





Optical Lattices and Artificial Gauge Potentials

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Optical Lattices and Artificial Gauge Potentials

Part 1: Build up the Hamiltonian

Optical Lattices Non-interacting properties (band structure, wave functions) Hubbard models

Part 2: Read out the quantum state

Probing quantum gases in optical lattice Mapping phase diagrams of Hubbard models

Part 3: Beyond Hubbard models in optical lattices

Topological properties and transport Magnetic phenomena for neutral atoms

Cold atoms simulator



Optical Lattices and Artificial Gauge Potentials Part 3: Artificial Gauge Potentials

Part 3 3.1 Magnetic phenomena in presence of a lattice

3.2 Generating *artificial* gauge potentials on a lattice

3.3 Engineering and probing topological band structures

Dalibard, Introduction to the physics of artificial gauge fields, Cours du Collège de France

3.1 Magnetic phenomena in presence of a lattice

3.1 Magnetic phenomena in presence of a lattice

- Competition between two length scales
 - » Lattice spacing: *a*

» Magnetic length:
$$l_{\text{mag}} = \sqrt{\frac{n}{eB}}$$

• New phenomena when $l_{
m mag} pprox a$

$$\frac{a^2}{l_{\text{mag}}^2} = \frac{e B a^2}{\hbar} = 2\pi \frac{\Phi}{\Phi_0} \qquad \Phi_0 = h/e$$

Fractal structure for the energy spectrum "Hofstadter butterfly"

Quantum Hall effect

Simulation with quantum gases in optical lattices?





Artificial magnetic field

- Coupling between electromagnetic fields and charged particles central for many phenomena:
 - » Integer and fractional Quantum Hall effect
 - » Spin-orbit coupling
 - » Topological insulators
 - »
- Quantum simulation with quantum gases
 - » Well controlled systems to study solid-state models
 - » Neutral atoms (q = 0)

Simulating magnetic effects with quantum gases is a challenge:

Requires the creation of "substitutes" to real electromagnetic fields: "Artificial gauge potentials"



Quantum mechanics / Gauge transformation

- Classical physics
 - » Equation of motion $m\ddot{r} = q\dot{r} \times B$
 - » Gauge transformation $A(r) \rightarrow A'(r) = A(r) + \nabla \chi(r)$

AB P

- Quantum mechanics
 - » Schrödinger equation

$$i\hbar \frac{\partial \psi(\mathbf{r},t)}{\partial t} = \frac{(\hat{\mathbf{p}} - q\mathbf{A}(\hat{\mathbf{r}}))^2}{2M}\psi(\mathbf{r},t)$$

» Gauge transformation (imposed by the Schrödinger equation)

$$\mathbf{A} \to \mathbf{A}'(\mathbf{r}) = \mathbf{A}(\mathbf{r}) + \nabla \chi(\mathbf{r})$$
$$\psi(\mathbf{r}, t) \to \psi'(\mathbf{r}, t) = \exp[iq\chi(\hat{\mathbf{r}})/h]\psi(\mathbf{r}, t)$$

The wave-function is modified by a gauge transformation: it acquires a phase!

Quantum mechanics / The Aharonov-Bohm effect

- Gedanken experiment of Aharonov and Bohm (1
 - » Two path interferometer for single electrons
 - » Infinite solenoid: **B**_{in} = **B** and **B**_{out} = **0**
- Probing a magnetic field without seeing it
 - » Zero Lorentz force outside the solenoid
 - » BUT: Shift of the interference pattern



- One of the "seven wonders of the quantum world" [New Scientist magazine]
 - » Several experimental demonstrations
 - » Questions the locality of electromagnetic fields
 - Local electromagnetic fields (B, E) and delocalized particle in the solenoid,
 - Gauge potentials (A, V) and particle localized around the solenoid.
 - » Global action versus local forces: Lagrangian formalism (based on energies) is not just a computational aid to the Newtonian formalism (based on forces).

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 - » **BUT:** Shift of the interference pattern
- Aharonov-Bohm phase
 - » Switching the current corresponds to a gauge change:

$$\mathbf{A}(\mathbf{r}) = \mathbf{0} \to \mathbf{A}(\mathbf{r}) = \nabla \chi_{I,II}(\mathbf{r})$$

» The matter-wave interference at *r* is related to:

$$\psi_l^*(\mathbf{r})\psi_r(\mathbf{r}) = \exp[iq(\chi_{II}(\mathbf{r}) - \chi_I(\mathbf{r}))]\psi_l^{(0)*}\psi_r^{(0)}$$
$$\chi_I(\mathbf{r}) - \chi_{II}(\mathbf{r}) = \int_{\mathbf{0},\mathbf{CI}}^{\mathbf{r}} \mathbf{A}(\mathbf{r}')d\mathbf{r}' - \int_{\mathbf{0},\mathbf{CII}}^{\mathbf{r}} \mathbf{A}(\mathbf{r}')d\mathbf{r}'$$





Aharonov and Bohm, Phys. Rev. 115, 485 (1959)

Quantum mechanics / The Aharonov-Bohm effect

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$$\Delta \varphi = \frac{1}{\hbar} \oint q \mathbf{A}(\mathbf{r}) d\mathbf{r} = \frac{2\pi}{\Phi_0} \int \int B_z(x, y) dy dx = 2\pi \frac{\Phi}{\Phi_0}$$
Flux quantum $\Phi_0 = \frac{h}{q}$
Gauge invariant

- » Geometric phase (no dependency on velocity)
- » Even topological (constant under path deformation)

Magnetic field on a lattice / Peierls phase

- Peierls substitution
 - » Presence of a gauge potential

 \leftrightarrow Complex tunneling matrix element

» Peierls phase
$$\theta_{i,j} = \frac{e}{\hbar} \int_{R_i}^{R_j} A(\mathbf{r}) \cdot d\mathbf{r}$$



» Magnetic flux through a plaquette - Aharonov-Bohm phase

$$\sum \theta_{ij} = \frac{e}{\hbar} \oint \boldsymbol{A}(\boldsymbol{r}) \cdot d\boldsymbol{r} = \frac{e}{\hbar} \iint \boldsymbol{B}(\boldsymbol{r}) \cdot d\boldsymbol{S} = 2\pi \frac{\Phi}{\Phi_0}$$

• Gauge potential in momentum space



Harper Hamiltonian / Hofstadter butterfly

- Particle moving on a square lattice in presence of a magnetic field
 - » Same flux through each plaquette $\Phi = \alpha \Phi_0$
 - » Landau gauge $A = -By e_x$

» Peierls phase
$$\theta(|j,l\rangle \rightarrow |j,l+1\rangle) = 0$$

 $\theta(|j,l\rangle \rightarrow |j+1,l\rangle) = -2\pi\alpha l$

• Harper Hamiltonian

$$\widehat{H}_{\text{Harper}} = -J \sum_{j,l} \left(e^{-i2\pi\alpha l} |j+1,l\rangle\langle j,l| + |j,l+1\rangle\langle j,l| \right) + hc$$

- Energy spectrum: Hofstadter butterfly
 - » Invariant under $\alpha \rightarrow \alpha + 1$

ightarrow study of the spectrum for $0 \le lpha < 1$

- » Magnetic field breaks the translational invariance along y
- » Fractal structure





Harper Hamiltonian / Hofstadter butterfly

- Rational values of the flux $\alpha = p'/p$
 - » Translational symmetry restored along y $\theta(|j, l + p\rangle \rightarrow |j + 1, l + p\rangle) = -2\pi\alpha(l + p)$ $= \theta(|j, l\rangle \rightarrow |j + 1, l\rangle) \mod 2\pi$
 - » Increased spatial period *pa*: magnetic unit cell
- Case α=1/3
 - » Magnetic unit cell: length of *a* along *x* and *3a* along *y*
 - » Each unit cell contains 3 sites
 - \rightarrow Splitting of the energy spectrum in 3 sub-bands
- Origin of the fractal structure
 - » $\alpha = 1/3$ and $\alpha = 10/31$: very close values of α
 - » But very different results as 3 or 31 sub-bands!





Harper Hamiltonian - Hofstadter butterfly

- Recovering the Landau levels
 - » For low magnetic fluxes: $l_{
 m mag} \gg a$
 - » Analog to a free particle in a static magnetic field E/J

E/J

» Landau levels?



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• Measurement of the Hofstadter butterfly

$$\Phi=\Phi_0 \Leftrightarrow B\approx \Phi_0/a^2$$

- » Solid state systems $a \approx 1 A \Rightarrow B \approx 4 \ 10^5 T$
- » Realized using the Moiré pattern in monolayer graphene
- » Quantum gases?



1.0

3.2 Generating *artificial* gauge potentials on a lattice

3.2 Generating artificial gauge potentials on a lattice

- Natural tunneling in an optical lattice
 - » Well controlled with the lattice depth
 - » Tunneling = hopping probability $J \ge 0$
- Getting complex tunneling
 - » Shift the dispersion relation





» Strong field regime reachable as one simulates directly the Peierls phase

$$0 \le \theta < 2\pi$$

Floquet engineering

- Band engineering via periodic driving: "Floquet engineering"
 - » Periodic driving of the quantum system $\widehat{H}(t+T) = \widehat{H}(t)$
 - » Analog to the Bloch theorem in time Eigenstate: Floquet states $|\psi_n(t)\rangle = |u_n(t)\rangle e^{-i\epsilon_n t}$

$$|u_n(t+T)\rangle = |u_n(t)\rangle$$

» Floquet theorem

$$|U(t_1, t_2)\rangle = P(t_2)e^{iH_{\rm eff}(t_1 - t_2)}P^+(-t_1)$$

- High frequency limit
 - » Faster than all other timescales in the system
 - » Effective Hamiltonian is time independent $\widehat{H}_{\rm eff} = \left< \widehat{H}(t) \right>_T$
 - » New properties can emerge in the effective Hamiltonian, especially gauge fields





- Lattice shaking
 - » Modification of the frequency of one lattice beam
 - » Acceleration of the lattice in space → inertial force $F(t) = -m\ddot{r}(t)$
 - » Semi-classical equation for the quasi-momentum

 $\hbar \dot{\boldsymbol{q}}_k(t) = \boldsymbol{F}(t)$

- » Time-periodic force with zero mean value $\langle F(t) \rangle_T = 0$
- Renormalization of the band structure in 1D
 - » Sinusoidal shaking $F(t) = F_0 \sin(\omega t)$ $\Rightarrow q_k(t) = k + \frac{F_0}{\hbar \omega} \cos(\omega t)$
 - » Effective band-structure

$$\mathcal{E}_{\rm eff}(k) = \frac{1}{T} \int_0^T E(q_k(\tau)) d\tau$$

 $e^{v + \delta v(t)} \xrightarrow{a}_{v} v$



- Effective tunneling
 - » Band structure and tunneling

$$E(q) = -2J_{\text{bare}}\cos(qa)$$

» Effective tunneling

$$E_{eff}(k) = \frac{1}{T} \int_{0}^{T} E(q_{k}(\tau)) d\tau = -2J_{eff} \cos(ka)$$
$$J_{eff} = J_{bare} J_{0}(K)$$
$$K = \frac{F_{0}a}{\hbar\omega}$$

- Measurement with a condensate
 - » BEC: occupies the minimal energy quasi-momentum *k*
 - » Quasi-momentum
 retrieved after time-of-flight expansion
 for different forcing amplitude K





- Realization of complex tunneling
 - » Inertial force asymmetric around q=0





q



- Realization of artificial magnetic fluxes
 - » Shaking of a triangular lattice \rightarrow complex tunneling

(X)

 (\cdot)

•

 (\mathbf{X})

 (\bullet)

(X)



» Alternating flux pattern

Modification of the band structure
 can be retrieved after time-of-flight



Φ

 $=\pi$





k_v0·



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Band engineering via amplitude modulation

- Cyclotron motion of mass currents
 - » Cyclotron orbit around a square plaquette



» Finite mass current \leftrightarrow finite quasi-momentum



🖹 Bloch / Ketterle, Phys. Rev. Lett. 111 (2013)





3.3 Engineering and probing topological band structures

3.3 Engineering and probing topological band structures

Topology and material properties

- » Energy bands of a solid can be topologically non-trivial
 - Berry curvature, Chern number
- » Topologically non trivial bands give rise to new properties
 - Anomalous velocity
 - Quantized conductance
 - Topological insulators
 - Edge states



Topological properties of Bloch bands

- Berry curvature in Bloch bands
 - » Eigenstates $|u_{n,k}\rangle$ (band index *n*, quasi-momentum *k*)
 - » Berry connection $\mathbf{A}_n(\mathbf{k}) = i\hbar \left\langle u_{n,\mathbf{k}} | \nabla_{\mathbf{k}} u_{n,\mathbf{k}} \right\rangle$
 - » Berry curvature

$$\mathbf{B}_{n}(\mathbf{k}) = \nabla_{\mathbf{k}} \times A_{n}(\mathbf{k})$$
$$\mathbf{B}_{n}(\mathbf{k}) = \nabla_{\mathbf{k}} \times i\hbar \left\langle u_{n,\mathbf{k}} \right| \frac{\partial}{\partial \mathbf{k}} |u_{n,\mathbf{k}} \rangle$$

» Magnetic field in momentum space $F \propto -\dot{k} \times B_{\lambda}(k)$



 $|\psi\,\rangle\,\rightarrow \exp(i\Phi^{\rm geom})\,|\psi\,\rangle$

"The remarkable and rather mysterious result of this paper..." Berry 1984

• Berry phase: Geometrical phase accumulated around a closed path

$$\Phi_n^{\text{geom}} = \frac{e}{\hbar} \oint A_n(\mathbf{k}) \cdot d\mathbf{k} = \frac{e}{\hbar} \iint B_n(\mathbf{k}) \cdot d\mathbf{S}$$

- Chern number
 - » Integral of the Berry curvature over the Brillouin zone

$$C_n = \frac{1}{2\pi} \iint_{FBZ} \boldsymbol{B}_n(\boldsymbol{k}) \cdot d\boldsymbol{k}$$

» Gauge invariant

Non-trivial topological bands and material properties

- Topological insulator
 - » Electronic band structure: band insulator with Fermi level falling between valence and conduction band
 - » Insulating in the bulk
 - » Metallic at the surface: edge/surface-states (bulk energy gap)
- Chern number and transport properties
 - » Influences the transport properties
 - Anomalous velocity
 - Quantized conductance (Quantum Hall effect)
 - » Determines the number of edge states (Bulk/Edge equivalence)
- Models leading to non-trivial topological bands
 - » Spin-orbit coupling
 - » Harper model
 - » Haldane model



Momentum

Chern number and transport measurements

- Band velocity in a 1D lattice
 - » Atom cloud submitted to a constant force F along y
 - » Average velocity of the eigenstate $|u_{n,k}\rangle$

$$\mathbf{v}_{n}(k) = \left\langle u_{n,k} \left| \hat{v} \right| u_{n,k} \right\rangle = \frac{1}{\hbar} \frac{\partial E_{n}(k)}{\partial k}$$

» Semi-classical equations of motion for a wave-packet

$$\hbar \dot{x}_{c} = \hbar v_{n}(k_{c}) = \frac{1}{\hbar} \frac{\partial E_{n}(k)}{\partial k}$$
$$\hbar \dot{k}_{c} = F$$

• Bloch oscillations





- Anomalous velocity in 2D lattices
 - » Modification of the velocity along the transverse direction x

$$\mathbf{v}_{n}^{\mathcal{X}}(\boldsymbol{k}) = \left\langle u_{n,\boldsymbol{k}} | \widehat{\boldsymbol{v}}^{\mathcal{X}} | u_{n,\boldsymbol{k}} \right\rangle = \frac{1}{\hbar} \frac{\partial E_{n}(\boldsymbol{k})}{\partial k_{\mathcal{X}}} - \frac{F_{\mathcal{Y}}}{\hbar} B_{n}(\boldsymbol{k})$$

$$B_{n}(\boldsymbol{k}) = i\left(\left\langle\partial_{k_{x}}u_{n,\boldsymbol{k}} \mid \partial_{k_{y}}u_{n,\boldsymbol{k}}\right\rangle - \left\langle\partial_{k_{y}}u_{n,\boldsymbol{k}} \mid \partial_{k_{x}}u_{n,\boldsymbol{k}}\right\rangle\right)$$

1st term: Usual band velocity responsible for Bloch oscillations 2nd term: Anomalous velocity due to the Berry curvature

- » Net drift transverse to the applied force
- Transverse velocity for uniformly populated bands
 - » Number of states per band $N_{\text{states}} = A_{\text{sys}}/A_{\text{cell}}$
 - » Average particle number uniform over the Brillouin zone $\rho^n(\mathbf{k}) = \rho^n = N^n / N_{\text{states}}$
 - » Mean transverse velocity

$$v_{tot}^{x} = \sum_{n} \rho^{n} \sum_{k} v_{n}^{x}(k) \rightarrow -\frac{F_{y}A_{cell}}{\hbar} \sum_{n} C_{n} \qquad (\int_{BZ} \frac{\partial E_{n}(k)}{\partial k_{x}} d^{2}k = 0)$$

Externa

force F

nomalous velocity

Atom cloud

- Hall effect
 - » 2D electrons gas in presence of a magnetic field
 - » Electrons are deviated by the Lorentz force
 - » Separation of charges induces an electric field
 - » Hall voltage non zero



- Quantum Hall effect macroscopic occupation of Landau levels
 - » Macroscopic degeneracy of each level (sample area A)



» Effect of the chemical potential

Insulating material when chemical potential between a filled and an empty band

Β

- Integer Quantum Hall effect
 - » Current along x fixed $J_x = n_{2D}e v_X$
 - » Gate voltage U_g varied in order to vary μ
 - » Measurement of U_x and U_y $U_x = \rho_{xx}L_x J_x$ $U_y = \rho_{xy}L_y J_x$
- Ug Uy Isolator Lx Uz ZD-Elektronengas



- Observations
 - » U_x vanishes periodically :

Insulating when μ between 2 Landau levels

$$\rho_{xx} = \rho_{yy} = 0$$

» U_v has plateaus for the same values of U_g

Completely unexpected... Von Klitzing constant: $R_K = \frac{e}{h^2}$ Effect of non-trivial topological bands!

- 2D polarized Fermi gas at T=OK
 - » Fermi energy within a spectral gap
 - » Perfect filling of the bands below the gap

$$\rho = \frac{N_{tot}}{N_{states}} = 1 \text{ for } E_n < E_F$$

- Quantum Hall effect
 - » Total transverse velocity

$$v_{tot}^{x} = -\frac{F_{y}A_{sys}}{\hbar} \sum_{E_{n} < E_{F}} C_{n}$$

» Electric Hall conductivity $\sigma_{x,y}$

$$j_{x} = \sigma_{x,y} E_{y} \qquad \Rightarrow \sigma_{x,y} = \frac{e^{2}}{\hbar} \sum_{E_{n} < E_{F}} C_{n}$$
$$j_{x} = \frac{e v_{tot}^{x}}{A_{sys}} \qquad \Rightarrow \sigma_{x,y} = \frac{e^{2}}{\hbar} \sum_{E_{n} < E_{F}} C_{n}$$





» Transport measurements reveal the Chern numbers

- Integer Quantum Hall effect
 - » Quantized conductivity
 - » Transport measurements reveal topological properties
- Fractional Quantum Hall effect
 - » Plateaus at fractional values of the Hall resistance
 - » Collective behavior:
 - condensation of the electron gas
 - » Microscopic origin unknown Induced by e-e repulsion?
 - Quantum simulation with model systems!





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Engineering topologically non-trivial models - Harper Model

- Uniform magnetic field on a lattice
 - » Energy spectrum Hofstadter butterfly
 - » For rational values of the flux $\alpha = \Phi/\Phi_0 = p'/p$
 - Increased spatial periodicity *pa* (magnetic cell)
 - The energy band for $\Phi = 0$ splits into *p* sub-bands
 - Each sub-band has a non-zero Chern number
- Consequence of the non-trivial topology
 - » Quantized conductance: quantum Hall effect
 - » Edge states: macroscopic consequence of the cyclotron orbits induced by a magnetic field truncated at the sample's boundary
- Realized for quantum gases
 Periodic amplitude modulation in a square lattice





Engineering topologically non-trivial models - Haldane model

- Graphene-like honeycomb lattice
 - » Unit cell contains two equivalent sites A and B
 - » Nearest neighbor tunneling of amplitude J $(A \leftrightarrow B)$
 - » Band structure: two bands touching at the Dirac points
 - » Berry curvature non zero
 - Berry phase around the Dirac point
 - $\mathbf{B}_1(\mathbf{k}) = -\mathbf{B}_1(-\mathbf{k}) \Rightarrow C_1 = 0$
- Breaking time-reversal symmetry
 - » Addition of complex next-neighbor tunneling $(A \leftrightarrow A \text{ or } B \leftrightarrow B)$
 - » Lift the degeneracy at the Dirac points
 - » 2 sub-bands separated by a gap with Chern numbers +1 and -1

 $\mathbf{B}_1(\mathbf{k}) \neq -\mathbf{B}_1(-\mathbf{k}) \Rightarrow C_1 \neq 0$

Realized for quantum gases
 Circular acceleration of an honeycomb lattice







Evidencing topological properties with quantum gases

- Chern number
 - » Transport measurements
 - Anomalous velocity
 - Quantized conductance
 - » Counting the edge states



• Measuring the Berry phase with a momentum space interferometer



• Mapping the Berry curvature

Mapping the Berry curvature



Sengstock, Weitenberg, Science **352**, 1091 (2016)

Measuring the Berry phase

- Honeycomb lattice
 - » Berry curvature non zero
 - » Berry phase of π accumulated around the Dirac points
 - » Opposite signs for the 2 Dirac points
- Measurement of the Berry phase
 - » Berry flux analog to a magnetic flux
 - » Aharonov-Bohm interferometer: observation of the phase accumulated proportional to the magnetic flux
 - » Berry flux interferometer: closed path in reciprocal space





Direct observation of edge states

- Edge states
 - » Metallic states located at the edge of the sample
 - » Reveal non-trivial bulk properties (topological insulators)
 - » For non-interacting fermionic system:

Number of edge states = Chern number of the filled bands

- Edge states for quantum gases
 - » Requires a non-zero Chern number for the lowest band
 - » Harper model: macroscopic consequence of the cyclotron orbits induced by a magnetic field truncated at the physical boundary of the sample
 - » Direct observation challenging
 - Corresponding to mass current (Time-of-Flight imaging)
 - Large imbalance between population bulk and edge states
 - Difficult to observe in harmonic traps (no sharp edges): box potentials required

Α

real dimensi

m = -5/2

Direct observation of edge states

- Synthetic magnetic fields in synthetic dimensions
 - » Magnetic fields are two-dimensional objects
 - » Synthetic magnetic field
 - One dimensional lattice with tunneling J
 - Extra dimension: internal degree of freedom (nuclear spin)
 - Two-photon Raman transition couples the spins and induces a complex tunneling amplitude along the extra dimension
 - » Realization of the Harper model
- Direct observation of edge states
 - » Two-legs ladder with fermions
 - » Opposite mass currents along the two legs
 - » Chiral dynamics revealed

by spin resolved time-of-flight measurement

h(k) = n(k) - n(-k)

- Lewenstein, Phys. Rev. Lett. 112, 043001 (2014)
- Spielman & Inguscio, Science 349 (2015)





Artificial gauge fields / Summary

- Artificial gauge fields
 - » Electromagnetic fields in free space (Raman coupling)
 - » Magnetic fields on a lattice (Floquet engineering)
 - » Spin-orbit coupling
- Topological non-trivial bands
 - » Harper model
 - » Haldane model
 - » .. many more as of today
- Evidencing topological properties with quantum gases
 - » Measurement of the Chern number via transport properties
 - » Direct observation of edge states
 - » Measuring the Berry phase
 - » Mapping the Berry curvature





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Artificial Gauge Fields / Challenges and Outlook

- Static electromagnetic fields
 - » No feedback of the matter onto the artificial fields (neutral)
 - \rightarrow Maxwell equation not valid for artificial gauge fields
- Topological materials and edge states
 - » For non-interacting system: number of edge states = Chern number Effect of interactions?
 - » Edge states observed only for small systems so far (two/three legs ladder)
 Difficult to realize in a trap (no sharp edges): box potentials required
- Interactions
 - » So far no effect of interaction single particle physics
 - » Realization of strongly correlated phases still not achieved