

# The Standard Model and its flavour anomalies V

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# Standard parameterisation

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$$\begin{aligned}
 V_{\text{CKM}} &= \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \\
 &= \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}s^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}s^{i\delta} & c_{23}c_{13} \end{pmatrix}
 \end{aligned}$$

$\sin \theta_{12}$	$\sin \theta_{23}$	$\sin \theta_{13}$	$\delta/\pi$
$0.225 \pm 0.001$	$0.0420 \pm 0.0006$	$0.0037 \pm 0.0001$	$0.37 \pm 0.01$

[UTfit]

# Standard parameterisation

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$$V_{\text{CKM}} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

# Standard $\rightarrow$ Wolfenstein parameterisation

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$$V_{\text{CKM}} \approx \begin{pmatrix} 1 - \lambda^2/2 & \lambda & A\lambda^3(\bar{\rho} - i\bar{\eta}) \\ -\lambda & 1 - \lambda^2/2 & A\lambda^2 \\ A\lambda^3(1 - \bar{\rho} - i\bar{\eta}) & -A\lambda^2 & 1 \end{pmatrix} + \mathcal{O}(\lambda^4)$$

$$\lambda \approx 0.22$$

$$A, \bar{\rho}, \bar{\eta} = \mathcal{O}(1)$$

“Blackboard”

# Tests of SM flavour predictions and anomalies

One source :  $-\frac{g}{\sqrt{2}} V_{ij} \bar{u}_{iL} \gamma^\mu d_{jL} W_\mu^+ + \text{h.c.}$

$V$  is unitary

Tests of unitarity :

$$\square \sum_k |V_{ik}|^2 = \sum_k |V_{kj}|^2 = 1$$

$$\square \sum_k V_{ik} V_{jk}^* = \sum_k V_{ki} V_{kj}^* = 0 \quad i \neq j$$

$$\underline{\sum_h |V_{ih}|^2 = \sum_k |V_{kj}|^2 = 1}$$

Measure  $|V_{ij}|$  e.g. through  $u_i \leftrightarrow d_j$  tree level decays

$$|V_{ud}| \quad \begin{array}{l} d \rightarrow u e \bar{\nu} \\ u \rightarrow d e \bar{\nu} \end{array} \quad \begin{array}{l} n \rightarrow p e \nu \\ \pi^+ \rightarrow \pi^0 e^+ \nu \\ \rho^+ \rightarrow \sigma^+ \text{ nuclear} \\ \text{transitions} \end{array} \quad 0.97370 \pm 0.00014$$

$$|V_{us}| \quad \begin{array}{l} s \rightarrow u e \bar{\nu} \\ \ell \equiv e, \mu \end{array} \quad \begin{array}{l} K_L^0 \rightarrow \pi^- e^+ \nu \\ K^+ \rightarrow \pi^0 e^+ \nu \end{array} \quad 0.2245 \pm 0.0008$$

$$\sum_h |V_{ih}|^2 = \sum_k |V_{kj}|^2 = 1$$

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Analogously:

$$\begin{pmatrix} |V_{ua}| & |V_{us}| & |V_{ub}| \\ |V_{ca}| & |V_{cs}| & |V_{cb}| \\ |V_{ta}| & |V_{ts}| & |V_{tb}| \end{pmatrix}$$

$$|V_{ca}| = 0.221 \pm 0.004$$

$$|V_{cs}| = 0.987 \pm 0.011$$

$$|V_{cb}| = (0.410 \pm 0.014) \cdot 10^{-2}$$

$$\left| \frac{V_{ub}}{V_{cb}} \right| = 0.079 \pm 0.006$$

Moreover:

$$|V_{ts}| = (0.388 \pm 0.011) \cdot 10^{-3}$$

$$\left| \frac{V_{td}}{V_{ts}} \right| = 0.205 \pm 0.006$$

$$|V_{tb}| = 1.013 \pm 0.030$$

tensions in  $V_{cb}$  and  $V_{ub}$   
(inclusive vs exclusive)

$$\underline{\sum_h |V_{ih}|^2 = \sum_k |V_{kj}|^2 = 1}$$

$$|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 0.9985 \pm 0.0005$$

$$|V_{cd}|^2 + |V_{cs}|^2 + |V_{cb}|^2 = 1.025 \pm 0.022$$

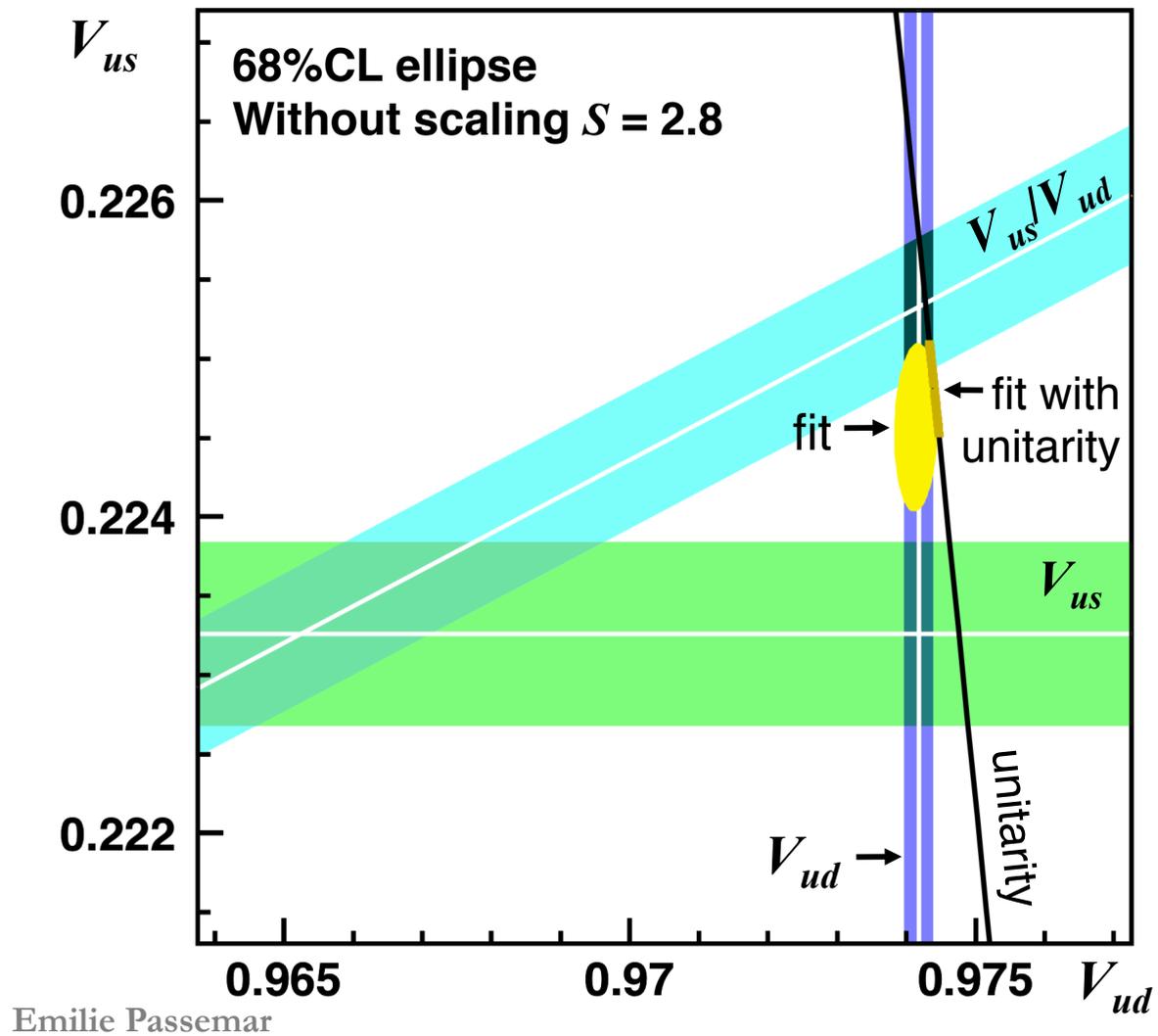
$$|V_{td}|^2 + |V_{ts}|^2 + |V_{tb}|^2 = 0.9970 \pm 0.0018$$

$$|V_{us}|^2 + |V_{cs}|^2 + |V_{ts}|^2 = 1.026 \pm 0.022$$

$$\sum_{\substack{i=u,c \\ j=d,s,b}} |V_{ij}|^2 = 2.002 \pm 0.027$$

$$\left( \text{from BR}(W \rightarrow \ell\nu) \propto \frac{1}{3+3 \sum |V_{ij}|^2} \right)$$

# Cabibbo tension



$$\underline{V_{hi} V_{hj}^* = 0}$$

$$ij = sd \quad V_{us} V_{ua}^* + V_{cs} V_{ca}^* + V_{ts} V_{ta}^* = 0$$
$$O(\lambda) \quad O(\lambda^5) \quad O(\lambda^5)$$

$$ij = bs \quad V_{ub} V_{us}^* + V_{cb} V_{cs}^* + V_{tb} V_{ts}^* = 0$$
$$O(\lambda^4) \quad O(\lambda^2) \quad O(\lambda^2)$$

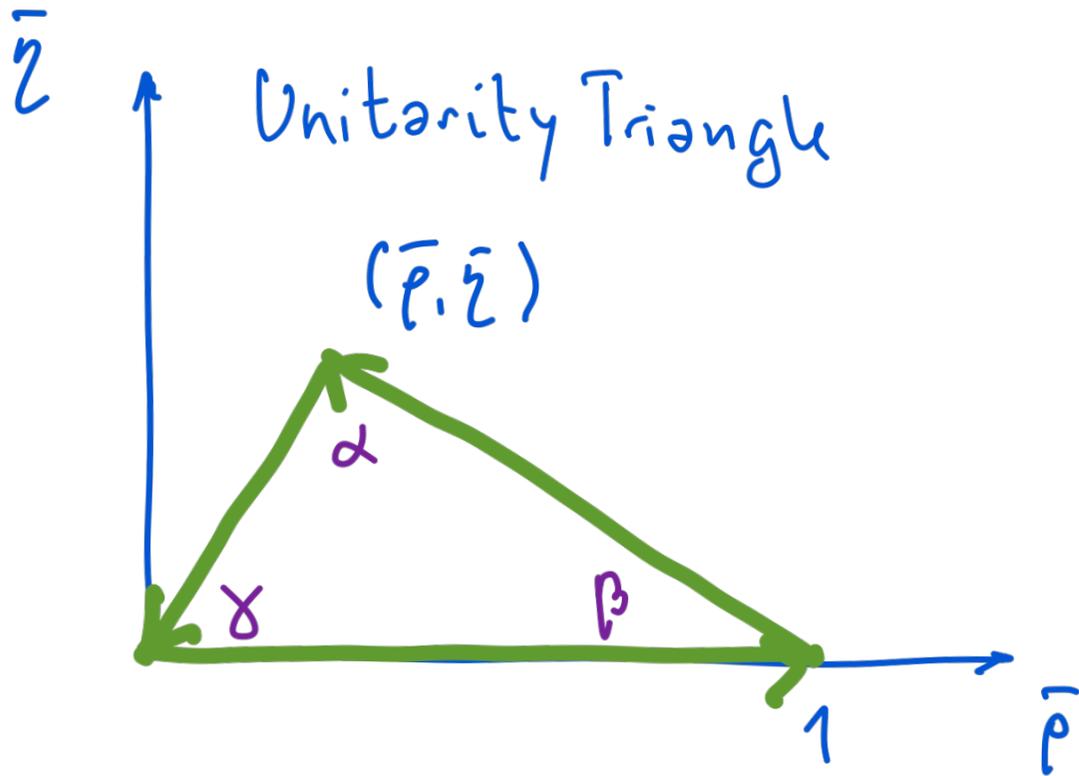
$$ij = bd \quad V_{ub} V_{ud}^* + V_{cb} V_{cd}^* + V_{tb} V_{td}^* = 0$$
$$O(\lambda^3) \quad O(\lambda^3) \quad O(\lambda^3)$$

~~$V_{cb} V_{ca}^*$~~

$$\underline{V_{hb} V_{hd}^* = 0}$$

$$\frac{V_{ub} V_{ud}^*}{V_{cb} V_{cd}^*} + 1 + \frac{V_{tb} V_{td}^*}{V_{cb} V_{cd}^*} = 0$$

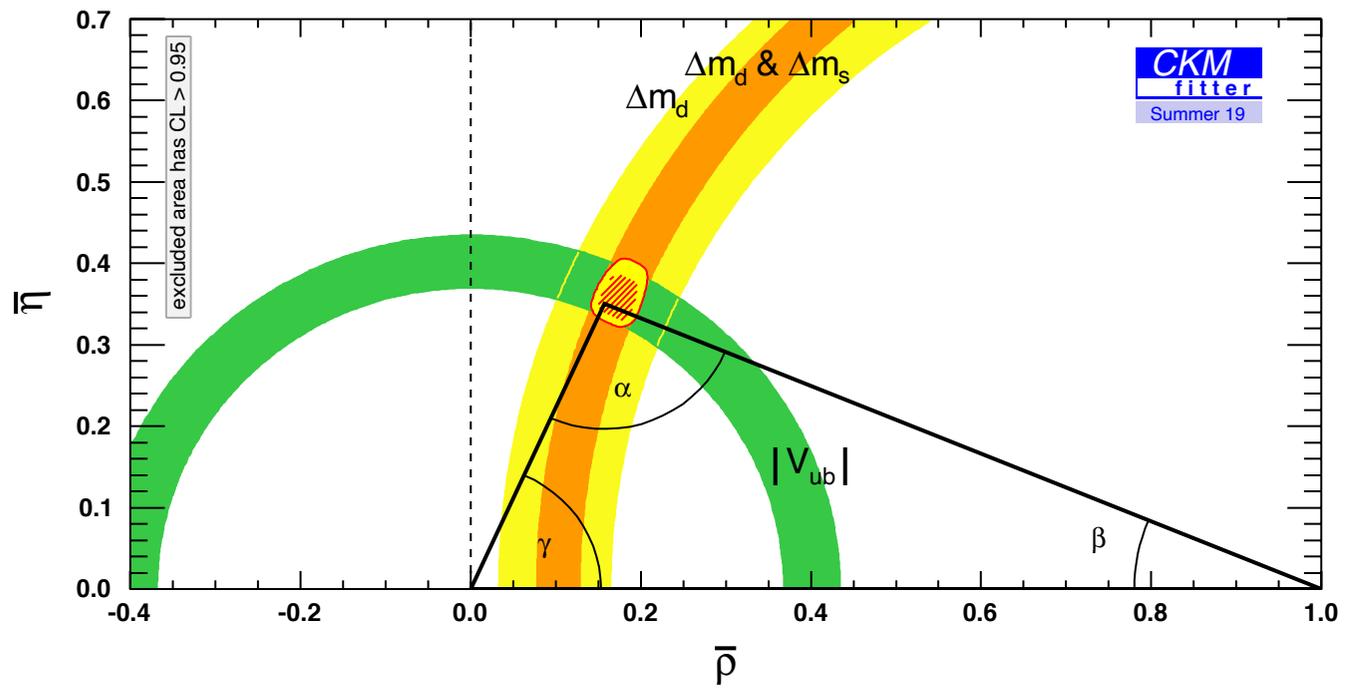
$$-(\bar{\rho} + i\bar{\eta}) \quad \quad \quad \bar{\rho} + i\bar{\eta} - 1$$

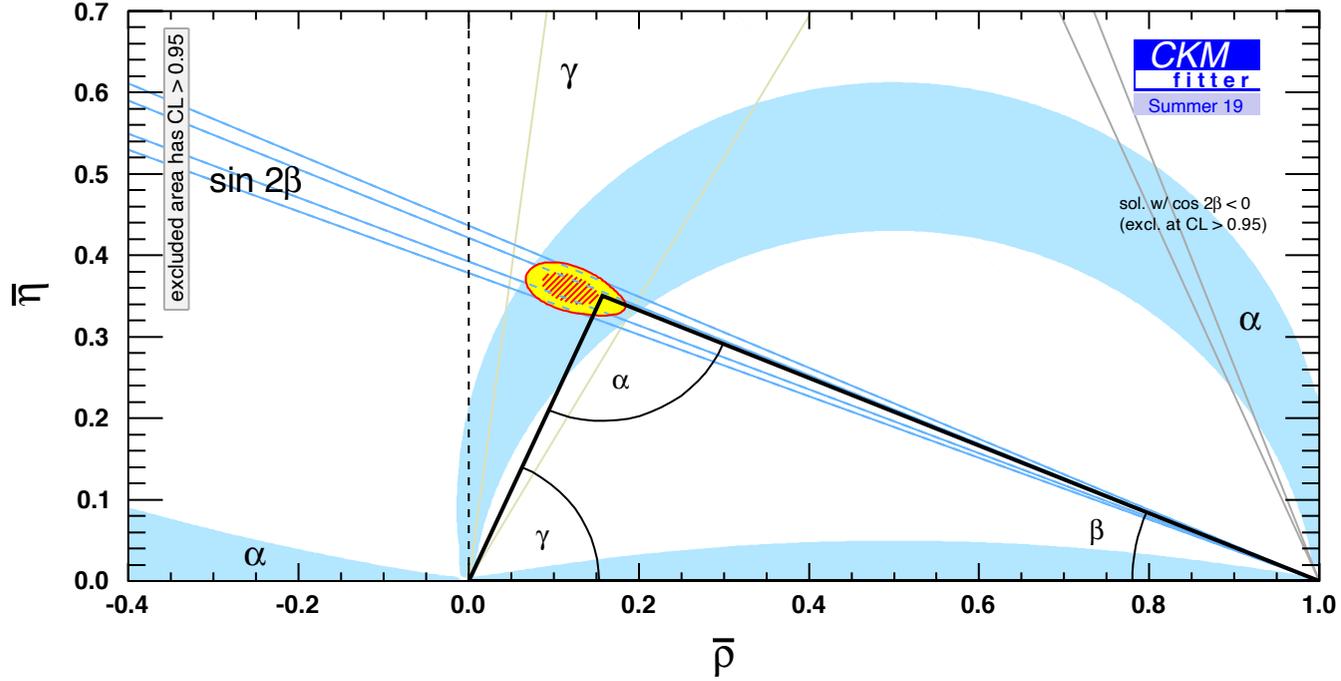


$$\alpha = \arg \left( -\frac{V_{td} V_{tb}^*}{V_{ud} V_{ub}^*} \right)$$

$$\beta = \arg \left( -\frac{V_{cd} V_{cb}^*}{V_{bd} V_{td}^*} \right)$$

$$\gamma = \arg \left( -\frac{V_{ud} V_{ub}^*}{V_{cd} V_{cb}^*} \right)$$





$\beta$  from time-dependent asymmetries  $\bar{B} \rightarrow J/\psi K_S + \dots$   
 $\alpha$  " " " " "  $B \rightarrow \pi\pi + \dots$   
 $\gamma$  " " " " "  $B \rightarrow DK + \dots$

# Time-dependent CP-asymmetries in B decays

$H^0 - \bar{H}^0$  system  $M = K \sim \bar{s}d$ ,  $B_d \sim \bar{b}d$ ,  $B_s \sim \bar{b}s$

Evolution governed by  $H = M - i\Gamma/2$

$\langle H^0 | H | \bar{H}^0 \rangle \neq 0$ :  $M^0 \leftrightarrow \bar{H}^0$  allowed (loop)

$M_L, M_H = p H^0 \pm q \bar{H}^0$  mass eigenstates (mass  $m_{L,H}$  width  $\Gamma_{L,H}$ )

$M = B$  can approximate  $\Gamma_L = \Gamma_H \equiv \Gamma$ ,  $|q/p| = 1$ . Then

$$\frac{\Gamma(B^0(t) \rightarrow f) - \Gamma(\bar{B}^0(t) \rightarrow f)}{\Gamma(B^0(t) \rightarrow f) + \Gamma(\bar{B}^0(t) \rightarrow f)} = S_f \sin(\Delta m t) - C_f \cos(\Delta m t)$$

$$S_f = \frac{2\Delta m \lambda_f}{1 + |\lambda_f|^2}$$

$$C_f = \frac{1 - |\lambda_f|^2}{1 + |\lambda_f|^2}$$

$$\lambda_f = \frac{q}{p} \frac{\langle f | H | B^0 \rangle}{\langle f | H | \bar{B}^0 \rangle}$$

f CP eigenstate

↑ dependence on angles

# Example: the "gold-plated" $B \rightarrow J/\psi K_S$ decay (and $\beta$ )

$$b \rightarrow c\bar{c}s$$

$$\text{amplitude: } V_{cb}^* V_{cs} T + V_{ub}^* V_{us} P_i =$$

$$\text{" } + \underbrace{V_{cb}^* V_{cs} (P_c - P_t)}_{\text{Same phase}} + \underbrace{V_{ub}^* V_{us} (P_u - P_t)}_{\substack{j^2 \times \text{loop} \\ \text{Suppressed:}}}$$

CLEAN

the tree-level phase combines with others to give

$$\arg f_{J/\psi K_S} = -e^{-2i\beta}$$

$$S_{J/\psi K_S} = \sin 2\beta$$

$$C_{J/\psi K_S} = 0$$

$\bar{b} \rightarrow \bar{q}q\bar{q}'$	$B^0 \rightarrow f$	$B_s \rightarrow f$	CKM dependence of $A_f$	Suppression
$\bar{b} \rightarrow \bar{c}c\bar{s}$	$\psi K_S$	$\psi\phi$	$(V_{cb}^*V_{cs})T + (V_{ub}^*V_{us})P^u$	loop $\times \lambda^2$
$\bar{b} \rightarrow \bar{s}s\bar{s}$	$\phi K_S$	$\phi\phi$	$(V_{cb}^*V_{cs})P^c + (V_{ub}^*V_{us})P^u$	$\lambda^2$
$\bar{b} \rightarrow \bar{u}u\bar{s}$	$\pi^0 K_S$	$K^+ K^-$	$(V_{cb}^*V_{cs})P^c + (V_{ub}^*V_{us})T$	$\lambda^2/\text{loop}$
$\bar{b} \rightarrow \bar{c}c\bar{d}$	$D^+ D^-$	$\psi K_S$	$(V_{cb}^*V_{cd})T + (V_{tb}^*V_{td})P^t$	loop
$\bar{b} \rightarrow \bar{s}s\bar{d}$	$\phi\pi$	$\phi K_S$	$(V_{tb}^*V_{td})P^t + (V_{cb}^*V_{cd})P^c$	$\lesssim 1$
$\bar{b} \rightarrow \bar{u}u\bar{d}$	$\pi^+ \pi^-$	$\pi^0 K_S$	$(V_{ub}^*V_{ud})T + (V_{tb}^*V_{td})P^t$	loop

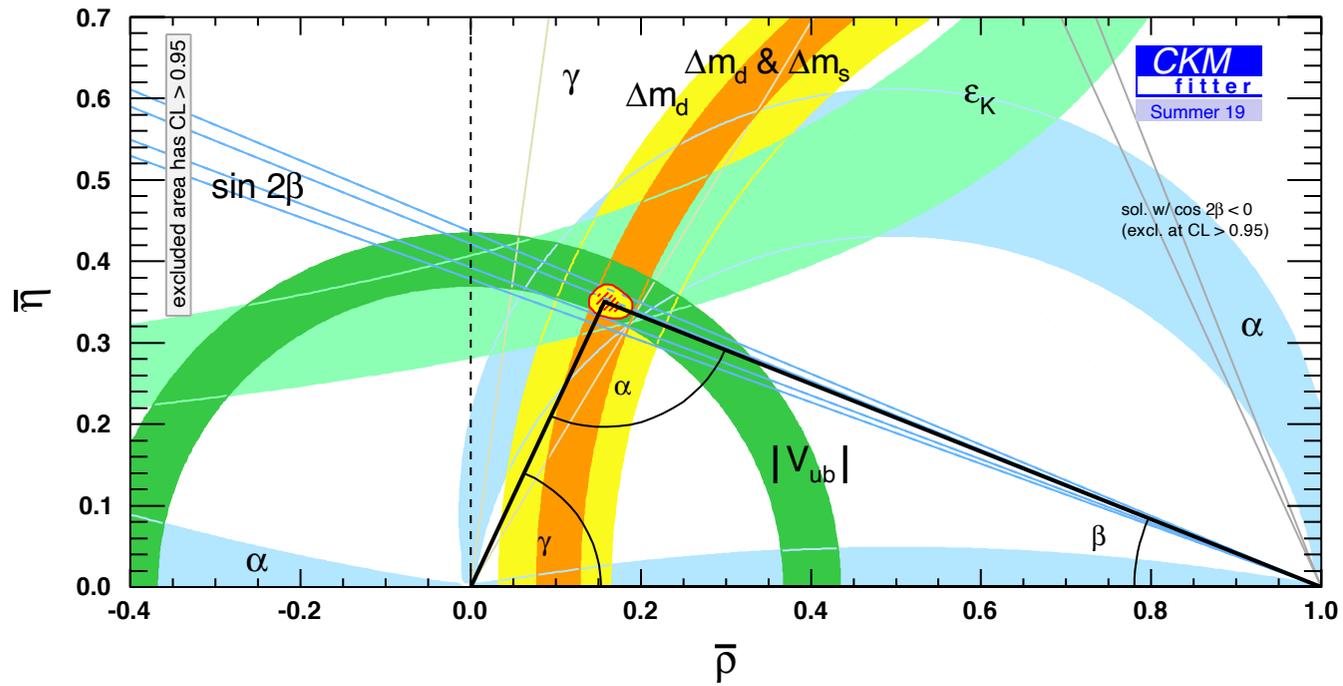
}  $\beta$   
 $\alpha$

$b \rightarrow c\bar{u}s$  vs  $b \rightarrow \bar{c}us$

$B^\pm \rightarrow D^0 K^\pm$  vs  $B^\pm \rightarrow \bar{D}^0 K^\pm$

$\gamma$

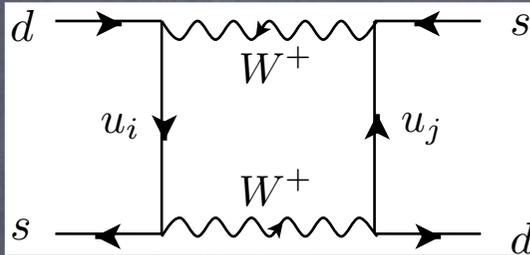
$$\alpha + \beta + \gamma = (180.6 \pm 7.2)^\circ$$



Test of unitarity  
 and of new physics

# Anomalously small loop-induced FCNC

- Expect:



$$\sim \frac{1}{M_W^2} \times \frac{g^4}{(4\pi)^2} \times \epsilon$$

$K^0 - \bar{K}^0$  oscillations

- Instead:  $10^6$  smaller

$$\epsilon \sim 10^{-6}$$

$$\epsilon = (V_{su_i}^\dagger V_{u_i d})(V_{su_j}^\dagger V_{u_j d}) f\left(\frac{m_{u_i}^2}{M_W^2}, \frac{m_{u_j}^2}{M_W^2}\right)$$

$$i = 3: f = O(1), |V_{td}V_{ts}| \ll 1$$

$$i = 1,2: |V_{id}V_{is}| = O(1), f \ll 1$$

## Symmetry origin of suppression: $U(2)^5$

- In an appropriate basis

$$\lambda = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & \lambda_{33} \end{pmatrix} + \text{small } (U, D, E)$$

- Approximately  $U(2)^5$  symmetric
- $\varepsilon = 0$  in the symmetric limit

Flavour tensions / anomalies

# “Clean” observables?

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- Isospin relations

- Koto anomaly:  $K_L \rightarrow \pi^0 \nu \bar{\nu}$ ,  $K^\pm \rightarrow \pi^\pm \nu \bar{\nu}$
- hadronic matrix elements related to  $K^+ \rightarrow \pi^0 e \nu$  by isospin

- Lepton flavour universality (LFU)

- $R_K, R_{K^*}, R_D, R_{D^*}$  anomalies
- uncertainties partially cancel in ratios of observables

# KOTO

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- Koto



- 4 events, 0.05 expected

- $\text{BR}(K_L \rightarrow \pi^0 \nu \bar{\nu})_{\text{KOTO}} = 21_{-11}^{+20} \cdot 10^{-10}$

- $\text{BR}(K_L \rightarrow \pi^0 \nu \bar{\nu})_{\text{SM}} \approx 0.34 \cdot 10^{-10}$

- 3.8  $\sigma$  tension

- NA62



- 2 events, 1.5 expected

- $\text{BR}(K^+ \rightarrow \pi^+ \nu \bar{\nu})_{\text{NA62}} < 1.85 \cdot 10^{-10}$

- $\text{BR}(K^+ \rightarrow \pi^+ \nu \bar{\nu})_{\text{SM}} \approx 0.84 \cdot 10^{-10}$

Grossman-Nir bound

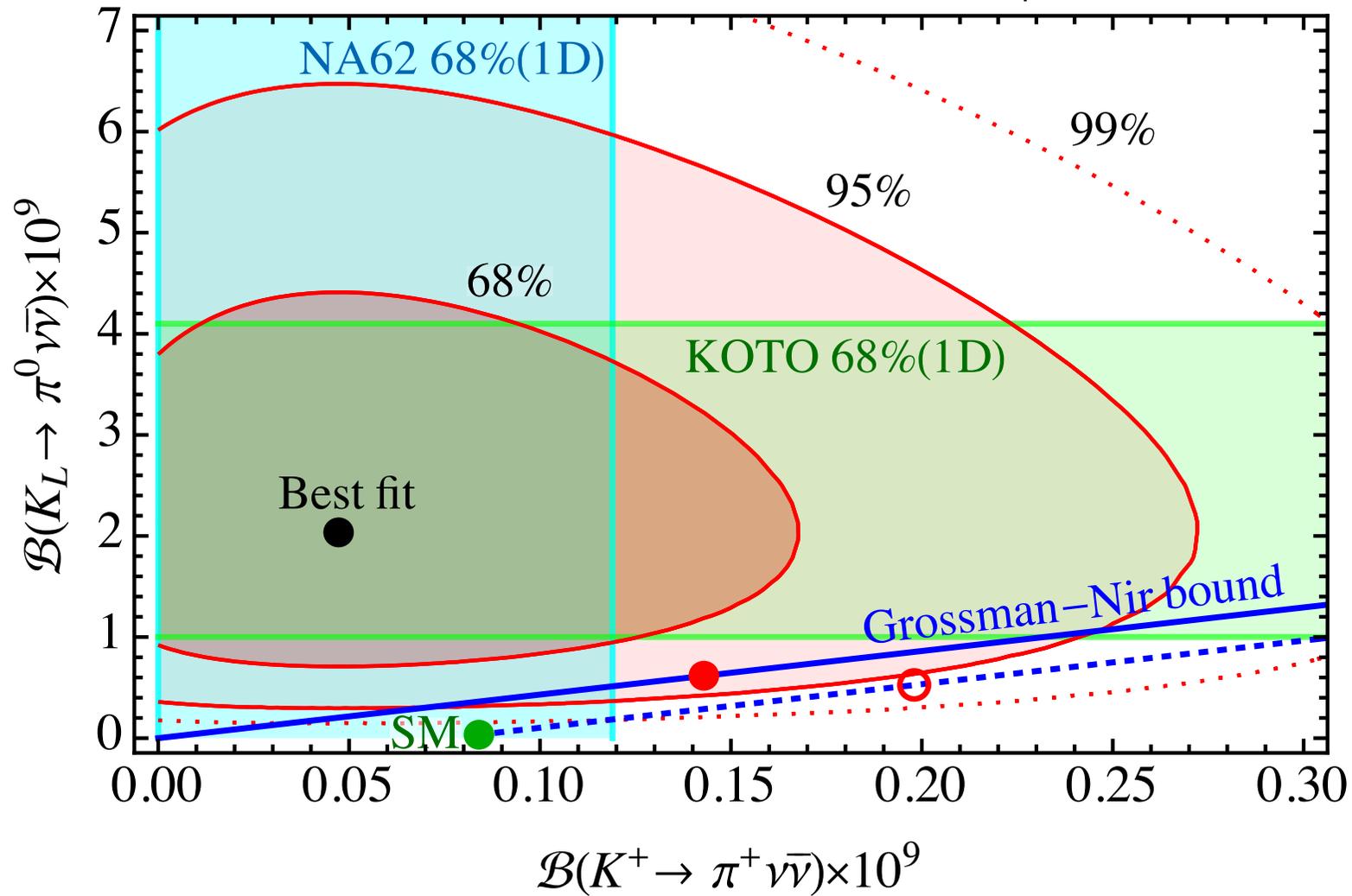
$$\text{BR}(K_L \rightarrow \pi^0 \nu \bar{\nu}) \lesssim 4.3 \text{BR}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) \lesssim 8.1 \cdot 10^{-10}$$

2.1 tension with BSM

(assumes  $s \rightarrow dX$  origin for both)

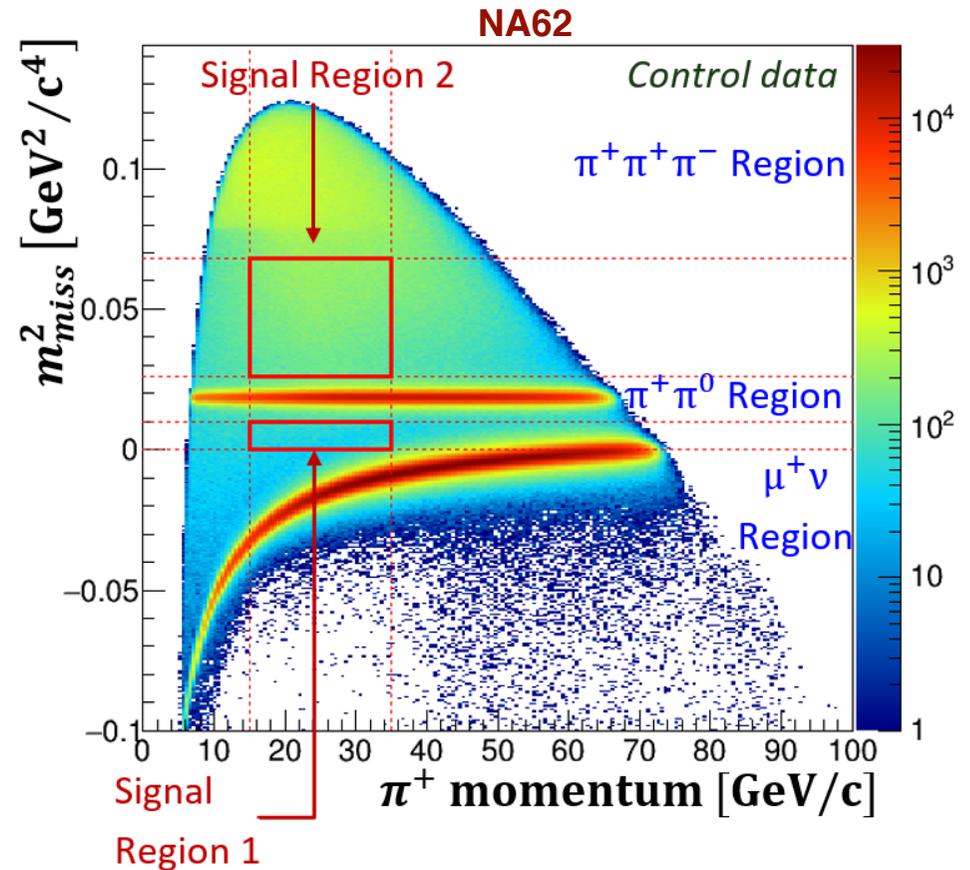
# Grossman-Nir bound

Kitahara Okui Perez Soreq Tobioka 1909.11111



# Pheno-gym: how to violate the GN bound

- $K \rightarrow \pi X$  with  $M_X \sim m_\pi$ 
  - blind to NA62  
not to KOTO
- $K \rightarrow \pi X, X \rightarrow \text{SM}$ 
  - NA62 X decay in detector, rejects  
KOTO X decay outside detector
- $X \rightarrow \gamma\gamma$ 
  - NA62 looks for  $\pi^+$ , rejects  
KOTO sees  $\gamma\gamma$  from off axis mimicking  $\pi^0$  + missing  $p_T$



# Lepton Flavour Universality (LFU)

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- $\text{BR}(B \rightarrow Ae^+e^-) = \text{BR}(B \rightarrow A\mu^+\mu^-) = \text{BR}(B \rightarrow A\tau^+\tau^-)$

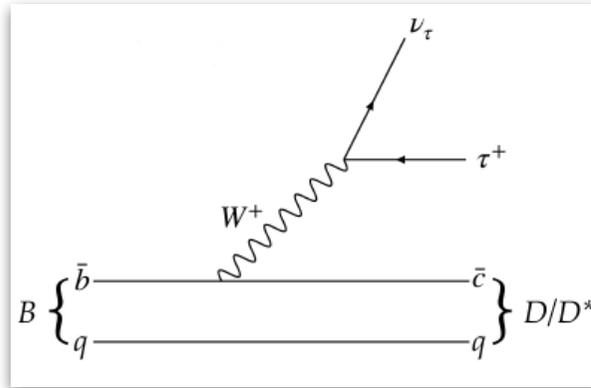
- up to  $U(3)_l \times U(3)_{eR}$  breaking effects
- small when  $m^2 \ll q^2$  and anyway calculable

- $\frac{\text{BR}(B \rightarrow Al_1^+l_1^-)}{\text{BR}(B \rightarrow Al_2^+l_2^-)}$  reduces hadronic uncertainties

# LFU in CC B-decays

- $b \rightarrow c\tau\bar{\nu}$

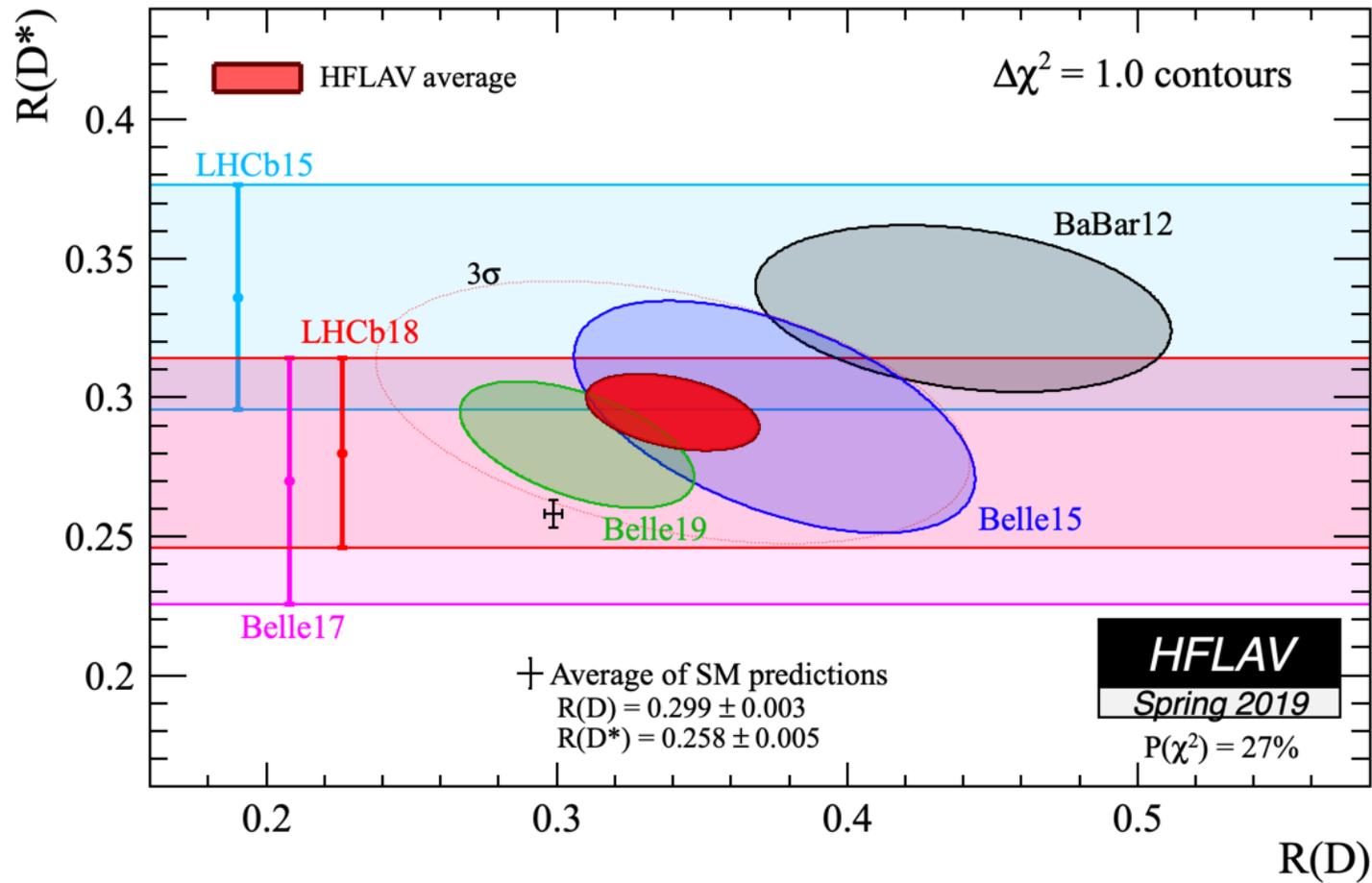
- tree-level in the SM



- $$\frac{\text{BR}(B^0 \rightarrow D^* e^+ \bar{\nu})}{\text{BR}(B^0 \rightarrow D^* \mu^+ \bar{\nu})} = 1.01 \pm 0.03$$

- $$\frac{\text{BR}(B^0 \rightarrow D^{(*)} \tau^+ \bar{\nu})}{\text{BR}(B^0 \rightarrow D^{(*)} l^+ \bar{\nu})} \equiv R_{D^{(*)}} \neq (R_{D^{(*)}})_{\text{SM}}$$

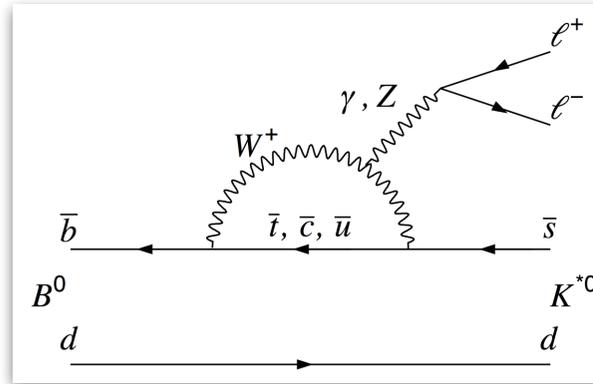
# LFU in CC B-decays



# LFU in NC B-decays

- $b \rightarrow s \mu^+ \mu^-$

- 1-loop in the SM

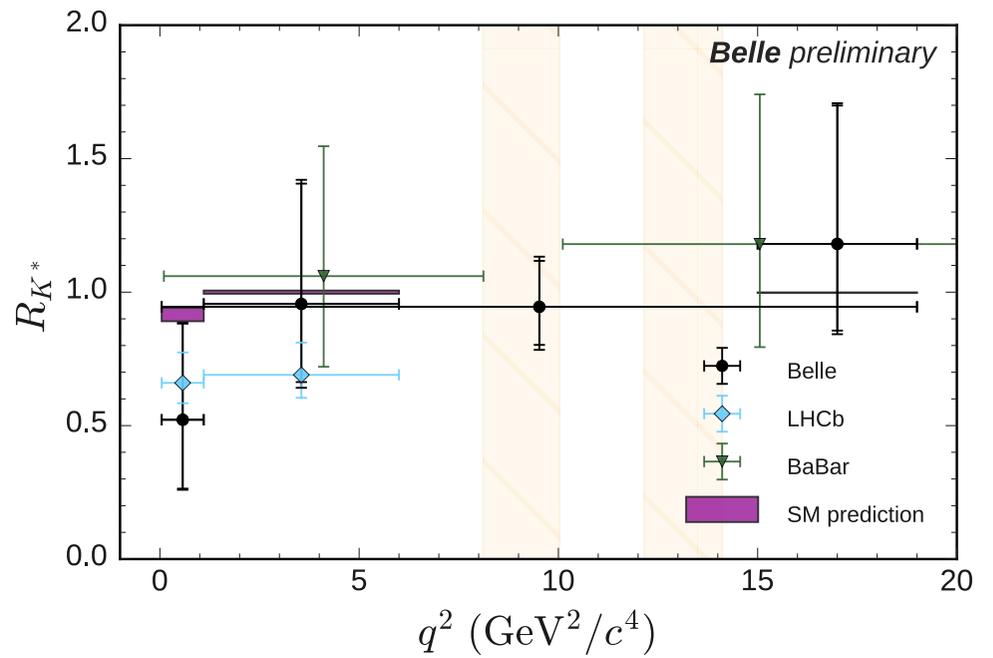
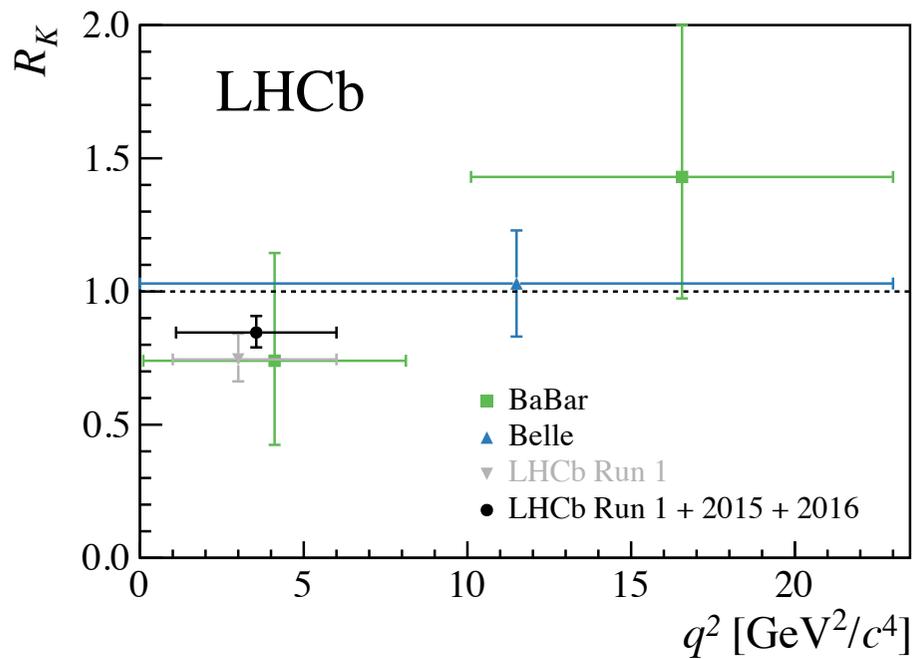


- $$\frac{\text{BR}(B \rightarrow K^{(*)} \mu^+ \mu^-)}{\text{BR}(B \rightarrow K^{(*)} e^+ e^-)} \equiv R_{K^{(*)}} \neq (R_{K^{(*)}})_{\text{SM}}$$

- Clean:  $R_K^{[1,6]}$ ,  $R_{K^*}^{[0.045,1.1]}$ ,  $R_{K^*}^{[1.1,6]}$ : each about  $2.5 \sigma$  tension ( $q^2/\text{GeV}^2$ )  
also:  $B_s \rightarrow \mu^+ \mu^-$ :  $2 \sigma$  tension

- Further deviations, and constraints, in observables with hadronic uncertainties

# LFU in NC B-decays



# New Physics parameterisation in WET

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- **WET** =  $SU(3)_c \times U(1)_{em}$  invariant EFT below EW scale
- $10(\mu) + 10(e)$  independent operators ( $10 = 4S + 4V + 2T$ )
- $6(\mu) + 6(e)$  from tree-level match with D=6 SMEFT ( $6 = 4V + 2S$ )
- Global fits prefer  $\mu$ , vector,  $Q_L$  (with some flexibility)

$$C_L^{bs} (\overline{s_L} \gamma^\mu b_L) (\overline{\mu_L} \gamma_\mu \mu_L) + C_R^{bs} (\overline{s_L} \gamma^\mu b_L) (\overline{\mu_R} \gamma_\mu \mu_R)$$

needed  
sufficient

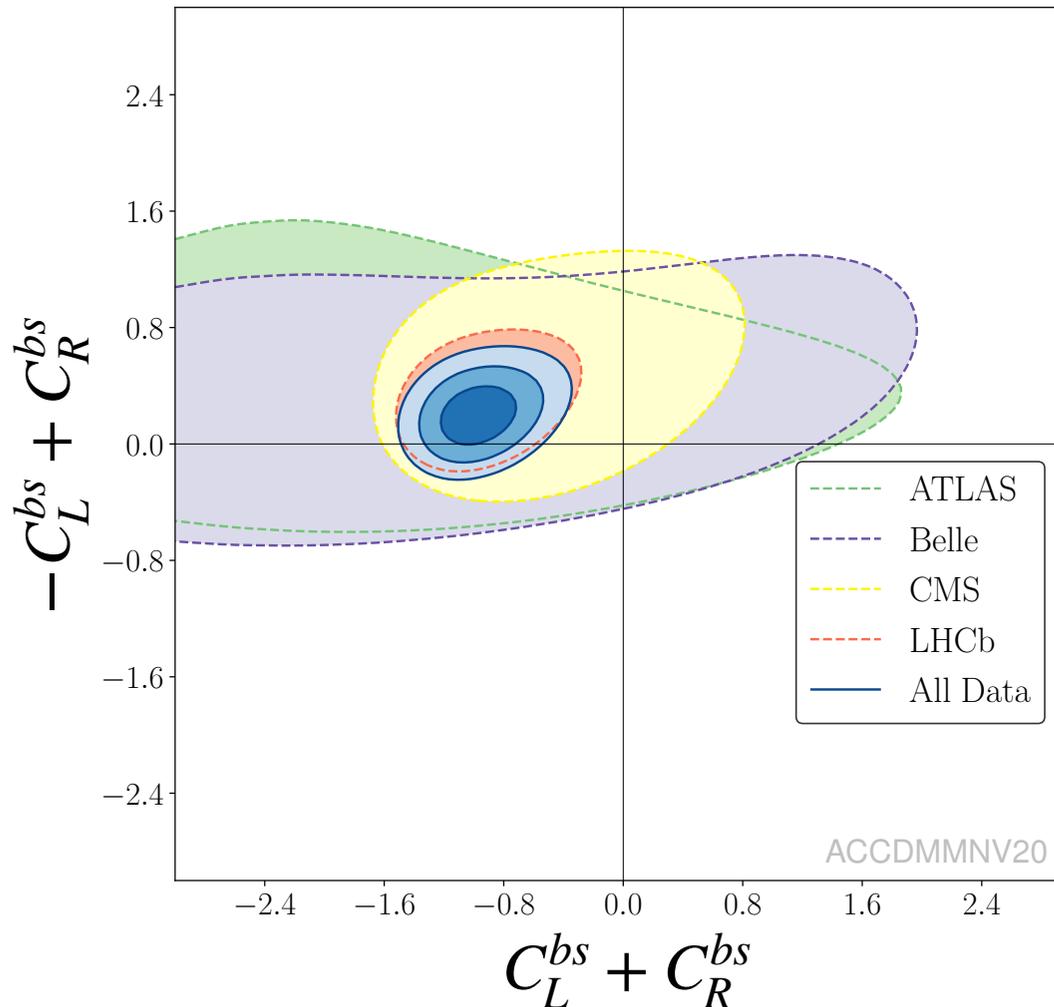
optional

- (Subdominant contributions from  $d_R$  and  $e$  possibly welcome)

# New Physics parameterisation in WET

$$C_L^{bs} (\overline{s_L} \gamma^\mu b_L) (\overline{\mu_L} \gamma_\mu \mu_L) + C_R^{bs} (\overline{s_L} \gamma^\mu b_L) (\overline{\mu_R} \gamma_\mu \mu_R)$$

Pull-SM  
 $\chi_{SM}^2 - \chi_{min}^2$   
**6.2  $\sigma$**



Algueró, Capdevila, Crivellin, Descotes-Genon,  
 Masjuan, Matias, Nova-Brunet, Virto  
 Addendum 06/2020 of arXiv:1903.09578

# New Physics parameterisation in SMEFT

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- **SMEFT** =  $G_{\text{SM}}$  invariant EFT below NP scale

- $\mathcal{O}_{ij}^L = (\overline{d_{iL}}\gamma^\mu d_{jL})(\overline{\mu_L}\gamma_\mu\mu_L)$ ,  $\mathcal{O}_{ij}^R = (\overline{d_{iL}}\gamma^\mu d_{jL})(\overline{\mu_R}\gamma_\mu\mu_R)$  from

$$\begin{aligned} \mathcal{O}_{ij}^S &= (\overline{Q_i}\gamma^\mu Q_j)(\overline{L_2}\gamma_\mu L_2) && \leftrightarrow C_{ij}^S \\ \mathcal{O}_{ij}^T &= (\overline{Q_i}\gamma^\mu\sigma_a Q_j)(\overline{L_2}\gamma_\mu\sigma_a L_2) && \leftrightarrow C_{ij}^T \\ \mathcal{O}_{ij}^R &= (\overline{Q_i}\gamma^\mu Q_j)(\overline{\mu_R}\gamma_\mu\mu_R) && \leftrightarrow C_{ij}^R \end{aligned} \quad Q_i = \begin{pmatrix} V_{ij}^\dagger u_j \\ d_i \end{pmatrix}$$

- Redundancy

$$\begin{aligned} \mathcal{O}_{ij}^+ &= \frac{\mathcal{O}_{ij}^S + \mathcal{O}_{ij}^T}{2} = (\overline{Q_i}\gamma^\mu L_2)(\overline{L_2}\gamma_\mu Q_j) && \leftrightarrow C_{ij}^+ = C_{ij}^S + C_{ij}^T = \mathbf{C}_{ij}^L \\ \mathcal{O}_{ij}^- &= \frac{\mathcal{O}_{ij}^S - \mathcal{O}_{ij}^T}{2} = 2(\overline{Q_i^c}L_2)(\overline{L_2}Q_j^c) && \leftrightarrow C_{ij}^- = C_{ij}^S - C_{ij}^T \end{aligned}$$

# A coherent picture?

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- $b \rightarrow c\tau\bar{\nu}$        $b \rightarrow s\mu^+\mu^-$        $b \rightarrow se^+e^-$
- $3_q \rightarrow 2_q \text{ 3}_l \text{ 3}_l$        $3_q \rightarrow 2_q \text{ 2}_l \text{ 2}_l$        $3_q \rightarrow 2_q \text{ 1}_l \text{ 1}_l$
- $(C_\tau)^{-1/2}$       »       $(C_\mu)^{-1/2}$       »       $(C_e)^{-1/2}$
- $\lambda_\tau$       »       $\lambda_\mu$       »       $\lambda_e$

Why Beyond?

# Some reasons to go beyond the SM

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- Experimental “problems” of the SM
  - Gravity
  - Dark matter
  - Baryon asymmetry
  - Neutrino masses
- Experimental “hints” of physics beyond the SM
  - Quantum number unification
- Theoretical puzzles of the SM
  - $\langle H \rangle \ll M_{\text{Pl}}$
  - Family replication
  - Small Yukawa couplings, pattern of masses and mixings
  - Gauge group, no anomaly, charge quantization, quantum numbers
- Theoretical problems of the SM
  - Higgs mass naturalness problem
  - Cosmological constant problem
  - Strong CP problem
  - Landau poles

# Experimental “problems” of the SM

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- Gravity
- Dark matter
- Baryon asymmetry
- Neutrino masses

# Experimental “hints” of physics beyond the SM

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- Quantum number unification

	SU(3)	SU(2)	U(1)		SO(10)
$L_i$	1	2	-1/2		
$e^c_i$	1	1	1		
$Q_i$	3	2	1/6		
$u^c_i$	$3^*$	1	-2/3		
$d^c_i$	$3^*$	1	1/3		
			Y		

→

p-decay bounds:  $M \gg m_H$

an accident?

# Theoretical puzzles of the SM

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- $\langle H \rangle \ll M_{\text{Pl}}$
- Family replication
- Small Yukawa couplings, masses and mixings

# Theoretical problems of the SM

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- Landau poles

- Strong CP problem

$$\theta G_{\mu\nu} \tilde{G}^{\mu\nu} \quad D = 4$$

- Naturalness problem

$$\alpha Q_{\max}^2 H^\dagger H \quad D = 2$$

- Cosmological constant problem

$$\beta Q_{\max}^4 \sqrt{g} \quad D = 0$$