$\not{n} \xi_n = \not{n} P_n \xi_n = \not{n} \frac{\not{n}\vec{n}}{4} \xi_n = 0$$

In HQET,

$$P^\mu = m_Q v^\mu + k^\mu$$

In SCET,

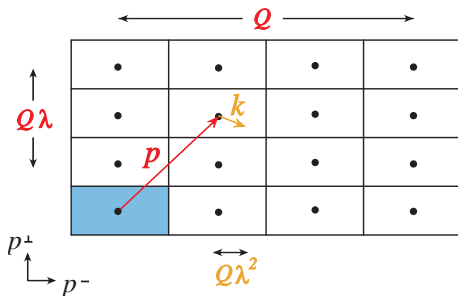
$$P^\mu = (0, p^-, p_\perp) + k^\mu$$

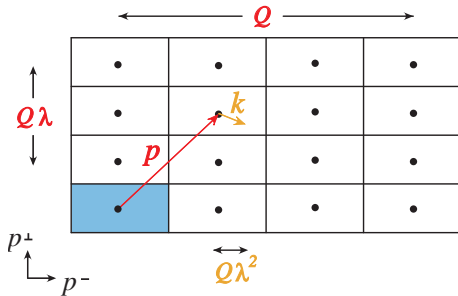
$$p^- \sim 1$$

$$p_\perp \sim \lambda$$

$$k^\mu \sim \lambda^2$$

p is called the **label momentum**. Note that $p \neq 0$ (**zero-bin**)





$$\int dP \rightarrow \sum_p \int dk$$

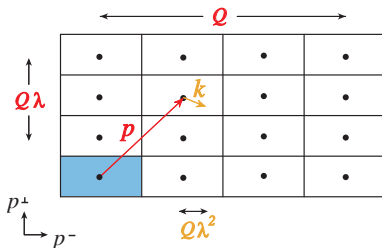
In dimensional regularization, can integrate over $k \in (-\infty, \infty)$

At a vertex:

$$\delta(P_1 - P_2) \rightarrow \delta_{p_1, p_2} \delta(k_1 - k_2)$$

$$\psi(x) = \int \frac{d^4 P}{(2\pi)^4} \delta(P^2) \theta(P^0) \left[u(p, h) a(p, h) e^{-iP \cdot x} + v(p, h) b^\dagger(p, h) e^{iP \cdot x} \right]$$

$$= \psi^{(+)}(x) + \psi^{(-)}(x)$$



$$\psi^{(+)}(x) = \sum_{p \neq 0} e^{-ip \cdot x} \xi_{n,p}^{(+)}(x)$$

$$\psi^{(-)}(x) = \sum_{p \neq 0} e^{ip \cdot x} \xi_{n,p}^{(-)}(x)$$

$$\psi^{(+)}(x) = \sum_p e^{-ip \cdot x} \xi_{n,p}^{(+)}(x)$$

$$\psi^{(-)}(x) = \sum_p e^{ip \cdot x} \xi_{n,p}^{(-)}(x)$$

where the **labels** on the fields are $n, p = (0, p^-, p_\perp)$

$$\bar{n} \cdot p = p^- = E + p \approx 2E > 0.$$

$\xi_{n,p}^{(\pm)}(x)$ is the field for n -collinear particles: P^μ close to p^μ (within λ^2).

Use spinors that satisfy

$$\not{n} \xi_{n,p}^{(\pm)} = 0$$

The small corrections to the spinors are expanded out.

Define (note the minus sign)

$$\xi_{n,p}(x) = \xi_{n,p}^{(+)}(x) + \xi_{n,-p}^{(-)}(x)$$

So that

$\bar{n} \cdot p > 0$: destroy particles

$\bar{n} \cdot p < 0$: create antiparticles

Define **label momentum operator** \mathcal{P} ,

$$\mathcal{P}^\mu \xi_{n,p}(x) = p^\mu \xi_{n,p}$$

so that the total momentum is $\mathcal{P} + i\partial$.

$$\xi_n(x) = e^{-ip \cdot x} \xi_{n,p}(x)$$

and

$$i\partial^\mu \xi_n(x) = e^{-ip \cdot x} (\mathcal{P} + i\partial)^\mu \xi_{n,p}(x)$$

The $e^{-ip \cdot x}$ factors at an interaction vertex cancel by label momentum conservation.

Can apply the same procedure to gluons,

$$A_{n,q}^\mu(x), \quad [A_{n,q}^\mu(x)]^* = A_{n,-q}^\mu(x),$$

$\bar{n} \cdot q > 0$ destroy gluons, and $\bar{n} \cdot q < 0$ create gluons.

QCD propagator:

$$\frac{\not{p}}{p^2} = \frac{\frac{1}{2}\not{n} \bar{n} \cdot p + \frac{1}{2}\not{\bar{n}} n \cdot p + \not{p}_\perp}{p^+ p^- + (p_\perp)^2}$$

$$n - \text{collinear} : (p^+ \sim \lambda^2 Q, p^- \sim 1 Q, p_\perp \sim \lambda Q)$$

SCET propagator:

$$\begin{aligned} \frac{\not{p}}{p^2} &\rightarrow \frac{\frac{1}{2}\not{n} \bar{n} \cdot p}{p^+ p^- + (p_\perp)^2} \\ &\rightarrow \frac{\frac{1}{2}\not{n} \bar{n} \cdot p}{(p^+ + k^+) p^- + (p_\perp)^2} \end{aligned}$$

using $p \rightarrow p + k, k \sim \lambda^2 Q^2$.

$$\mathcal{L} = \bar{\psi} (i\mathcal{D}) \psi$$

$$\psi = e^{-ip \cdot x} [\xi_{n,p}(x) + \Xi_{n,p}(x)]$$

p is the label momentum, k is the Fourier transform of x .

$$\mathcal{L} = [\bar{\xi}_{n,p}(x) P_{\bar{n}} + \bar{\Xi}_{n,p}(x) P_n] (\not{p} + i\mathcal{D}) [P_n \xi_{n,p}(x) + P_{\bar{n}} \Xi_{n,p}(x)]$$

$$P_{\bar{n}} \not{p} P_n = \frac{\hbar\hbar}{4} \left[\frac{1}{2} \not{\hbar}\bar{n} \cdot p + \frac{1}{2} \not{\hbar}n \cdot p + \not{p}_{\perp} \right] \frac{\hbar\hbar}{4}$$

$$\not{\hbar}\not{\hbar} = 0$$

$$\not{\hbar}\not{p}_{\perp} \not{\hbar} = 0$$

$$\not{\hbar}\not{\hbar}\not{\hbar} = 2(n \cdot \bar{n})\not{\hbar} = 4\not{\hbar}$$

$$P_{\bar{n}} \not{p} P_n = \frac{\hbar}{4} [4\not{n}] \frac{\hbar}{4} \left(\frac{1}{2} n \cdot p \right) = \hbar P_n \left(\frac{1}{2} n \cdot p \right)$$

$$P_n \not{p} P_{\bar{n}} = \not{n} P_{\bar{n}} \left(\frac{1}{2} \bar{n} \cdot p \right)$$

$$\begin{aligned} P_n \not{p} P_n &= \frac{\hbar\hbar}{4} \left[\frac{1}{2} \not{n}\bar{n} \cdot p + \frac{1}{2} \not{n}n \cdot p + \not{p}_{\perp} \right] \frac{\hbar\hbar}{4} \\ &= \frac{\hbar\hbar}{4} [\not{p}_{\perp}] \frac{\hbar\hbar}{4} = \not{p}_{\perp} P_n \end{aligned}$$

$$\mathcal{L} = \bar{\xi}_{n,p}(x) \frac{\hbar}{2} (in \cdot D) \xi_{n,p}(x) + \bar{\Xi}_{n,p}(x) \frac{\hbar}{2} (\bar{n} \cdot p + i\bar{n} \cdot D) \Xi_{n,p}(x) \\ + \bar{\Xi}_{n,p}(x) (\not{p}_{\perp} + i\not{D}_{\perp}) \xi_{n,p}(x) + \bar{\xi}_{n,p}(x) (\not{p}_{\perp} + i\not{D}_{\perp}) \Xi_{n,p}(x)$$

The Ξ field has a kinetic term of order Q , and can be integrated out:

$$\mathcal{L} = \bar{\xi}_{n,p}(x) \left[(in \cdot D) + (\not{p}_{\perp} + i\not{D}_{\perp}) \frac{1}{\bar{n} \cdot p + i\bar{n} \cdot D} (\not{p}_{\perp} + i\not{D}_{\perp}) \right] \frac{\hbar}{2} \xi_{n,p}(x)$$

A similar term for \bar{n} -collinear quarks with $n \leftrightarrow \bar{n}$

The gluon field A_μ is split into three pieces

$$A^\mu \rightarrow A_{us}^\mu + A_n^\mu + A_{\bar{n}}^\mu$$

The scaling is

$$A_n^\mu : (\lambda^2, 1, \lambda) Q$$

$$A_{\bar{n}}^\mu : (1, \lambda^2, \lambda) Q$$

$$A_n^\mu : (\lambda^2, \lambda^2, \lambda^2) Q$$

$$A_n^\mu(x) = e^{-iq \cdot x} A_{n,q}(x)$$

\bar{n} -collinear gluons do not couple to n -collinear quarks, since the resultant quark is off-shell by Q^2 .

If we use the label operator \mathcal{P} , and define

$$iD_C^\mu = \mathcal{P}^\mu - gT^a A_C^\mu$$

$$\mathcal{L} = \bar{\xi}_{n,p}(x) \left\{ [in \cdot (D_{us} + D_n)] + (i\not{D}_{n,\perp}) \frac{1}{i\bar{n} \cdot D_n} (i\not{D}_{\perp,n}) \right\} \frac{\not{n}}{2} \xi_{n,p}(x)$$

Drop the D_{us} in the \perp terms and denominator.

Infinite number of collinear gluon interactions from the $1/(i\bar{n} \cdot D_n)$ interaction.