# Classical Solutions and Background Independence in OSFT



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#### **Relevance of classical solutions in SFT**

 SFT equation of motion can give new handles on exact (B)CFT's which are not easily accessible from the standard CFT approach: *the "honeycomb" c=2 BCFT* (*Kudrna-Schnabl-Vosmera*), *RR backgrounds in RNS* (*Cho-Collier-Yin*), etc...

Some backgrounds just don't have a direct (B)CFT description (e.g. the tachyon condensate) and to study their physics one needs field theory-like tools.

 In QFT's based on a path integral, classical solutions are the saddle points of the action and they account for *non-perturbative contributions* to amplitudes. If we want to have a non-perturbative understanding of string theory from SFT it is necessary to understand how string theory backgrounds appear as classical solutions.

#### Background independence in string field theory

- We can construct a string field theory on any given exact string background  $(B)CFT_0$ , with a dynamical field variable  $\phi^{(0)}$  and an action  $S\left[\phi^{(0)}
  ight]$
- Classical solutions  $\phi^{(0)} = \Psi_*^{(0)}$ , represent other consistent backgrounds  $B(CFT)_*$
- Expand  $\phi^{(0)}=\Psi_*^{(0)}+\phi_*^{(0)}$ : dynamics of fluctuations around the solution

$$S\left[\Psi_{*}^{(0)} + \phi_{*}^{(0)}\right] = S\left[\Psi_{*}^{(0)}\right] + S_{*}\left[\phi_{*}^{(0)}\right]$$

• Background independence: the expanded action and the action directly formulated around  $B(CFT)_*$  should be related by *field redefinition* 

$$\phi_*^{(0)} = f\left(\phi^{(*)}\right)$$
$$S_*\left[\phi_*^{(0)}\right] = S\left[\phi^{(*)}\right]$$

• A Jacobian is also generated from the field redefinition in the path integral measure (quantum effect)

$$\mathscr{D}\phi^{(0)} = \mathscr{D}\phi^{(0)}_* = \mathscr{D}\phi^{(*)} \left| \frac{\mathscr{D}\phi^{(0)}_*}{\mathscr{D}\phi^{(*)}} \right|$$

From now on let us focus on (classical) Witten bosonic Open String Field Theory

$$S\left[\phi\right] = -\frac{1}{g_s} \operatorname{Tr}\left[\frac{1}{2}\phi Q\phi + \frac{1}{3}\phi^3\right]$$

 $\phi \in H_{\mathrm{BCFT}_0}$ 



• Solution to the equation of motion  $Q\Psi + \Psi^2 = 0$  (Erler, C.M. 2014-2019)



 $\Sigma\Sigma = 1$ 

• Equation of motion

$$Q_{tv}\Sigma = Q\Sigma + \Psi_{tv}^0\Sigma - \Sigma\Psi_{tv}^* = 0$$
$$Q_{tv}\overline{\Sigma} = Q\overline{\Sigma} + \Psi_{tv}^*\overline{\Sigma} - \overline{\Sigma}\Psi_{tv}^0 = 0$$

• In our construction we have

$$\overline{\Sigma}\Sigma = 1 \in H_{\text{BCFT}_*}$$
$$\overline{\Sigma}\Sigma = P \in H_{\text{BCFT}_0}$$

• The emerging star algebra projector  $P^2 = P$  has an interesting role in the proof of background independence, as we will see.

• Other important properties which descend from the previous ones

$$Q_{\Psi} \left( \Sigma \phi^{(*)} \overline{\Sigma} \right) = \Sigma \left( Q_B \phi^{(*)} \right) \overline{\Sigma}$$
  
$$\phi^{(*)} \in H_{BCFT_*}$$
  
$$\Sigma \phi^{(*)} \overline{\Sigma} \in H_{BCFT_0}$$
  
$$Tr_0 [\Sigma \phi^{(*)} \overline{\Sigma}] = Tr_* [\phi^{(*)}]$$

### **Observables**

Action (it computes the energy for static solutions)

$$\begin{split} E &= -\frac{1}{6} \mathrm{Tr}[\Psi^3] = -\frac{1}{6} \mathrm{Tr}[(\Psi_{\mathrm{tv}})^3] + \frac{1}{6} \mathrm{Tr}[\Sigma(\Psi_{\mathrm{tv}}^*)^3\overline{\Sigma}] \quad \text{(EOM)} \\ &= -\frac{1}{6} \mathrm{Tr}[(\Psi_{\mathrm{tv}})^3] + \frac{1}{6} \mathrm{Tr}[(\Psi_{\mathrm{tv}}^*)^3] = \frac{1}{2\pi^2} \left(-g_0 + g_*\right) \end{split}$$

Ellwood Invariants (Boundary State of the new background)

$$\langle I|V(i,-i)|\Psi\rangle \equiv \mathrm{Tr}_V[\Psi] = \mathrm{Tr}_V[\Psi_{\mathrm{tv}}] - \mathrm{Tr}_V[\Sigma\Psi_{\mathrm{tv}}^*\overline{\Sigma}]$$

$$= \operatorname{Tr}_{V}[\Psi_{\mathrm{tv}}] - \operatorname{Tr}_{V}[\Psi_{\mathrm{tv}}^{*}]$$
$$= \frac{1}{2\pi i} \left\langle c\bar{c}V^{(m)}(0)c(1) \right\rangle_{\mathrm{disk}}^{\mathrm{BCFT}_{0}} - \frac{1}{2\pi i} \left\langle c\bar{c}V^{(m)}(0)c(1) \right\rangle_{\mathrm{disk}}^{\mathrm{BCFT}_{*}}$$

## **Background Independence**

• To study the physics around the solution we shift

$$\phi^{(0)} = \Psi_*^{(0)} + \phi_*^{(0)}$$

- Fluctuations  $\phi_*^{(0)}$  can be decomposed according to P and  $ar{P}=1-P$ 

$$\phi_{*}^{(0)} = (P + \bar{P})\phi_{*}^{(0)}(P + \bar{P}) = \begin{pmatrix} \phi_{PP}^{(0)} & \phi_{P\bar{P}}^{(0)} \\ \phi_{\bar{P}P}^{(0)} & \phi_{\bar{P}\bar{P}}^{(0)} \end{pmatrix} \qquad Matrix \ with \\ constrained \ entries$$

• One-to-one linear field redefinition

 $\begin{pmatrix} \phi_{PP}^{(0)} & \phi_{P\bar{P}}^{(0)} \\ \phi_{\bar{P}P}^{(0)} & \phi_{\bar{P}\bar{P}}^{(0)} \end{pmatrix} = \begin{pmatrix} \Sigma & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} \phi_{11}^{(*)} & \bar{\chi}_{1\bar{P}} \\ \chi_{\bar{P}1} & t_{\bar{P}\bar{P}}^{(0)} \end{pmatrix} = \begin{pmatrix} \overline{\Sigma} & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} \phi_{PP}^{(0)} & \phi_{P\bar{P}}^{(0)} \\ \phi_{\bar{P}P}^{(0)} & \phi_{\bar{P}\bar{P}}^{(0)} \end{pmatrix} \begin{pmatrix} \Sigma & 0 \\ 0 & 1 \end{pmatrix}$   $\Phi_{11}^{(*)} \in H_{BCFT_*} \quad \text{Expected new variables} \quad (unconstrained) \quad t_{\bar{P}\bar{P}}^{(0)} \in H_{BCFT_0} \\ \bar{\chi}_{1\bar{P}} \in H_{*0} \quad Un-expected extra variables \\ (constrained) \quad (constrained) \quad (constrained) \quad t_{\bar{P}\bar{P}}^{(0)} \in H_{0*} \quad (constrained) \quad (constrained) \quad t_{\bar{P}\bar{P}}^{(0)} \in H_{0*} \quad (constrained) \quad ($ 

• The action can then be rewritten as (see also *Kishimoto, Masuda, Takahashi*)

$$S[\Psi + \phi_*^{(0)}] = \frac{1}{2\pi^2 g_s} (g^{(0)} - g^{(*)}) - \frac{1}{g_s} \operatorname{Tr}_* \left[ \frac{1}{2} \phi^{(*)} Q \phi^{(*)} + \frac{1}{3} (\phi^{(*)})^3 \right]$$
  
$$- \frac{1}{g_s} \operatorname{Tr}_0 \left[ \frac{1}{2} t Q_{\text{tv}} t + \frac{1}{3} t^3 + \chi Q_{tv,*} \bar{\chi} + t \chi \bar{\chi} + \chi \phi^{(*)} \bar{\chi} \right] \stackrel{\text{Extra constrained}}{\text{fluctuations at the TV}}$$
  
$$P_{\chi} = \bar{\chi} P = Pt = tP = 0$$

- However the only perturbative solution around the TV is  $\chi = \bar{\chi} = t = 0$
- In the perturbative expansion around the saddle, the TV sector can be *integrated out*, setting it to *zero*. The action of the physical fluctuation  $\phi^{(*)}$  *DOES NOT* change.
- This is very different from the integration out of the massive fields ( $Q_{\rm tv}$  has no cohomology, "nothing is left")
- Amplitudes in the background of the solution are mapped to amplitudes in the new BCFT background.

#### Background independence:

### shift + field redefition + integration out of trivial fields

(expected) (something genuinely new!)

- The constrained TV sector would be absent if  $\Sigma \overline{\Sigma} = 1$ . Can this be possible?
- In this case the cohomologies of the two backgrounds would be strictly isomorphic but this is something we don't expect in general (except for special exactly marginal deformations)
- So, physically,  $\Sigma \overline{\Sigma} \neq 1$  is there to allow to connect genuinely different backgrounds.
- Gauge equivalence in  $H_{
  m BCFT_0}$  implies gauge equivalence in  $H_{
  m BCFT_*}$  and viceversa. The extra pure gauge TV sector accounts for that.

### **Explicit realization: the flags**

 The intertwining fields can be explicitly constructed from a TV solution and bcc operators ("twist fields")

$$\Psi_{tv} = \sqrt{F(K)}c \frac{BK}{1 - F^2(K)}c \sqrt{F(K)} \qquad \qquad Bc + cB = 1 \qquad QB = K$$
$$c^2 = B^2 = 0 \qquad Qc = cKc$$

$$\Sigma = Q_{tv} \left( \sqrt{\frac{1 - F^2}{K}} B | \sigma \right) \sqrt{\frac{1 - F^2}{K}} \qquad (\bar{\sigma} | | \sigma) = 1 \in H_{BCFT_*}$$
$$\bar{\Sigma} = Q_{tv} \left( \sqrt{\frac{1 - F^2}{K}} (\bar{\sigma} | B \sqrt{\frac{1 - F^2}{K}}) \qquad (\bar{\sigma} | B | \sigma) = B \in H_{BCFT_*} \right)$$

 $\overline{\Sigma}\Sigma = 1$ 

#### The Flags as surface states with bcc insertion



It is possible to map the surfaces obtained by gluing flags and wedge states to the UHP: Schwarz-Christoffel map • Multiplying in the order  $(\bar{\sigma} | \star | \sigma)$ : degenerating surface





• Multiplying in the order  $|\sigma| \star (\bar{\sigma}| : new kind of surface state)$ 





# $|\sigma\rangle(\bar{\sigma}| = \text{new projector})$

## **MULTIBRANES**

$$\Psi = \Psi_{\rm tv} - \sum_i \Sigma_i \Psi_{\rm tv} \overline{\Sigma}_i$$

• Easily realized by orthogonal flags (generation of Chan-Paton's factors)

$$\overline{\Sigma}_i \Sigma_j = \delta_{ij}$$

• Obtained by choosing bcc operators as

$$\delta_{ij} = \langle I \circ \bar{\sigma}_i(0) \sigma_j(0) \rangle$$

• Universal multibranes: take  $(\sigma_i, \sigma_j)$  in the matter Verma module of the identity and diagonalize the Gram matrix.

### **Comments on non-perturbative amplitudes**

 The *multi-brane solution* can be used to account for multi D-instantons contributions to closed string's scattering in two-dimensional string theory (*Sen, Baltazar-Rodriguez-Yin*) using *Ellwood invariants* and *open string propagators* (Witten vertex covers all bosonic moduli space). Open-closed amplitudes. Integration on moduli space from open string massless states (D-instanton moduli)

$$< V_1 \cdots V_n >^{(1D-Instanton)} \xrightarrow{2-brane} < V_1 \cdots V_n >^{(2D-Instantons)} \xrightarrow{3-brane} etc$$

 The *O-instanton* sector should be the tachyon vacuum. Scattering of Ellwood invariants at the tachyon vacuum should give purely closed string amplitudes (*Gaiotto-Rastelli-Sen-Zwiebach*, *et al*)

$$< V_1 \cdots V_n >^{(0D-Instanton)} \xleftarrow{tv} < V_1 \cdots V_n >^{(1D-Instantons)}$$

• Since bosonic two-dimensional string theory makes sense at the quantum level, this is a concrete arena to test the solution.

## **EXPLICIT REALIZATION OF THE FLAGS**



## FOCK SPACE COEFFICIENTS

• The solution has a Fock space expansion

$$\Psi = \sum_{i} \psi_{i} c \phi^{i}(0) | 0 \rangle + (\dots)$$
$$\psi_{i} = K(h_{i}, h_{\sigma}) C_{i\sigma\bar{\sigma}}$$

- **K** is a universal function which depends on the choice of tachyon vacuum and on the details of the Schwarz-Christoffel map
- $C_{i\sigma\bar{\sigma}}$  is the basic three-point function  $\langle I \circ \bar{\sigma}(0) \hat{\phi}_i(1) \sigma(0) \rangle$
- We have analysed the gh=0 toy model (gh=1 needs 7-dimensional integral on an implicitly defined region, the toy model "only" 3)

$$\Gamma_* = 1 - \sqrt{1 + K} \,|\, \sigma) \frac{1}{1 + K} (\bar{\sigma} \,|\, \sqrt{1 + K})$$

## **EXAMPLE: the Cosh Rolling Tachyon**

- One of the advantage of this solution is the possibility to describe time dependent background (just as any other background)
- Sen's Rolling tachyon BCFT: exactly marginal deformation of Neumann bc

$$e^{\lambda \int_a^b ds \cosh(X^0)(s)} = \sigma_{\lambda}(b) \ \bar{\sigma}_{\lambda}(a)$$

- Periodic moduli space  $\lambda \in [0,1)$ ,
- $\lambda = 0$ : Neumann b.c. for  $X^0$  (perturbative vacuum)

•  $\lambda = \frac{1}{2}$ : multiple Dirichlet b.c. at imaginary values  $X^0 = 2\pi i(n + 1/2)$ 

• The boundary state vanishes at  $\lambda = 1/2$  for real time (but non-trivial support at imaginary time) : Is it the Tachyon Vacuum?

## **OSFT** solution for rolling tachyon

- The tachyon profile of  $\ \Gamma_*$  is given as

$$T^{\text{toy}}(x^{0},\lambda) = \sum_{n \in \mathbb{Z}} T_{n}^{\text{toy}}(\lambda) e^{nx^{0}} = \langle \phi^{T_{n}^{\text{toy}}(\lambda)} | \Gamma_{*} \rangle$$
$$|\phi^{T_{n}^{\text{toy}}(\lambda)} \rangle = -\frac{1}{2\langle 0|0 \rangle_{\text{matter}}} c \partial c \partial^{2} c e^{-nX^{0}(0)} |0\rangle$$

• The needed input is the three-point function which we computed

$$\left\langle I \circ \left( \phi^{T_n^{\text{toy}}(\lambda)}(0) \right) \sigma_{\lambda}(1) \overline{\sigma}_{\lambda}(0) \right\rangle_{\text{UHP}} = (-1)^n 4^{-n^2} \frac{\mathcal{P}_n(\lambda)}{\mathcal{P}_n(\frac{1}{2})}, \quad (n \ge 0) \right\rangle$$
$$\mathcal{P}_n(\lambda) = \lambda^n \prod_{j=1}^{n-1} (j^2 - \lambda^2)^{n-j}$$

• The obtained tachyon profile displays the well-know oscillations at late times



- It doesn't look that  $\lambda = 1/2$  corresponds to the tachyon vacuum. Moreover the marginal current would be a physical state at the tachyon vacuum, which is not expected to happen
- In fact at  $\lambda = 1/2$  a new branch of moduli space opens up, allowing to traslate the (imaginary) D-branes in imaginary time (*Gaiotto-Itzhaki-Rastelli*). The TV should correspond to pushing these D-branes at imaginary infinity.



- To give a new viewpoint on this problem, we can follow the new imaginary branch with our solution (multiple imaginary lumps at increasing separation)
- We constructed solutions for increasing value of imaginary separation

$$x^{0} = 2\pi i \left( n + \frac{1}{2} \right) \longrightarrow x^{0} = ia \left( n + \frac{1}{2} \right), \quad n \in \mathbb{Z}.$$



• Now indeed the tachyon profile sits at the tachyon vacuum for larger and larger time

• Notice that these different backgrounds have all vanishing boundary state (in real time).

## Conclusions

- For the first time we have a non perturbative realisation of background independence in bosonic open string field theory.
- Can we use this solution to account for non-perturbative contributions when the bosonic string makes sense at the quantum level?
- Can we better understand time-dependent backgrounds with vanishing boundary state in OSFT? (closed string radiation expressed in open string variables)
- It would be very desirable to have a similar solution for open-superstring field theory.
   Field theory understanding of RR charge? Instanton contributions etc... As of now we have it for cubic superstring field theory at picture zero (see Noris talk).
- Ideally we would like to be able to connect closed string backgrounds in a similar way.