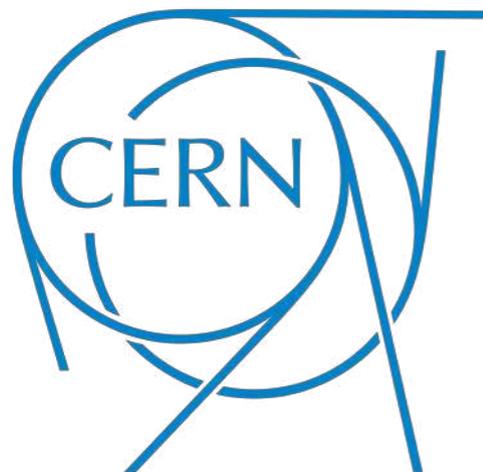


# A geometric approach to black hole spectral theory

Alba Grassi

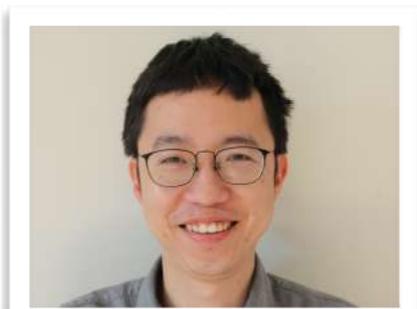


Mostly based on works in collaboration with

J. Gu

&

M. Mariño : 1908.07065



Q. Hao

&

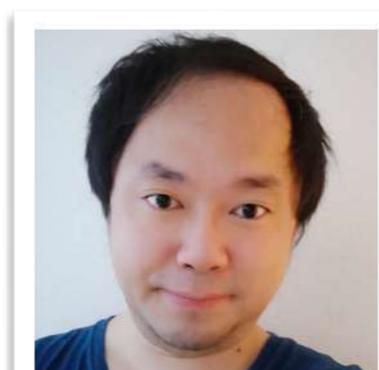
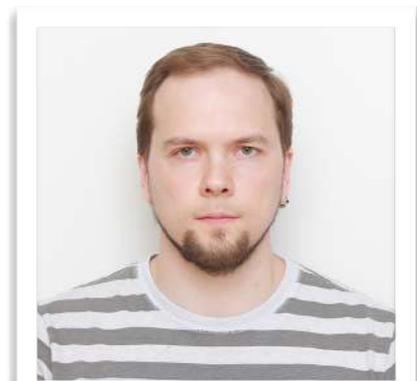
A. Neitzke : 2105.03777



G. Aminov

&

Y. Hatsuda : 2006.06111



first part

second part

# Introduction & Motivation

Exact analytic solutions for **spectral theory** of quantum mechanical operators are rare

→ need non-perturbative tools

Fruitful guideline: think of QM geometrically [Balian-Parisi-Voros]

→ make contact with **supersymmetric gauge theory and topological string**

→ new non-perturbative tools

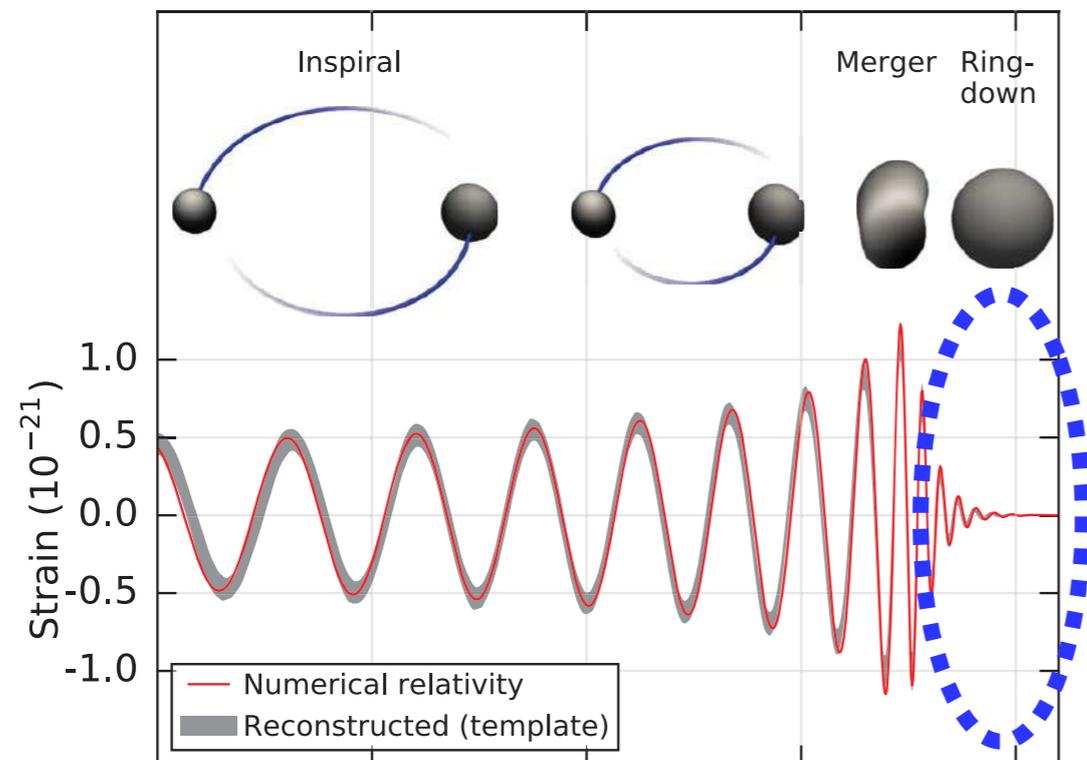
[Nekrasov, Shatashvili - Gaiotto, Moore, Neitzke - AG, Hatsuda, Mariño,... ]

# Introduction & Motivation

Today: review some of the ideas behind the **geometric/gauge theoretic** approach to **spectral theory** and show a concrete application to the study of **black hole quasinormal modes**.

# Introduction & Motivation

Black hole quasinormal modes  $\{\omega_n\}_{n \geq 0}$  (QNMs)  $\sim$  resonances (dissipative modes) encoding the response of the BH to a perturbation.



B. P. Abbott *et al.*\*  
(LIGO Scientific Collaboration and Virgo Collaboration)  
(Received 21 January 2016; published 11 February 2016)

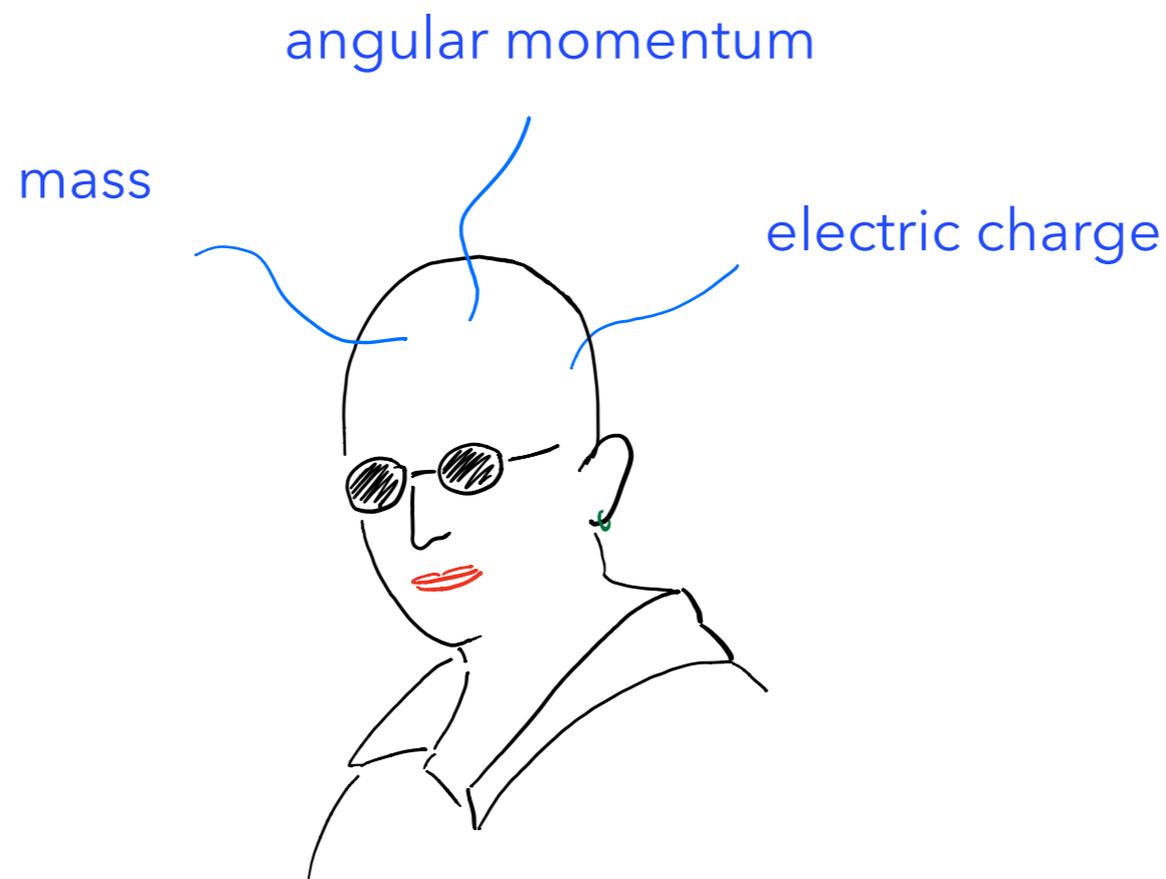
damped oscillations

$$\sim \sum_n A_n \exp[-i\omega_n t], \quad \text{Im}(\omega_n) < 0$$

# Introduction & Motivation

An interesting aspect: QNMs can be used to determine mass, angular momentum (and electric charge) of the final black hole.

Indeed, according to general relativity “black holes have no-hair” (only 3 hairs):



# Part 1: geometric/gauge theoretic approach to QM

An important role in the geometric approach to spectral theory is played by the **quantum periods**.

For example, these are the building blocks determining the exact quantization condition for the **operator spectrum** [Balian-Parisi-Voros].

## Step 0: classical periods

operator

$$H(\hat{p}, \hat{x}), \quad [\hat{p}, \hat{x}] = i\hbar$$



classical curve

$$H(p, x) = E$$

Today we focus only on operators whose classical curve coincides with a four dimensional  $SU(2)$  Seiberg-Witten (SW) curve.

Terminology: the operator is sometimes called quantum SW curve.

Example: modified Mathieu

$$H = -\hbar^2 \partial_x^2 + 2\Lambda^2 \cosh x$$



$$p^2 + 2\Lambda^2 \cosh x = E$$

Seiberg-Witten curve of 4 dim

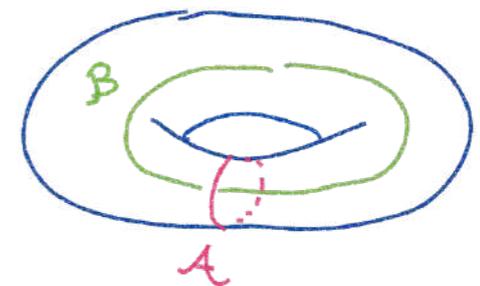
$\mathcal{N} = 2$  SU(2) SYM

$\Lambda$ : dynamical scale

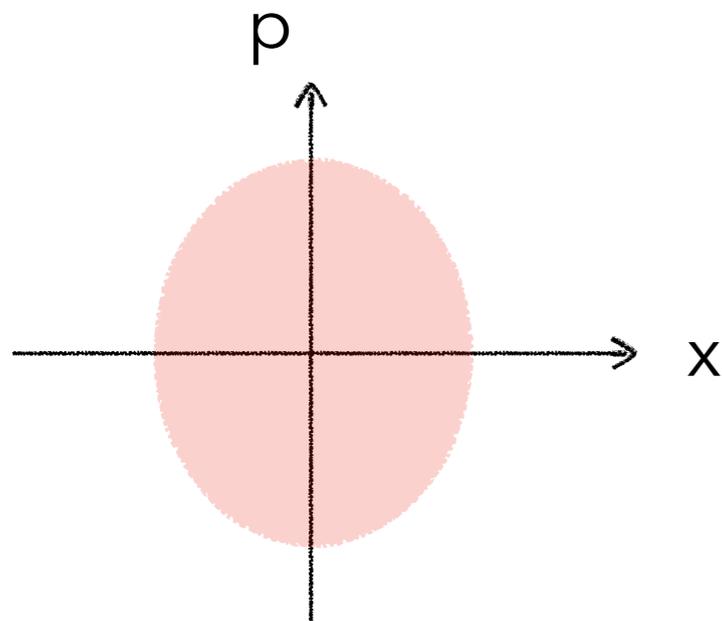
$E$ : coulomb branch parameter

Classical periods (Seiberg-Witten periods) :

$$\Pi_{A,B}^{(0)}(E) = \oint_{A,B} p(x, E) dx \quad \text{where} \quad p(x, E) = \sqrt{E - 2\Lambda^2 \cosh x}$$



A first insight on the operator spectrum comes from the semiclassical Bohr-Sommerfeld quantization  $\rightarrow$  quantization of classical phase space volume



$$\text{Vol}_{\text{cl}}(E) \approx 2\pi\hbar \left( n + \frac{1}{2} \right), \quad n = 0, 1, 2, \dots$$

$$\text{Vol}_{\text{cl}}(E) = \{p^2 + 2\Lambda \cosh(x) \leq E\} = \Pi_B^{(0)}(E)$$

$$= 8\sqrt{E + 2\Lambda^2} \left( \mathbf{K} \left( \frac{E - 2\Lambda^2}{E + 2\Lambda^2} \right) - \mathbf{E} \left( \frac{E - 2\Lambda^2}{E + 2\Lambda^2} \right) \right)$$

Exact quantization condition:

$$\underbrace{\Pi_B^{(0)}(E)}_{\text{perturbative (WKB)}} + \underbrace{\mathcal{O}(\hbar) + \mathcal{O}(e^{-1/\hbar})}_{\text{non-perturbative}} = 2\pi\hbar \left( n + \frac{1}{2} \right)$$

perturbative (WKB)

non-perturbative

## Step 1: WKB periods

WKB Ansatz:  $\psi(x) = \exp\left(\frac{1}{\hbar} \int^x Y(x, E, \hbar) dx\right)$  with  $Y(x, E, \hbar) dx = \sum_{n=0}^{\infty} Q_n(x, E) \hbar^n dx$

$$-\hbar^2 \partial_x^2 \psi(x) + (2\Lambda^2 \cosh x - E) \psi(x) = 0$$

$$Q_0 = p(x, E) = \sqrt{E - 2\Lambda^2 \cosh x} \quad , \quad Q_1 = \frac{\Lambda^2 \sinh(x)}{2E - 4\Lambda^2 \cosh(x)} \quad \dots$$

WKB periods:  $\Pi_{A,B}^{\text{WKB}}(\hbar, E) = \sum_{n=0}^{\infty} \left( \oint_{A,B} Q^n(x, E) dx \right) \hbar^n$

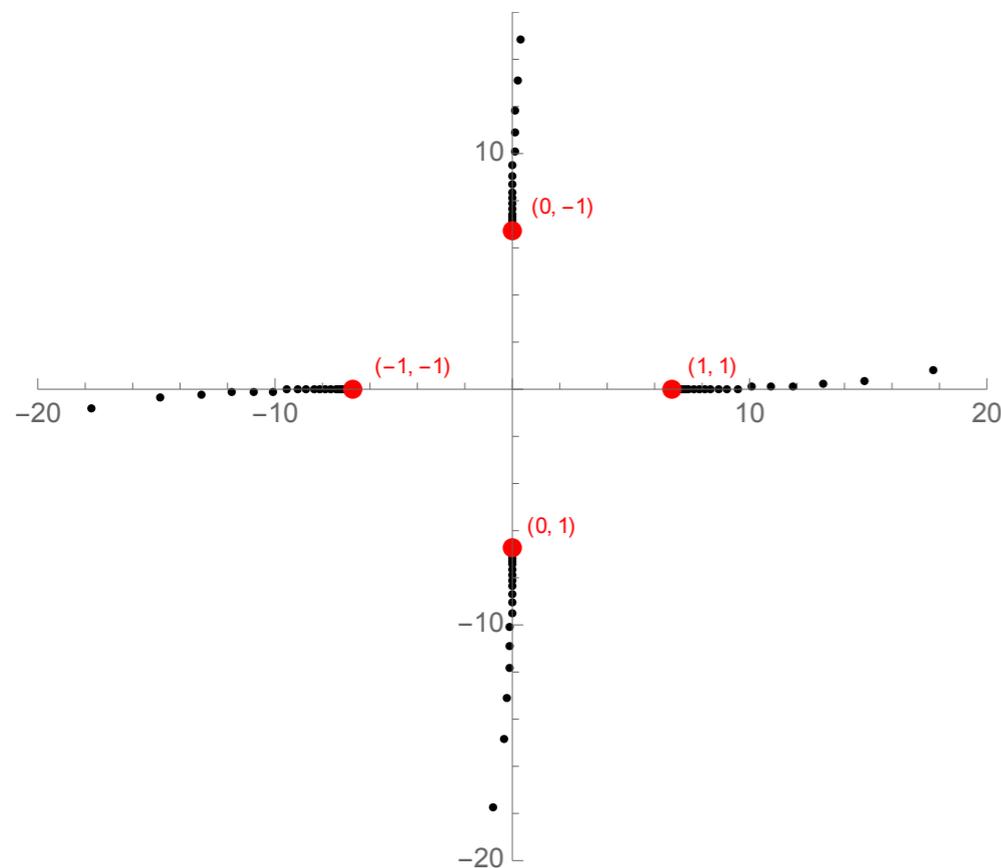
$\sim n!$   $\longrightarrow$  divergent series

$\longrightarrow$  we need to resum it

The connection with gauge theory also persists at the WKB level.  
 For example we can read the **BPS spectrum** of the underlying gauge theory from the **singularities in Borel plane** of the WKB periods.

AG, Gu, Mariño  
 AG, Hao, Neitzke

Borel plane of  $\Pi_A^{\text{WKB}}$  for the modified Mathieu at  $E=0$



→ singularities: BPS spectrum of 4d  
 $SU(2) \mathcal{N} = 2$  SYM at strong coupling

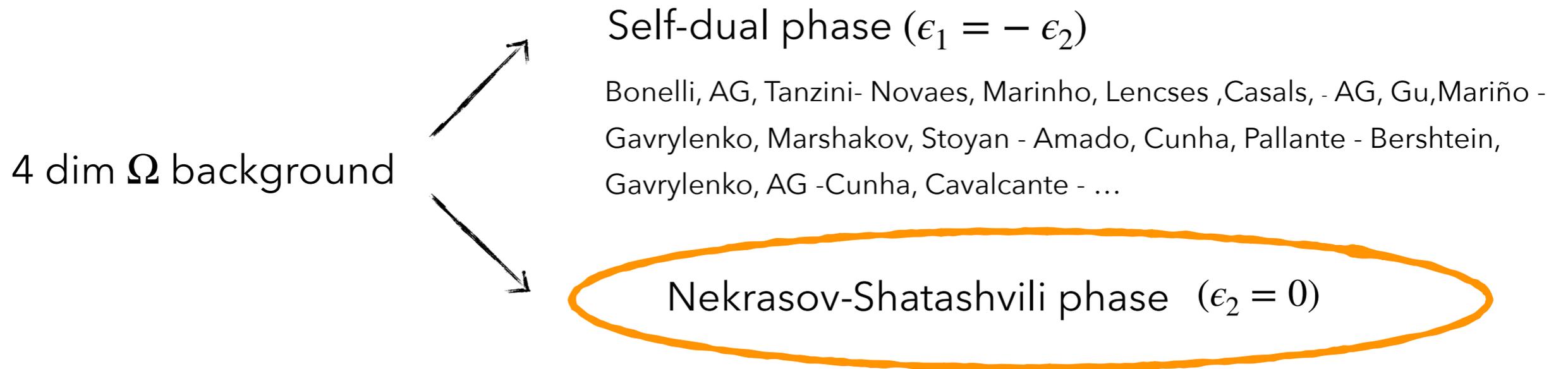
## Quantum periods

→ non-perturbative, exact resummation of WKB periods

Such resummation can be performed thanks to 4 dim gauge theory tools.

GMN or ODE/IM TBA like techniques:

Gaiotto - Ito, Mariño, Shu - Emery - Hollands, Neitzke - AG, Gu, Mariño - Fioravanti, Gregori - Yan - Imaizumi - Dumas, Neitzke - Wu - Ito, Kondo, Kuroda, Shu - AG, Hao, Neitzke - ...



Today's approach

In this approach the task of resumming the WKB period is mapped to the task of computing the **4 dim Nekrasov-Shatashvili free energy**.

In the example of modified Mathieu, the quantum B period reads

$$\Pi_B(E, \hbar) = \frac{a}{2} \log \left( \frac{\hbar^2}{\Lambda^2} \right) - \frac{i\hbar}{2} \left( \log \Gamma \left( 1 + \frac{ia}{\hbar} \right) - \log \Gamma \left( 1 - \frac{ia}{\hbar} \right) \right) + \partial_a F(a, \Lambda, \hbar)$$

$$E = a^2 + \Lambda \partial_\Lambda F(a, \Lambda, \hbar) \quad (\text{Matone relation})$$

$F(a, \Lambda, \hbar)$  : Nekrasov-Shatashvili free energy corresponding to the 4 dim  $\mathcal{N} = 2$  SYM SU(2) theory. This is exact in  $\hbar$  (= the  $\Omega$  background parameter)

Given the quantum periods, we can write the exact quantization for the spectrum.

Example of modified Mathieu

$$\underbrace{\Pi_B^{(0)}(E) + \mathcal{O}(\hbar) + \mathcal{O}(e^{-1/\hbar})}_{= \Pi_B(E, \hbar)} = 2\pi\hbar \left( n + \frac{1}{2} \right) \quad n = 0, 1, 2, \dots$$

(exact version of Bohr-Sommerfeld quantization)

Notation:  $\Pi_B(E, \hbar) = \partial F^{NS}(E, \hbar)$

Many operators of interest in mathematical physics have been successfully analysed in a similar way.

Here we focused on quantization condition, however this approach can also be used to compute eigenfunctions and other objects in spectral theory.

Next: apply these ideas to black hole perturbation theory and more precisely to [black hole quasinormal modes](#).

## Part 2: BH quasinormal modes

## Example:

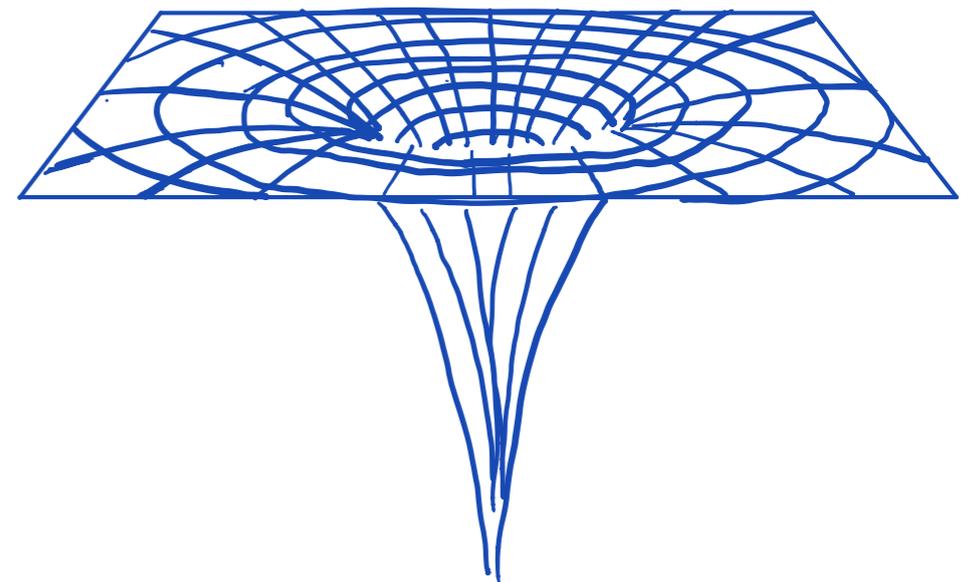
**Schwarzschild metric:** static and spherically symmetric solution to the Einstein equation in the vacuum

$$ds^2 = g_{\mu\nu} dx^\mu dx^\nu = - \left( 1 - \frac{2M}{r} \right) dt^2 + \left( 1 - \frac{2M}{r} \right)^{-1} dr^2 + r^2 (d\theta^2 + \sin^2 \theta d\phi^2)$$

$r \rightarrow \infty$  : Minkowski flat spacetime

$r = 2M$ : black hole horizon

$r = 0$ : black hole singularity



What happens if we add a “small” perturbation to this solution?

$$g_{\mu\nu} = g_{\mu\nu}^S + \delta g_{\mu\nu}$$



Schwarzschild metric

perturbation

It was shown by [Regge and Wheeler](#) that (linear) perturbations of the Schwarzschild metric can be encoded in a simple second order differential equation.

To derive such equation, it is convenient to exploit the symmetries of the background metric and **schematically** decompose the perturbation as:

$$\delta g = \sum_{\ell} \begin{pmatrix} 0 & 0 & 0 & h_0(r) \\ 0 & 0 & 0 & h_1(r) \\ 0 & 0 & 0 & 0 \\ h_0(r) & h_1(r) & 0 & 0 \end{pmatrix} e^{-i\omega t} \sin \theta \frac{\partial}{\partial \theta} Y_{\ell,0}(\theta)$$

where  $Y_{\ell,0}(\theta) \sim P_{\ell}(\cos \theta)$  are the spherical harmonics



Legendre polynomials

Then, substituting into Einstein equations we obtain the [Regge-Wheeler equation](#):

$$\left[ f(r) \frac{d}{dr} f(r) \frac{d}{dr} + \omega^2 - V(r) \right] \Phi(r) = 0, \quad f(r) = 1 - \frac{2M}{r}$$

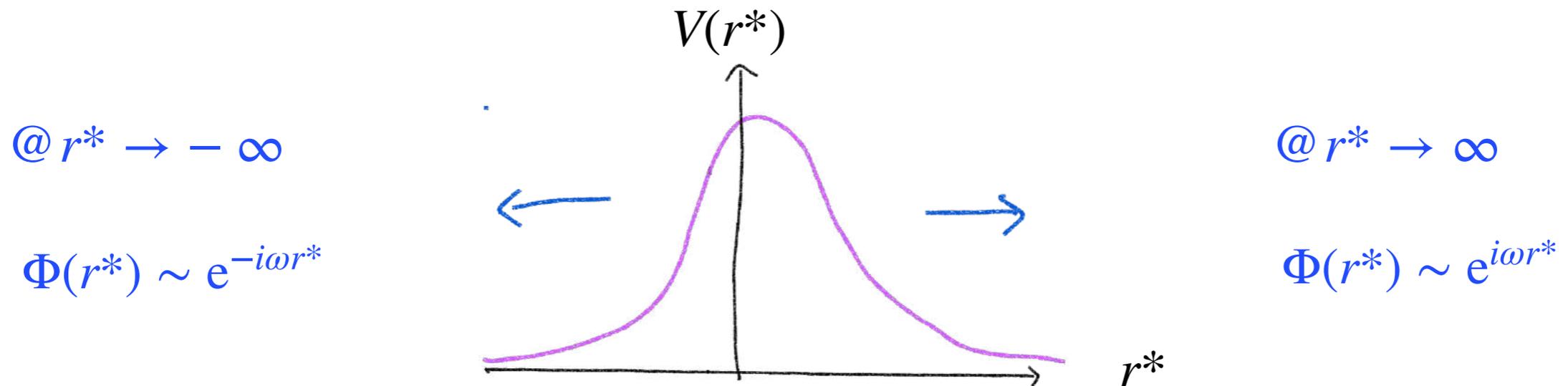
where

$$V(r) = f(r) \left( \frac{\ell(\ell + 1)}{r^2} - \frac{6M}{r^3} \right)$$

$$\left( h_1(r) \sim r f^{-1}(r) \Phi(r) \quad h_0(r) \sim f(r) \frac{d}{dr} \Phi(r) \right)$$

The [Regge-Wheeler](#) equation is supplied by appropriate boundary conditions.

Tortoise coordinate:  $r^* = r + 2M \log \left( \frac{r}{2M} - 1 \right) \rightarrow$  horizon @  $r^* \rightarrow -\infty$



These boundary conditions are satisfied only for a [discrete \(complex\) set](#) of the frequencies  $\{\omega_n\}_{n \geq 0}$  called black hole [quasinormal modes](#).

We found that:

Regge-Wheeler  
equation



some algebra

+ previous works  
by Zenkevich,  
Ito et al, Fiziev  
et al,

Quantum SW curve for the  
4 dim  $SU(2)$  theory with  
 $N_f = 3$  flavours

Aminov, AG, Hatsuda

The dictionary we found is:

	SYM with $N_f = 3$	Schwarzwild BH
gauge coupling	$\Lambda$	$-16iM\omega$
Coulomb branch parameter	$E$	$-\ell(\ell + 1) + 8M^2\omega^2 - \frac{1}{4}$
flavour masses	$\left\{ \begin{array}{l} m_1 \\ m_2 \\ m_3 \end{array} \right.$	$2 - 2iM\omega$
		$-2 - 2iM\omega$
		$-2iM\omega$
$\Omega$ background	$\hbar = \epsilon$	1

➔ By following the geometric/ gauge theoretic approach to spectral theory we can write an [exact quantization condition for BH quasinormal modes](#)

Exact quantization condition:

$$\partial F^{NS}(E, \Lambda, m_1, m_2, m_3, \hbar) = 2\pi \left( n + \frac{1}{2} \right), \quad n = 1, 2, 3, \dots$$



**Nekrasov-Shatashvili** free energy for the 4 dim SU(2) Seiberg-Witten theory with  $N_f = 3$  theory evaluated @:

$$\Lambda = -16iM\omega \quad E = -\ell(\ell + 1) + 8M^2\omega^2 - \frac{1}{4}$$

$$m_1 = 2 - 2iM\omega, \quad m_2 = -2 - 2iM\omega$$

$$m_3 = -2iM\omega, \quad \hbar = 1$$

→ We can check that this agrees with numerical calculations of  $\{\omega_n\}_{n \geq 0}$  by Berti et al [<https://pages.jh.edu/~eberti2/ringdown/>]

This was the example of the **Schwarzschild** BH. However the same approach has also been **generalised** to other BHs.

For example to the **Kerr** solution. The gauge theory in this example is still the  $SU(2) N_f = 3$  but the dictionary is different.

- The **extremal limit** corresponds to the **decoupling limit** in SW theory and it is described by the  $N_f = 2$  theory.
- The **spheroidal eigenvalues** can be expressed in a very explicit form: these are given by the Nekrasov-Shatashvili free energy.

Some preliminary results indicate that this is the case also for asymptotically **(A)dS** solutions. In this case the relevant gauge theory is the  $SU(2) N_f = 4$ .

Recently this connection has been

- extended to a **larger class** of gravity background  
(Kerr-Newman BH, D3 branes, D1D5 circular fuzzball,..)

Bianchi, Consoli, Grillo, Morales

- used to compute the **finite frequency greybody** factor in a very **explicit form** in terms of the 4 dim Nekrasov-Shatashvili free energy

Bonelli, Iossa, Panea, Tanzini

- applied to study stability in Kerr BH

Casals, Teixeira da Costa

# Conclusion

The geometric/gauge theoretic approach to spectral theory provides us with interesting new non-perturbative tools which can be used to obtain new exact analytic results.

This approach has found a wide range of applications including the study of black holes, which we just started to explore.

Thank you!