

# Ensemble Averages and Wormholes

## Discussion Session Strings 2021

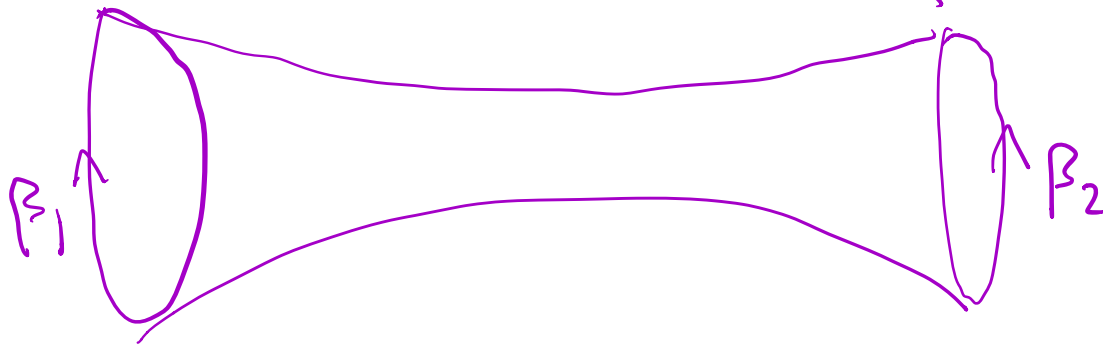
See also talks by: Shenker, Johnson,  
Jensen, Mertens,...

References: see Steve's talk.....

Thanks to collaborators: Alex Belin, Julian Sonner, Pranjal Nayak, Diego Liska, Tarek Anous, Igal Arav and Shira Chapman...

Wormholes seem to spoil factorization

$$\langle Z(\beta_1) Z(\beta_2) \rangle_C \neq 0$$



One way out: gravity is dual to ensemble averages. But what about good old AdS/CFT?

55% says gravity  $\neq$   
ensemble  $\nabla$   
0

One perspective:

Ensemble averaging is approximately the same as coarse graining as far as low-energy observers/low-energy effective field theory is concerned.

*in the bulk*

Both the averaging and the coarse graining should affect only physics above the cutoff scale  $\Lambda$

$$\int d\rho dJ \delta\left(\rho - \frac{e^{-\beta H[J]}}{Z[J]}\right) \simeq \int d\rho dU \delta\left(\rho - U^\dagger e^{-\beta H} U\right)$$

In LEEFT we do not have access to  $\rho(E) = \sum \delta(E - E_i)$  but only to a coarse grained version via e.g. black hole entropy. (LEEFT = bulk/gravitational LEEFT  $\neq$  boundary LEEFT)

"State averages" (Schrödinger picture) or "operator averages" (Heisenberg picture) can capture many aspects of wormhole physics.

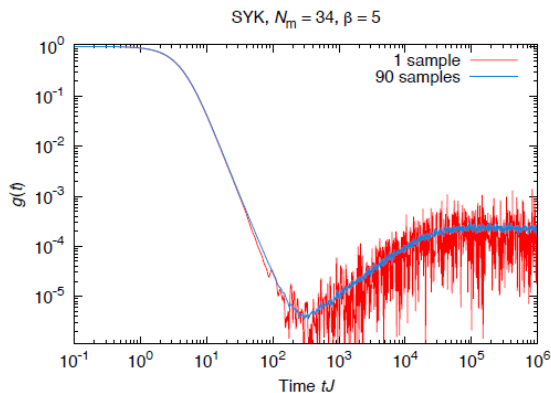
↓  
as in ETH

$$\overline{P \otimes P} \neq \overline{P} \otimes \overline{P}$$

**QUESTION:** is there a simple low-energy diagnostic to distinguish ensemble averaging from "coarse graining" in a single theory? And what do we precisely mean by "coarse graining"?

In both perspectives, wormholes compute statistical fluctuations or moments of the relevant probability distributions.

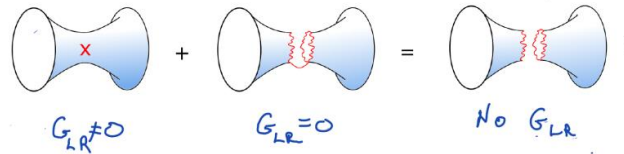
## Single sample noise



**QUESTION:** where does the noise come from?

Presumably need the individual microstates. If not accessible in LEEFT will not be able to capture the noise (cf microstate discussion session).

**QUESTION:** how is factorization restored? Toy model:



$$\sum_{i=j} 1 + \sum_{i \neq j} e^{i(\phi_i - \phi_j)} = \left( \sum e^{i\phi_i} \right) \left( \sum e^{i\phi_i} \right)^*$$

What happens in the UV? Possibilities:

- The relevant gravitational solution (eg wormhole) is unstable and factorization is restored (but solution remains as off-shell configuration)
- UV physics adds the fluctuating contributions  $\sum_{i \neq j} e^{i(\phi_i - \phi_j)}$  and factorization is restored (*what are these?* Cf half wormholes)
- The UV theory is an average of theories, averaging makes the fluctuating term exactly zero, and factorization is not restored

D=2: JT gravity and its cousins.

Viewed as exact UV complete gravitational theories these are described by suitable matrix models.

**QUESTION:** Single SYK sample/single matrix is dual to what?



D=3:

Can study off-shell wormhole contributions using “constrained instantons” (genus 1 boundaries)

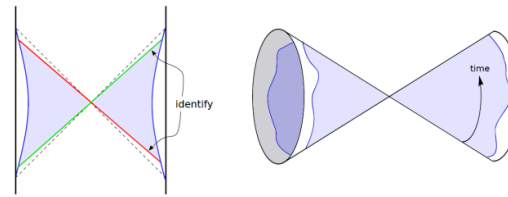
Can study on-shell wormholes with higher genus boundaries.

Can study explicitly ensemble averages of certain families of 2d CFTs and their dual description (typically a Chern-Simons like theory).

**QUESTION:** what is the dual of pure 3d gravity (and what do we even mean by the latter)?

**QUESTION:** are there other more general/natural ensembles of holographic 2d CFTs?

$D > 3$



Double cone exists as complex solution (negative modes?)  
Suggests level repulsion is universal in strongly coupled  
holographic CFTs

**QUESTION:** is very long timescale physics part of LEEFT?

Stable Euclidean wormhole solutions exist but are always  
subleading.

**QUESTION:** is there a general argument that this must  
happen? If there is a counterexample what would be the  
implication? Requirement for UV completions?

**QUESTION:** does it make sense to average  $N=4$  SYM over  
the complex coupling constant?

## Connection to the swampland program

**QUESTION:** Can gravitational duals of averaged theories violate some of the swampland conjectures? (e.g. can have ungauged global symmetries?)

**QUESTION:** How does one test for unitarity in duals of averaged theories (i.e. unitarity of the individual theories in the ensemble)?

## Connection to the bootstrap program

Wormholes yield statistical information about the high-energy sector of the theory.

The bootstrap program does that as well (e.g. sum rules).

**QUESTION:** Is there a more precise connection? Can one bootstrap averages of theories?

Connection to the information paradox.

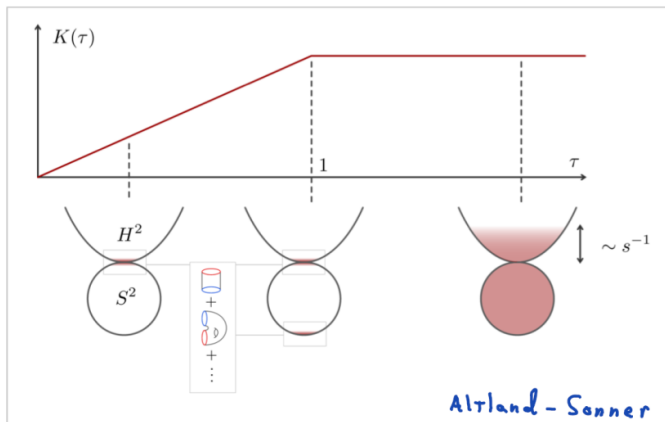
Replica wormholes are important in getting the page curve.

**QUESTION:** is unitarity  $SS^\dagger = \mathbb{I}$  an example of a quantity that does not fluctuate and therefore had to come out correctly in a LEEFT approximation with wormholes?

# The plateau

**QUESTION:** Is there a gravitational argument for universality of the plateau in the SSF in higher dimensional theories?

Altland-Sonner proposed a non-linear sigma model of Goldstone modes, what is the gravitational interpretation of this description?



## Euclidean vs Lorentzian wormholes

**QUESTION:** what is the role of Lorentzian wormholes in all of this?

Baby universes, alpha vacua and all that

**QUESTION:** can we reliably establish/rule out that this is a reliable picture in full-fledged solutions of string theory, like in AdS/CFT? Or does it only apply to averaged theories? Or only to low-dimensional topological examples? What would be the relevant smoking gun computation?