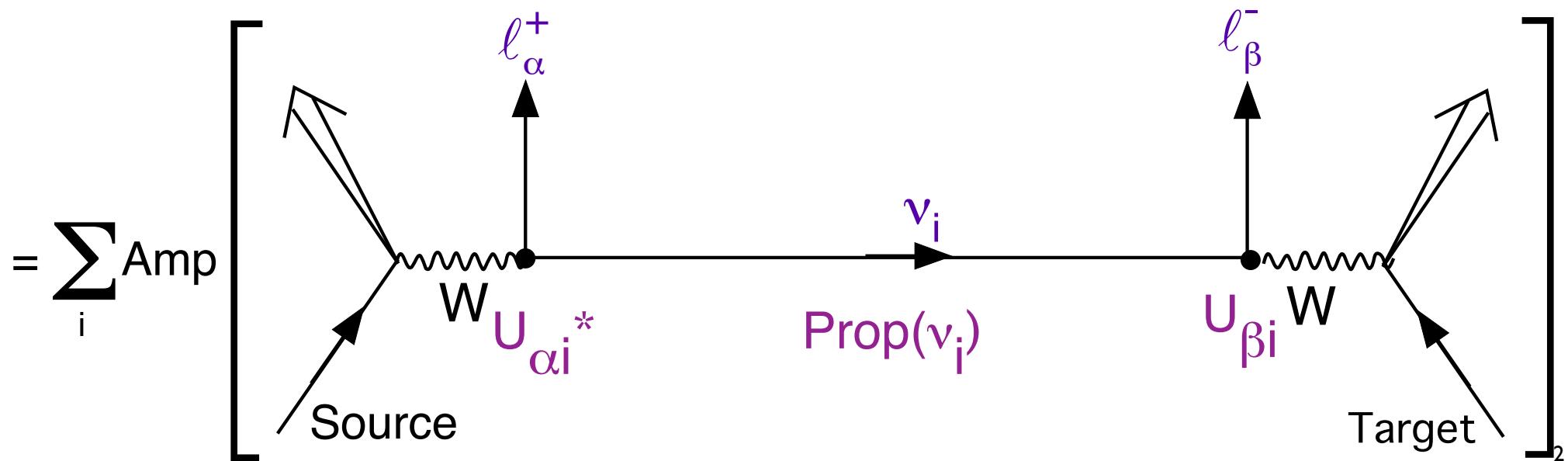
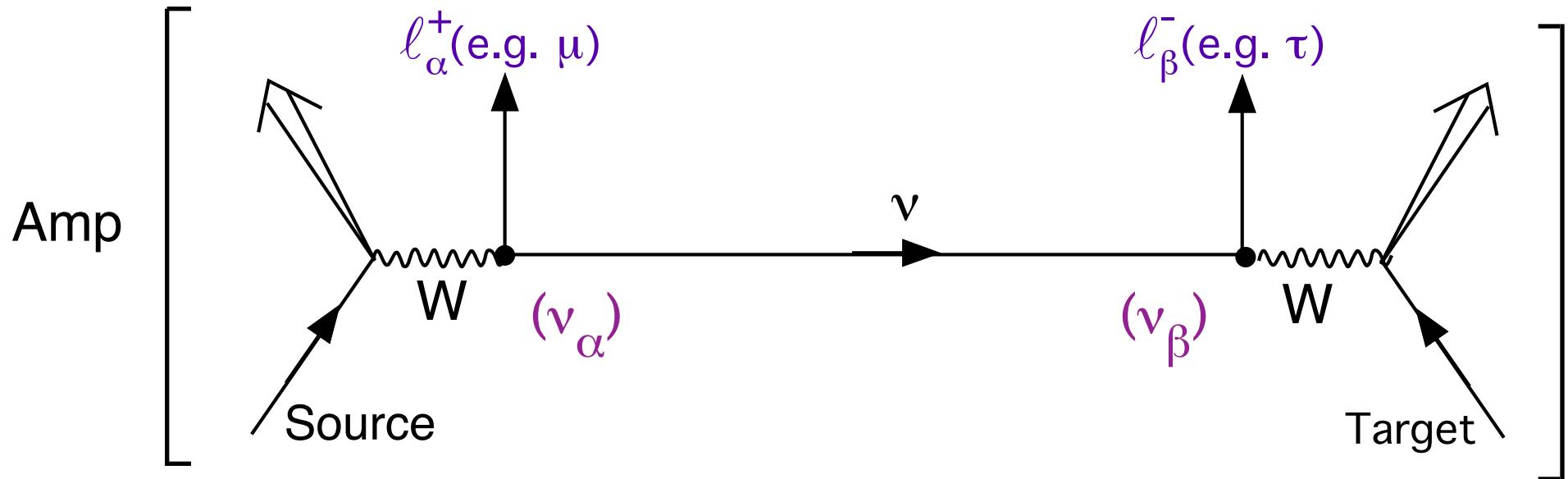


# The Physics of Neutrino Oscillation

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# Neutrino Flavor Change (Oscillation) in Vacuum

( Approach of  
B.K. & Stodolsky )



$$\text{Amp } [v_\alpha \rightarrow v_\beta] = \sum U_{\alpha i}^* \text{Prop}(v_i) U_{\beta i}$$

What is Propagator  $(v_i) \equiv \text{Prop}(v_i)$ ?

In the  $v_i$  rest frame, where the proper time is  $\tau_i$ ,

$$i \frac{\partial}{\partial \tau_i} |\nu_i(\tau_i)\rangle = m_i |\nu_i(\tau_i)\rangle .$$

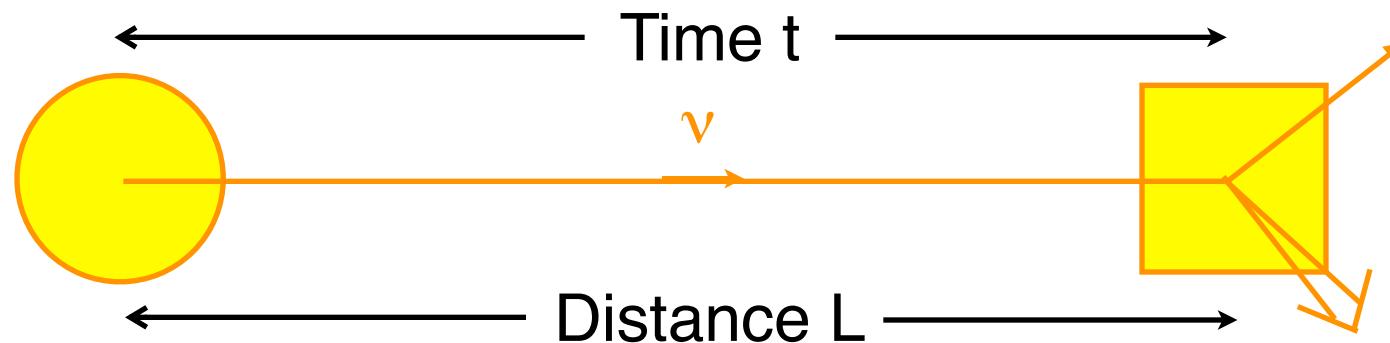
Thus,

$$|\nu_i(\tau_i)\rangle = e^{-im_i\tau_i} |\nu_i(0)\rangle .$$

Then, the amplitude for propagation for time  $\tau_i$   
is —

$$\text{Prop}(\nu_i) \equiv \langle \nu_i(0) | \nu_i(\tau_i) \rangle = e^{-im_i\tau_i} .$$

In the laboratory frame —



The experimenter chooses  $L$  and  $t$ .

They are common to all components of the beam.

For each  $v_i$ , by Lorentz invariance,

$$(E_i, p_i) \times (t, L) = m_i \tau_i = E_i t - p_i L .$$

Neutrino sources are  $\sim$  constant in time.

Averaged over time, the

$$e^{-iE_1 t} - e^{-iE_2 t} \quad \text{interference}$$

is —

$$\langle e^{-i(E_1-E_2)t} \rangle_t = 0$$

*unless  $E_2 = E_1$ .*

Only neutrino mass eigenstates with a common energy  $E$  are coherent. (Stodolsky)

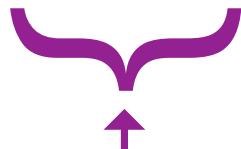
For each mass eigenstate ,

$$p_i = \sqrt{E^2 - m_i^2} \cong E - \frac{m_i^2}{2E} .$$

Then the phase in the  $v_i$  propagator  $\exp[-im_i\tau_i]$  is

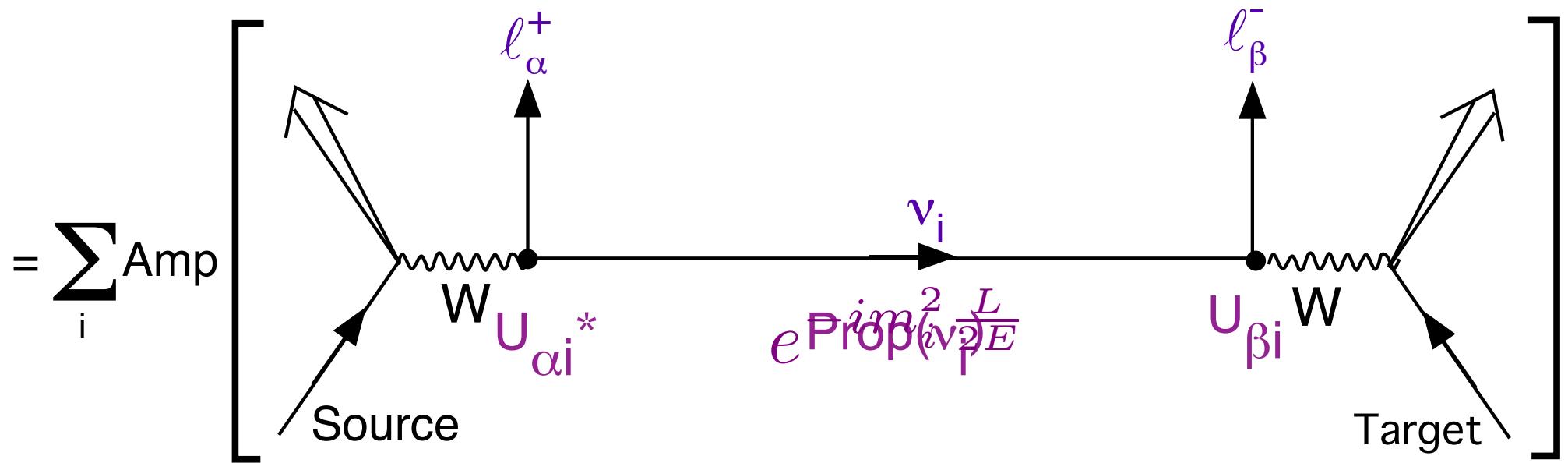
$$m_i\tau_i = E_i t - p_i L \cong Et - (E - m_i^2/2E)L$$

$$= E(t - L) + m_i^2 L / 2E .$$



Irrelevant overall phase

Amp  $[\nu_\alpha \rightarrow \nu_\beta]$



$$= \sum_i U_{\alpha i}^* e^{-im_i^2 \frac{L}{2E}} U_{\beta i}$$

# Probability for Neutrino Oscillation in Vacuum

$$P(\nu_\alpha \rightarrow \nu_\beta) = |\text{Amp}(\nu_\alpha \rightarrow \nu_\beta)|^2 =$$

$$= \delta_{\alpha\beta} - 4 \sum_{i>j} \Re(U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*) \sin^2(\Delta m_{ij}^2 \frac{L}{4E})$$

$$+ 2 \sum_{i>j} \Im(U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*) \sin(\Delta m_{ij}^2 \frac{L}{2E})$$

where  $\Delta m_{ij}^2 \equiv m_i^2 - m_j^2$

# For Antineutrinos –

We assume the world is CPT invariant.

Our formalism assumes this.

C: Particle  $\rightarrow$  Antiparticle

P: Helicity  $\rightarrow$  Reversed helicity

$$\bar{\nu}(\text{right-handed}) = \text{CP}[\nu(\text{left-handed})]$$

$$P(\overline{\nu_\alpha} \rightarrow \overline{\nu_\beta}) \stackrel{CPT}{=} P(\nu_\beta \rightarrow \nu_\alpha) = P(\nu_\alpha \rightarrow \nu_\beta; U \rightarrow U^*)$$

Thus,

$$P(\overset{(-)}{\nu_\alpha} \rightarrow \overset{(-)}{\nu_\beta}) =$$

$$= \delta_{\alpha\beta} - 4 \sum_{i>j} \Re(U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*) \sin^2(\Delta m_{ij}^2 \frac{L}{4E})$$

$$\overset{(+)}{\nu_\alpha} + 2 \sum_{i>j} \Im(U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*) \sin(\Delta m_{ij}^2 \frac{L}{2E})$$

A complex  $U$  would lead to the CP violation

$$P(\overline{\nu_\alpha} \rightarrow \overline{\nu_\beta}) \neq P(\nu_\alpha \rightarrow \nu_\beta) .$$

Must we assume all mass eigenstates  
have the same  $E$ ?

No, we can take entanglement into account,  
and use energy conservation.

The oscillation probabilities  
are still the same.

B.K., arXiv:1206.4325

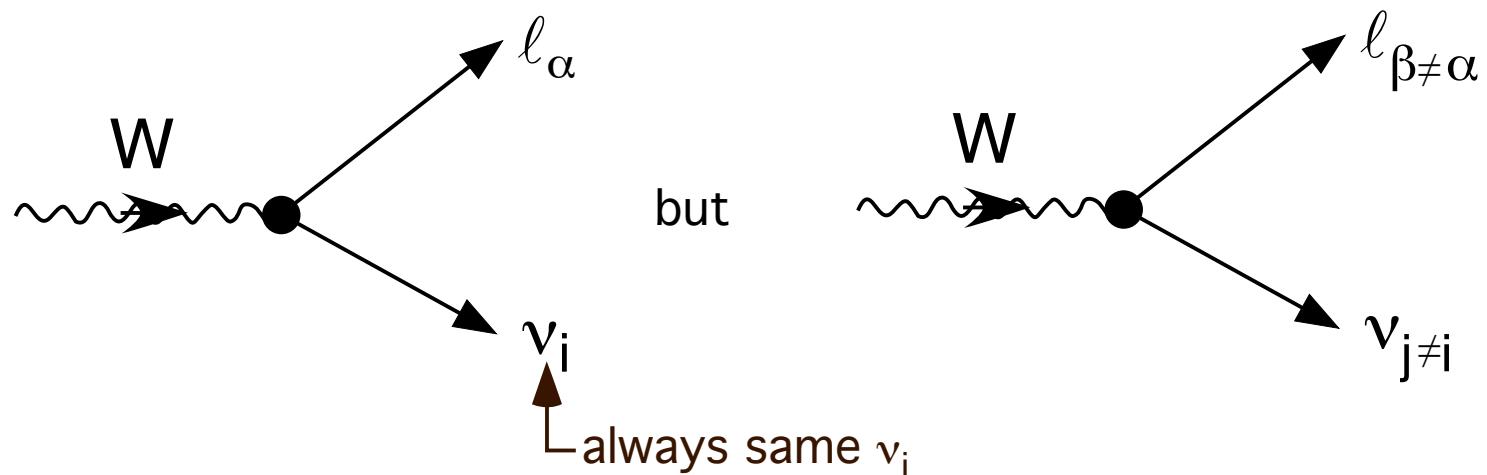
# — Comments —

1. If all  $m_i = 0$ , so that all  $\Delta m_{ij}^2 = 0$ ,

$$P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta) = \delta_{\alpha\beta}$$

Flavor *change*  $\Rightarrow$   $\nu$  Mass

2. If there is no mixing,



$$\Rightarrow U_{\alpha i} U_{\beta \neq \alpha, i} = 0, \text{ so that } P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta) = \delta_{\alpha\beta}.$$

Flavor *change*  $\Rightarrow$  Mixing

3. One can detect ( $\nu_\alpha \rightarrow \nu_\beta$ ) in two ways:

See  $\nu_{\beta \neq \alpha}$  in a  $\nu_\alpha$  beam (Appearance)

See some of known  $\nu_\alpha$  flux disappear (Disappearance)

4. Including  $\hbar$  and  $c$

$$\Delta m^2 \frac{L}{4E} = 1.27 \Delta m^2 (\text{eV}^2) \frac{L(\text{km})}{E(\text{GeV})}$$

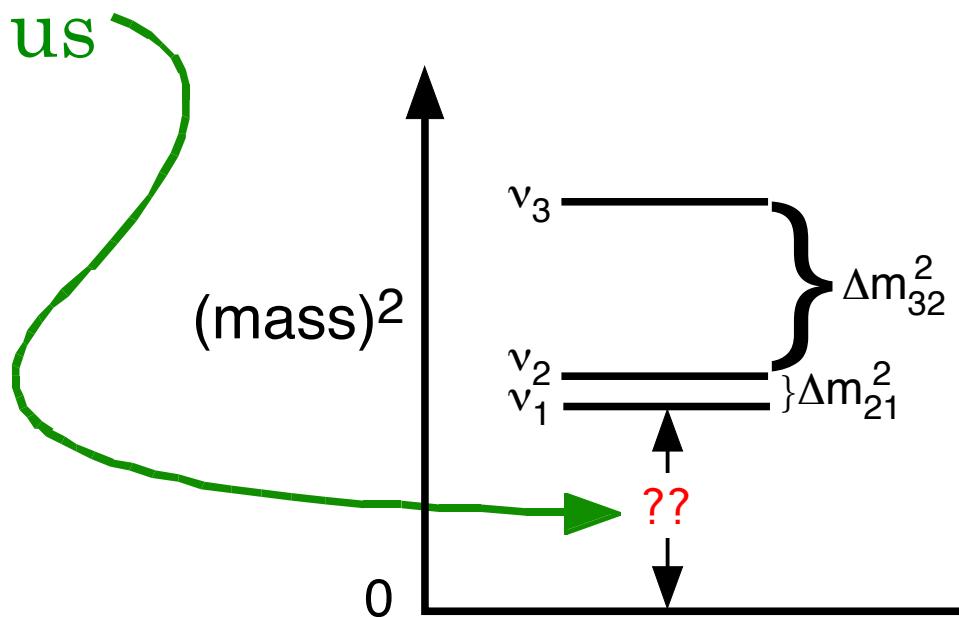
$\sin^2[1.27 \Delta m^2 (\text{eV})^2 \frac{L(\text{km})}{E(\text{GeV})}]$  becomes appreciable when its argument reaches  $\mathcal{O}(1)$ .

An experiment with given L/E is sensitive to

$$\Delta m^2 (\text{eV}^2) \gtrsim \frac{E(\text{GeV})}{L(\text{km})} .$$

5. Flavor change in vacuum oscillates with L/E.  
Hence the name “neutrino oscillation”. {The  
L/E is from the proper time  $\tau$ .}

6.  $P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta)$  depends only on squared-mass  
splittings. Oscillation experiments cannot  
tell us



7. Neutrino flavor change does not change the total flux in a beam.

It just redistributes it among the flavors.

$$\sum_{\text{All } \beta} P(\overset{\leftrightarrow}{\nu}_\alpha \rightarrow \overset{\leftrightarrow}{\nu}_\beta) = 1$$

But some of the flavors  $\beta \neq \alpha$  could be sterile.

Then some of the *active* flux disappears:

$$\phi_{\nu_e} + \phi_{\nu_\mu} + \phi_{\nu_\tau} < \phi_{\text{Original}}$$

# Important Special Cases

## Three Flavors

For  $\beta \neq \alpha$ ,

$$\begin{aligned} e^{-im_1^2 \frac{L}{2E}} \text{Amp}^*(\nu_\alpha \rightarrow \nu_\beta) &= \sum_i U_{\alpha i} U_{\beta i}^* e^{im_i^2 \frac{L}{2E}} e^{-im_1^2 \frac{L}{2E}} \\ &= U_{\alpha 3} U_{\beta 3}^* e^{2i\Delta_{31}} + U_{\alpha 2} U_{\beta 2}^* e^{2i\Delta_{21}} - \underbrace{(U_{\alpha 3} U_{\beta 3}^* + U_{\alpha 2} U_{\beta 2}^*)}_{\text{Unitarity}} \\ &= 2i[U_{\alpha 3} U_{\beta 3}^* e^{i\Delta_{31}} \sin \Delta_{31} + U_{\alpha 2} U_{\beta 2}^* e^{i\Delta_{21}} \sin \Delta_{21}] \end{aligned}$$

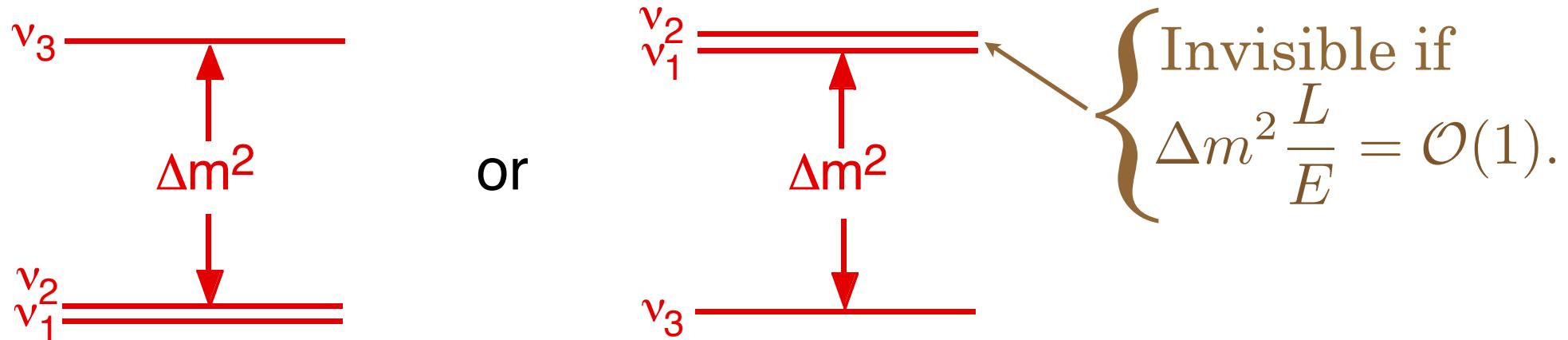
$$\text{where } \Delta_{ij} \equiv \Delta m_{ij}^2 \frac{L}{4E} \equiv (m_i^2 - m_j^2) \frac{L}{4E} .$$

$$\begin{aligned}
P(\overrightarrow{\nu_\alpha} \rightarrow \overrightarrow{\nu_\beta}) &= \left| e^{-im_1^2 \frac{L}{2E}} \text{Amp}^*(\overrightarrow{\nu_\alpha} \rightarrow \overrightarrow{\nu_\beta}) \right|^2 \\
&= 4[|U_{\alpha 3} U_{\beta 3}|^2 \sin^2 \Delta_{31} + |U_{\alpha 2} U_{\beta 2}|^2 \sin^2 \Delta_{21} \\
&\quad + 2|U_{\alpha 3} U_{\beta 3} U_{\alpha 2} U_{\beta 2}| \sin \Delta_{31} \sin \Delta_{21} \cos(\Delta_{32} \pm \delta_{32})] .
\end{aligned}$$

Here  $\delta_{32} \equiv \arg(U_{\alpha 3} U_{\beta 3}^* U_{\alpha 2}^* U_{\beta 2})$ , a CP – violating phase.

Two waves of different frequencies,  
and their ~~CP~~ interference.

# When the Spectrum Is—



For  $\beta \neq \alpha$ ,

$$P(\overleftarrow{\nu}_\alpha \rightarrow \overleftarrow{\nu}_\beta) \cong 4|U_{\alpha 3} U_{\beta 3}|^2 \sin^2(\Delta m^2 \frac{L}{4E}) \quad .$$

For no flavor change,

$$P(\overleftarrow{\nu}_\alpha \rightarrow \overleftarrow{\nu}_\alpha) \cong 1 - 4|U_{\alpha 3}|^2(1 - |U_{\alpha 3}|^2) \sin^2(\Delta m^2 \frac{L}{4E}) \quad .$$

Experiments with  $\Delta m^2 \frac{L}{E} = \mathcal{O}(1)$  can determine the flavor content of  $\nu_3$ .

# When There are Only Two Flavors and Two Mass Eigenstates

$$U = \begin{bmatrix} U_{\alpha 1} & U_{\alpha 2} \\ U_{\beta 1} & U_{\beta 2} \end{bmatrix} = \begin{bmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{bmatrix} \begin{bmatrix} e^{i\xi} & 0 \\ 0 & 1 \end{bmatrix}$$

Mixing angle

For  $\beta \neq \alpha$ ,

$$P(\bar{\nu}_\alpha \leftrightarrow \bar{\nu}_\beta) = \sin^2 2\theta \sin^2(\Delta m^2 \frac{L}{4E}) .$$

For no flavor change,  $P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\alpha) = 1 - \sin^2 2\theta \sin^2(\Delta m^2 \frac{L}{4E})$ .