

# PROBLEMS WITH HOT FRW COSMOLOGY

(Take a look at the original paper: A. Guth PRD 23,2 (1981) 347)

Problems: fine tuning of initial conditions, not experimental inconsistencies

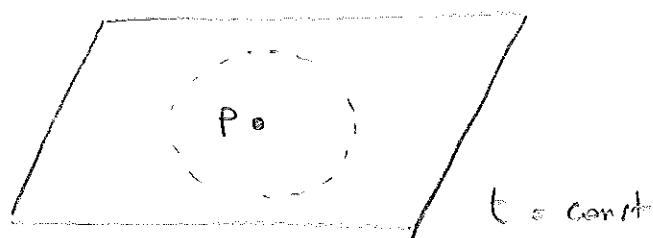
Do we live to care?

Horizon problem: homogeneity vs particle horizon

$$ds^2 = -dt^2 + a^2(t)(dx^2 + dy^2 + dz^2) \quad \text{Flat FRW}$$

$$\text{Matter dominance: } a(t) \propto t^{2/3} \quad \text{MD}$$

$$\text{Radiation dominance: } a(t) \propto t^{1/2} \quad \text{RD}$$



Which comoving observers could communicate with P?

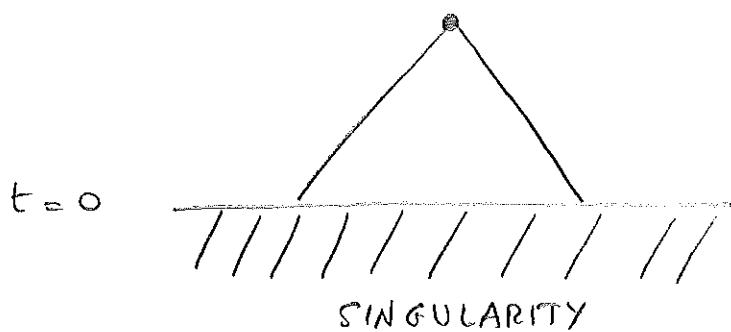
$$\text{Conformal time: } \eta = \int \frac{dt}{a(t)} \quad a(t) dy = a(t)$$

$$ds^2 = a^2(\eta) [-d\eta^2 + dx^2 + dy^2 + dz^2]$$

Conformally flat metric

light rays,  $ds^2 = 0$ , do not care about  $a(\eta)$

Bake in RD and MD  
the integral converges  
in the past



( I used flat FRW, but anyway curvature becomes irrelevant in  
the past )

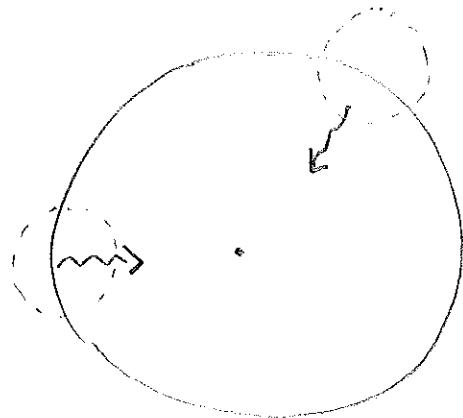
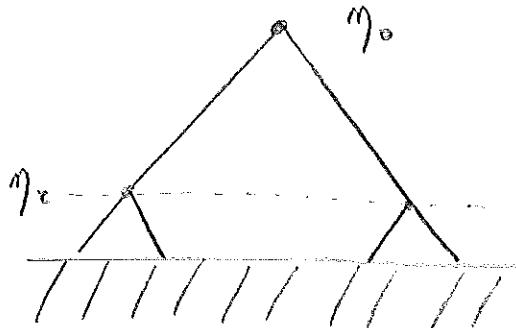
Let us calculate it :

$$\text{MD} \quad a = a_0 t^{2/3} \quad H = \frac{\dot{a}}{a} = \frac{2}{3} t^{-1} \quad \text{Hubble rate}$$

$$d_{\text{HOR}} = a \int_0^t \frac{dt}{a_0 t^{2/3}} = a \frac{3t^{1/3}}{a_0} = 3t = 2H^{-1}$$

( Obviously if the integral converges I always get  $\propto H^{-1}$   
e.g. in RD I get  $d_{\text{HOR}} = H^{-1}$  )

Let us look at recombination. The Universe becomes neutral  
and photons free stream : a real causality diagram



$\left( \text{CMB is very clean as photons do not interact anymore,} \right)$   
 $\left( \text{otherwise I always see things which are } \sim \text{ causal contact} \right)$

Why is CMB so homogeneous? It comes from points  
 that were never in causal contact!

How many uncorrelated spots?

$$\left( \begin{array}{ll}
 \text{MD} & a \propto t^{2/3} \\
 \text{RD} & a \propto t^{1/2}
 \end{array} \quad \eta = \int_{\frac{a_0}{a}}^{\infty} dt \propto t^{1/3} \Rightarrow a \propto \eta^3
 \right)$$

$$\frac{\eta_e}{\eta_0} = \sqrt{\frac{a_e}{a_0}} \sim \sqrt{\frac{1}{1 + z_{dec}}} \sim \sqrt{\frac{1}{400}} \quad \text{few degrees}$$

( which is obviously the CMB scale )

Even worse if we go further back:  $T \sim 10^{17} \text{ GeV}$   
 initial conditions below  
 Planck era

Very roughly using RD

$$\frac{\eta_{\text{Planck}}}{\eta_0} \sim \frac{a_{\text{Planck}}}{a_0} \sim \frac{10^{-4} \text{ eV}}{10^{17} \text{ GeV}} \sim 10^{-30}$$

( rough, I skewed use entropy conservation + MD phase )

Our present Universe is composed by  $\sim 10^{80}$  boxes which are disconnected at the Planck era!

I love to choose carefully these  $10^{80}$  initial conditions to give rise to our Universe, which is so homogeneous

### Planck abracadabra

As we do not know anything about quantum gravity, maybe everything is saved there (velocity breaks down...)

→ But at the Planck era the separation between the horizon and present Universe is maximal...

→ Inflation will be a way to solve the problems with physics which is under control

Nowadays the focus is more on the perturbations and predictions of inflation. I cannot "prove" inflation using FRW problems

# Particle horizon vs Hubble radius (or "horizon" unfortunately)

$$d_{HOR} = a \int_0^t \frac{dt}{\dot{a}(\tilde{t})}$$

we would like to make this distance larger and larger

$$d_{HOR} = a \int_0^a \frac{d\tilde{a}}{\tilde{a} \dot{a}(\tilde{a})}$$

The convergence of the integral in the past depends on  
 $\ddot{a} \gtrless 0$

In particular for  $\ddot{a} > 0$  the integral diverges!

HD, RD confuse two physically / scales

- $H^{-1}$  Timescale of evolution. Whether it can neglect or not the expansion in a given process  
 Quantity defined at a given time

$k/a \lesssim H$  a wavelength inside / outside  $H$   
 behaves very differently

- $d_{HOR}$  Distance travelled by a photon since the beginning  
 Global quantity

$$d_{HOR} \approx H^{-1} \text{ in HD, RD (or any decelerated phase)}$$

Inflation completely separates the two concepts

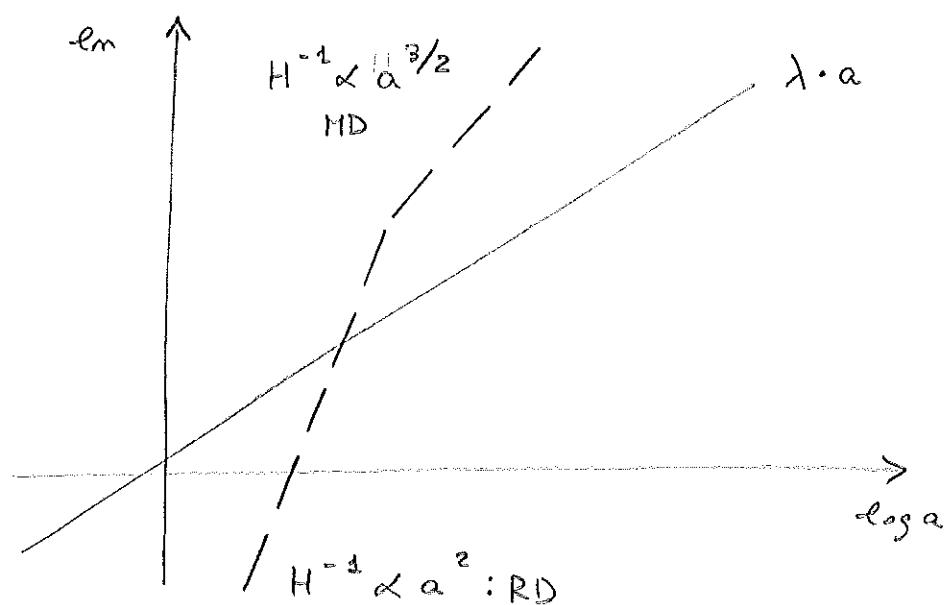
**INFLATION** =  $\ddot{a} > 0$

With this definition we are inflating, of course I am talking about an early phase

## Inside and outside Hubble (or "horizon")

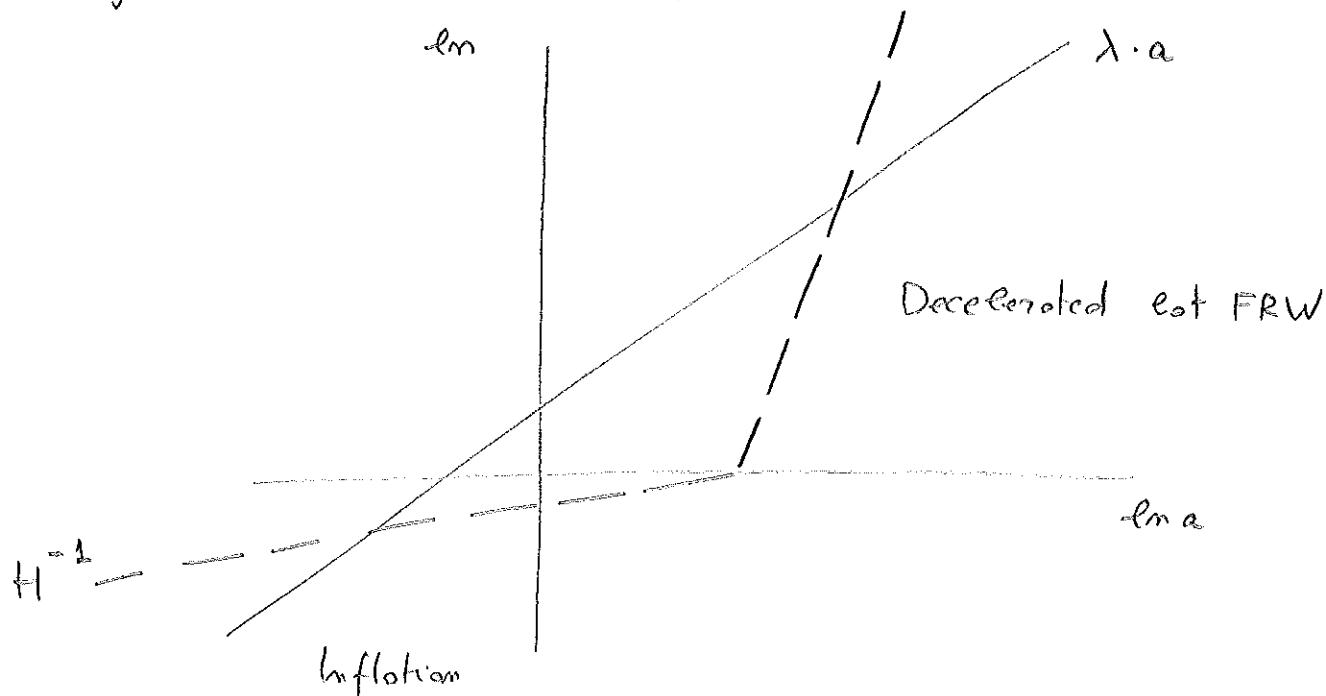
$\frac{k}{aH}$	$\gg$ inside H	$\sim$ Minkowski
	$\ll$ outside H	$\sim$ dominated by ke expansion

$\frac{k}{a \frac{\dot{a}}{a}}$	$\ddot{a} < 0$	Modes come in	$\ddot{a}$
	$\ddot{a} > 0$	Modes go out	opain

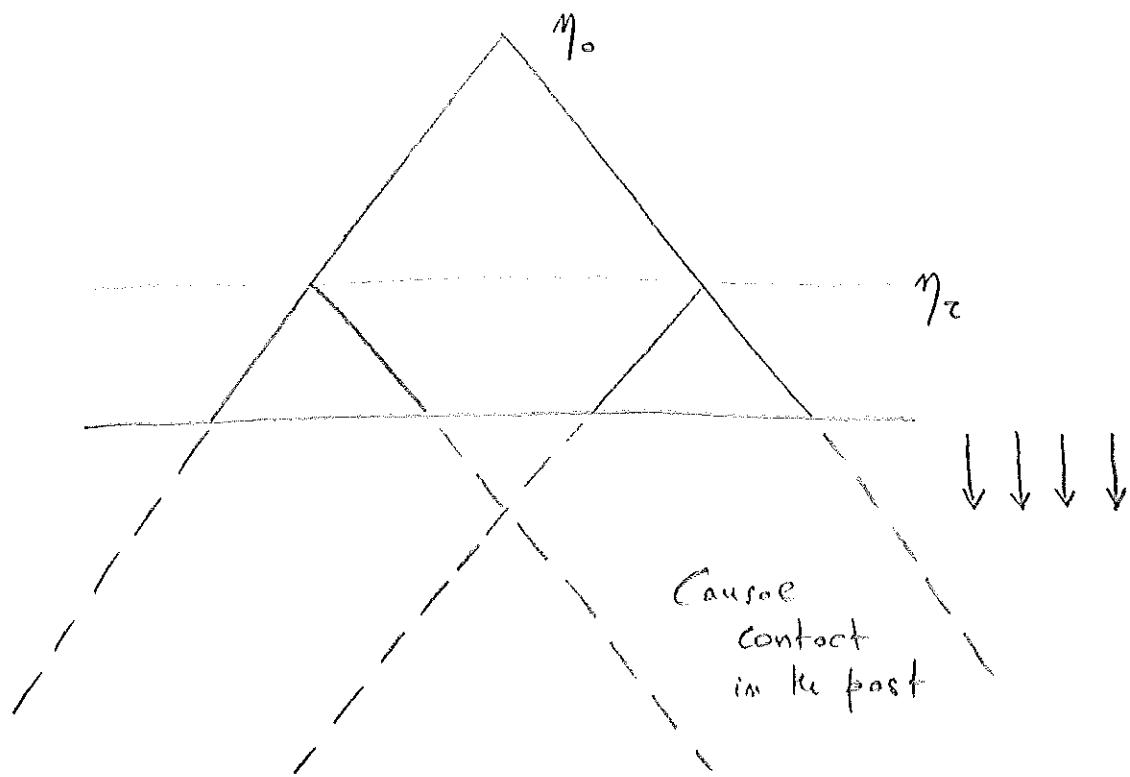


We see again ke horizon problem in Fourier space : ke initial condition for each mode must be set way out of  $H^{-1}$ !

Why we do not see crazy things entering all the time?



In the CMB diagram in conformal time:



### Curvature problem

$$\text{Friedmann equation: } H^2 + \frac{k}{a^2} = \frac{8\pi G}{3} \rho$$

Spacetime curvature enters in the Einstein equation like a source red-shifting as  $a^{-2}$ . More and more important with matter/radiation (before dark energy, it was the curvature that decided the fate of the Universe)

As the curvature is irrelevant nowadays, it must have been very irrelevant in the past

$$f_{CR} = \frac{3H^2}{8\pi G} \quad \Omega(t) = \frac{\rho}{\rho_{CR}} = \frac{k}{(aH)^2} + 1$$

↑  
K has no meaning, but anyway it is  
the same ratio as before

$$RD: |\Omega - 1|_0 \propto \left(\frac{Q_0}{\dot{\alpha}^2}\right)^2 |\Omega - 1|_i$$

The density must be very close to critical at the beginning of RD

$$\text{As } |\Omega - 1| \propto \frac{1}{\dot{\alpha}^2} \quad |\Omega - 1| \rightarrow 0 \quad \text{during } \ddot{\alpha} > 0$$

During inflation  $|\Omega - 1| \rightarrow 0$ . Avoiding tuning we expect very small  $(\Omega - 1)_0$ . General prediction of inflation

$$\text{Now (2012)}: |\Omega - 1|_0 \lesssim 10^{-2}$$

$$\text{Future}: |\Omega - 1|_0 \lesssim 10^{-3}; 10^{-4}$$

(Of course one can get to  $10^{-5}$ , which is the approximation to which we can take of curved FRW)

(Notice curvature has a typical wavelength, so the previous analysis of existing / entering is exactly the same as solving the curvature problem)

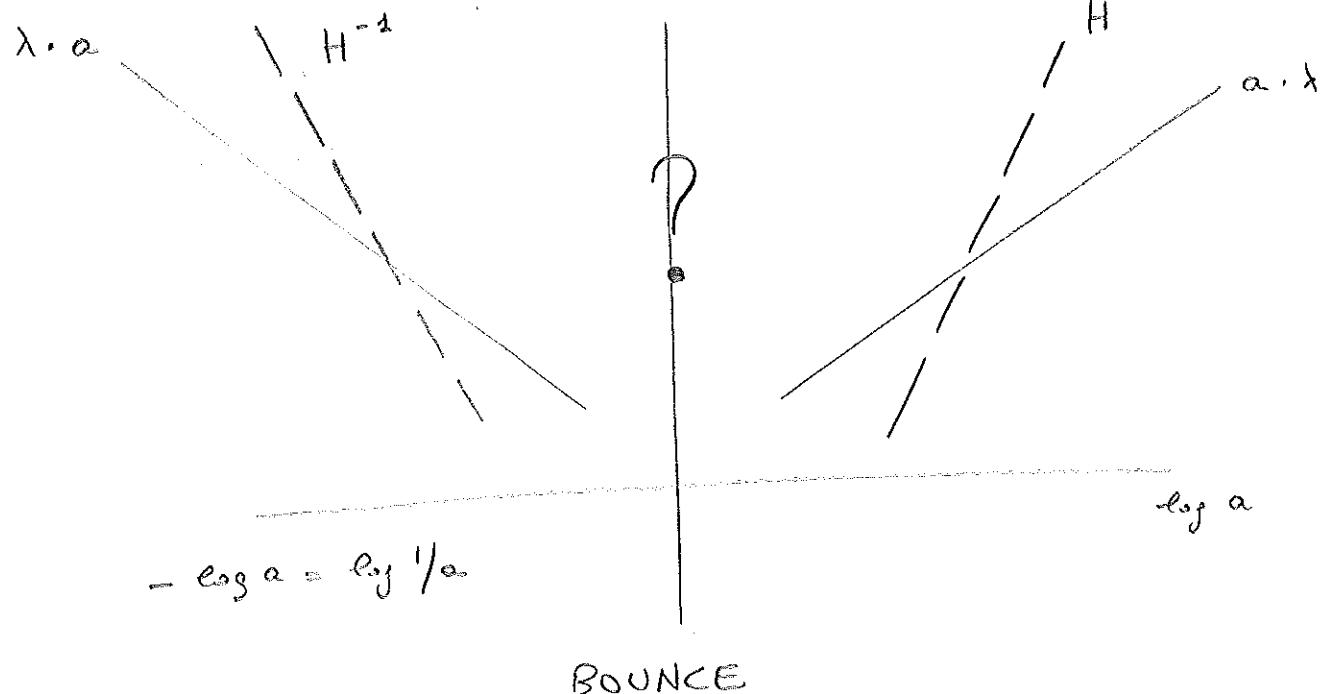
In other words: if  $M_p$  is the typical scale why am FRW (even assuming homogeneity) should last so long?

Notice that  $M_p$  exists only with  $k \neq 0$  ...

## Bouncing models

Useful to compare inflation with bouncing models in terms of kinematics of the modes

Before the standard cosmology a phase of contraction



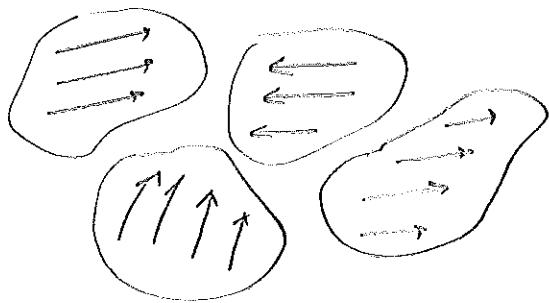
- Modes leave the horizon during the accelerated (standard) contraction
- What happens at the bounce? Naively  $H \rightarrow 0$  to keep sign and all modes come back. But the hope is that this happens in a very short time so that modes have no time to evolve

Not compelling, but a logical possibility!

Monopole problem:

another classic, but less compelling, motivation for inflation

GUT phase transition:  $S \rightarrow SM$  Scale  $H \approx 10^{16} \text{ GeV}$



By Hubble argument,  
I expect at least 1  
monopole per Hubble  
volume: by causality  
the gauge configuration is  
unrelated out of  $H^{-1}$

$$m_{\text{MONOP.}} \simeq H^3 \simeq \left(\frac{M}{M_p}\right)^3 \quad \text{while } m_T \simeq T^3 \simeq H^3$$

$$\frac{m_{\text{MONOP.}}}{m_T} \simeq \left(\frac{M}{M_p}\right)^3 \simeq 10^{-9}$$

Experimental limits are  $< 10^{-33}$  monopole/peston!

But it could be beat here if no GUT and monopole to start  
with ...

)

## How to obtain $\ddot{a} > 0$

- $\frac{\ddot{a}}{a} = -\frac{4\pi G}{3} (\rho + 3p) > 0$

"Gravity becomes repulsive"  
actually making bodies to  
move away, it is just a change  
 $T_{\mu\nu}$

This inequality violates the strong energy  
condition (SEC)

$$\left( T_{ab} - \frac{1}{2} g_{ab} T \right) t^a t^b \geq 0 \quad \forall t \text{ time-like}$$

which, for comoving observers, gives  $\rho + 3p \geq 0$

- Vacuum energy has  $p = -\rho$        $\Delta$  gives  $\ddot{a} > 0$

Not so exotic nowadays as we are now accelerating!

The complete solution is de Sitter space:  $ds^2 = -dt^2 + e^{2Ht} dx^2$   
Maximally symmetric space with isometry  $SO(d+1)$

- But we want to leave de Sitter with a transition to a standard hot FRW cosmology: we want de Sitter with a crack!

- Notice that  $\ddot{a} > 0$  is very different from  $\dot{H} > 0$ .

$$\dot{H} = \frac{\ddot{a}}{a} - H^2$$

The energy density is always decreasing in an expanding  
Universe (or at most constant)

$$\dot{H} = -4\pi G(\rho + p)$$

I would need a violation of the null energy condition  
(NEC):  $T_{\mu\nu} m^\mu m^\nu \geq 0 \quad \forall m^\mu \text{ null}$

Bouncing models require  $\dot{H} > 0$  at the bounce to flip sign, violation of the NEC

How inflation addresses these problems

One has to check in an explicit model but we can discuss few qualitative points

- Event horizon:

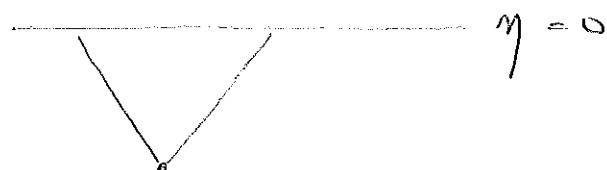
Now this integral converges in the future

$$a(t) \int \frac{da}{a \dot{a}(a_0)} = \tau_e(t)$$

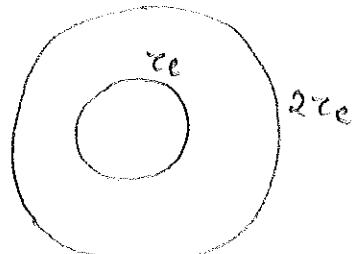
A particle's com influence (if inflation lasts forever) only a limited portion of comoving coordinates

For example ds space in conformal coordinates reads

$$d\eta^2 = \frac{1}{H^2 \eta^2} (-d\eta^2 + d\vec{x}^2) \quad \eta \in (-\infty; 0)$$



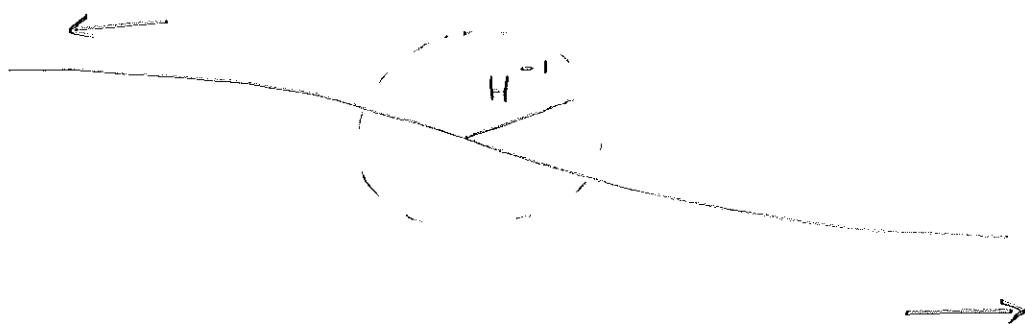
This implies that if I start with a homogeneous region of radius  $2\tau_e$ , the internal ring cannot be reached by inhomogeneities outside.



As  $\frac{k}{aH} \rightarrow 0$  this region becomes very large compared to the Hubble radius

$\Rightarrow$  Enough to have a good spot somewhere

- Gradients are stretched out of Hubble



- For homogeneous (but anisotropic) cosmologies there are exact results in GR: anisotropies die off during inflation in all Bianchi models

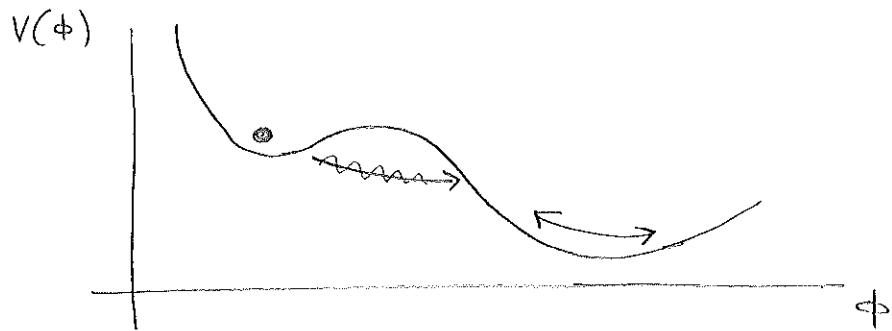
Waed PRD 28 (1983) 2118

Kitada, Maeda PRD 45 (1992) 1416

"Cosmic no hair theorem" (?)

Old inflation

Original proposal by A. Guth '81



Metastable minimum: can I solve my problems and then tunnel?

The decay happens non-perturbatively through bubble nucleation

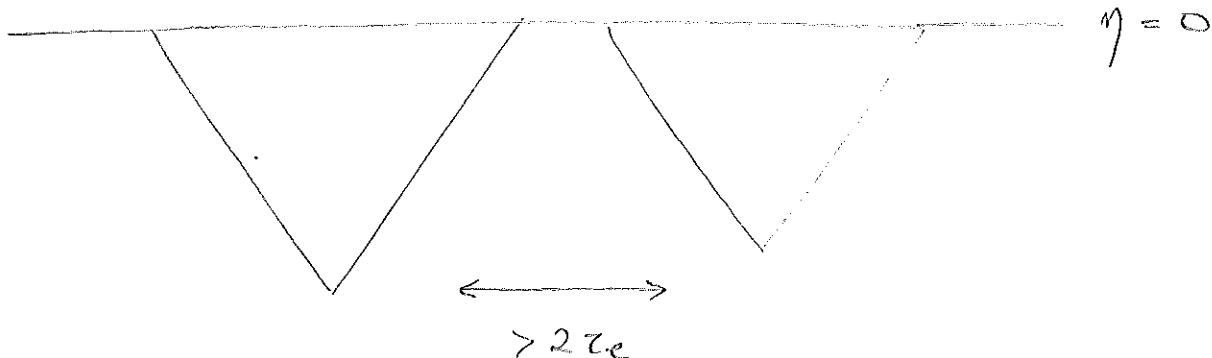
$\Gamma$  is the probability per unit time and volume

Two different regimes:

$\Gamma \gg H^4$  Bubble nucleates and you can reach the new phase in a time  $\ll H^{-1}$ . No inflation

$\Gamma \ll H^4$  You start inflating but the bubbles at the new phase cannot find each other. No new phase

Not enough to wait as the Universe expands. Bubbles separated by  $> r_{e}$  event horizon will never coalesce.



But maybe I can live inside the bubble!

It is homogeneous, energy is given by scalar oscillations ...

Notice the term wave approximation where I end up in the minimum is misleading: usually I have residue energy in the bubble. Mukhanov's book?!

The radius of the bubble is always  $\lesssim H^{-1}_{\text{inflation}}$

The inside of the bubble can be obtained by analytic continuation of the instanton

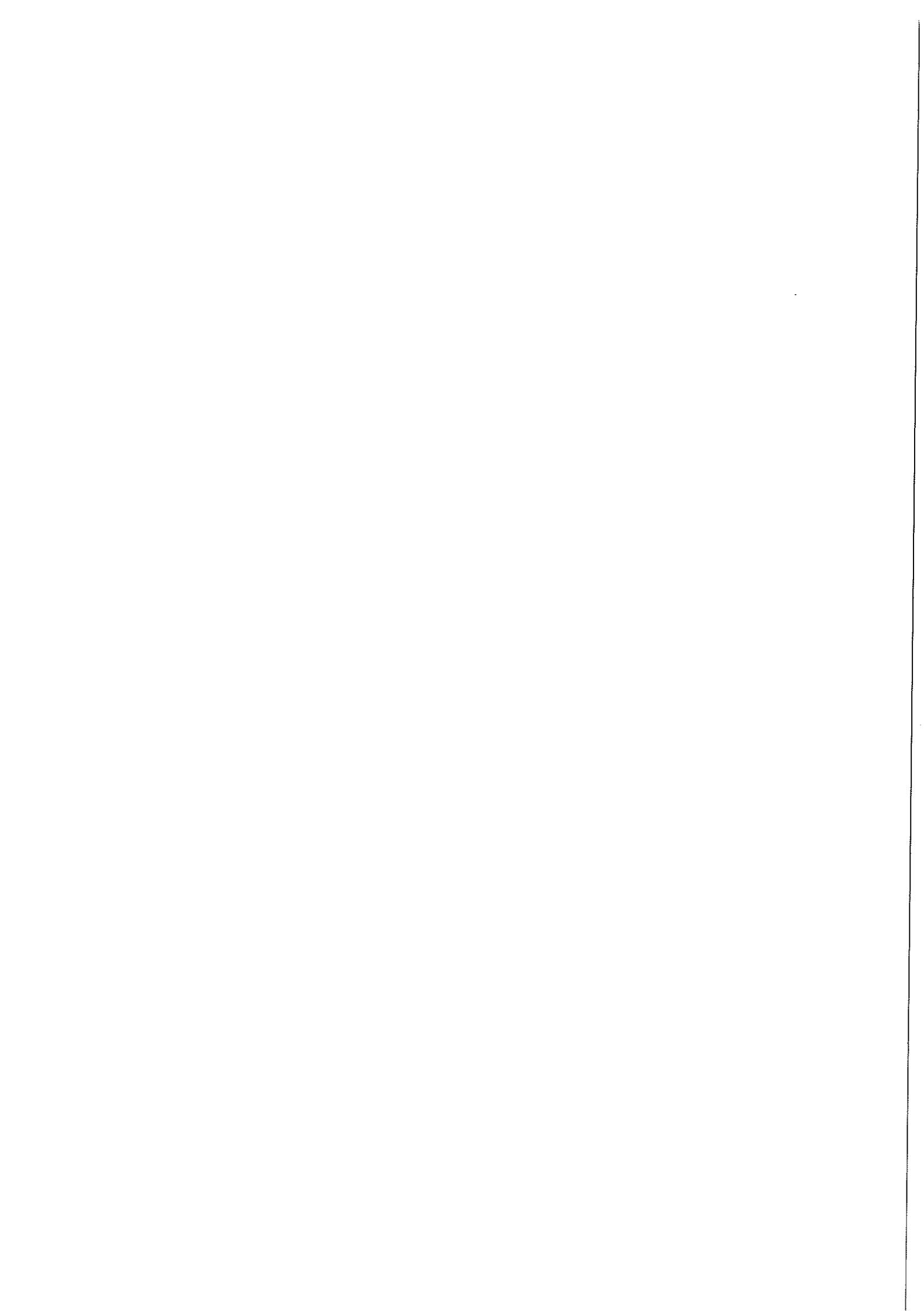
It is an open Universe with spatial curvature equal to the one of the bubble: you are immediately curvature dominated!

But nothing prevents to have an episode like this in our past, followed by slow-roll inflation

Landscape ...

How to obtain "graceful exit"?

For old inflation dynamics: Guth, Weinberg NPB 212 (1983). 321  
Coleman, de Luccia PRD 21, 12 (1980)  
3305



# SLOW-ROLL INFLATION

Very profound idea:



$dS$

$\sim dS$

Take the Lagrangian for a (minimally coupled scalar):

$$S = \int d^4x \sqrt{-g} \left[ \frac{M_p^2}{2} R - \frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - V(\phi) \right]$$

$$M_p^2 = (8\pi G)^{-1/2}$$

$$T_{\mu\nu} = -\frac{2}{\sqrt{-g}} \frac{\delta S}{\delta g^{\mu\nu}} = \partial_\mu \phi \partial_\nu \phi + g_{\mu\nu} \left[ -\frac{1}{2} g^{\alpha\beta} \partial_\alpha \phi \partial_\beta \phi - V(\phi) \right]$$

$$\left( \delta \sqrt{-g} = -\frac{1}{2} \sqrt{-g} g_{\mu\nu} \delta g^{\mu\nu} \right)$$

Homogeneous solution:  $\phi(t)$

$$\rho = T_{00} = \frac{1}{2} \dot{\phi}^2 + V(\phi) \quad (\rho + p) u_\mu u_\nu + p g_{\mu\nu} = T_{\mu\nu}$$

$$p = \alpha^{-2} T_{ii} = \frac{1}{2} \dot{\phi}^2 - V(\phi)$$

We want to be close to  $dS$ . Kinetic energy  $\ll$  potential energy

$$\text{Slow-roll : } \frac{\dot{\phi}^2}{2} \ll V(\phi)$$

(for many Hubble times)

Nothing changes if I consider

→ Non-minimal coupling:  $f(\phi)R$

→ Coupling with matter:  $\Lambda_{\text{SM}}$  coupled to  $S_{\mu\nu} h(\phi)$

→ Tele  $f(R)$  theory

See exercises

Besides Friedmann equations I have the EoM of scalar:

$$\ddot{\phi} + 3H\dot{\phi} + V'(\phi) = 0$$

$\uparrow$

Hubble friction

Fraction: in the absence of a potential, the kinetic energy of the scalar red-shifts

$$\nabla^\mu T_{\mu\nu} = 0 \quad \dot{\rho} + 3H(\rho + p) = 0$$

Kinetic:  $\rho = p \quad \rho \propto a^{-6}$

Indeed  $\partial_t(a^3\dot{\phi}) = 0 \quad \frac{\dot{\phi}^2}{2} \propto a^{-6}$

$$\begin{cases} \ddot{\phi} + 3H\dot{\phi} + V'(\phi) = 0 \\ H^2 = \frac{1}{3M_p^2} \left( \frac{1}{2}\dot{\phi}^2 + V(\phi) \right) \end{cases}$$

I expect, for sufficiently small  $f$ , to get rid of excessive  $\dot{\phi}$  and be dragged to  $\sim cc$  solution

Simple example :  $V = \frac{1}{2} m^2 \dot{\phi}^2$  The correct one?

$$\left\{ \begin{array}{l} H^2 = \frac{1}{3M_P^2} \left( \frac{1}{2} \dot{\phi}^2 + \frac{1}{2} m^2 \phi^2 \right) \\ \ddot{\phi} + \frac{\sqrt{3/2}}{M_P} \left( \dot{\phi}^2 + m^2 \phi^2 \right)^{1/2} \dot{\phi} + m^2 \phi = 0 \end{array} \right.$$

No explicit time dependence  $\dot{\phi}(\phi)$   $\ddot{\phi} = \frac{d\dot{\phi}}{d\phi} \dot{\phi}$

$$\frac{d\dot{\phi}}{d\phi} = - \frac{1}{\dot{\phi}} \left[ \frac{\sqrt{3/2}}{M_P} \dot{\phi} \left( \dot{\phi}^2 + m^2 \phi^2 \right)^{1/2} + m^2 \phi \right]$$

Focus on  $\phi \gg M_P$  (!)

- $\dot{\phi}^2 \gg m^2 \phi^2$  : Kinetic domination. Opposite to what we are interested in

EOM reduces to

$$\frac{d\dot{\phi}}{d\phi} = - \frac{\sqrt{3/2}}{M_P} |\dot{\phi}|$$

$$\dot{\phi} \propto e^{-\frac{\sqrt{3/2}}{M_P} \phi}$$

$\dot{\phi}$  redshifts exponentially in the direction of motion

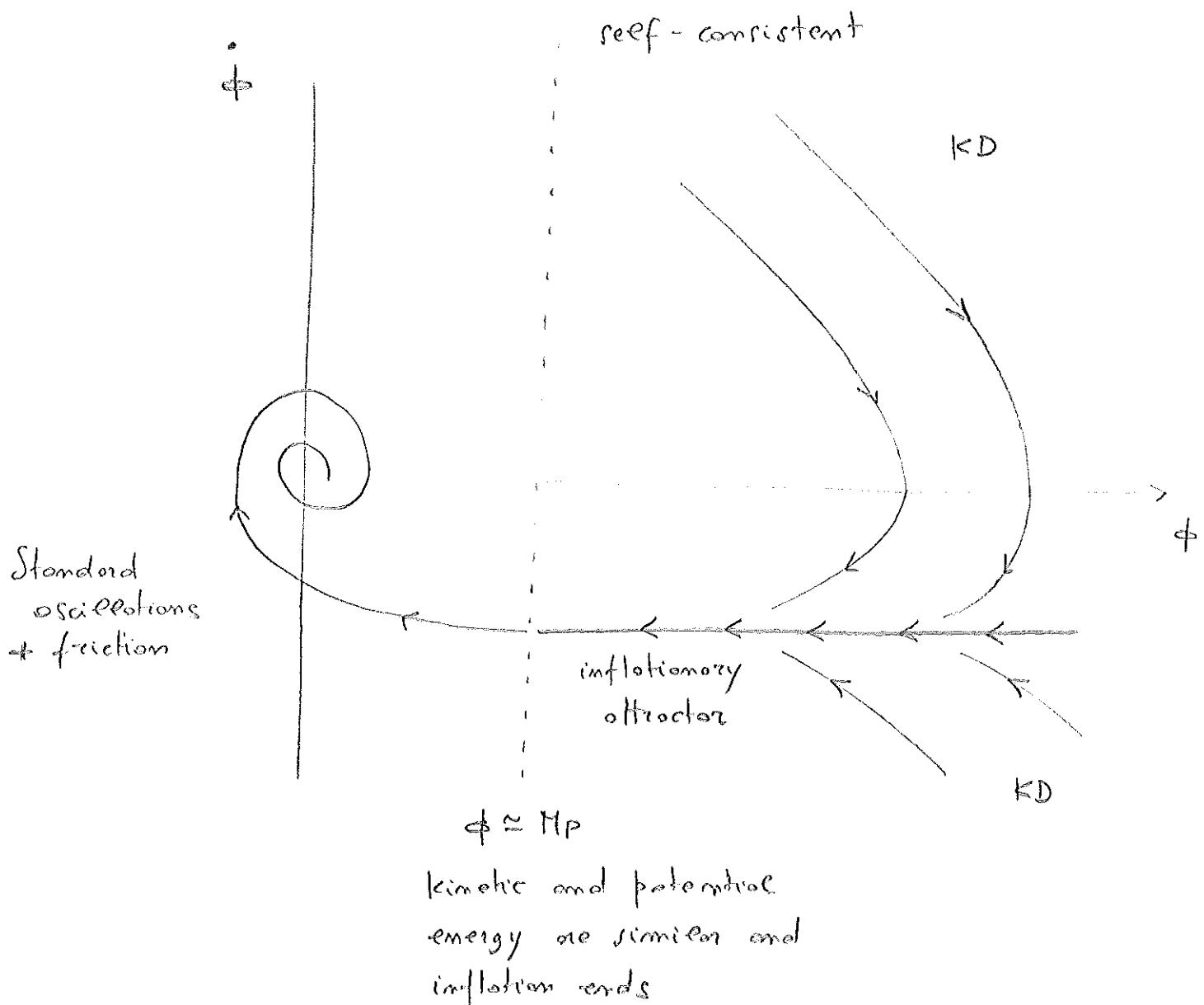
Kinetic energy dies off much faster than exponential (exp vs power) and we leave kinetic domin.

- Inflationary attractor :  $\dot{\phi}^2 \ll m^2 \phi^2$   $\phi \gg M_P$

Assume  $\frac{d\dot{\phi}}{d\phi} = 0$

$$\frac{d\dot{\phi}}{d\phi} \propto \frac{\sqrt{3/2}}{M_P} \dot{\phi} m \phi + m^2 \phi \Rightarrow \dot{\phi} = - \sqrt{\frac{2}{3}} m M_P$$

During this phase:  $\frac{\dot{\phi}^2}{2} \approx m^2 H_p^2 \ll \frac{1}{2} m^2 \phi^2 = V$



$$\phi = \phi_f + \sqrt{\frac{2}{3}} m H_p |t - t_f|$$

As  $H \approx \frac{m}{M_p}$   $\dot{\phi}$  moves by  $M_p$  in an Hubble time. Thus  $\dot{\phi}_f \approx H_p$  is soon negligible

$$\left( \frac{\dot{a}}{a} \right)^2 \approx \frac{1}{3 M_p^2} \frac{2}{3} \frac{1}{2} m^4 H_p^2 |t - t_f|^2 = \frac{1}{3} m^4 |t - t_f|^2$$

$$a(t) = e^{\frac{m^2}{6} |t - t_f|^2}$$

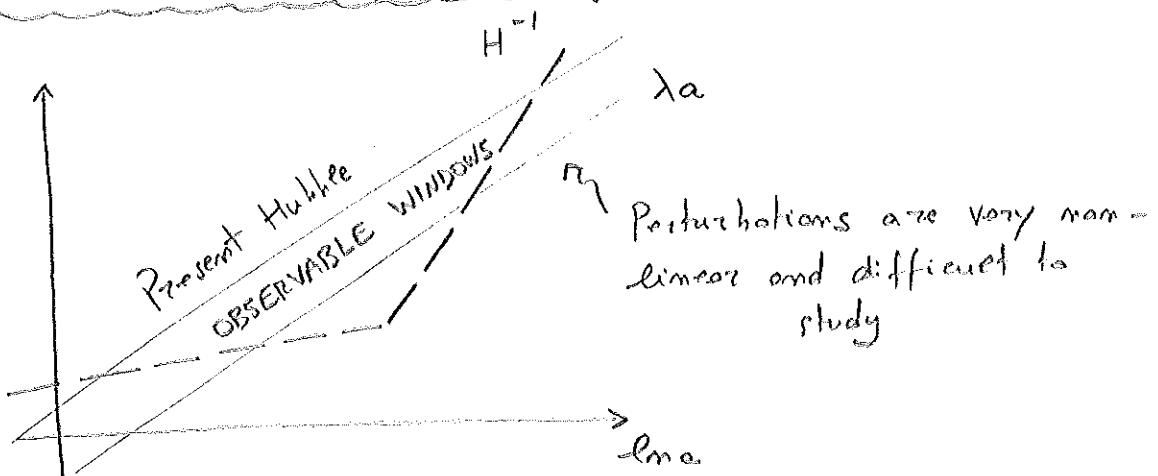
$$N = \frac{m^2}{6} |t - t_f|^2 \quad \text{Number of e-folds}$$

- Usually we do not an explicit solution for  $a(t)$ , but I can approximate it as an exponential expansion

$$H(t) = \frac{m^2}{3} |t - t_f| \quad \frac{\dot{H}}{H^2} \approx \frac{1}{m^2 |t - t_f|^2} \approx \frac{1}{N}$$

$N$  Hubble times before the end,  $H$  is  $\sim$  constant up to  $\frac{1}{N}$  corrections

### Kinematic and the observable window



We can roughly calculate the number of necessary e-folds comparing  $H_0^{-1}$  with the Hubble radius at the end of inflation

Rough: RD up to now + reheating at  $10^{15}$  GeV

$$\frac{H_{\text{end}}^{-1} Q_0 / a_e}{H_0^{-1}} \sim \frac{\gamma_e}{\gamma_0} \sim \frac{a_e}{a_0} \sim \frac{T_0}{T_e} \sim \frac{10^{-4} \text{ eV}}{10^{15} \text{ GeV}} \approx 10^{-28}$$

During inflation  $H \approx \text{const}$ , while  $a \propto e^{Ht}$

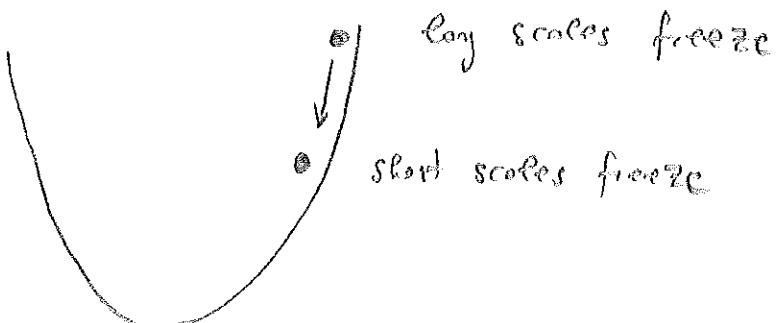
At least  $N = \log_{10} 10^{28} \approx 64$

+ window  $\log\left(\frac{10^3 \text{ Mpc}}{0.1 \text{ Mpc}}\right) \approx 9$

- Required # of  $e$ -folds depends exponentially on the scale of inflation + reheating temperature + how I glue together inflation and RD

Experimentally we are only confident  $T_{\text{RH}} \gtrsim 1 \text{ MeV}$   
for nucleosynthesis

- In any model:



- No bound on the total number of  $e$ -folds

## Graceful exit + reheat time

Now we clearly have a graceful (i.e. smooth) exit from inflation

In the quadratic case we can follow the solution after it leaves the attractor

$$\dot{\phi}^2 + m^2 \phi^2 = 6 M_p^2 H^2$$

$$\dot{\phi} = \sqrt{6} M_p H \sin \Theta$$

$$m\dot{\phi} = \sqrt{6} M_p H \cos \Theta$$

$$\begin{aligned} \Theta &= \arctan \frac{\dot{\phi}}{m\phi} & \dot{\Theta} &= \frac{1}{1 + \frac{\dot{\phi}^2}{(m\phi)^2}} \left[ \frac{\ddot{\phi}}{m\phi} - \frac{\dot{\phi}^2}{m\phi^2} \right] = \\ &= \frac{1}{1 + \left( \frac{\dot{\phi}}{m\phi} \right)^2} \left[ \frac{-3H\dot{\phi} - m^2\phi}{m\phi} - \frac{\dot{\phi}^2}{m\phi^2} \right] = -m - 3H \frac{\dot{\phi}/m\phi}{1 + \left( \frac{\dot{\phi}}{m\phi} \right)^2} \\ &= -m - \frac{3H}{2} \sin 2\Theta \end{aligned}$$

$$\text{while } H^2 = \frac{1}{3M_p^2} \frac{1}{2} (\dot{\phi}^2 + m^2\phi^2)$$

$$2HH\dot{=} \frac{1}{6M_p^2} (2\dot{\phi}\ddot{\phi} + 2m^2\phi\dot{\phi}) = \frac{\dot{\phi}}{3M_p^2} (-3H\dot{\phi})$$

$$\begin{cases} \dot{H} = -3H^2 \sin^2 \Theta \\ \dot{\Theta} = -m - \frac{3}{2} H \sin 2\Theta \end{cases}$$

$H \approx m$  at the end of inflation, but eventually

$$H \ll m$$

$$\Theta \approx -mt$$

$$\dot{H} = -3H^2 \sin^2 mt$$

$$H(t) = \frac{2}{3t} \left( 1 - \frac{\sin 2mt}{2mt} \right)^{-1}$$

$$a(t) \propto t^{2/3}$$

for  $mt \gg 1$   
i.e.  $H \ll m$

Indeed :

- ✓ Inflaton oscillations are just a coherent state of massive particles with zero velocity (homogeneous) : HD
- ✓  $f = \frac{1}{2} \dot{\phi}^2 + \frac{1}{2} m^2 \phi^2$   
 $\dot{p} = \frac{1}{2} \dot{\phi}^2 - \frac{1}{2} m^2 \phi^2$        $\langle \dot{p} \rangle = 0$  over many periods

$$\left( \text{Indeed the amplitude of oscillations } \propto \frac{1}{t} \propto a^{-3/2} \right. \\ \left. \Rightarrow f \propto a^{-3} \right)$$

### Reheating

- Oscillations around the bottom of the potential are a coherent state with density

$$n_\phi = \frac{\rho_\phi}{m} = \frac{1}{2m} (\dot{\phi}^2 + m^2 \phi^2) \approx \frac{1}{2} m \frac{\Phi^2}{\dot{\Phi}^2}$$

where  $\Phi$  is the amplitude

$$\left( \text{For } m = 10^{13} \text{ GeV} \right. \\ \left. \Phi \approx H_P \text{ I get } 10^{92} \text{ cm}^{-3}! \right)$$

- Perturbative decay of the inflaton with decay rate  $\Gamma$  (into what? what are the couplings?)

The decay completes when  $H \approx \Gamma$

$$g_* T^4 \approx H^2 H_P^2 \approx \Gamma^2 H_P^2 \Rightarrow T_{RH} \approx \sqrt{H_P \Gamma} g_*^{-1/4}$$

If the decay is very fast RD starts with  $H_{RD}^{max} \propto H_{inflation}$ , otherwise I may have a long period of oscillation

## Preheating

Coherent effects are usually important and the treatment above is not correct

$$\mathcal{L} \supset \left( \frac{m_\phi^2}{2} - g\phi \right) \dot{\chi}^2 \quad \begin{array}{c} \chi \\ \chi \end{array}$$

Example to show that  
the perturbative calculation  
can be wrong

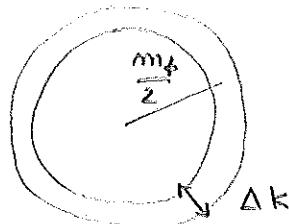
$$\Gamma = \frac{g^2}{8\pi m_\phi}$$

$$\left( \frac{m_\phi}{2} \right)^2 = k^2 + m_\chi^2 - 2g\Phi \cos mt$$

Assume  $\ll m_\phi$

$\chi$  particles are produced in a narrow range of  $k$  and Bose  
enhancement becomes relevant

$$\Delta k \approx \frac{4g\Phi}{m}$$



Polar space density

$$m_{k=\frac{m}{2}} \approx \frac{m_\chi}{(4\pi k_0^2 \Delta k) / (2\pi)^3} = \frac{2\pi^2 m_\chi}{m g \Phi} = \frac{\pi^2 \Phi}{g} \frac{m_\chi}{m_\phi}$$

Bose condensation is relevant when

$$m_\chi > m_\phi \cdot \left( \frac{g}{\pi^2 \Phi} \right)^{\frac{1}{2}}$$

To have  $m_\chi < m_\phi$

$$\frac{g \Phi}{\pi^2 \Phi^2} < \frac{m_\phi^2}{\pi^2 \Phi^2} \sim 10^{-12}$$

## Coherent, non-perturbative production

(Predicting starts with : Linde, Kofman, Starobinsky )  
hep-th/9405187

- Very complicated and model dependent
- In most cases unobservable

Predictions of inflation will be (non-linearly) insensitive to what happens when modes are out of  $H^{-1}$

Insensitive to many interesting things: which particles?  
Phase transitions?

- Everything originates from a very out-of-equilibrium process. Quite  $\neq$  from standard hot FLRW cosmology

Good place for baryogenesis for example

## General potential: slow-roll approximation

For a general potential the analysis is more complicated, but everything simplifies in the slow-roll regime

$$\begin{cases} \ddot{\phi} + 3H\dot{\phi} + V'(\phi) = 0 \\ H^2 = \frac{1}{3M_p^2} \left( \frac{1}{2}\dot{\phi}^2 + V(\phi) \right) \end{cases} \xrightarrow{\text{SR}} \begin{cases} \dot{\phi} \approx -\frac{V'}{3H} \\ H^2 \approx \frac{V}{3M_p^2} \end{cases}$$

Slow-roll parameters

- $\frac{1}{2}\dot{\phi}^2 \ll V \quad \frac{V'^2}{H^2} \ll V$

$$\epsilon = \frac{1}{2}M_p^2 \left( \frac{V'}{V} \right)^2 \ll 1 \quad \text{Also} \quad \frac{\dot{H}}{H^2} = -\epsilon$$

Parametrizes the departure from de Sitter

$$\text{i: } 2H\dot{H} = \frac{1}{3M_p^2} \left( \frac{1}{2}\dot{\phi}^2 + V \right)' = \frac{1}{3M_p^2} (\dot{\phi}\ddot{\phi} + V'\dot{\phi}) = -\frac{H\dot{\phi}^2}{M_p^2}$$

$$\frac{\dot{H}}{H^2} \approx -\frac{1}{2} \frac{\dot{\phi}^2 M_p^{-2}}{\frac{V}{M_p^2}^{-2}} = -\frac{3}{2} \frac{\dot{\phi}^2}{V} = -\frac{3}{2} \frac{V'^2}{3H^2} \frac{1}{V} = -\frac{1}{2} \frac{V'^2}{V^2} M_p^2 = -\epsilon$$

- $\ddot{\phi} \approx \left(-\frac{V'}{3H}\right)^2 \underset{\epsilon \text{ corrections}}{\approx} \frac{V''}{H} \dot{\phi} \approx \frac{V''V'}{H^2} \ll V'$  in EOM for  $\phi$

$$\Rightarrow V'' \ll H^2$$

$$\gamma \equiv M_p^2 \frac{V''}{V} \quad (\text{notice } \geq 0)$$

$$\frac{\ddot{H}}{H^2} = \frac{1}{H} \frac{2\dot{\phi}\ddot{\phi}}{\dot{\phi}^2} = 2\epsilon - \frac{2}{3} \frac{V''}{H^2} = 2\epsilon - 2\eta$$

$$\dot{H} = -\frac{1}{2} \frac{\dot{\phi}^2}{M_p^2} \quad \dot{\phi} \approx \left( -\frac{V'}{3H} \right)^{\circ} = \underbrace{\frac{V''}{3H} \frac{V'}{3H} + \frac{V'}{3H^2} \dot{H}}_{\epsilon H \dot{\phi}}$$

So we are looking about the negative variation of  $H$  and  $\dot{H}$   
(which will be the only things appearing in EFTI)

- Slow-roll conditions are necessary, but not sufficient for inflation. I can always start with a large velocity...  
Anyway I expect there is an attractor as in the  $m^2\phi^2$  case
- One can define higher-order slow-roll parameters,

requiring  $\epsilon_{i\eta} \ll 1$  for many Hubble times

Not enough  
to have a  
fixed point

E.g.  $\delta\eta = M_p^2 \frac{\delta V''}{V} + \text{terms } O(\epsilon_{i\eta})$

$$M_p^2 \frac{V''' \dot{\phi}/H}{V} = M_p^2 \frac{V'' V'}{V} H^{-2} = M_p^4 \frac{V' V''}{V^2} = \xi^2$$

and so on

Quante:  $M_p^4 \frac{V' V^{(4)}}{V^2} \cdot \frac{V'/H^2}{V^2} \ll 1$

$$V^{(4)} \ll \frac{H^2}{M_p^2} \frac{1}{\epsilon} \sim 10^{-10}$$

Precisely this  
combination is fixed  
by the power spectrum

Tremendously weakly coupled!

Very rough "classification" of slow-roll models

- Nothing happens at the end of inflation

$$V \propto \phi^m$$

$$\epsilon, \eta \sim \left( \frac{M_P}{\phi} \right)^2$$

$$\epsilon \sim \eta \sim \frac{1}{N}$$

$$\Delta \phi \gg M_P$$

$$\left( \frac{1}{\sqrt{e}} \frac{H}{M_P} \text{ is fixed by experiments to } 10^{-6} \right)$$

$H$  is large,  $\Delta \phi$  is super Planckian : high energy models

As  $\epsilon$  is not very small GWs are observable

$$\left( \frac{H}{M_P} \text{ gives GWs contribution} \right)$$

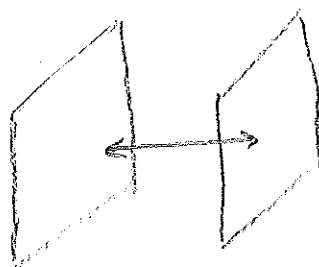
- Some kind of phase transition at the end of inflation

Hybrid models:

$$V = \frac{1}{2} m^2 \phi^2 + \frac{1}{4} \lambda (\dot{\phi}^2 - H^2) + \frac{1}{2} \lambda' \dot{\phi}^2 \phi^2$$

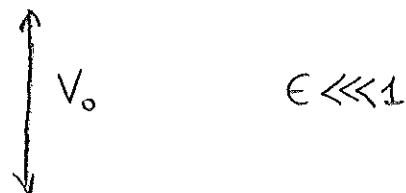
$\phi$  rolls and triggers some kind of phase transition

Brane inflation:



Inflation is the interbrane separation  
At the end they annihilate  
+ create matter

Some kind of plateau in energy



$$\Delta\phi \simeq \dot{\phi}/H \simeq V'/H^2 \simeq \frac{V'}{V} M_P^2 \simeq M_P \sqrt{\epsilon}$$

$$\epsilon \ll 1 \implies \Delta\phi \ll M_P \iff \text{No GWs}$$

$$\implies H \ll 10^{13} \text{ GeV}$$

Low-energy inflation

Notice that it does not mean  $|\eta| \ll 1$

$$\eta = \frac{V''}{V} M_P^2 \quad \sqrt{\epsilon} = \frac{V'}{V} M_P$$

$$\frac{\sqrt{\epsilon}}{\eta} \simeq \frac{1}{M_P} \frac{V'}{V''} \ll 1 \quad \text{for sub-Planckian models}$$

Both these cases have been argued to be generic / extremely natural

- $\Delta\phi \gg M_P$  is silly !?
- $\epsilon \ll 1$  is silly !?

The point is vital for GW detection !

Why is it so difficult to find an inflaton

- 1) Can we have  $\Delta\phi > M_P$ ?
- 2) Can we have a sufficiently flat potential?
- About (1) notice that when we say  $\phi > M_P$  we still have  $\dot{\phi} \ll M_P^4$   
E.g.  $V = \frac{1}{2} m^2 \phi^2$  I still have a large number of e-folds before losing control of GR
- About (2). Even for super Planckian models you have to face UV physics

$$V = V_0(\phi) + V_0(\phi) \frac{\phi^2}{M_P^2} + \dots$$

For super Planckian models, this is not even an expansion ...

↑  
This generates  $\eta \approx 1$ . So I have to control  $M_P$ -suppressed operators.

### UV-sensitivity of inflation

Many years in BSM physics taught us that there are two ways to keep a potential flat (like the mass of the Higgs)

- Self-symmetry:  $\phi \rightarrow \phi + c$
- Supersymmetry

## Approximate shift symmetry (PNGB)      Natural inflation

$$V = \Lambda^4 \cos(\phi/f)$$

Slow-roll requires  $f \gg M_P$  to inflate

- Perturbatively there is no problem
- Non-perturbatively I do not expect gravity to respect any global symmetry (wormholes...) But you can use a gauge symmetry
- Not easy to realize in string theory (essentially I cannot make a coupling small as  $M_P$  also increases  $M_P$ ) .

Recent axion monodromy      McAllister, Silverstein, Westphal  
0808.0706

## Supersymmetry

which must be promoted to SUGRA

$$V_F = e^{K/M_P^2} \left[ K^{\varphi\bar{\varphi}} D_\varphi W \overline{D_{\bar{\varphi}} W} - \frac{3}{M_P^2} |W|^2 \right]$$

$$D_\varphi W = \partial_\varphi W + M_P^{-2} (\partial_\varphi K) W$$

$$e^{K^{\varphi\bar{\varphi}} \partial_\mu \varphi \partial_\mu \bar{\varphi}} e^{K^{\varphi\bar{\varphi}} |\varphi|^2} [V]$$

$$\sim \eta \approx 1$$

$\eta$ -problem  
in SUGRA