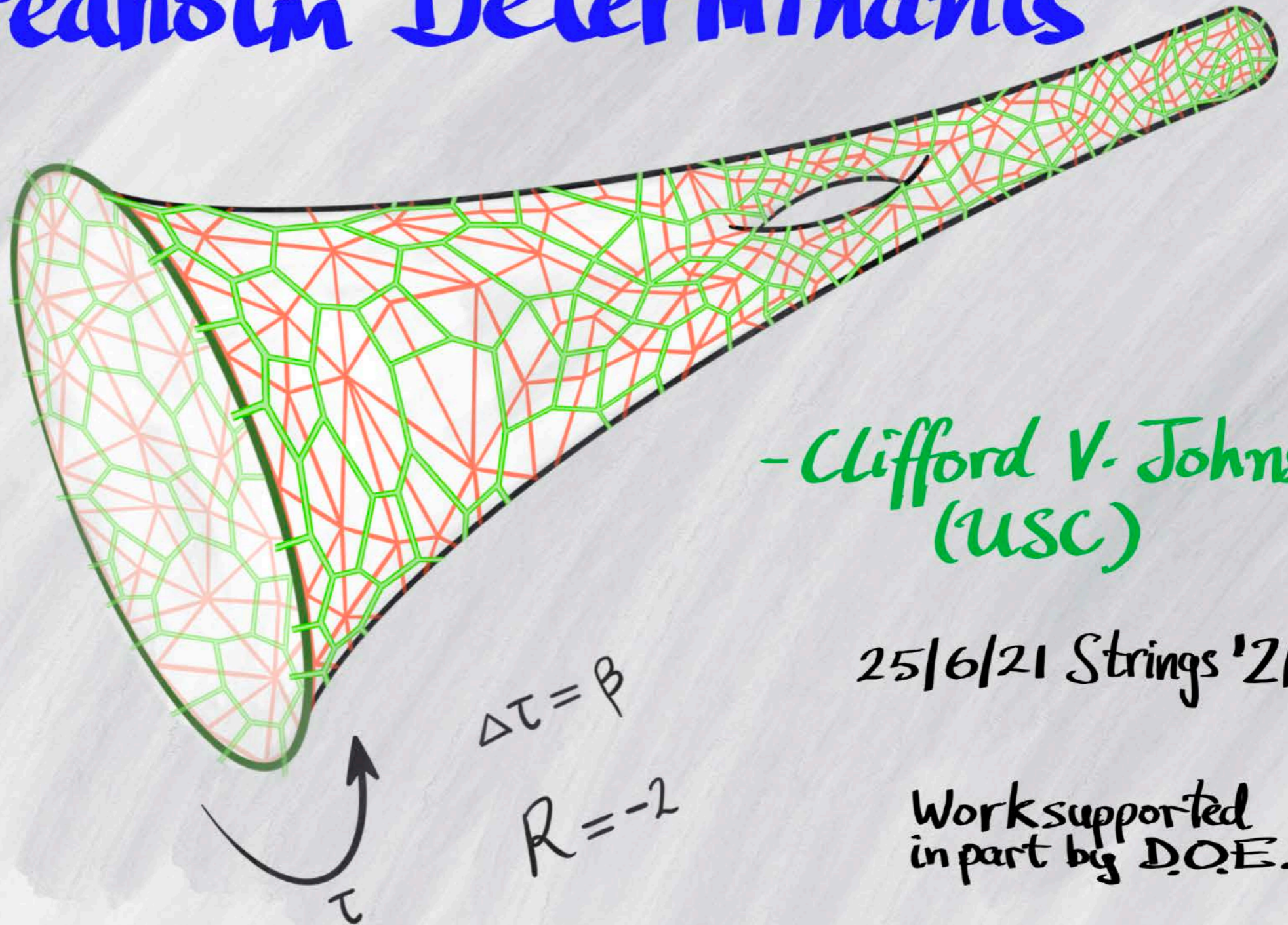


# Quantum Gravity Microstates from Fredholm Determinants



- Clifford V. Johnson  
(USC)

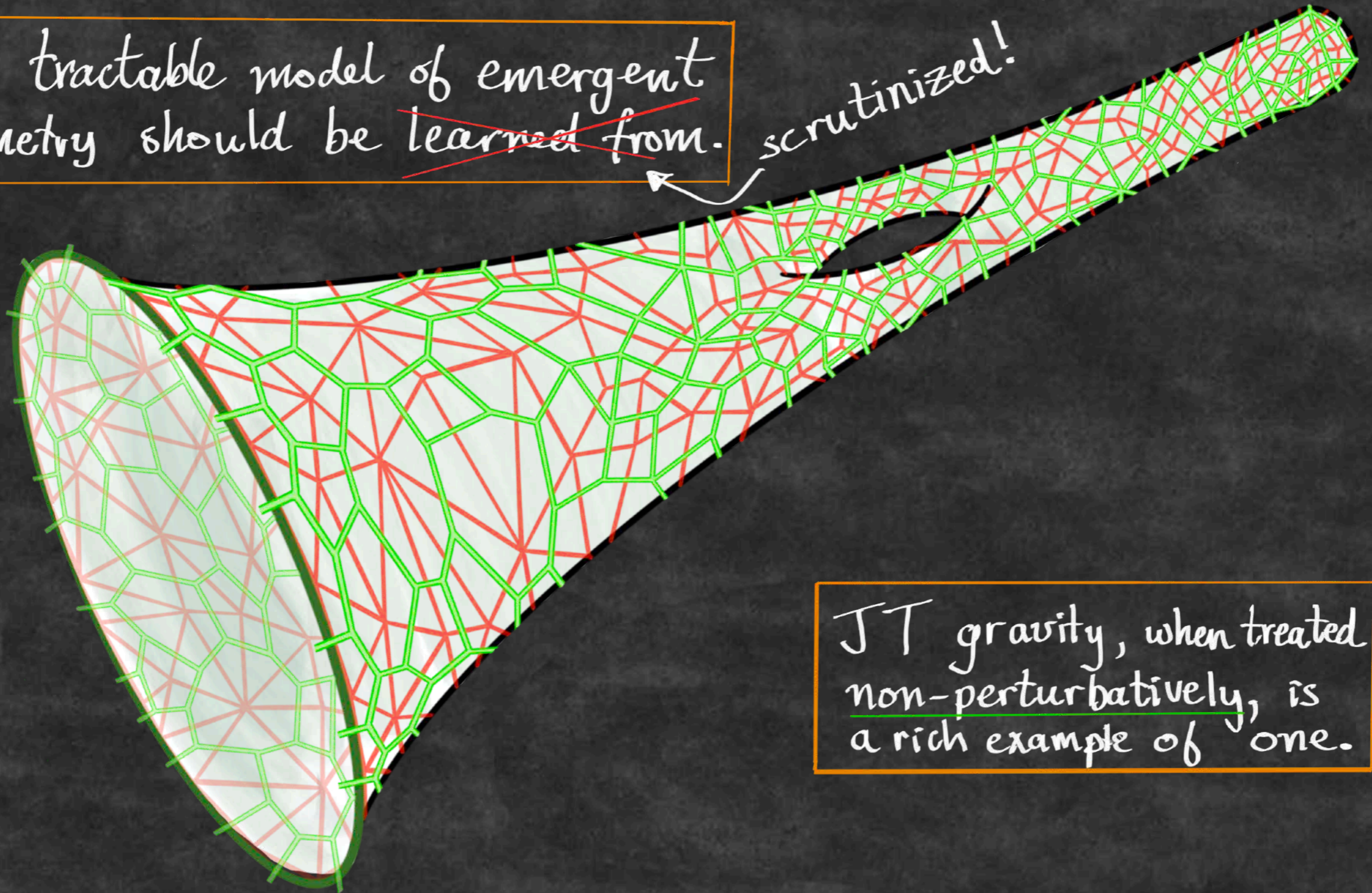
25/6/21 Strings '21

Work supported  
in part by D.O.E.

- We're unlikely to fully understand black holes (+...) to everyone's satisfaction using approaches too linked to spacetime geometry.
- There have been many hints that geometry is likely to be emergent in a full quantum theory of gravity.

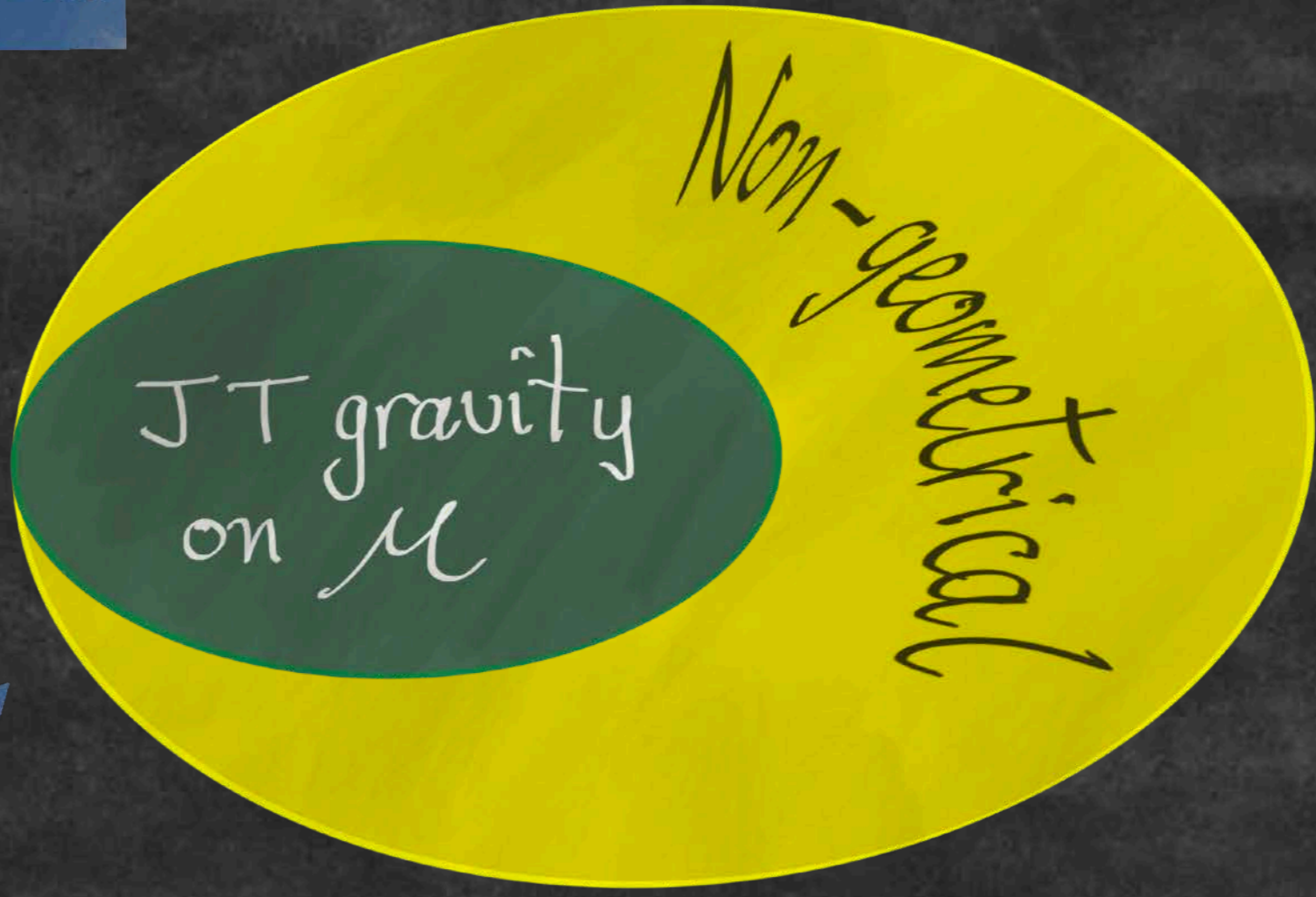
Any tractable model of emergent geometry should be ~~learned from~~.

scrutinized!



JT gravity, when treated non-perturbatively, is a rich example of one.

2d quantum gravity, black holes, wormholes...



Low T quantum dynamics of higher dim<sup>e</sup> black holes



⋮



⋮



■ JT gravity (briefly):

Jackiw '83  
Teitelboim '85

$$I = -\frac{1}{2} \int_{\mathcal{M}} \sqrt{g} \phi (R+2) - \int_{\partial\mathcal{M}} \sqrt{h} \phi (K-1) - \frac{S_0}{2\pi} \left( \frac{1}{2} \int_{\mathcal{M}} \sqrt{g} R + \int_{\partial\mathcal{M}} \sqrt{h} K \right)$$

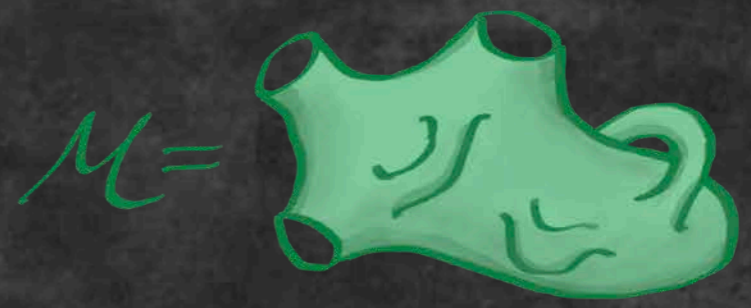
Partition function: ( $b=1$ )

- $Z(\beta) = \sum_g Z_g(\beta) + \dots$

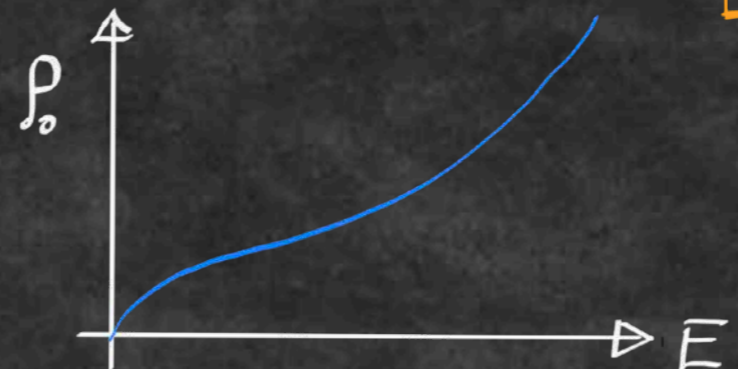
- $\int \mathcal{D}\phi \rightarrow R = -2$ ; Schwarzian boundary dynamics

- $Z_0(\beta) = \frac{e^{S_0} e^{\frac{\pi^2}{\beta}}}{4\sqrt{\pi} \beta^{3/2}} \Rightarrow$  density of states

$$\rho_0(E) = \frac{e^{S_0} \sinh(2\pi\sqrt{E})}{4\pi^2}$$



$\chi(\mathcal{M}) = 2 - 2g - b$   
 $\cdot e^{\chi S_0}$  factor



Maldacena, Stanford, Yang  
Jensen } '16  
Engelsöy-Mertens-Verlinde

Landmark result:

JT path integral on any  $\mathcal{M}$   
 $e^{\chi S_0}$



double-scaled large  $N$   
 random Hermitian  
 matrix model  $\left(\frac{1}{N}\right)^{-\chi}$

e.g.  $Z(\beta) \iff \langle \text{Tr} e^{\beta M} \rangle_{\text{DSL}}$

$$\tilde{Z} = \int dM e^{-\text{Tr} V(M)}$$

$$V(M) = \frac{1}{2} M^2 + \sum_P g_P M^P$$

Comments:

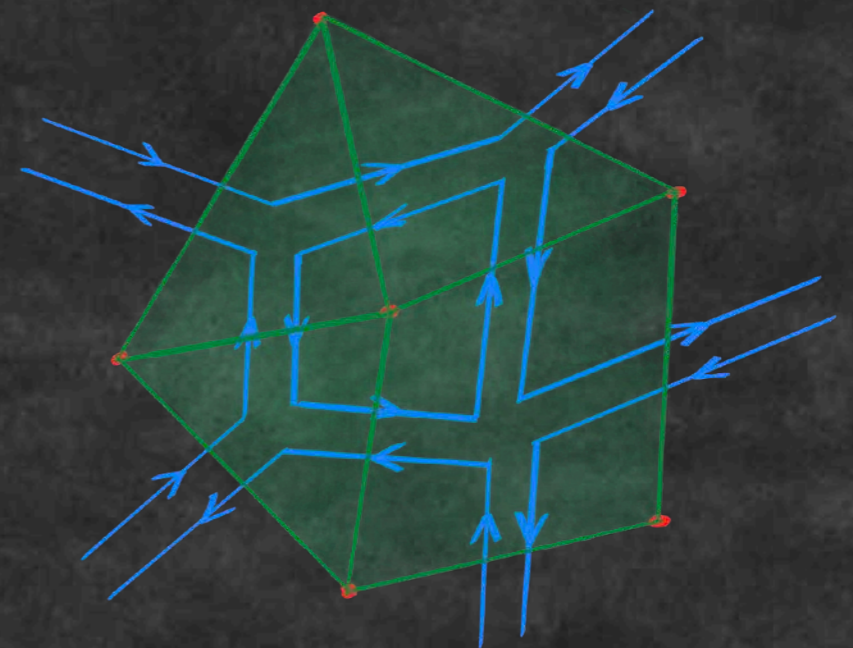
- Inherently perturbative, using "topological recursion" properties (Mirzakhani, Eynard-Orantin)

- $V(M)$  not explicitly known; recursion seeded by  $f_0(E)$

- Generalization (+classification) to other JT (Stanford-Witten '19)

- Some partial non-pert insights, but...

- smooth surface interpretation as  $N \rightarrow \infty$  and  $\{g_P\} \rightarrow \{g_P^c\}$



RMM prototype: Gaussian Unitary Ensemble

Try this at home!

- sample hermitian matrices  $M$  with probability

$$p(M) = e^{-\frac{\text{Tr} M^2}{2}}$$

- compute eigenvalues,  $\lambda_i$   $i=1 \dots N$ ; histogram them:

$$\lambda \rightarrow \frac{\lambda}{\sqrt{N}} ; \hat{\rho}_0(\lambda) = \frac{\sqrt{4-\lambda^2}}{2\pi} \quad \text{Wigner semi-circle}$$

- focus on an endpoint and zoom in:

$$\lambda = -2 + \delta^2 E \quad \delta = N^{-1/3}$$

$$\hat{\rho}_0(\lambda) \rightarrow \frac{1}{N^2} \frac{E^{1/2}}{\pi \hbar} = \frac{1}{N^2} \rho_0(E)$$

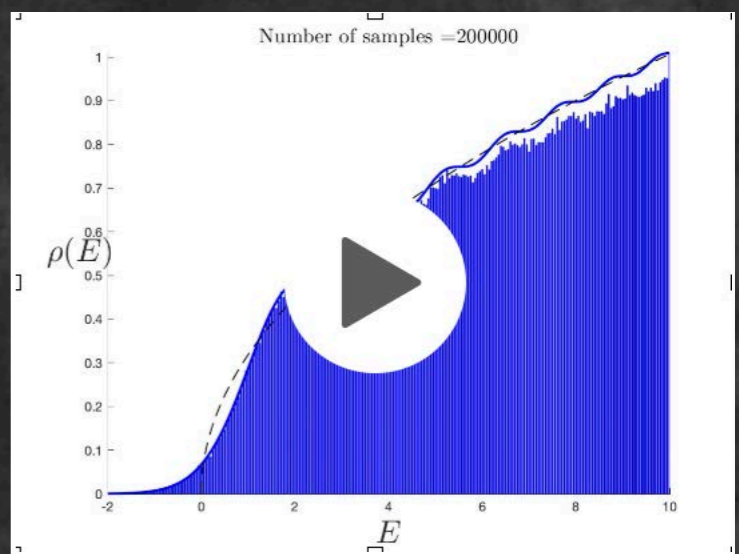
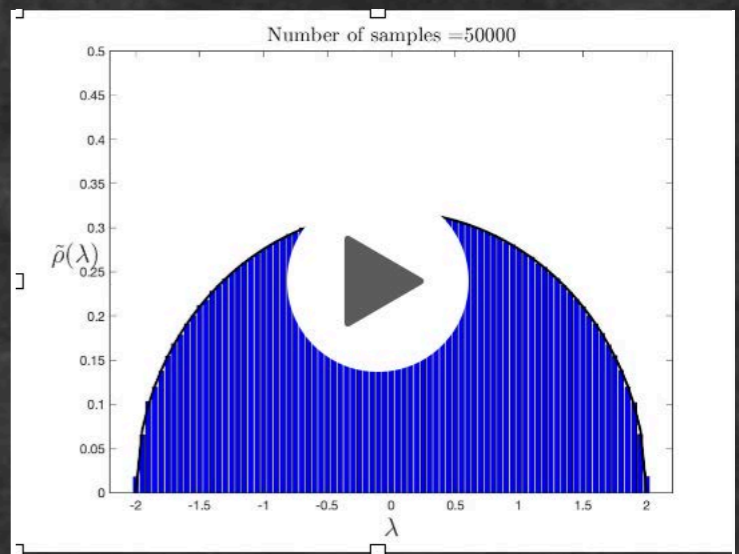
$$\hbar = \frac{1}{N\delta^3} \quad \delta \rightarrow 0 \text{ as } N \rightarrow \infty$$

- the magnification reveals undulations/wiggles:

$$\rho(E) = \hbar^{-2/3} (Ai'(\zeta)^2 - \zeta Ai(\zeta)^2) \text{ for } \zeta = -\hbar^{-1/3} E$$

$$= \rho_0(E) + \rho_1(E) + \rho_2(E) + \dots \text{ (non-pert.)}$$

This is now the "Airy model". Why? Orthogonal polynomials  $\rightarrow$



# The Airy Model

- Rewrite matrix computations in terms of  $P_n(\lambda)$ , orthogonal wrt  $d\lambda e^{-V(\lambda)}$ 

$$\int P_n(\lambda) P_m(\lambda) e^{-V(\lambda)} d\lambda = h_n \delta_{nm}; \quad |n\rangle = \frac{|P_n\rangle}{h_n} \quad \int \rightarrow \langle n|m\rangle = \delta_{nm}$$
- $\lambda P_n(\lambda) = P_{n+1}(\lambda) + R_n P_{n-1}(\lambda)$  recursion relation (even  $V$ )

(Gaussian case:  $P_n$  are Hermite polynomials)

$$\lambda H_n = H_{n+1} + n H_{n-1} \quad R_n = n$$

(beware convention)

- Everything can be computed from the  $R_n$ .
- At large  $N$ :  $\frac{n}{N} \rightarrow X$ ;  $P_n(\lambda) \rightarrow P(X, \lambda)$ ;  $R_n \rightarrow R(X)$

- In DSL (zoom an endpoint) scaling pieces survive:

$$\lambda \rightarrow E \quad \hat{\lambda} \rightarrow -\hbar^2 \frac{\partial^2}{\partial x^2} + u(x) \equiv \mathcal{H}$$

$$X \rightarrow x \in \mathbb{R}$$

$$\frac{1}{N} \rightarrow \hbar$$

$$P \rightarrow \psi(E, x)$$

$$R \rightarrow u(x)$$

$$\mathcal{H} \psi(x, E) = E \psi(x, E)$$

$$\rho(E) = \int_{-\infty}^{\infty} |\psi(E, x)|^2 dx$$

'89, '90

Brezin-Kazakov  
Douglas-Shenker  
Gross-Migdal

Banks et al.  
Moore

Gaussian:  $u(x) = -x$ :  $\psi(E, x) = \hbar^{-2/3} \text{Ai}(- (E+x) \hbar^{-2/3})$

$$u(x) = -x$$

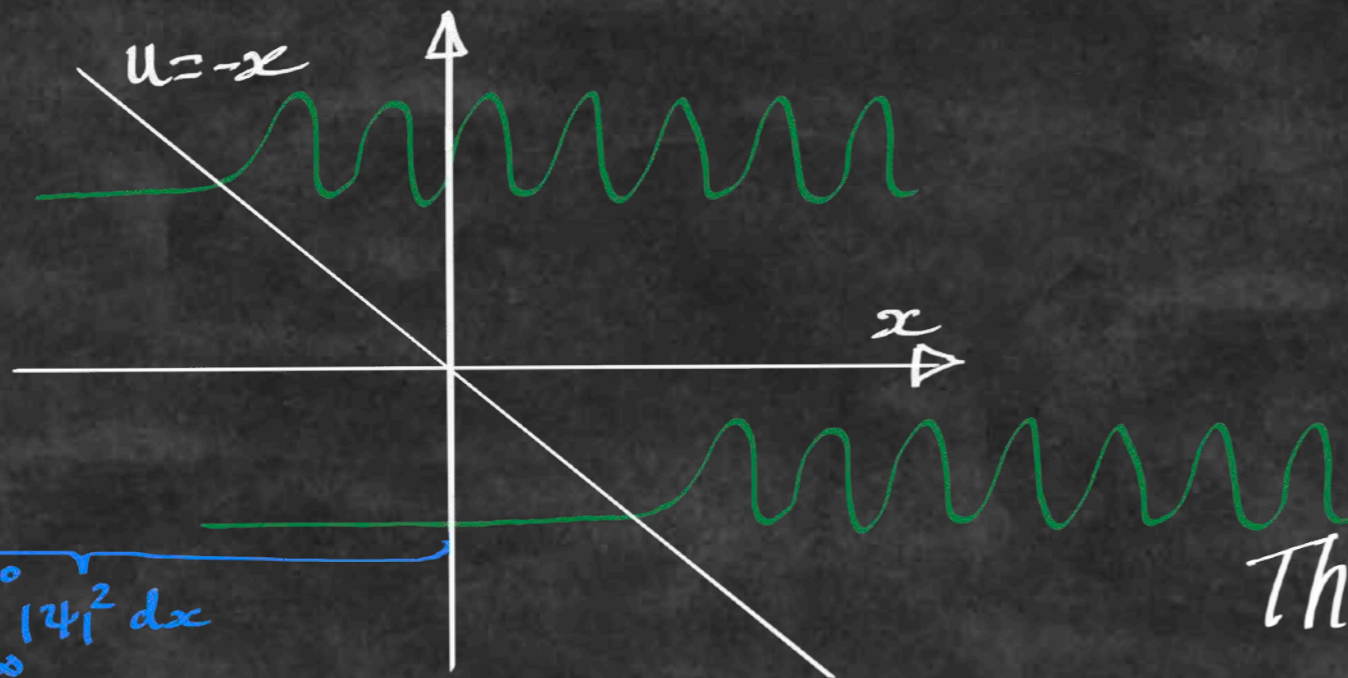
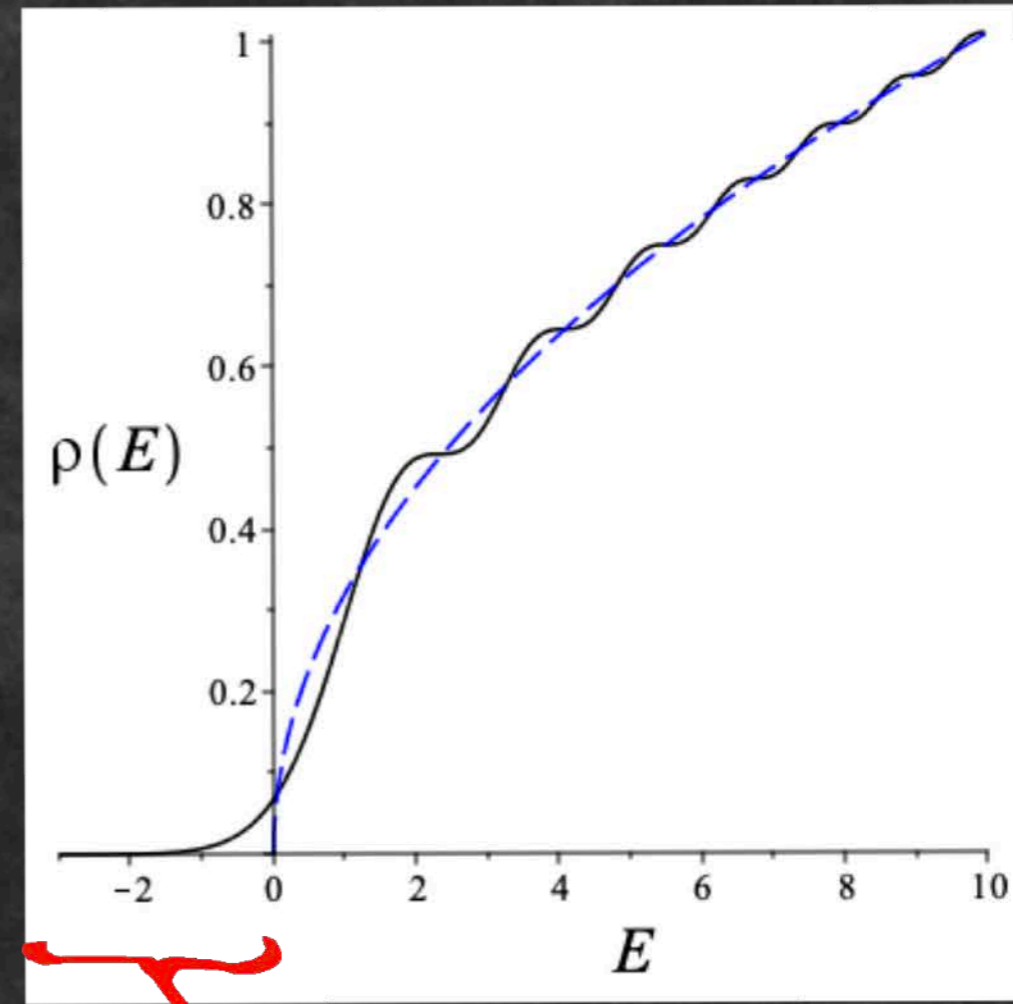
$$\psi(E, x) = \hbar^{-2/3} \text{Ai}(-\zeta) \hbar^{-2/3}$$

$$\rho(E) = \int_{-\infty}^0 |\psi(E, x)|^2 dx$$

$$= \hbar^{-2/3} (\text{Ai}'(\zeta)^2 - \zeta \text{Ai}(\zeta)^2) \text{ for } \zeta = -\hbar^{-2/3} E$$

Comments:

- Not a theory of surfaces, but illustrates the power of this approach.
- Airy has precursor of problem for SSS model:

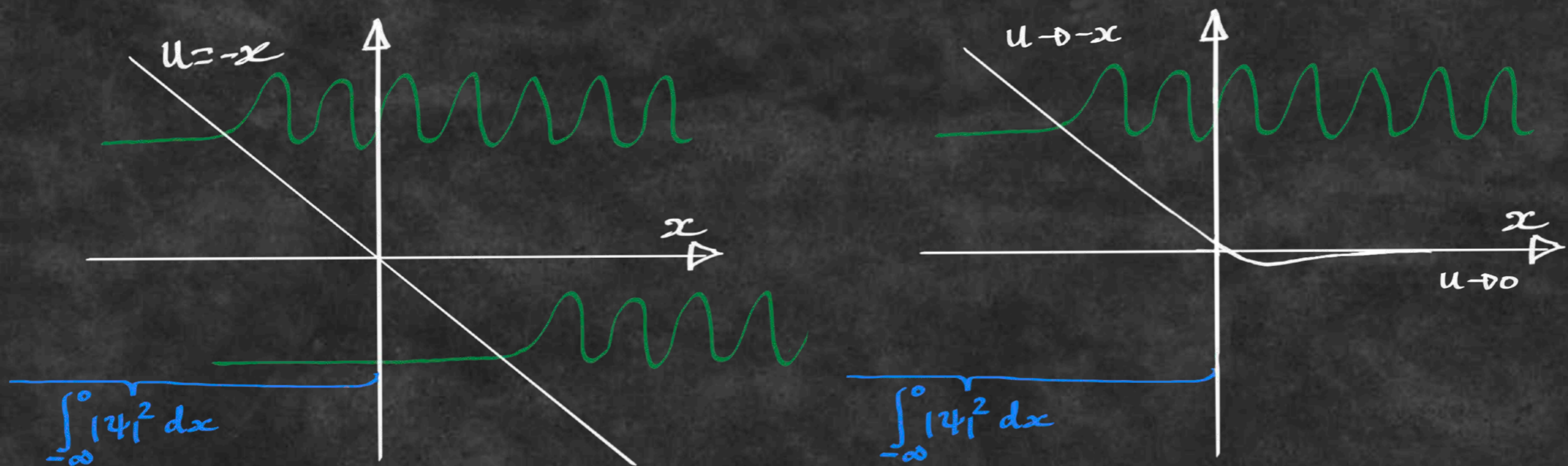


states at  $E < 0$   
 $\downarrow$   
 non-perturbative  
 instability for full SSS

The picture suggests the cure...



# Achieving Non-Perturbative Stability



- Identical perturbation theory (large  $E$  regime)
- Achievable with model of complex matrices  $M$
- Potential is  $V(MM^\dagger)$ , so hermitian matrices with  $\lambda \geq 0$
- $u(x)$  instead solves:  $uR^2 - \frac{\hbar^2}{2}RR'' + \frac{\hbar^2}{4}(R')^2 = 0$   
 ( $R$  is polynomial in  $x, u, u', u'', \dots$ )
- Resulting  $\rho(E)$  similar to Airy, but ends at  $E=0$

Morris;  
 Dalley, CVJ, Morris  
 CVJ, 1912.03637

90/91

Challenge: Extend this to full JT gravity!

$$H = -\hbar^2 \frac{\partial^2}{\partial x^2} + u(x)$$

↑ find eqn for this

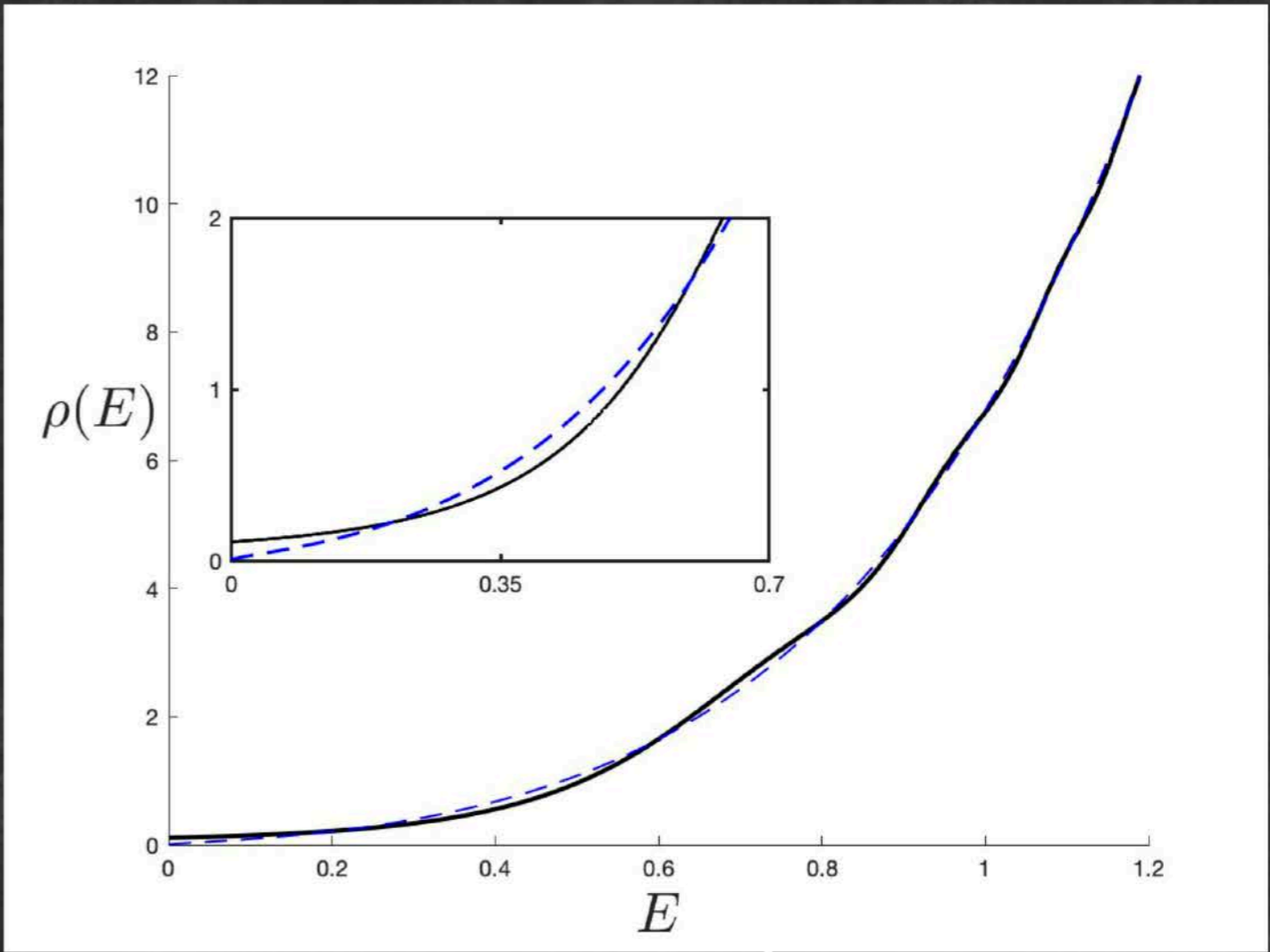
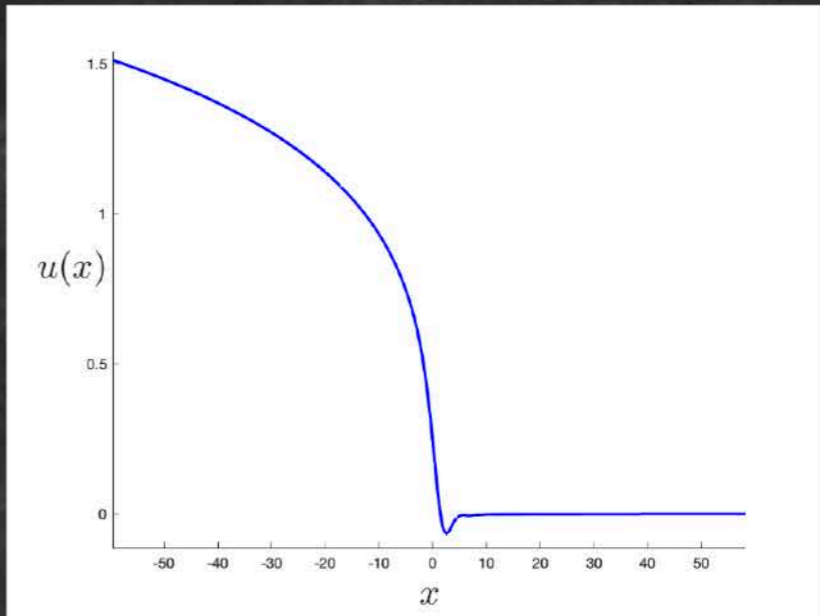
⋮ solve it

$$H \psi(E, x) = E \psi(E, x)$$

↑ solve this

⋮ then compute this

$$\rho(E) = \int_{-\infty}^0 |\psi(E, x)|^2 dx$$



CVJ 1912.03637 & 2006.10959

## Comments:

- This is a full non-perturbative completion of JT gravity

- Explicit results, not merely formal

- Can compute useful things with it:

- Same methodology used to tackle many SJT models of Stanford-Witten.

CVJ 2005.01893

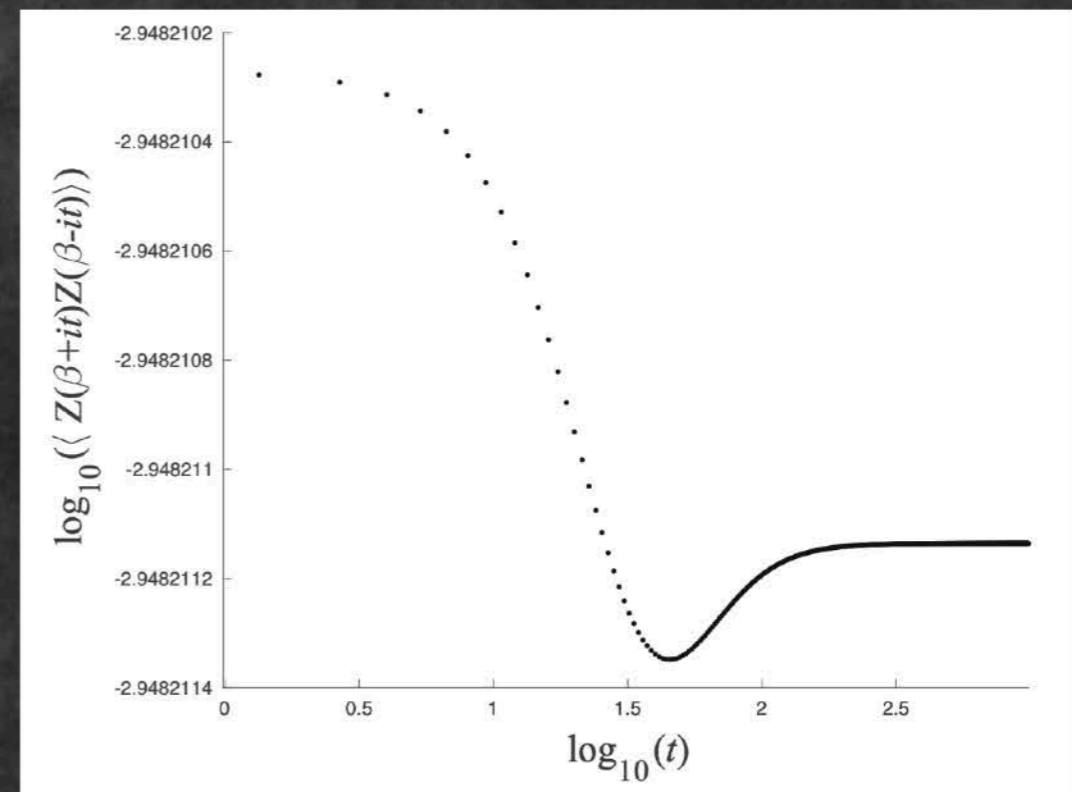
CVJ 2008.13120

- and study the JT deformations of Witten, and Maxfield-Turiaci

CVJ + Rosso 2011.06026

- and complete a different SJT model using a two-cut matrix model

CVJ + Rosso + Svesko 2102.02227



Also note: connections with minimal string + Liouville work of Okuyama et al. Mertens et al. Betsios et al.

Note: other NP definitions discussed in SSS and Gao-Jafferis-Kolchmeyer 2104.01184 although so far difficult to work with...

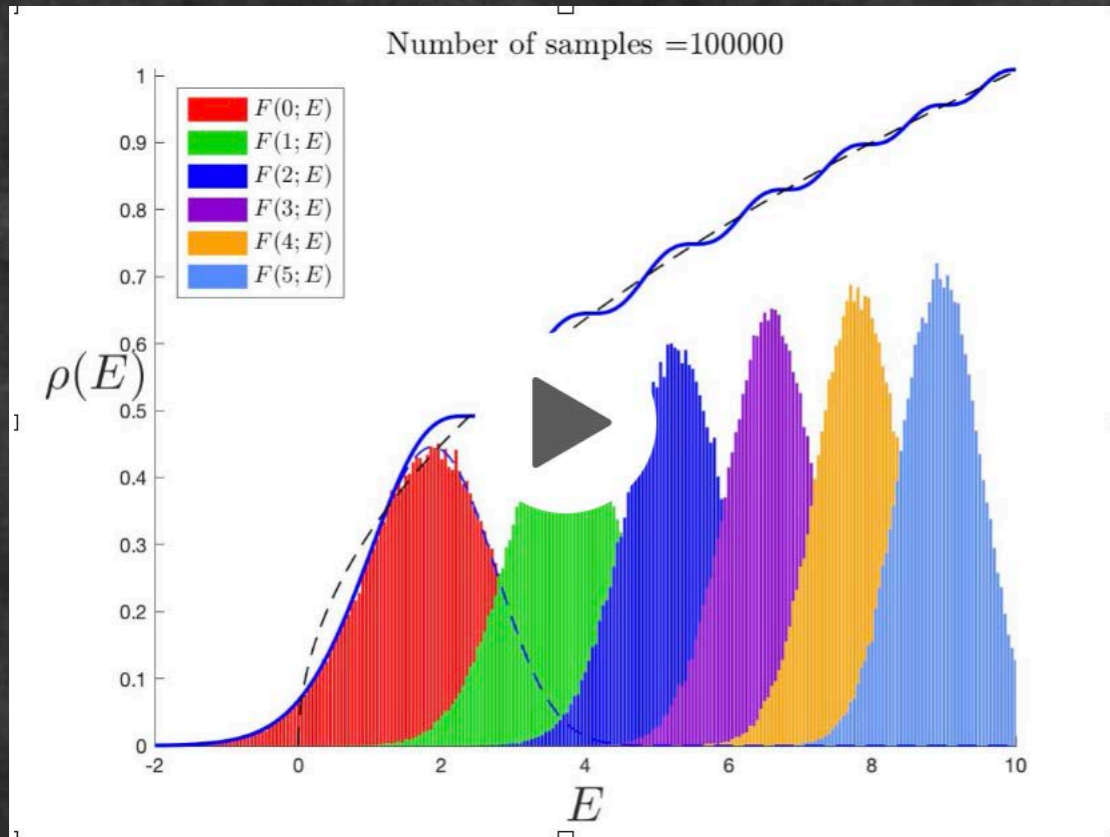
Is that it?

No!

# Revisit the Gaussian/Airy sampling ...

Try this at home!

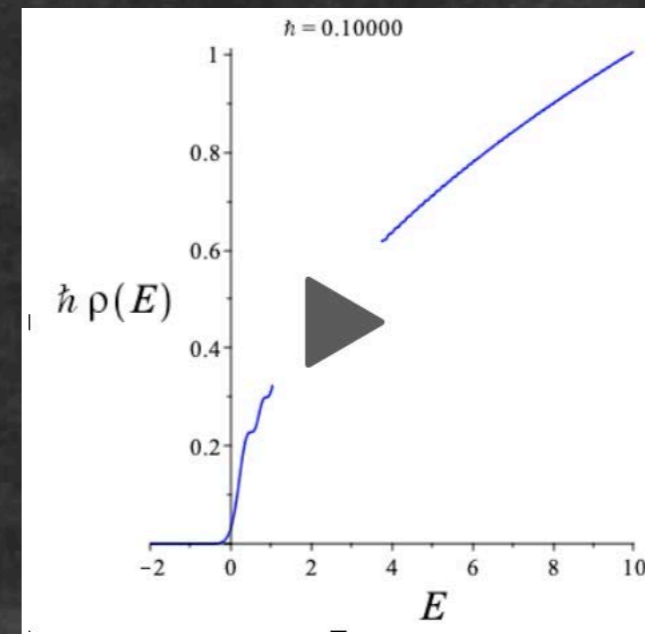
- If also keep track of the order of the  $\lambda_i$  for each sample:



Tracy-Widom '94

- Undulations in  $f(E)$  actually built from individual underlying energy levels' statistics!
- Sharper and more dense as  $E \rightarrow \infty$  A continuum forms
- In the full gravity model, these are the microstates!

- Recall  $e^{-S_0} = t_h \sim \frac{1}{N}$   
 i.e.,  $S_0 \sim \ln N$   
 Smaller  $t_h$ , more "classical", larger  $S_0$



## ■ New tool: Fredholm Determinant

- Already have the components:  $\psi(E, x) = \hbar^{-2/3} \text{Ai}(- (E+x) \hbar^{-2/3})$
- Assemble into "Kernel" 
$$K(E', E) = \int_{-\infty}^0 \psi(x, E) \psi(x, E') dx$$
$$= \frac{\psi(E) \psi'(E') - \psi(E') \psi'(E)}{E - E'}$$
- "Airy Kernel" for Airy case.
- JT and variants give new kernels!
- $f(E) - \int_a^b dE' K(E, E') f(E') = g(E)$  (Fredholm 1903)

$\det(\mathbb{1} - K)$  is a natural object

## RMM literature:

Probability of not finding  
1st level in  $(-\infty, s)$  is:

$$E(o; s) = \det \left[ \mathbb{1} - K \Big|_{(-\infty, s)} \right]$$

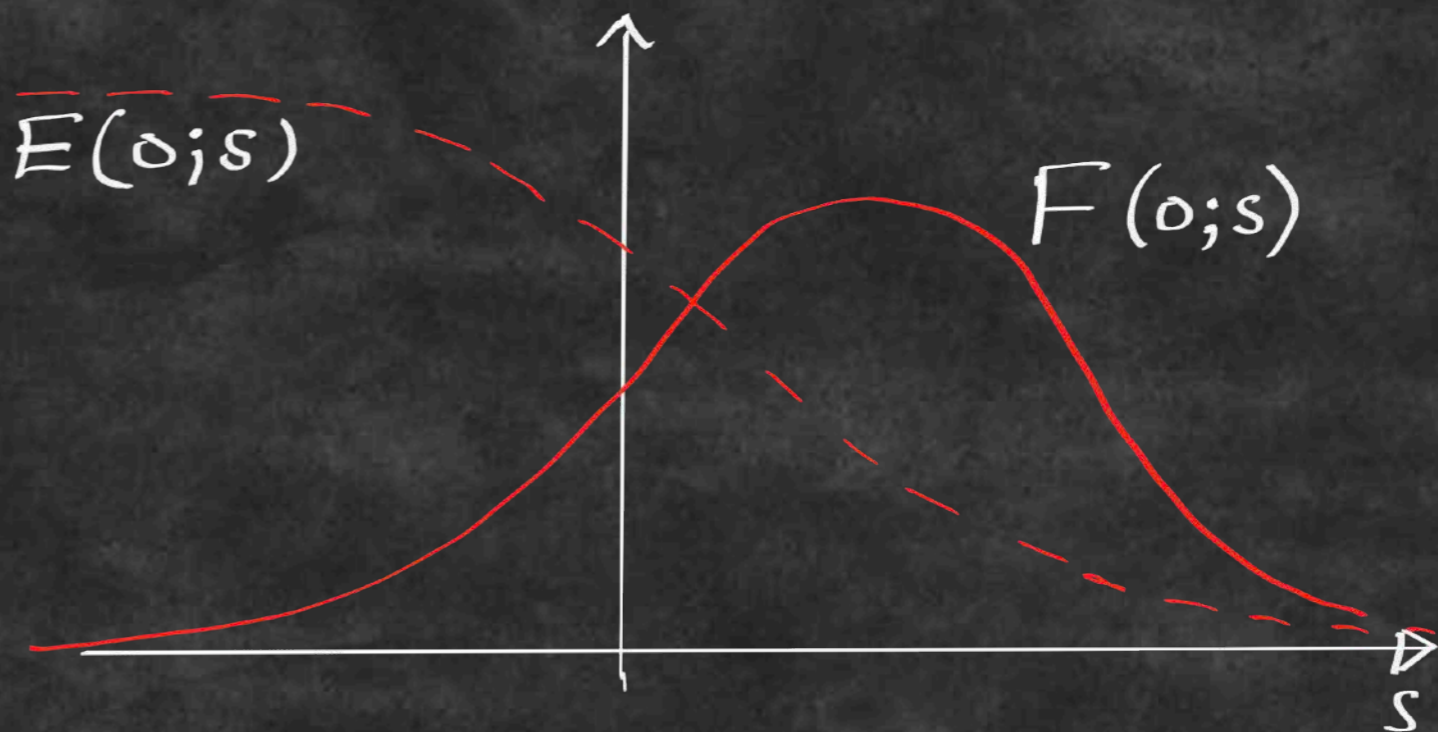
Probability distribution :

$$F(o; s) = -\frac{dE(o; s)}{ds}$$

- Other levels found recursively from this.

- Challenging problem, det of  $\infty$ -dim<sup>l</sup> object.

- Bornemann '10 helpful.

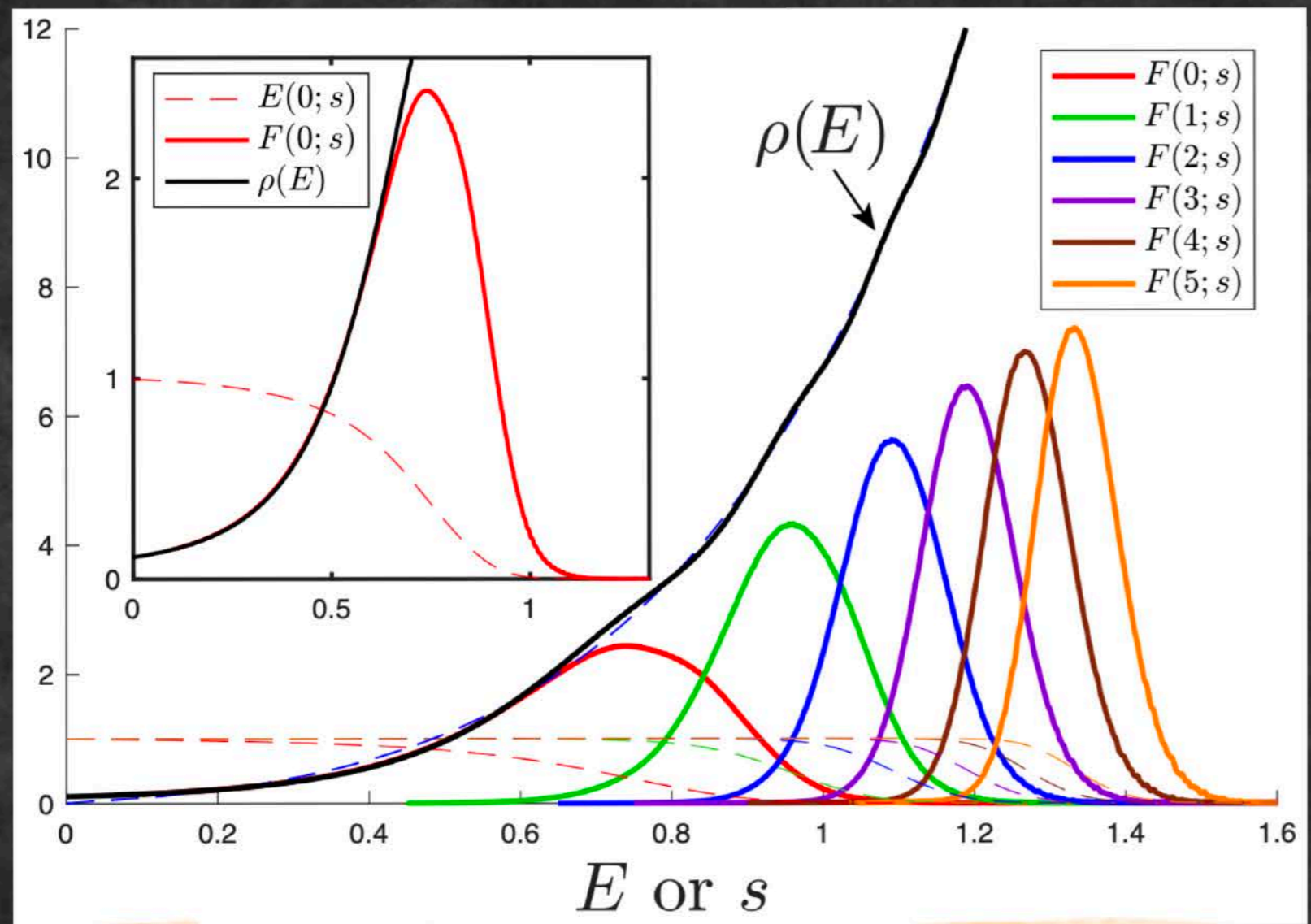


➡ Can do this for JT gravity!



# JT Gravity Microstates!

CVJ 2106-09048



(Also, higher  $D$  black hole microstates!)

- If have all microstates and their statistics, can compute anything about the model...



geometrical description bad

Individual microstates emerge



geometrical description good

Microstates merge into continuum

# ■ JT Gravity Quenched Free Energy!

CVJ 2106.09048

• Need  $F_Q(T) = -\beta^{-1} \langle \log Z(\beta) \rangle$   
to follow thermo to low T

• Computation needs wormholes.

(Engelhardt,  
Fischetti  
Maloney

• Should need NP physics too.

(Matrix model?)

(CVJ 2008.13120)

• Partial results  
at low T...

(Okuyama 2009.02840, 2101.05990  
Janssen + Mirbabayi 2103.03896  
CVJ 2104.02733)

• But can now just reverse engineer the  
spectrum data to compute by direct ensemble  
computation...



# JT Gravity Quenched Free Energy!

CVJ 2106.09048

## Comments:

- $F_Q(0) = \langle E_0 \rangle$

Anticipated in  
Okuyama 2009.02840

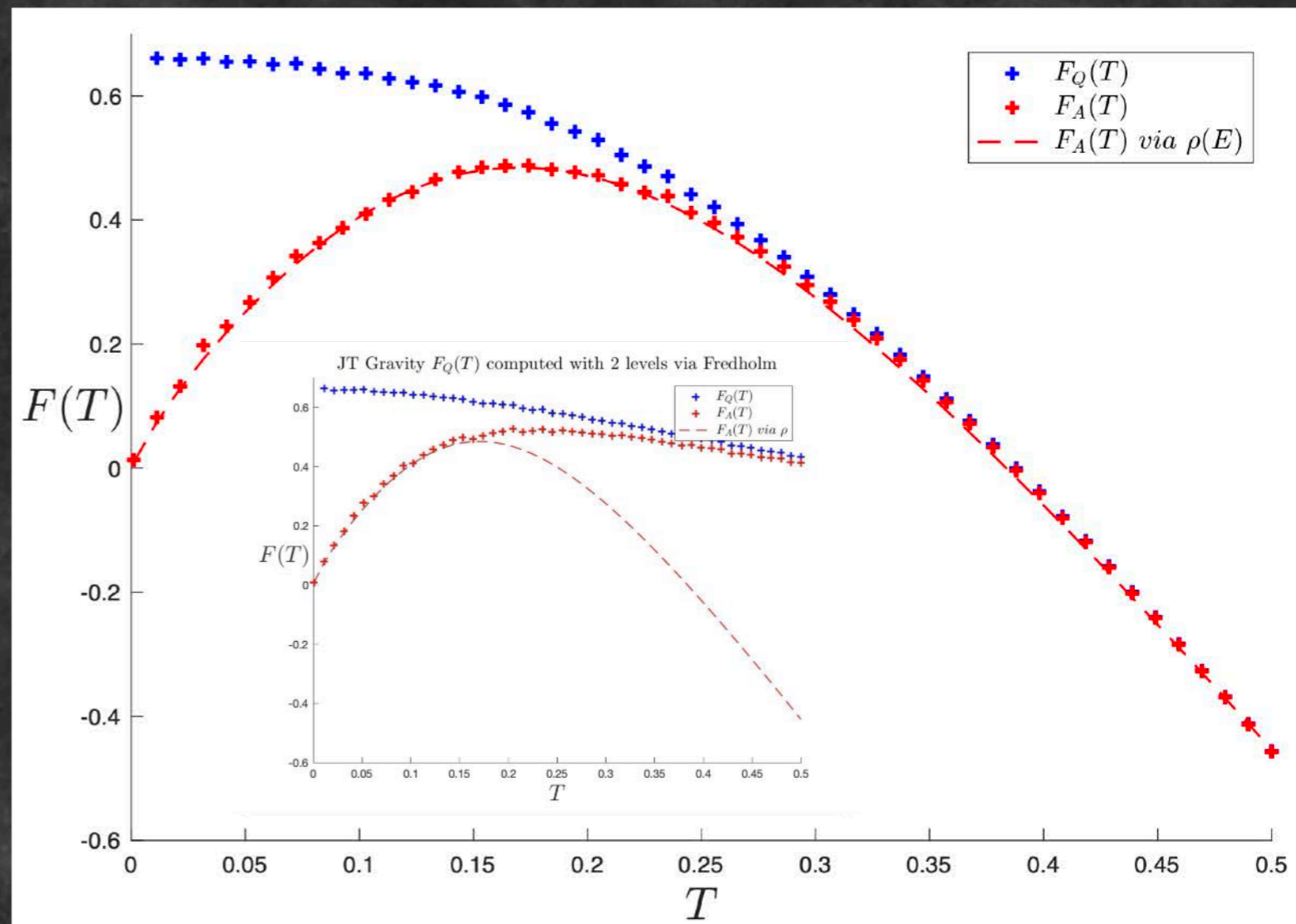
- $F_Q(T) \approx -\# T^4 + \dots$

Low T prediction

Janssen + Mirbabayi

2103.03896

- No replica symmetry breaking. cvj 2008.13120



# Final Remarks

Non-perturbative sector captures JT/Black hole microstates!

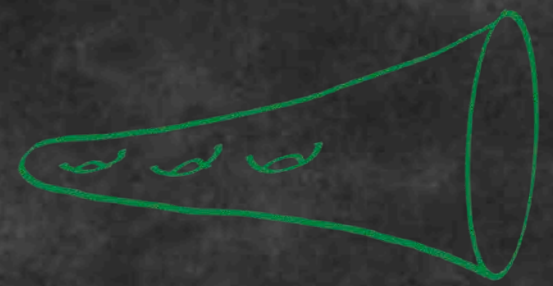
This is an explicit illustration of transition from geometry to non-geometry in quantum gravity.

Fredholm determinant is a D-brane probe...

What other Random Matrix Model tools might be useful here?

What other quantum gravity questions can be answered with this remarkable toolbox?

Thank You!



-cvj