

# Interactions and Hofstadter sub-bands in twisted bilayer graphene

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and

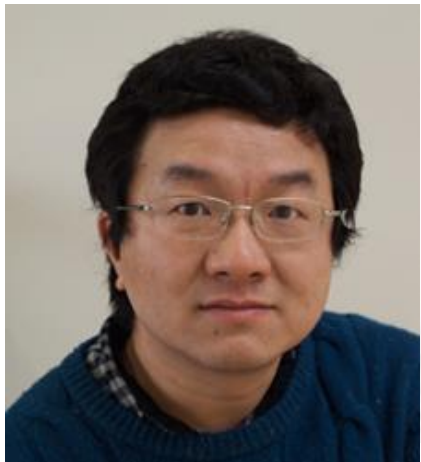
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# Outline

- *Introduction to twisted bilayer graphene*  
single particle and many-body effects
- *Effects of finite magnetic field:*
  1. *New approach to the Hofstadter problem regardless of the topology of the band*
  2. *Application to TBG at strong coupling*
  3. *Application to the topological heavy fermions in finite B-field*

*\*Beyond the minimal continuum model: towards a more accurate description of electronic struc.*



Jian Kang



Xiaoyu Wang



Keshav Singh



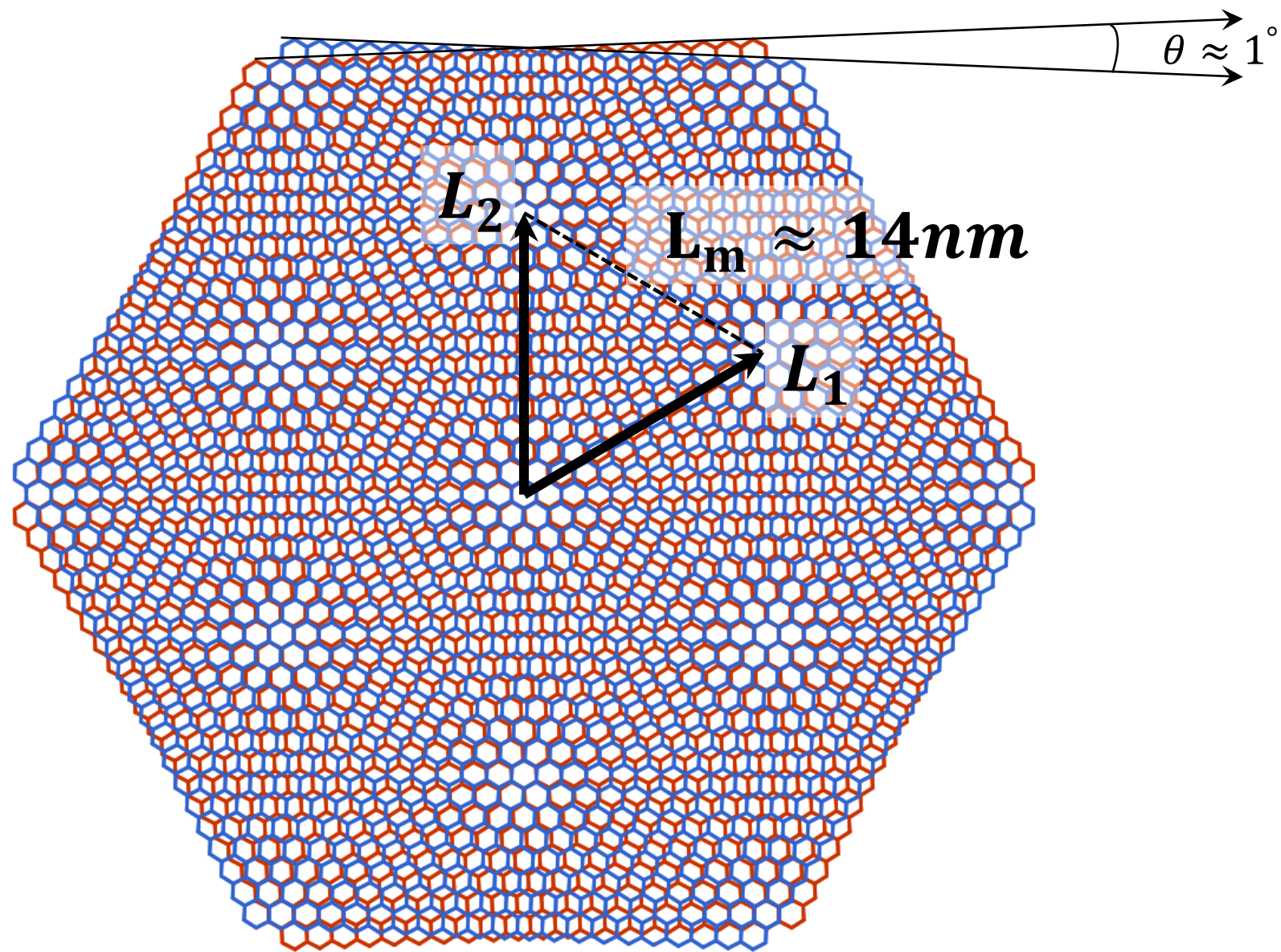
Andrei Bernevig



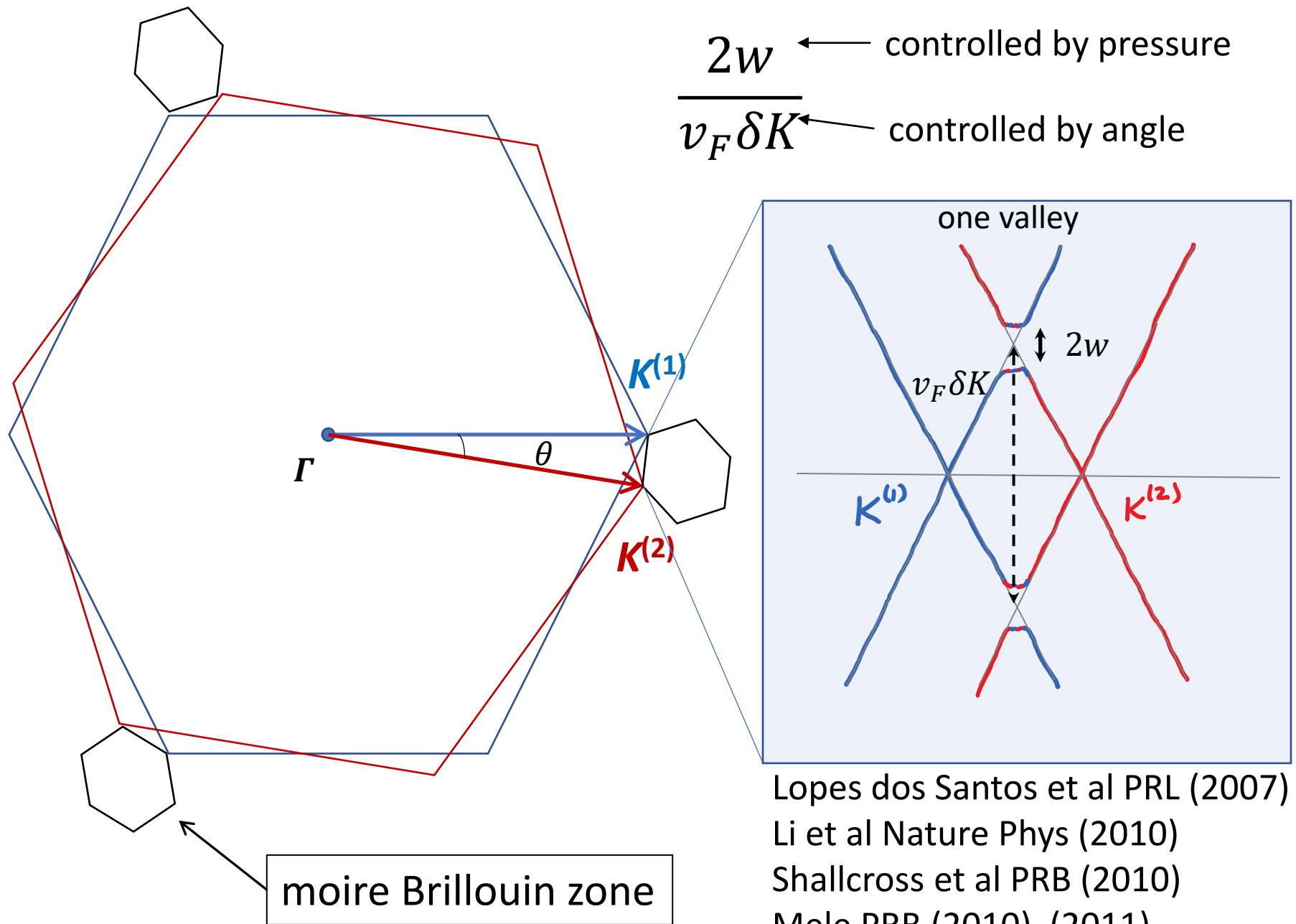
Aaron Chew



Jonah Herzog-Arbeitman



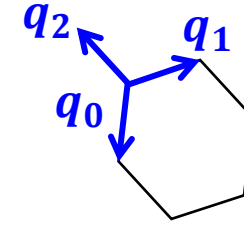
schematic (not to scale)



Lopes dos Santos et al PRL (2007)  
 Li et al Nature Phys (2010)  
 Shallcross et al PRB (2010)  
 Mele PRB (2010), (2011)  
 Bistritzer&MacDonald PNAS (2011)

# Minimal continuum model

$$H_{BM} = \begin{pmatrix} \hbar v_F \mathbf{p} \cdot \sigma_\theta & T(\mathbf{r}) \\ T^\dagger(\mathbf{r}) & \hbar v_F \mathbf{p} \cdot \sigma \end{pmatrix}; \quad \begin{pmatrix} A_{top} \\ B_{top} \\ A_{bot} \\ B_{bot} \end{pmatrix}$$



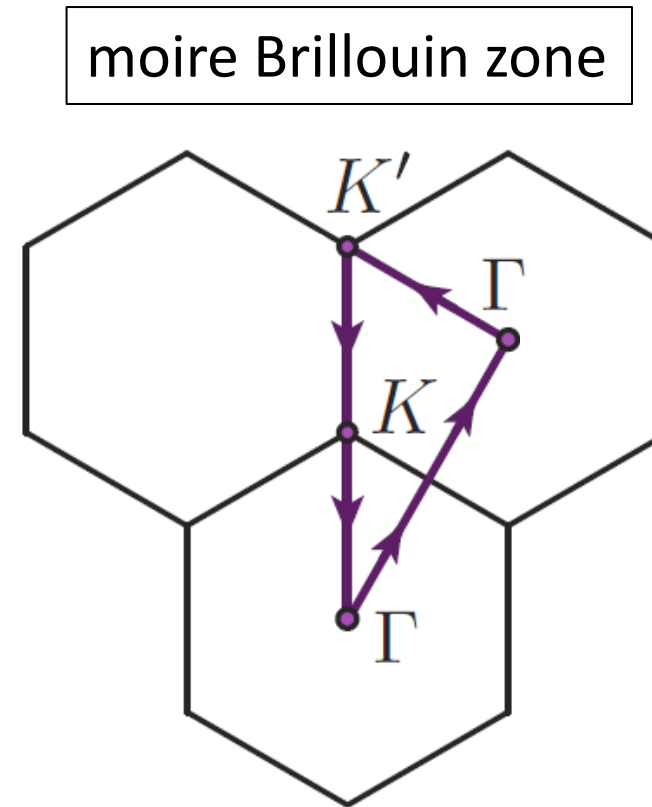
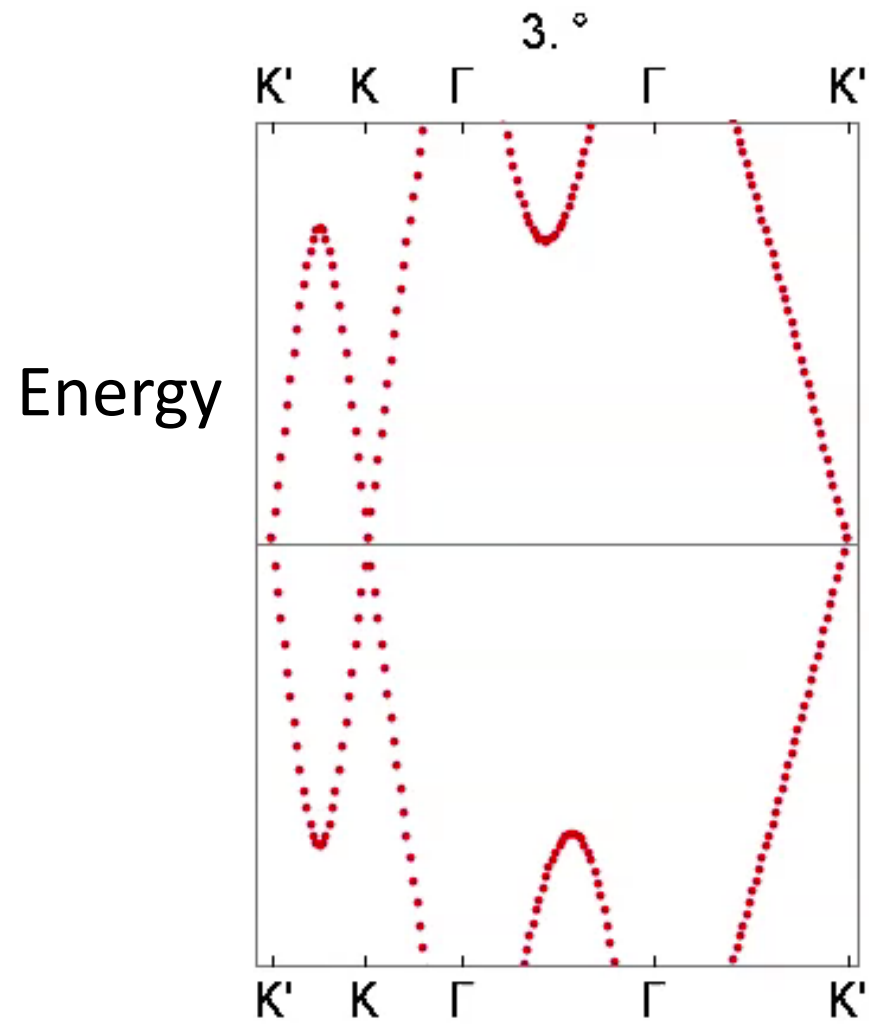
AA region interlayer  
tunneling

$$T(\mathbf{r}) = \begin{pmatrix} w_0 \sum_{j=0}^2 e^{-i\mathbf{q}_j \cdot \mathbf{r}} & w_1 (e^{-i\mathbf{q}_0 \cdot \mathbf{r}} + e^{-i\phi} e^{-i\mathbf{q}_1 \cdot \mathbf{r}} + e^{i\phi} e^{-i\mathbf{q}_2 \cdot \mathbf{r}}) \\ \phi \rightarrow -\phi & w_0 \sum_{j=0}^2 e^{-i\mathbf{q}_j \cdot \mathbf{r}} \end{pmatrix}$$

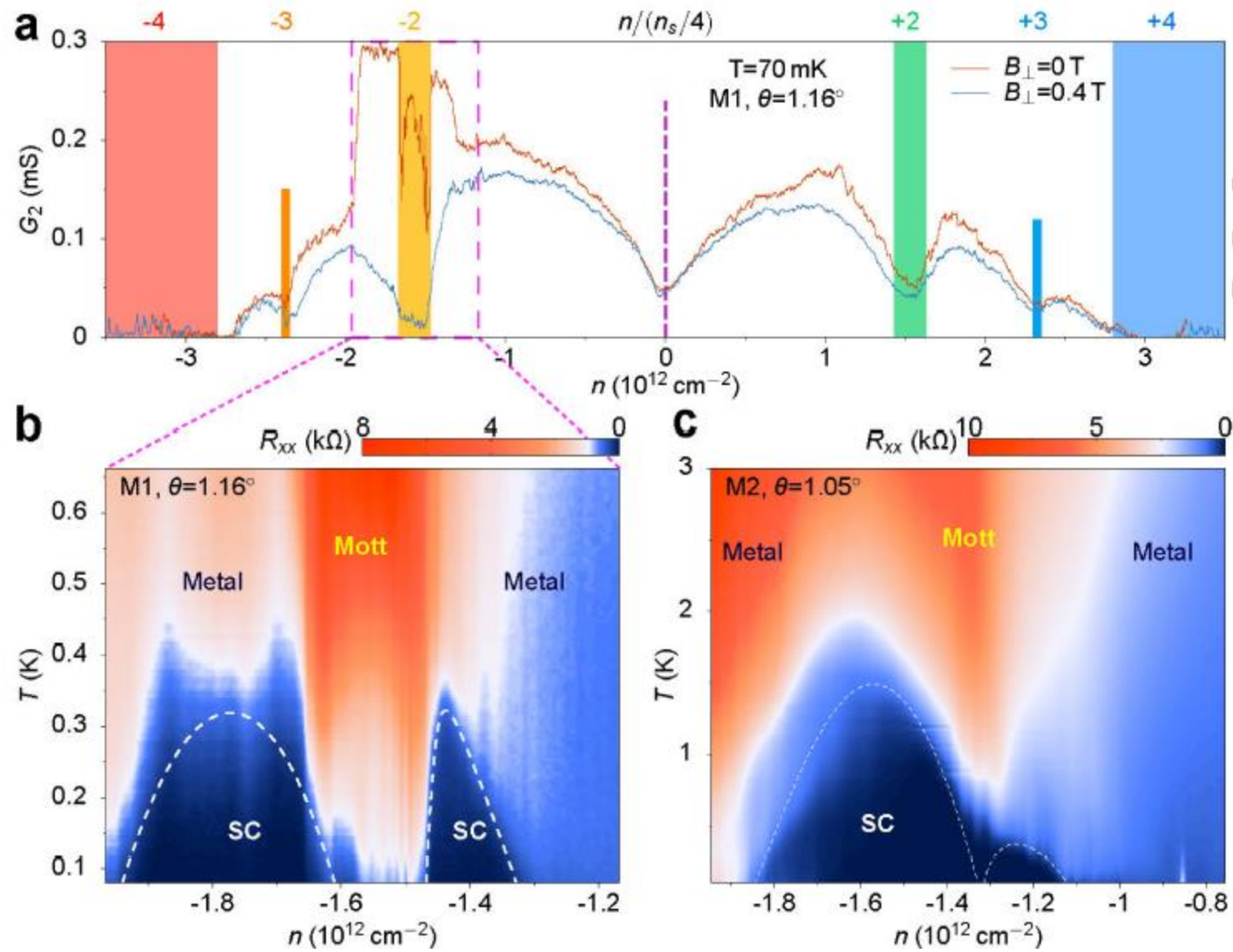
$$\phi = 2\pi/3$$

AB region interlayer  
tunneling



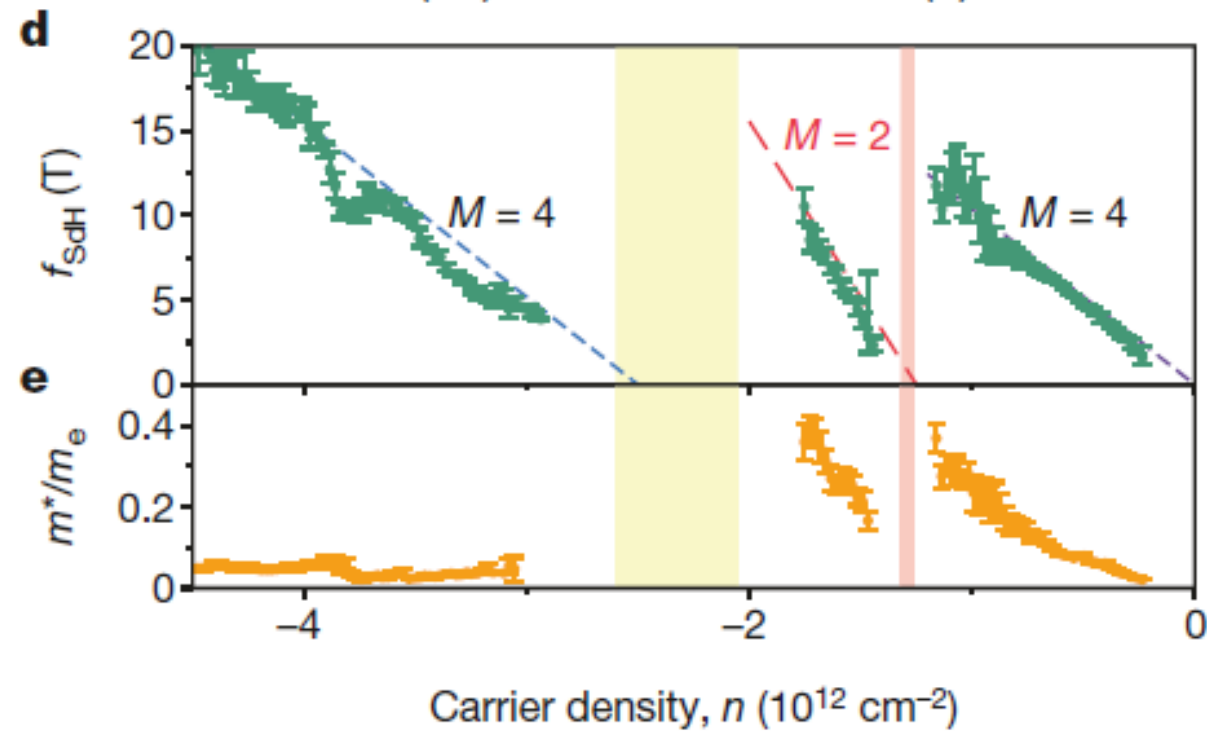
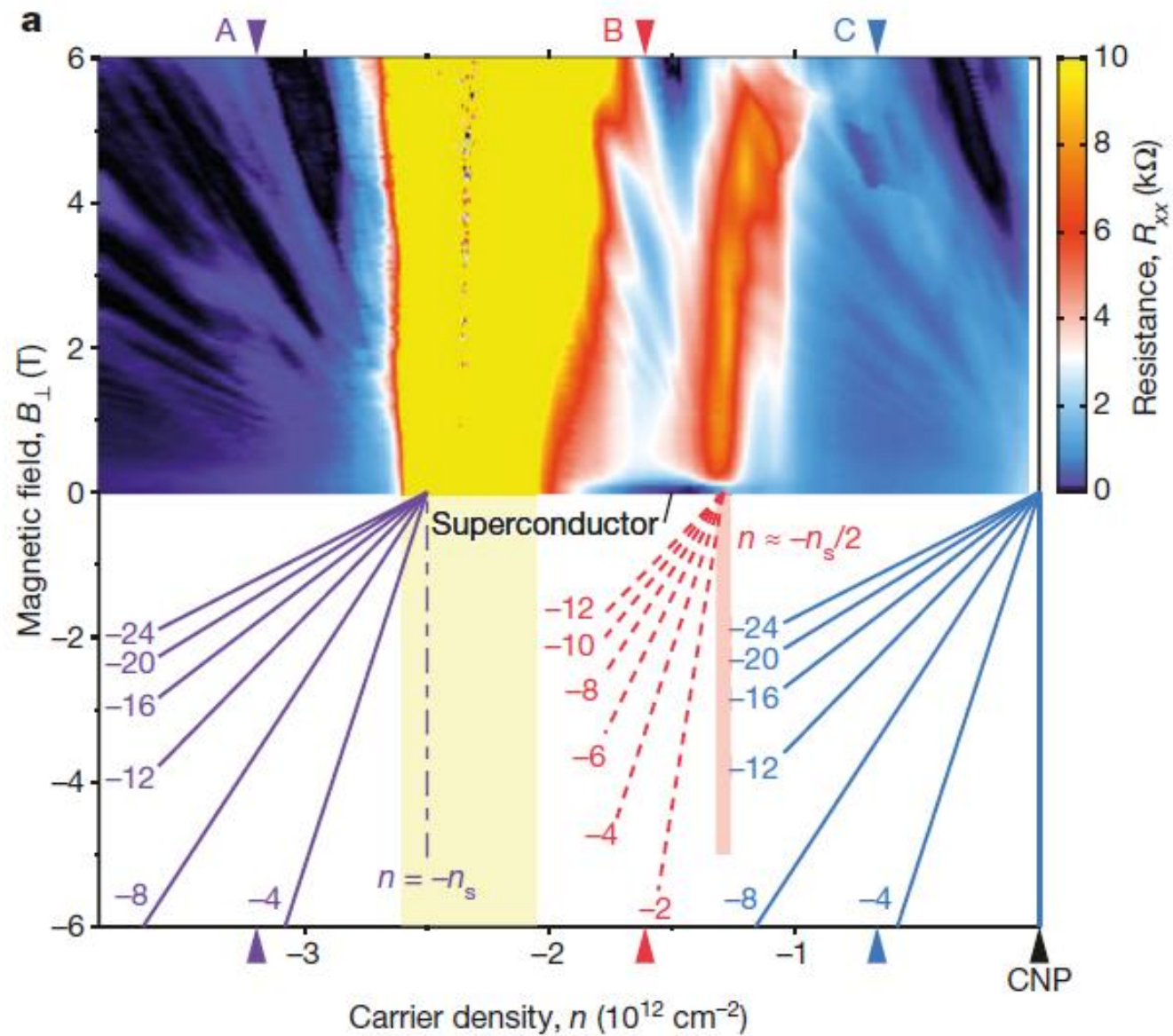


Bistritzer&MacDonald PNAS (2011)



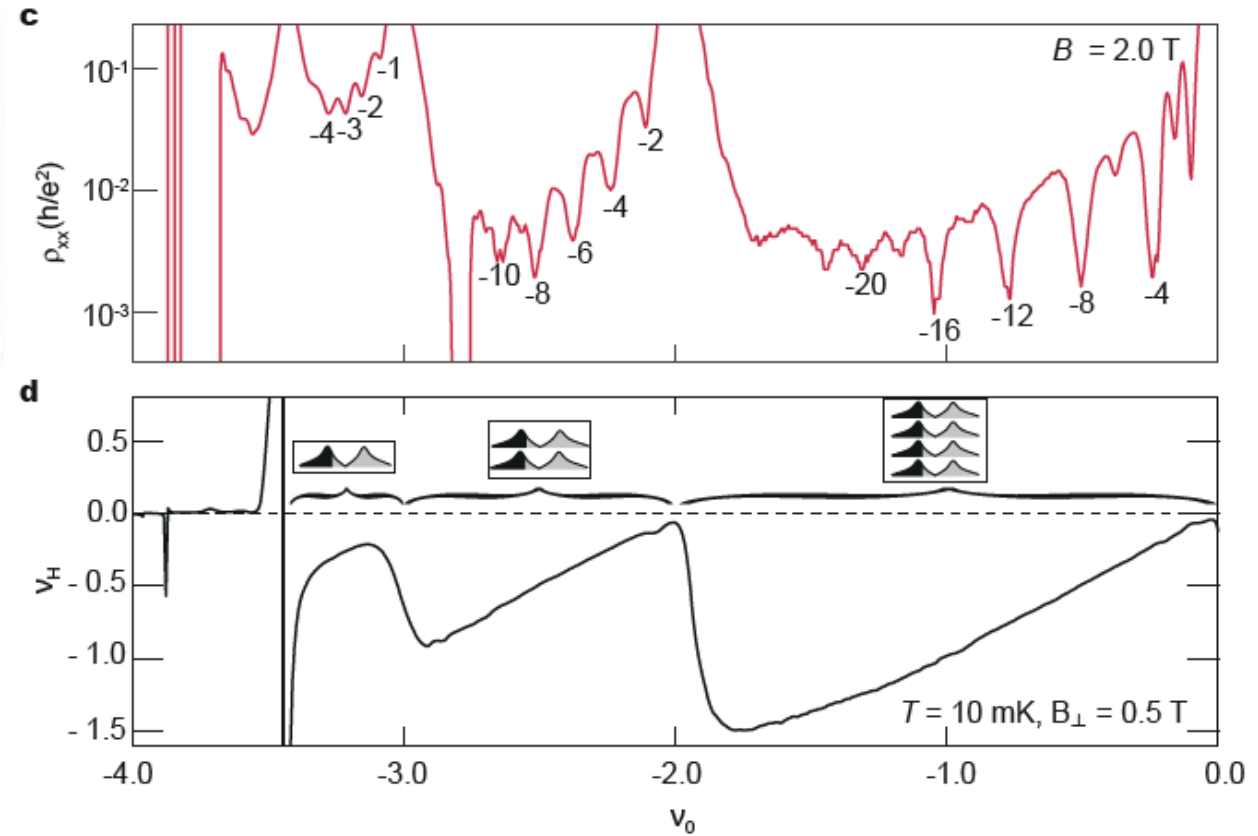
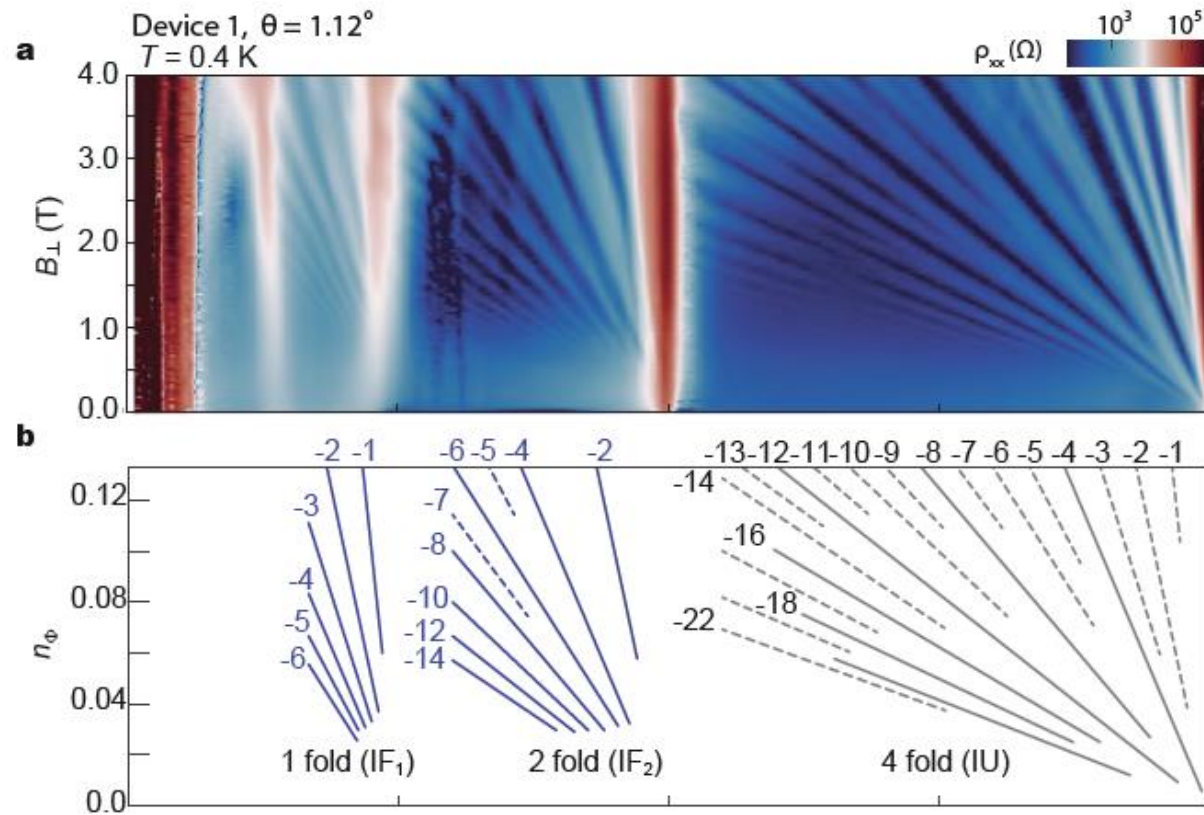
Yuan Cao *et al.* *Nature* **556**, 80 (2018)

Yuan Cao *et al.* *Nature* **556**, 43 (2018)

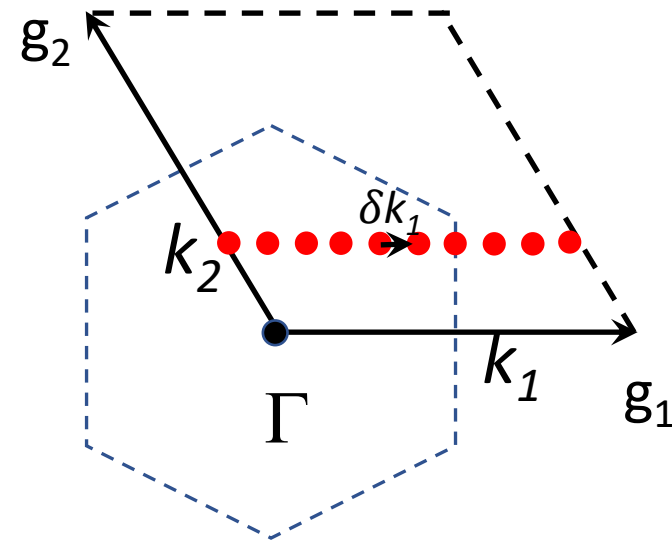
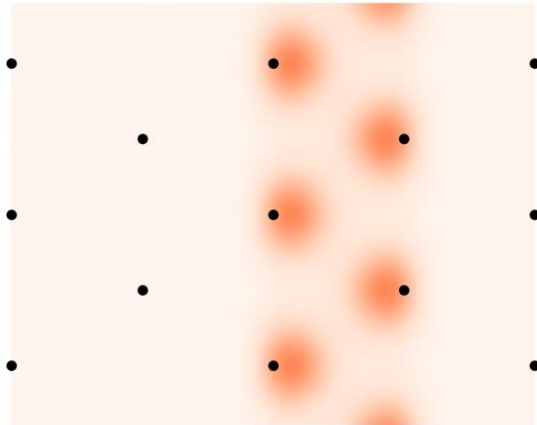
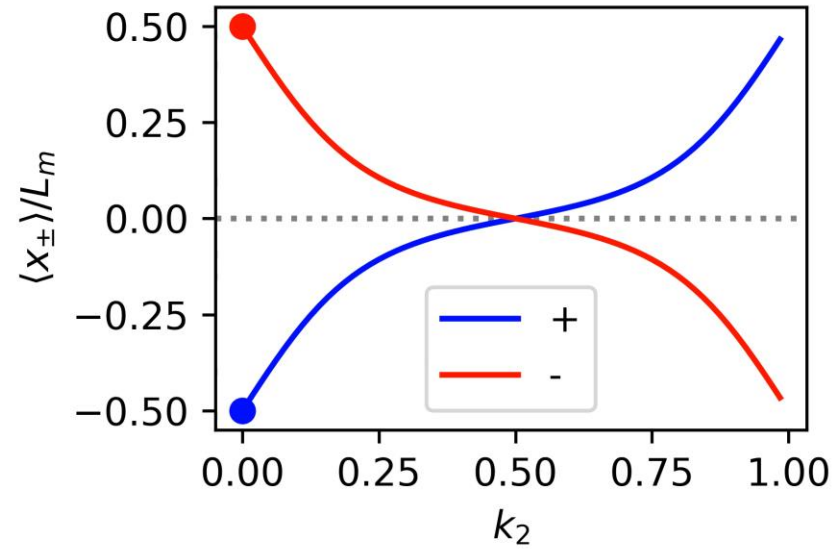
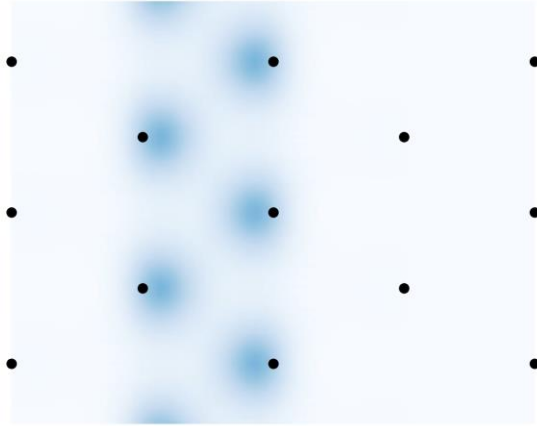


Cao et al, Pablo Jarillo-Herrero Nature 2018



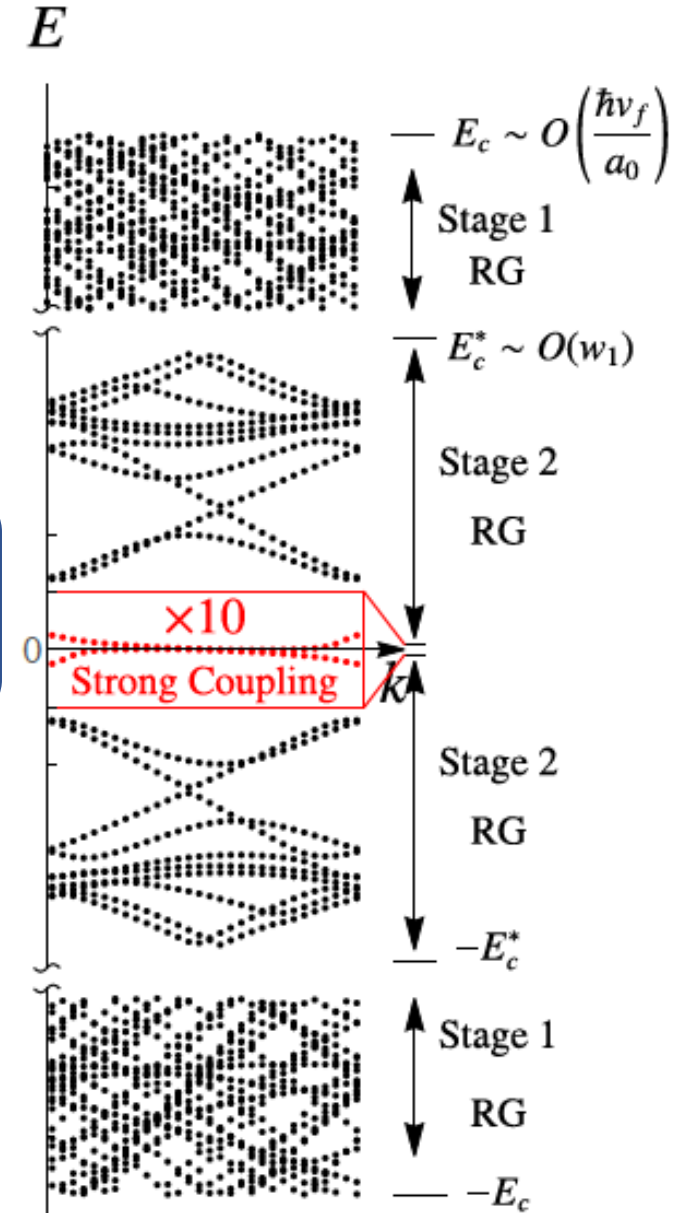


# Band topology and hybrid Wannier states



Coulomb interaction is non-perturbative within the narrow bands:  
strong coupling

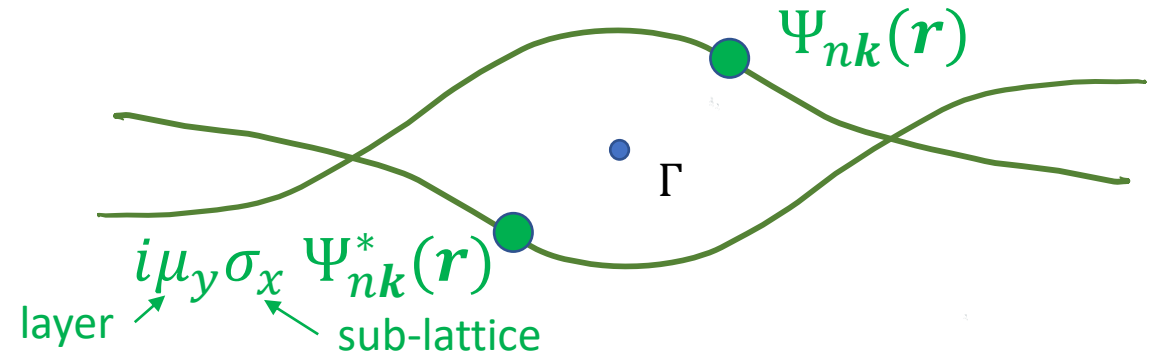
$$(\text{renormalized}) \ H_{kin} \ll V_{int} = \frac{1}{2} \int d\mathbf{r} d\mathbf{r}' V(\mathbf{r} - \mathbf{r}') \delta\rho(\mathbf{r}) \delta\rho(\mathbf{r}')$$



# Spin-valley U(4) symmetry in the strong coupling limit

Particle-hole symmetry:

(Z.Song et.al. PRL2019; Hejazi et.al. PRB2019)



$$\rho(\mathbf{r}) = \sum_{\sigma, \mathbf{k} \mathbf{k}'} (d_{\sigma, K, +, \mathbf{k}}^\dagger, d_{\sigma, K, -, \mathbf{k}}^\dagger, d_{\sigma, K', +, \mathbf{k}}^\dagger, d_{\sigma, K', -, \mathbf{k}}^\dagger) \begin{pmatrix} A_{\mathbf{k} \mathbf{k}'}(\mathbf{r}) & B_{\mathbf{k} \mathbf{k}'}(\mathbf{r}) & 0 & 0 \\ C_{\mathbf{k} \mathbf{k}'}(\mathbf{r}) & D_{\mathbf{k} \mathbf{k}'}(\mathbf{r}) & 0 & 0 \\ 0 & 0 & D_{\mathbf{k} \mathbf{k}'}(\mathbf{r}) & -C_{\mathbf{k} \mathbf{k}'}(\mathbf{r}) \\ 0 & 0 & -B_{\mathbf{k} \mathbf{k}'}(\mathbf{r}) & A_{\mathbf{k} \mathbf{k}'}(\mathbf{r}) \end{pmatrix} \begin{pmatrix} d_{\sigma, K, +, \mathbf{k}'} \\ d_{\sigma, K, -, \mathbf{k}'} \\ d_{\sigma, K', +, \mathbf{k}'} \\ d_{\sigma, K', -, \mathbf{k}'} \end{pmatrix}$$

commutes with  $1, \tau_z 1, \tau_y \tilde{\sigma}_y, \tau_x \tilde{\sigma}_y$

independent spin rotations  
within each valley

rotations between the valleys!

In the chiral limit  $B$  and  $C$  vanish and  
 $U(4) \rightarrow U(4) \times U(4)$

Bultinck et al PRX 2020, Bernevig et al 2020 TBG series  
J. Kang and OV, PRL2019 and OV and J.Kang PRL2020

Coulomb interaction is non-perturbative within the narrow bands:  
strong coupling

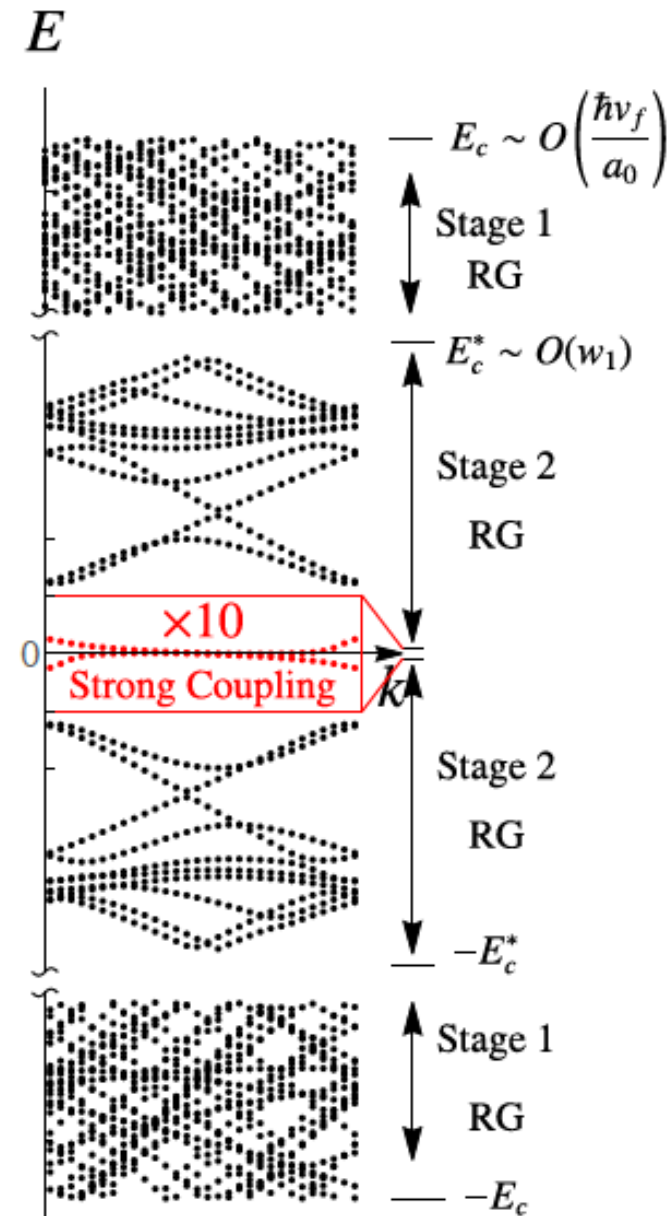
$$(\text{renormalized}) \ H_{kin} \ll V_{int} = \frac{1}{2} \int d\mathbf{r} d\mathbf{r}' V(\mathbf{r} - \mathbf{r}') \delta\rho(\mathbf{r}) \delta\rho(\mathbf{r}')$$

**Charge neutrality point:** any many-body state that is annihilated by  $\delta\rho(\mathbf{r})$  is a ground state

**Even integer filling:** ground states are many-body eigenstates of  $\delta\rho(\mathbf{r})$

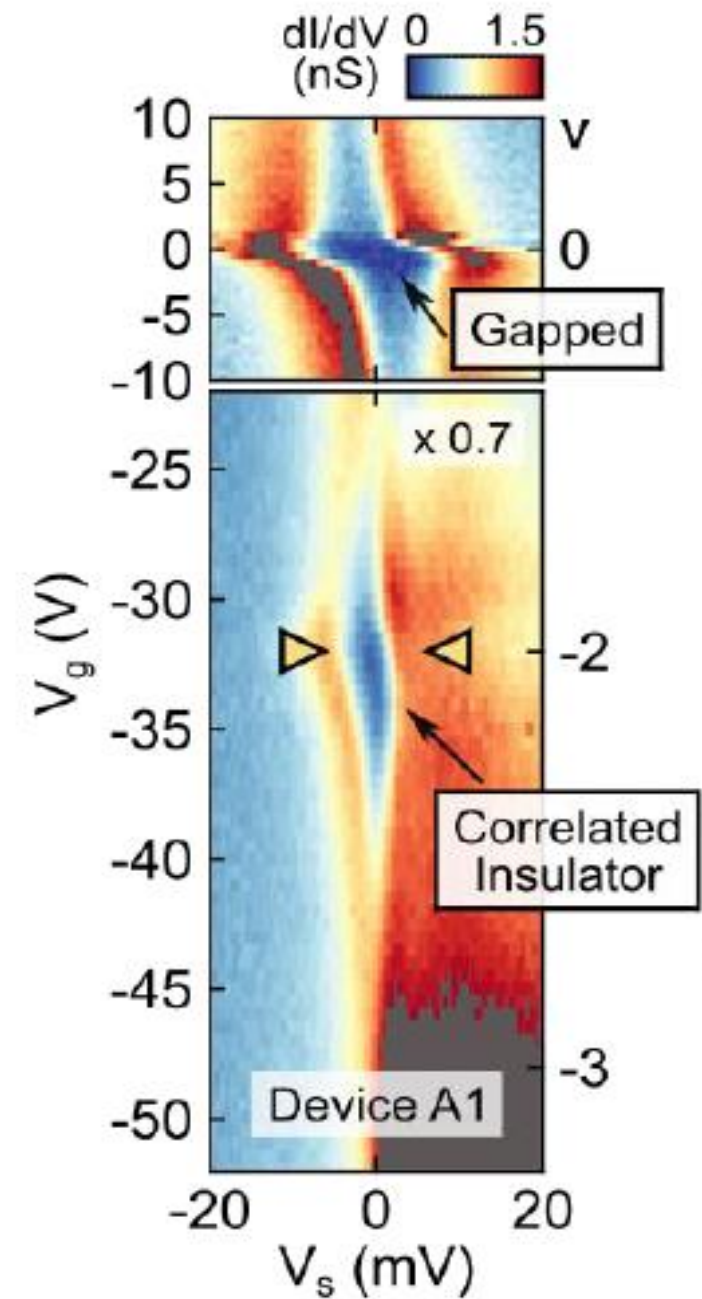
**Odd integer filling:** if sublattice is perfectly polarized (i.e. chiral limit)  
Chern states are ground states

**Generalized (gapped) spin-valley ferromagnets are favored by the projected Coulomb interactions**



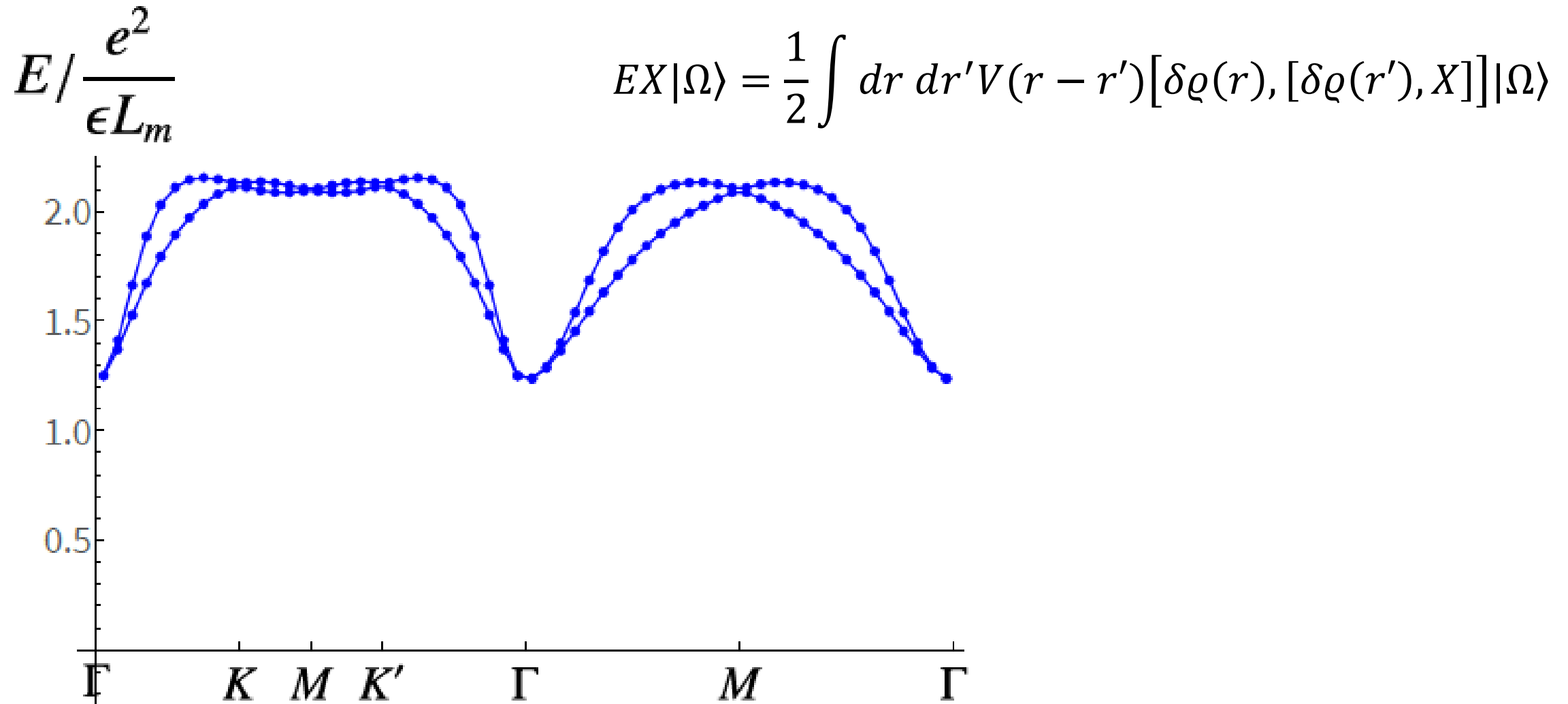


STM reveals a gap at the charge neutrality point without hBN substrate alignment in the ultra-low strain device regions



Itineracy at strong coupling

# Exact single particle excitation spectrum at CNP in the strong coupling limit



# Exact single particle excitation spectrum at integer filling in the strong coupling

$$\begin{aligned}
 & (E - E_v^{(0)}) X |\Omega_v\rangle \\
 &= \frac{1}{2} \int d\mathbf{r} d\mathbf{r}' V(\mathbf{r} - \mathbf{r}') [\delta\varrho(\mathbf{r}), [\delta\varrho(\mathbf{r}'), X]] |\Omega_v\rangle + \int d\mathbf{r} d\mathbf{r}' V(\mathbf{r} - \mathbf{r}') [\delta\varrho(\mathbf{r}), X] \delta\bar{\varrho}_v(\mathbf{r}') |\Omega_v\rangle
 \end{aligned}$$

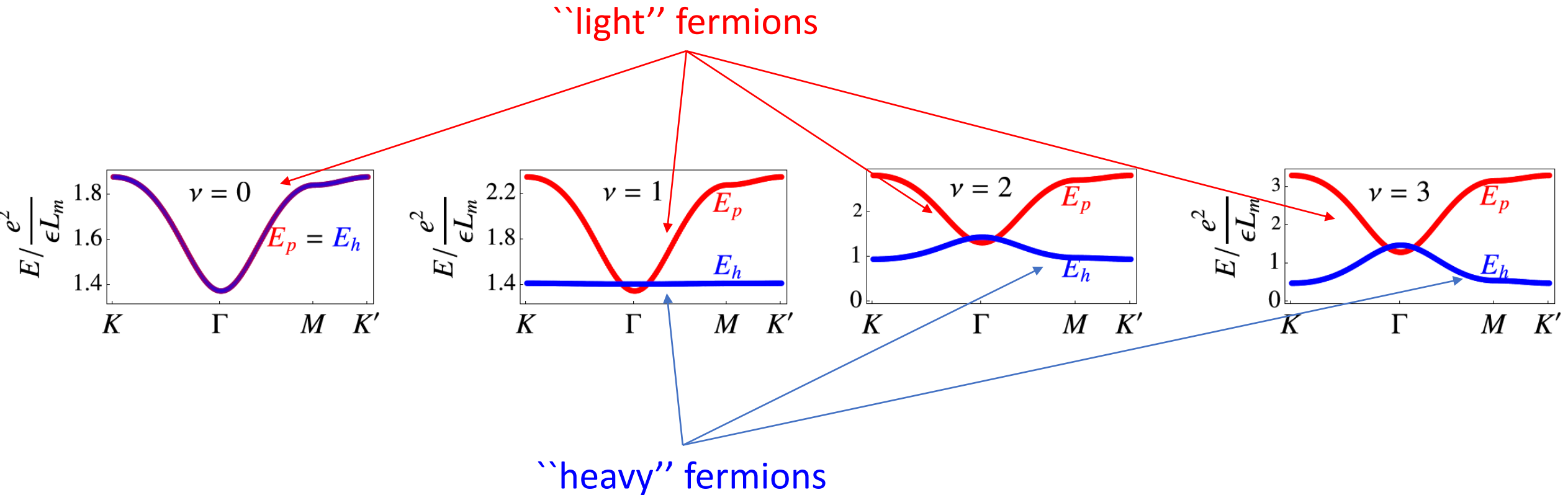
$\mathcal{E}^{(F)}(\mathbf{k})$

$\pm \mathcal{E}_v^{(H)}(\mathbf{k})$

# Exact single particle excitation spectrum at integer filling in the strong coupling: chiral limit $w_0/w_1 = 0$

$$\varepsilon^{hole}(\mathbf{k}) = \varepsilon^{(F)}(\mathbf{k}) - \varepsilon_v^{(H)}(\mathbf{k})$$

$$\varepsilon^{particle}(\mathbf{k}) = \varepsilon^{(F)}(\mathbf{k}) + \varepsilon_v^{(H)}(\mathbf{k})$$





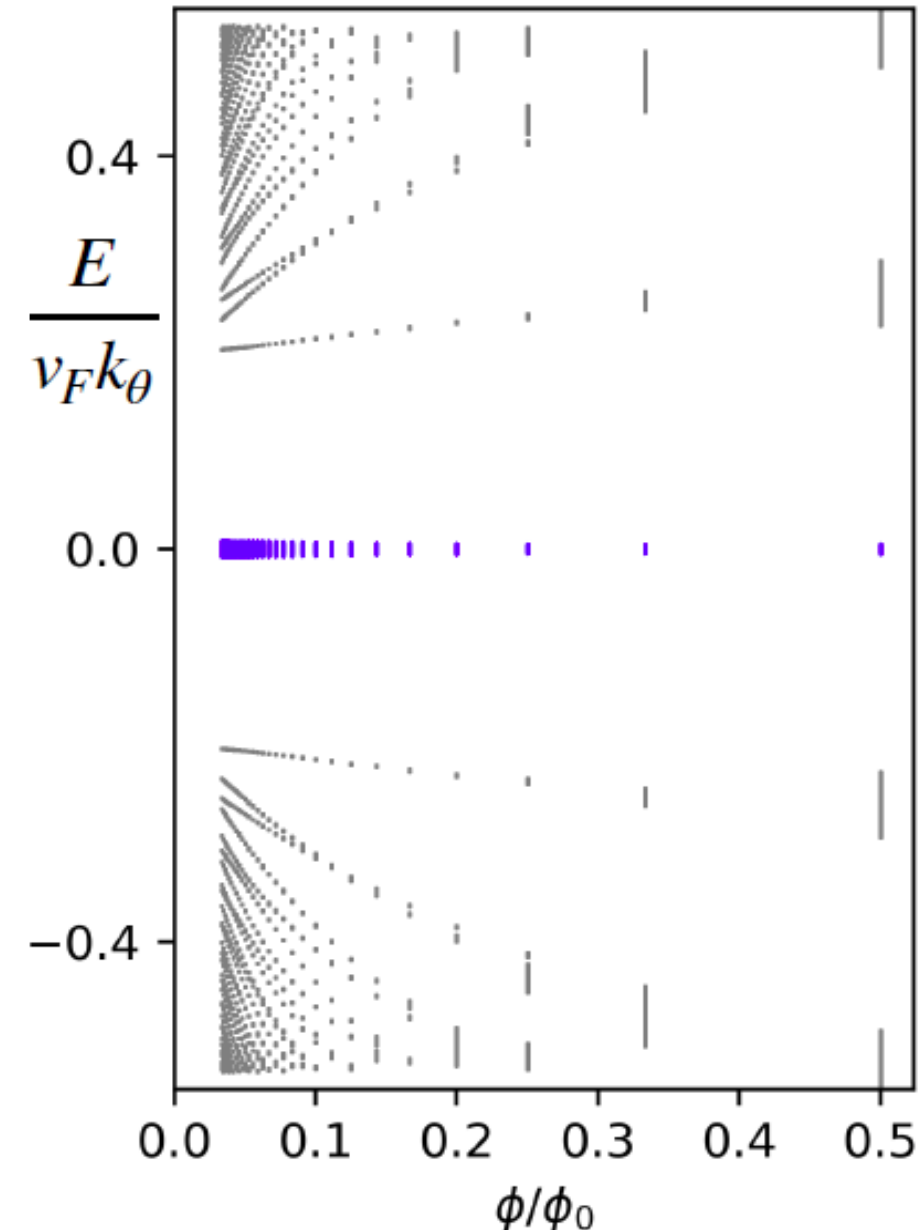
How do the strong coupling excitations  
Landau quantize?

(the density operator is charge neutral, therefore it is not immediately clear how the vector potential enters)

(non-interacting) minimal continuum model in magnetic field B

$$H_{BM}(\mathbf{p}) \rightarrow H_{BM}(\mathbf{p} - \frac{e}{c} \mathbf{A})$$

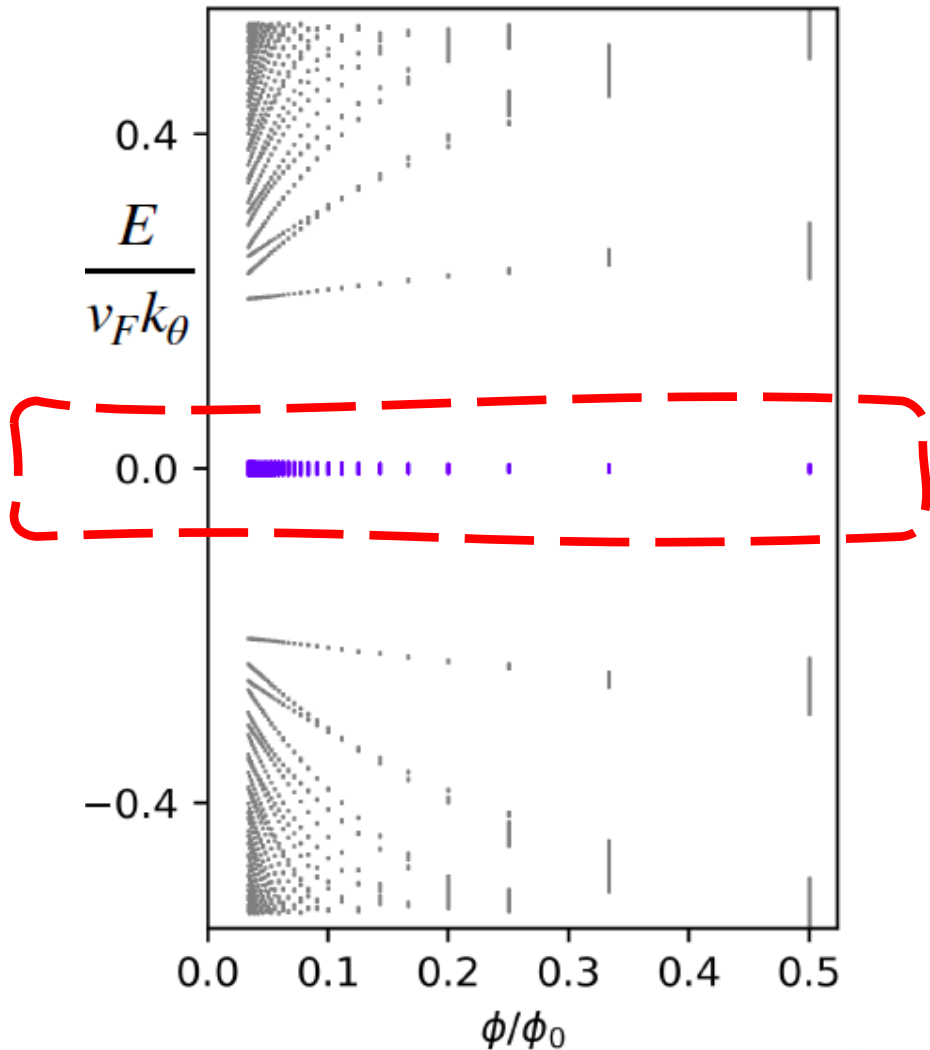
existing strategy: minimally substitute and expand in LLs



Bistritzer and MacDonald PRB 84, 035440 (2011)

Hejazi, Liu, Balents PRB 100, 035115 (2019)

We need to find a projector onto the narrow bands at finite B-field



$$V_{int} = \frac{1}{2} \int d\mathbf{r} d\mathbf{r}' V(\mathbf{r} - \mathbf{r}') \delta\rho(\mathbf{r}) \delta\rho(\mathbf{r}')$$

- Solving the BM model in LL basis is a bit problematic at low  $\mathbf{B}$  because of the high number of LLs that needs to be kept
- Need a new method (that works even if the narrow bands are topological at  $\mathbf{B}=0$ )

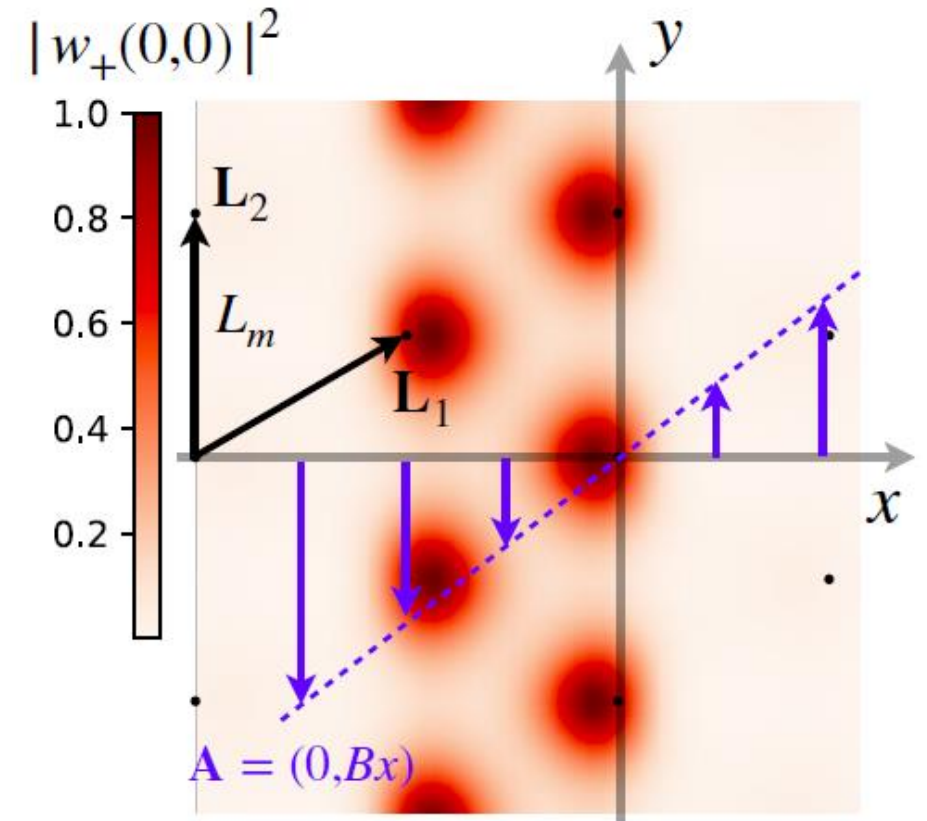
Bistritzer and MacDonald PRB 84, 035440 (2011)

Hejazi, Liu, Balents PRB 100, 035115 (2019)

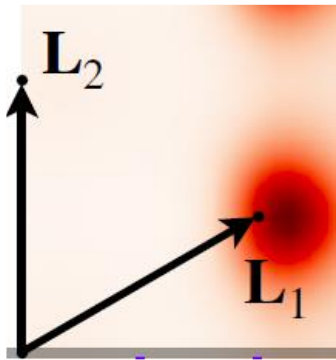
X. Wang and OV PRB 106, L121111 (2022)

Key insight: use  $B=0$  hybrid Wannier states to generate the finite  $B$  basis

- for the hybrid Wannier state centered at and near the origin, the Landau gauge vector potential  $\mathbf{A} = (0, Bx)$  can be treated perturbatively, because the region in real space where  $\mathbf{A}$  is large gets suppressed by the exponential localization of the hybrid Wannier state.
- the discrete translation symmetry along the  $y$  –direction used in constructing the hybrid Wannier state is preserved by such  $\mathbf{A}$
- Generate the entire basis from the  $\mathbf{B}=0$  hybrid WS centered near origin by projecting onto irreps of MTG



# Magnetic translation group and projection onto its irreps



$$t_{L_2} \psi(\mathbf{r}) = \psi(\mathbf{r} - \mathbf{L}_2)$$

$$t_{L_1} \psi(\mathbf{r}) = e^{i \frac{eB}{\hbar c} L_1 x y} \psi(\mathbf{r} - \mathbf{L}_1)$$

$$\frac{\phi}{\phi_0} = \frac{p}{q}$$

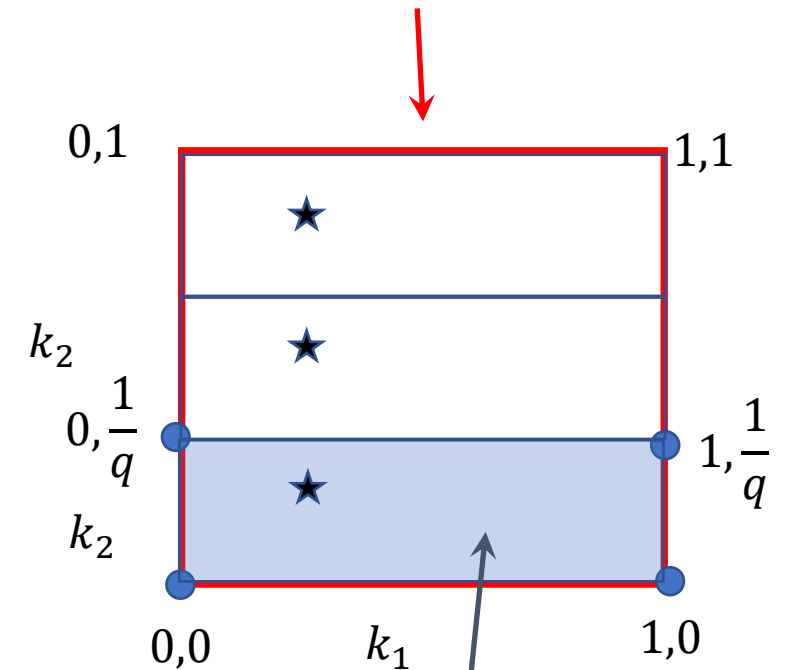
$$\left[ t_{L_2}, H_{BM} \left( p_x, p_y - \frac{e}{c} Bx \right) \right] = 0$$

$$\left[ t_{L_1}, H_{BM} \left( p_x, p_y - \frac{e}{c} Bx \right) \right] = 0$$

$$\left[ t_{L_2}^q, t_{L_1} \right] = 0$$

$$W_{\pm}(k_1, k_2; n_0) \rangle \sim \sum_{s=-\infty}^{\infty} e^{2\pi i s k_1} t_{L_1}^s |w_{\pm}(n_0, k_2 \mathbf{g}_2) \rangle$$

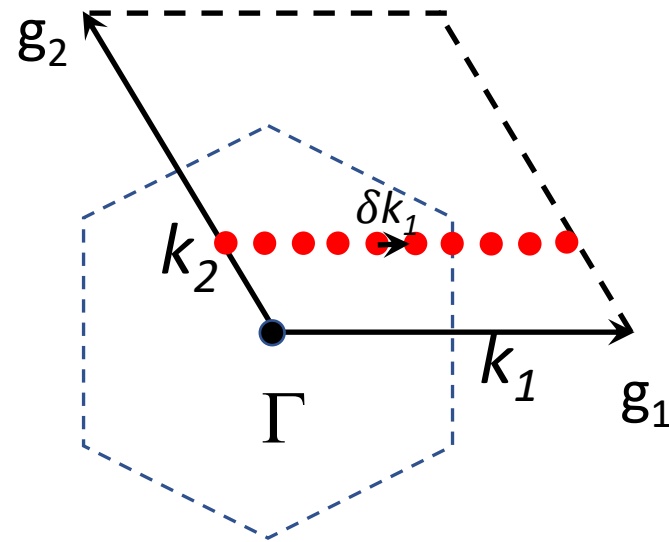
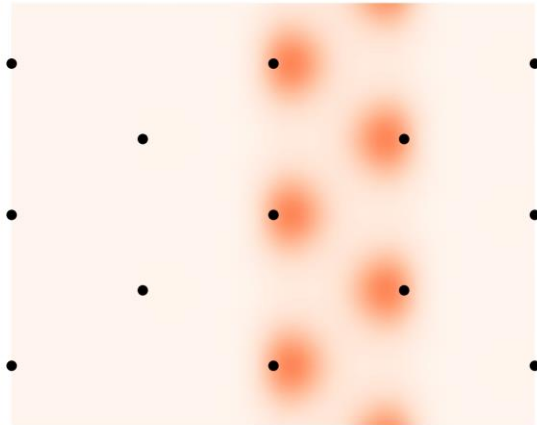
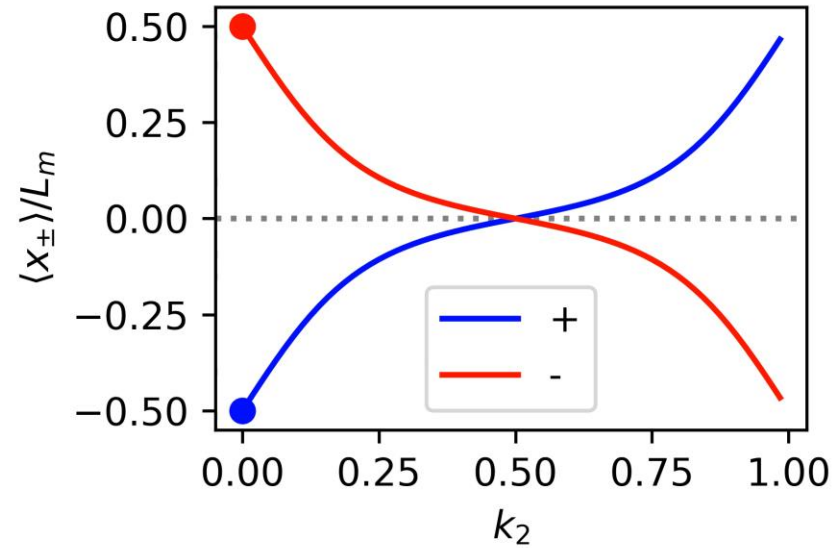
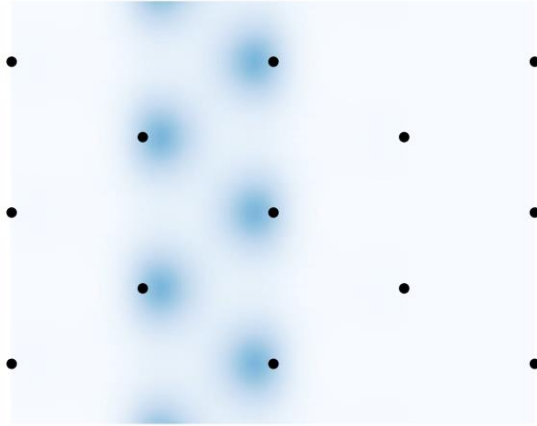
$B = 0$  Brillouin zone

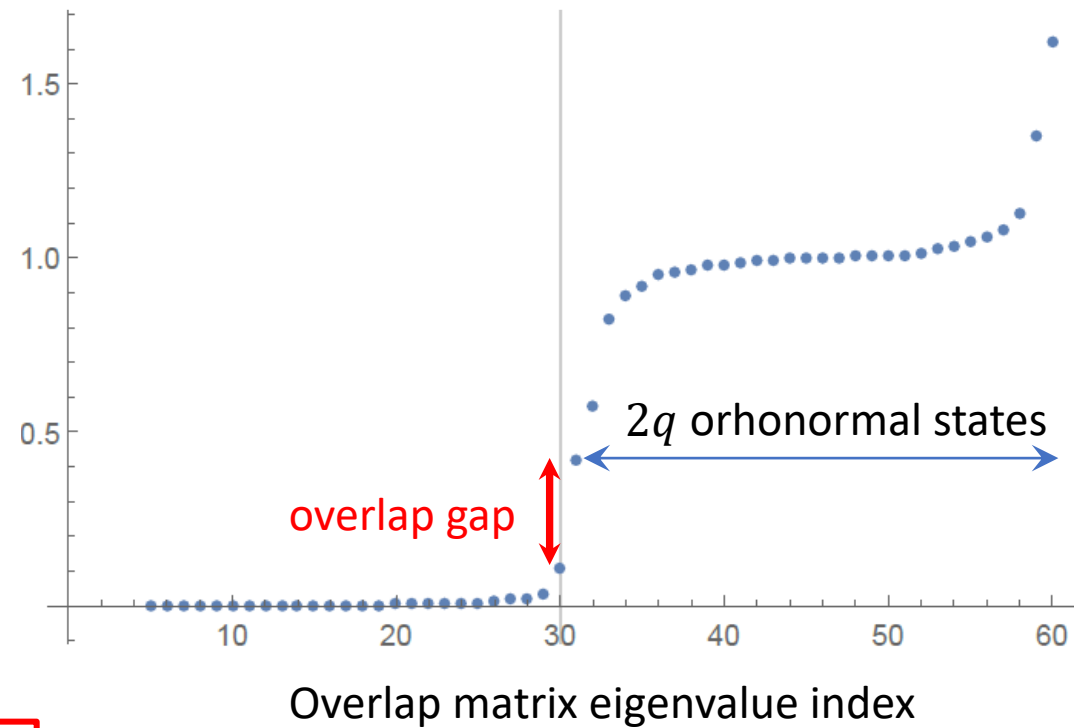
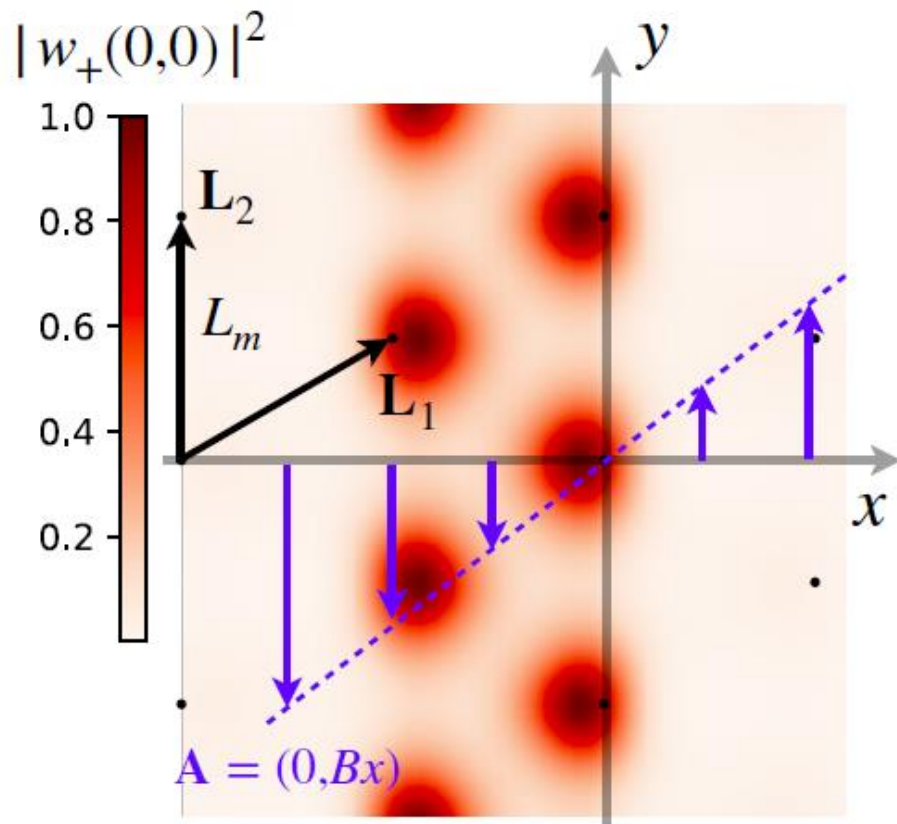


$B \neq 0$  Brillouin zone

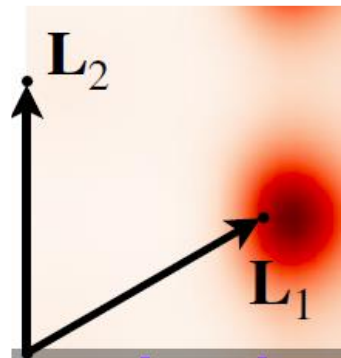


# TBG band topology and hybrid Wannier states





$$W_{\pm}(k_1, k_2; n_0) \rangle \sim \sum_{s=-\infty}^{\infty} e^{2\pi i s k_1} t_{L_1}^s |w_{\pm}(n_0, k_2 \mathbf{g}_2) \rangle$$



$$t_{L_2} \psi(\mathbf{r}) = \psi(\mathbf{r} - \mathbf{L}_2)$$

$$t_{L_1} \psi(\mathbf{r}) = e^{i \frac{eB}{\hbar c} L_{1x} y} \psi(\mathbf{r} - \mathbf{L}_1)$$

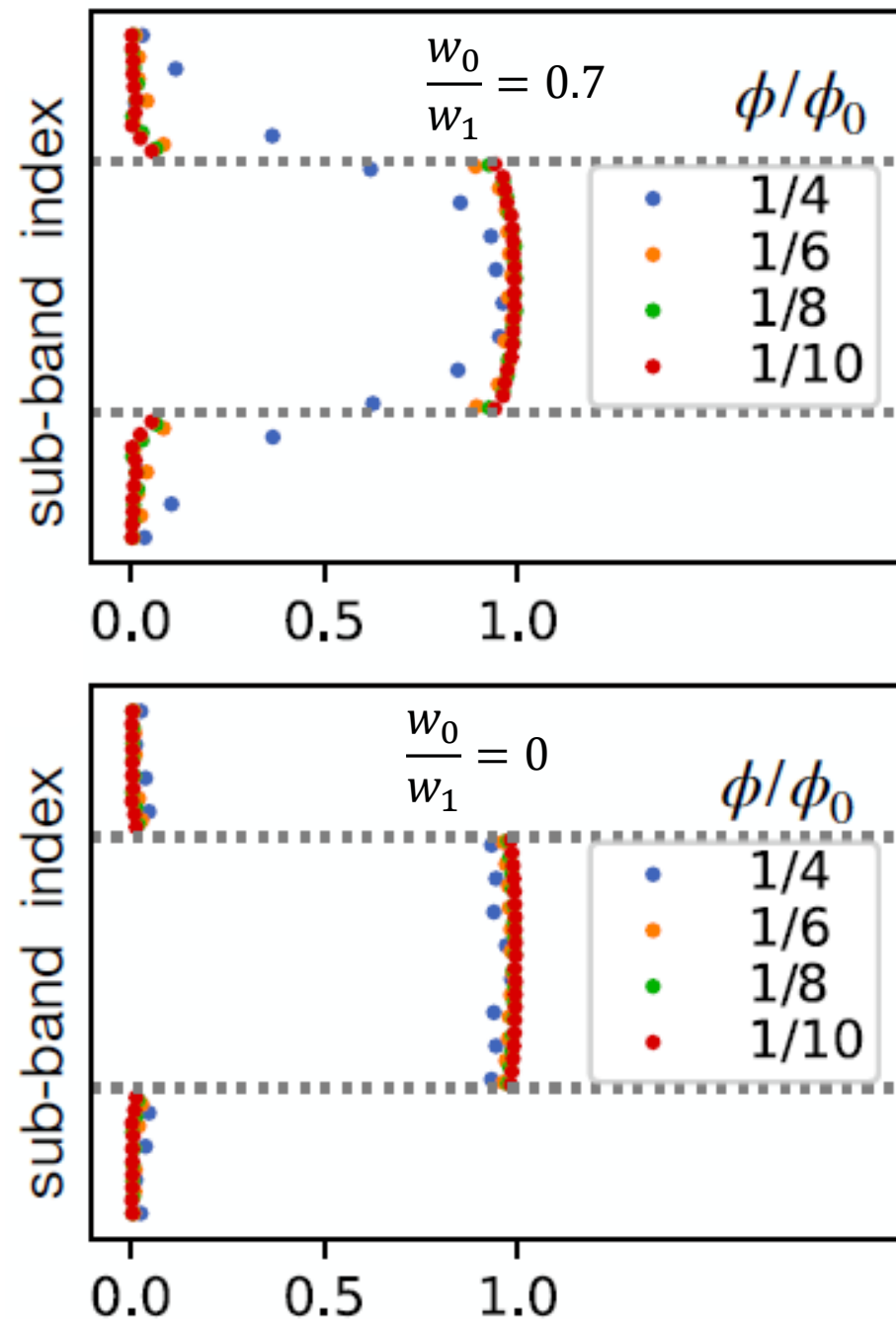
$$\frac{\phi}{\phi_0} = \frac{p}{q}$$

$$\left[ t_{L_2}, H_{BM} \left( p_x, p_y - \frac{e}{c} Bx \right) \right] = 0$$

$$\left[ t_{L_1}, H_{BM} \left( p_x, p_y - \frac{e}{c} Bx \right) \right] = 0$$

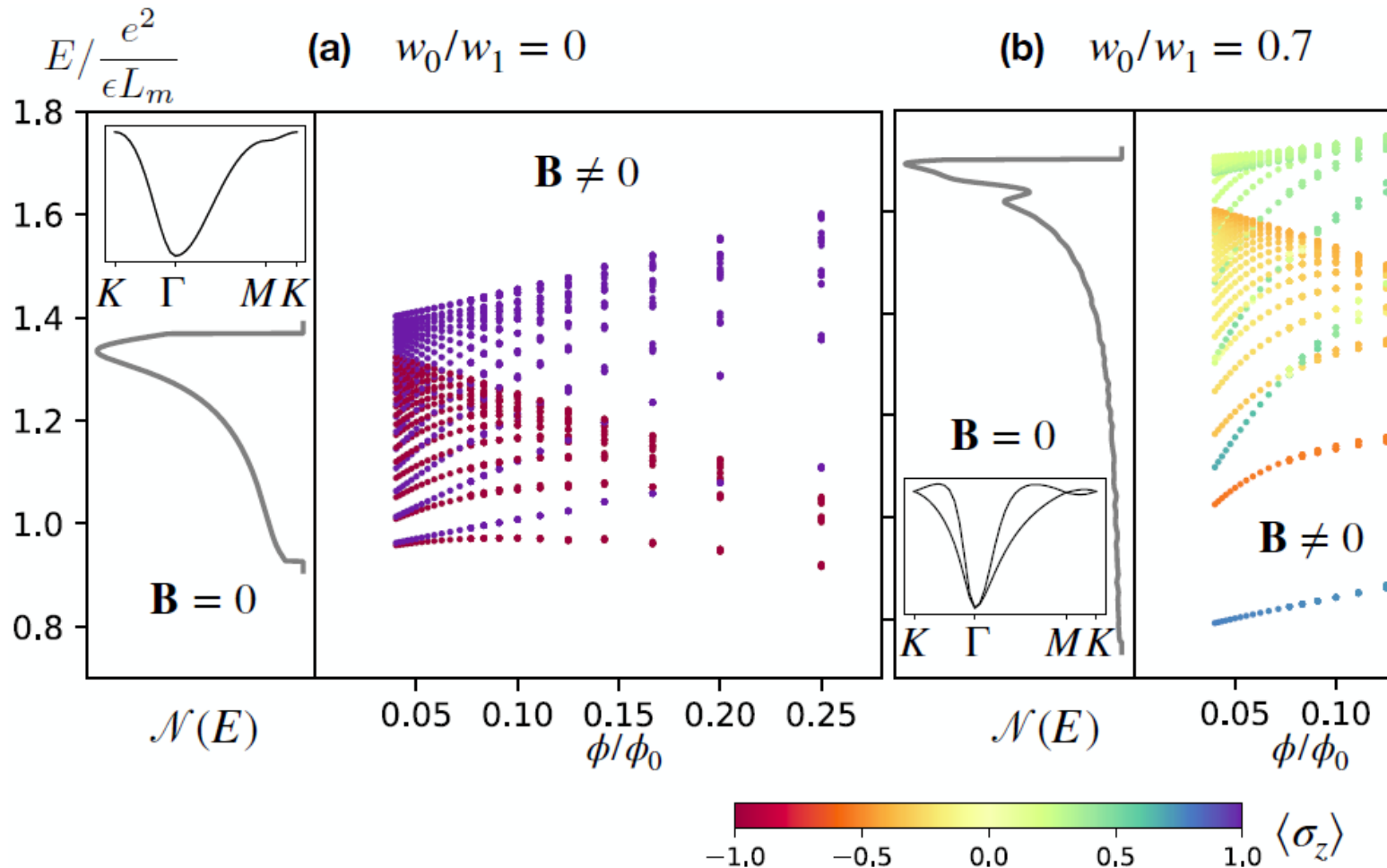
$$\left[ t_{L_2}^q, t_{L_1} \right] = 0$$

$$W_{\pm}(k_1, k_2; n_0) \rangle \sim \sum_{s=-\infty}^{\infty} e^{2\pi i s k_1} t_{L_1}^s |w_{\pm}(n_0, k_2 \mathbf{g}_2) \rangle$$

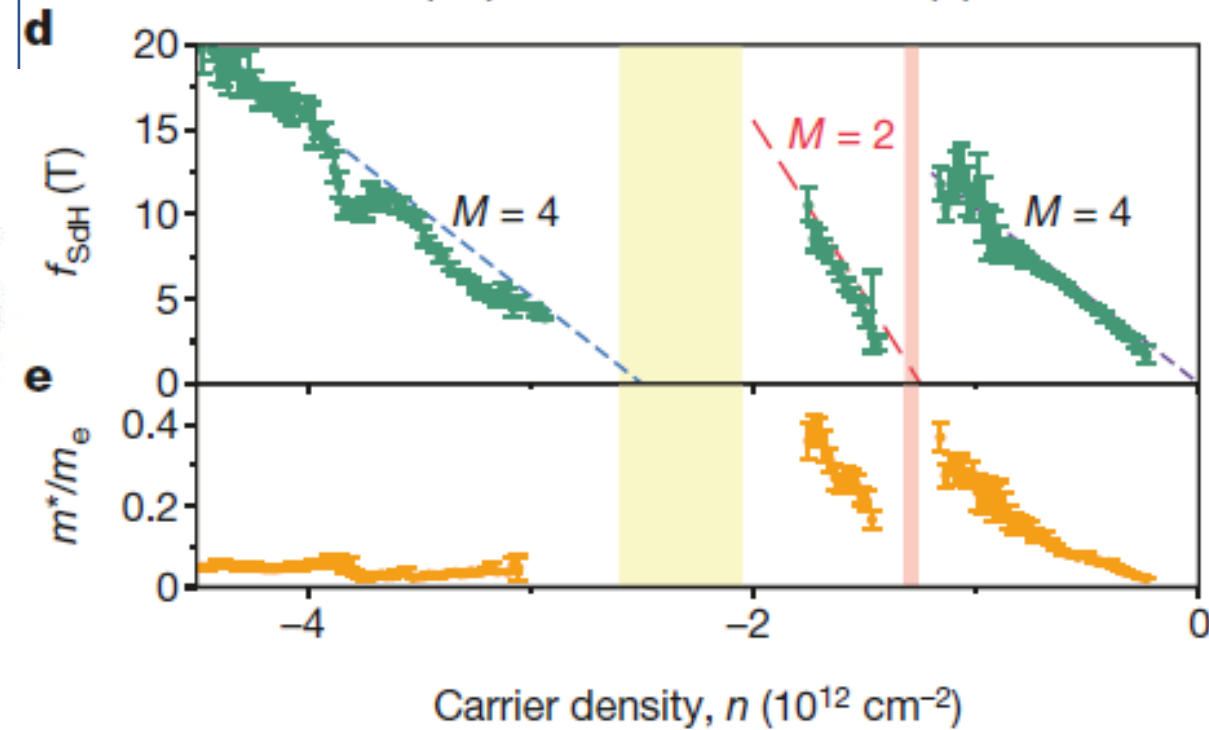
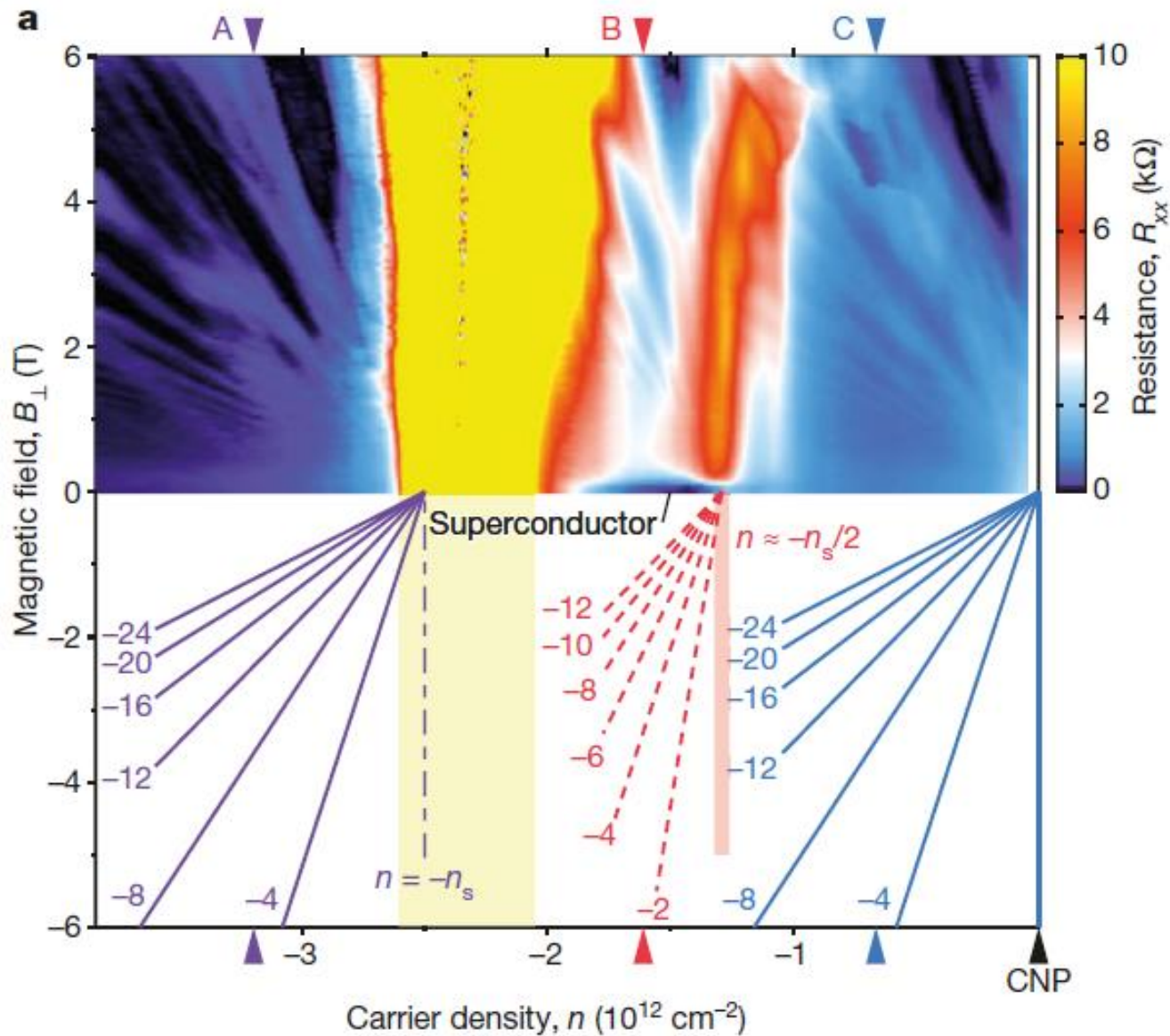


# Exact single particle excitation spectrum at CNP in the strong coupling limit at small B-field

$$V_{int} X|\Omega\rangle = \frac{1}{2} \int dr dr' V(r-r') [\delta\varrho(r), [\delta\varrho(r'), X]] |\Omega\rangle$$



- Landau quantization even in strong coupling
- Imbalance in the sublattice polarization reflects the topology of the bands (blue is subl. A)
- Finite  $\mathbf{B}$ -field causes splitting between the LLs even in the chiral limit due to broken  $C_2T$



- Naturally explains why Landau fans point away from the CNP

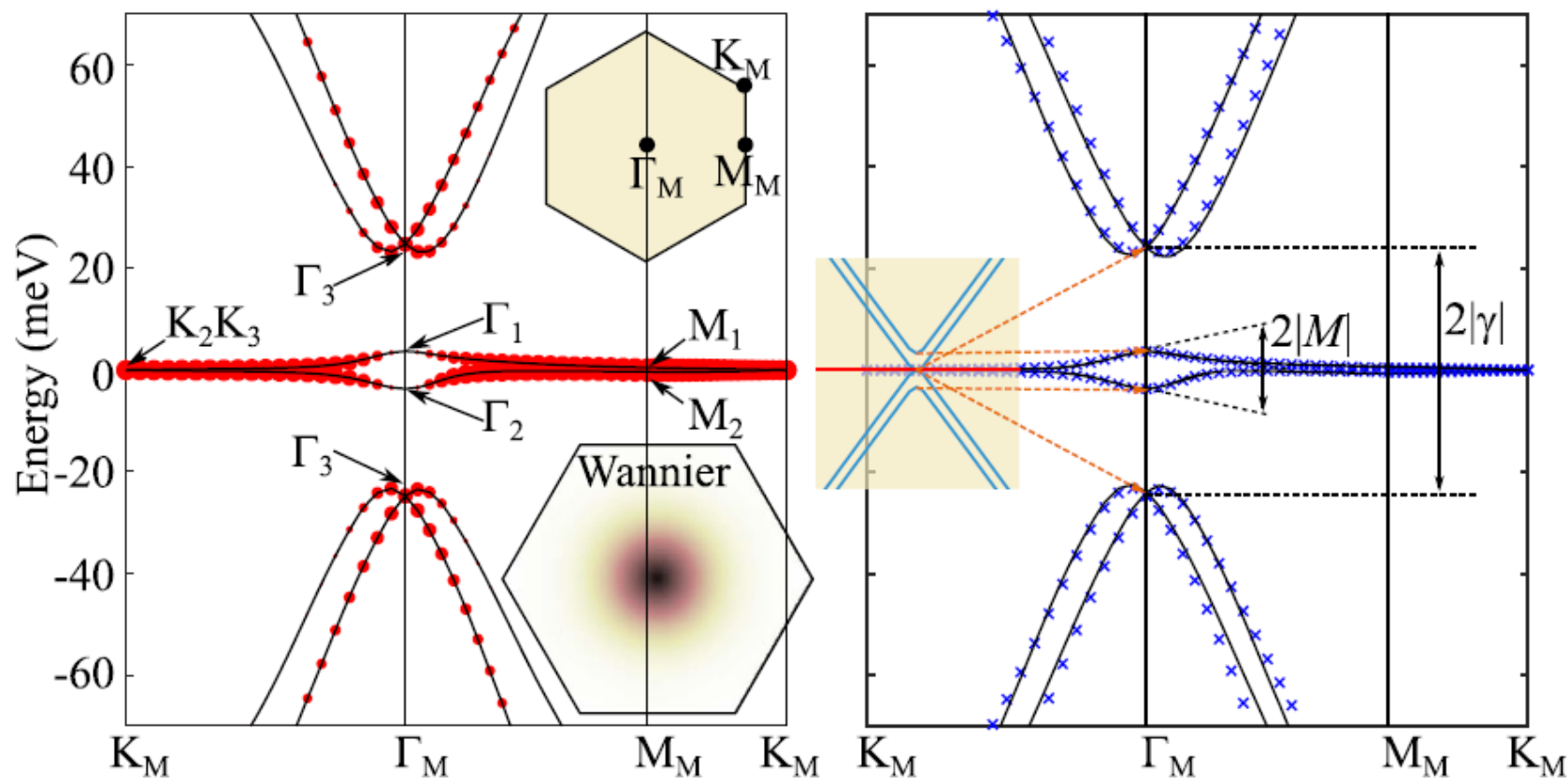
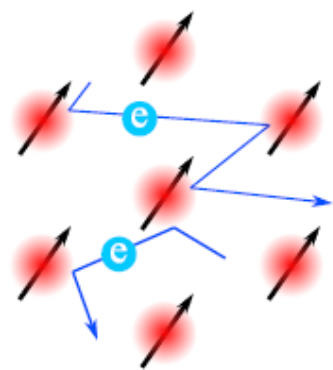
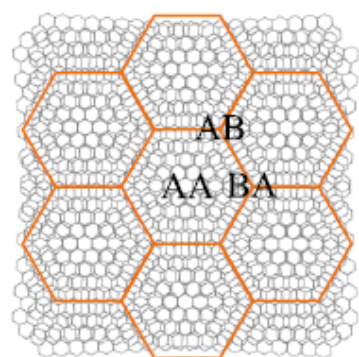
Cao et al, Pablo Jarillo-Herrero Nature 2018

X. Wang and OV PRB 106, L121111 (2022)



# Magic-Angle Twisted Bilayer Graphene as a Topological Heavy Fermion Problem

Zhi-Da Song<sup>1,2,\*</sup> and B. Andrei Bernevig<sup>2,3,4</sup>



# Topological heavy fermions (non-interacting Hamiltonian)

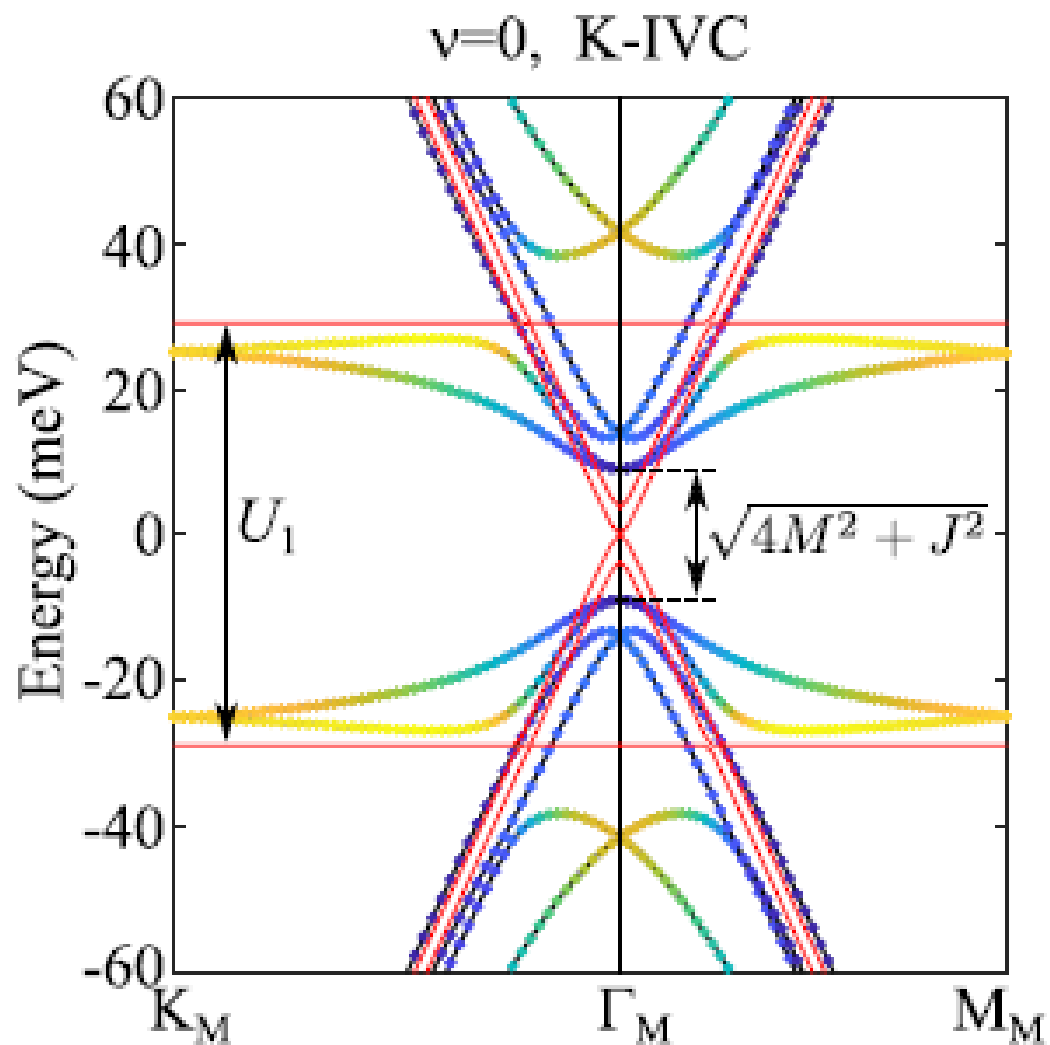
$$\hat{H}_0 = \sum_{|\mathbf{k}| < \Lambda_c} \sum_{\tau s} \sum_{aa'=1}^4 H_{aa'}^{c,\tau}(\mathbf{k}) \tilde{c}_{\mathbf{k}a\tau s}^\dagger \tilde{c}_{\mathbf{k}a'\tau s} +$$

$$\sum_{|\mathbf{k}| < \Lambda_c} \sum_{\tau s} \sum_{a=1}^4 \sum_{b=1}^2 \left( e^{-\frac{1}{2}\mathbf{k}^2 \lambda^2} H^{cf,v}(\mathbf{k})_{ab} \tilde{c}_{\mathbf{k}a\tau s}^\dagger \tilde{f}_{\mathbf{k}b\tau s} + \text{h.c.} \right)$$

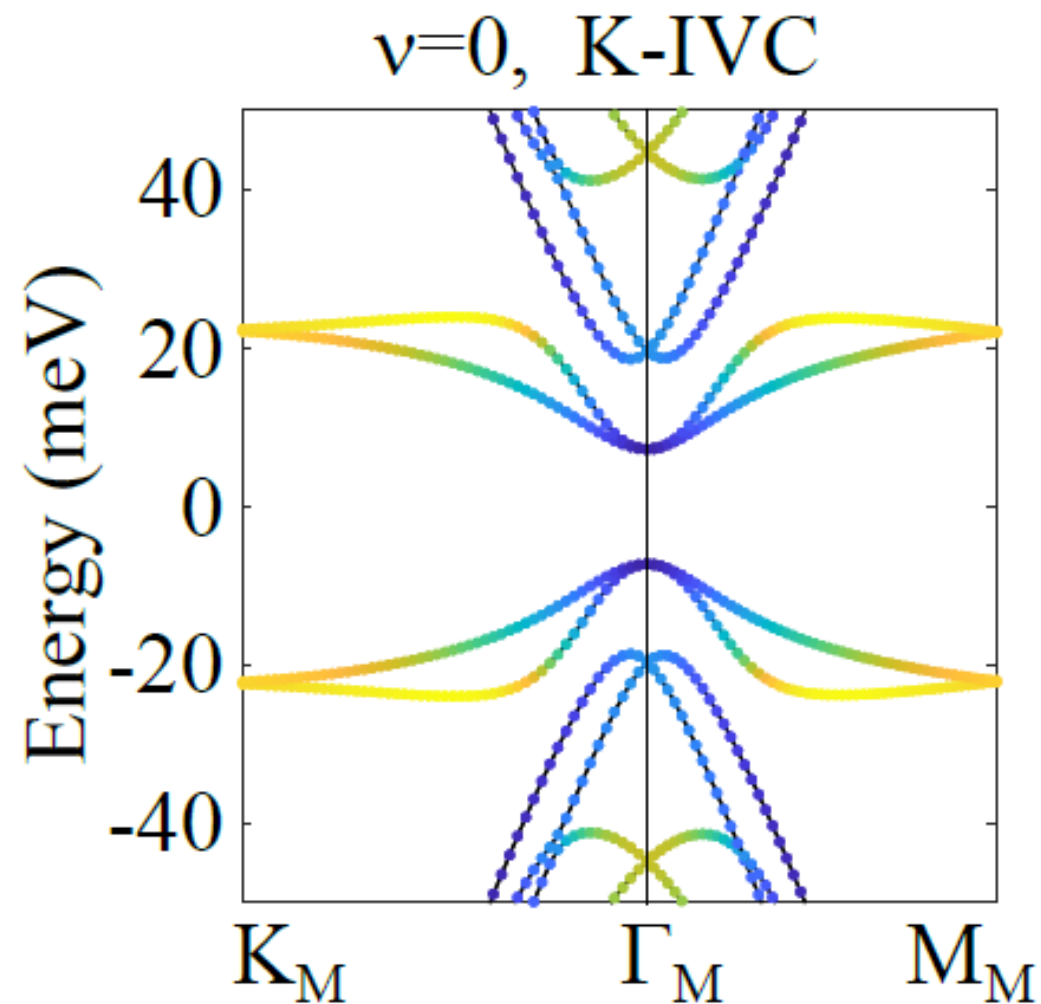
$$H_{aa'}^{c,\tau}(\mathbf{k}) = \begin{pmatrix} 0_{2 \times 2} & v_*(\tau k_x \sigma_0 + i k_y \sigma_z) \\ v_*(\tau k_x \sigma_0 - i k_y \sigma_z) & M \sigma_x \end{pmatrix}$$

$$H_{ab}^{cf,\tau}(\mathbf{k}) = \begin{pmatrix} \gamma \sigma_0 + v'_*(\tau k_x \sigma_x + k_y \sigma_y) & \\ & 0_{2 \times 2} \end{pmatrix}$$

“one shot” Hartree-Fock

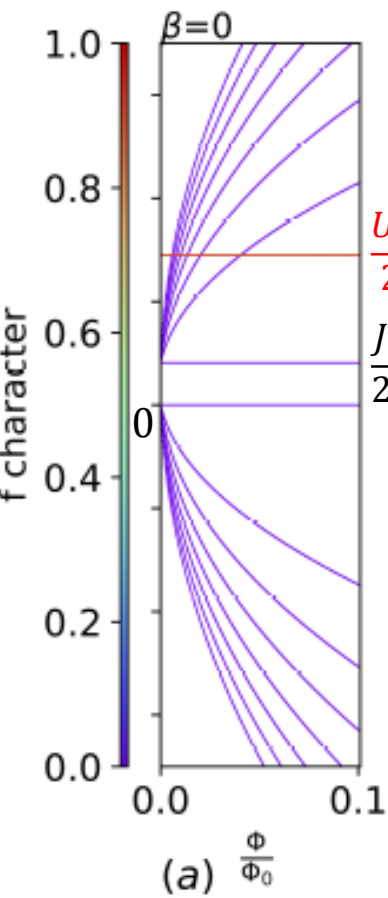


self-consistent Hartree-Fock



# Topological heavy fermions in magnetic field

c-f coupling turned off



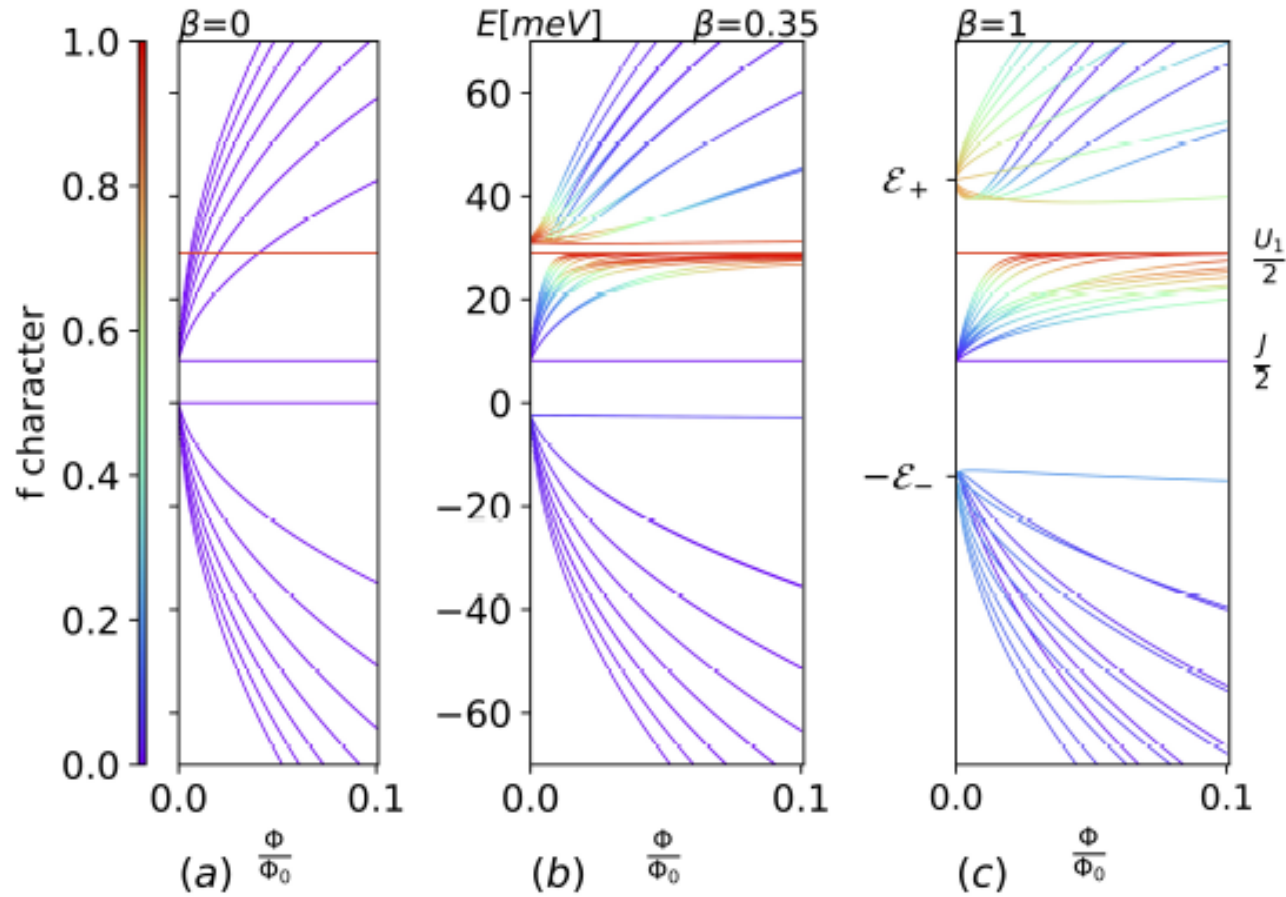
CC

$$\begin{pmatrix}
 \begin{matrix}
 0 & 0 & -i\sqrt{2}\frac{v_*}{\ell}\hat{a}^\dagger & 0 \\
 0 & 0 & 0 & i\sqrt{2}\frac{v_*}{\ell}\hat{a} \\
 i\sqrt{2}\frac{v_*}{\ell}\hat{a} & 0 & \frac{J}{2} & M \\
 0 & -i\sqrt{2}\frac{v_*}{\ell}\hat{a}^\dagger & M & \frac{J}{2}
 \end{matrix}
 &
 \begin{matrix}
 \gamma\Sigma(\hat{a}^\dagger\hat{a}) & i\sqrt{2}\frac{v'_*}{\ell}\hat{a}\Sigma(\hat{a}^\dagger\hat{a}) \\
 -i\sqrt{2}\frac{v'_*}{\ell}\hat{a}^\dagger\Sigma(\hat{a}^\dagger\hat{a}) & \gamma\Sigma(\hat{a}^\dagger\hat{a}) \\
 0 & 0 \\
 0 & 0
 \end{matrix}
 \\
 \begin{matrix}
 \gamma\Sigma(\hat{a}^\dagger\hat{a}) & i\sqrt{2}\frac{v'_*}{\ell}\Sigma(\hat{a}^\dagger\hat{a})\hat{a} \\
 -i\sqrt{2}\frac{v'_*}{\ell}\Sigma(\hat{a}^\dagger\hat{a})\hat{a}^\dagger & \gamma\Sigma(\hat{a}^\dagger\hat{a}) \\
 0 & 0
 \end{matrix}
 &
 \begin{matrix}
 \frac{U_1}{2} & 0 \\
 0 & \frac{U_1}{2}
 \end{matrix}
 \end{pmatrix}$$

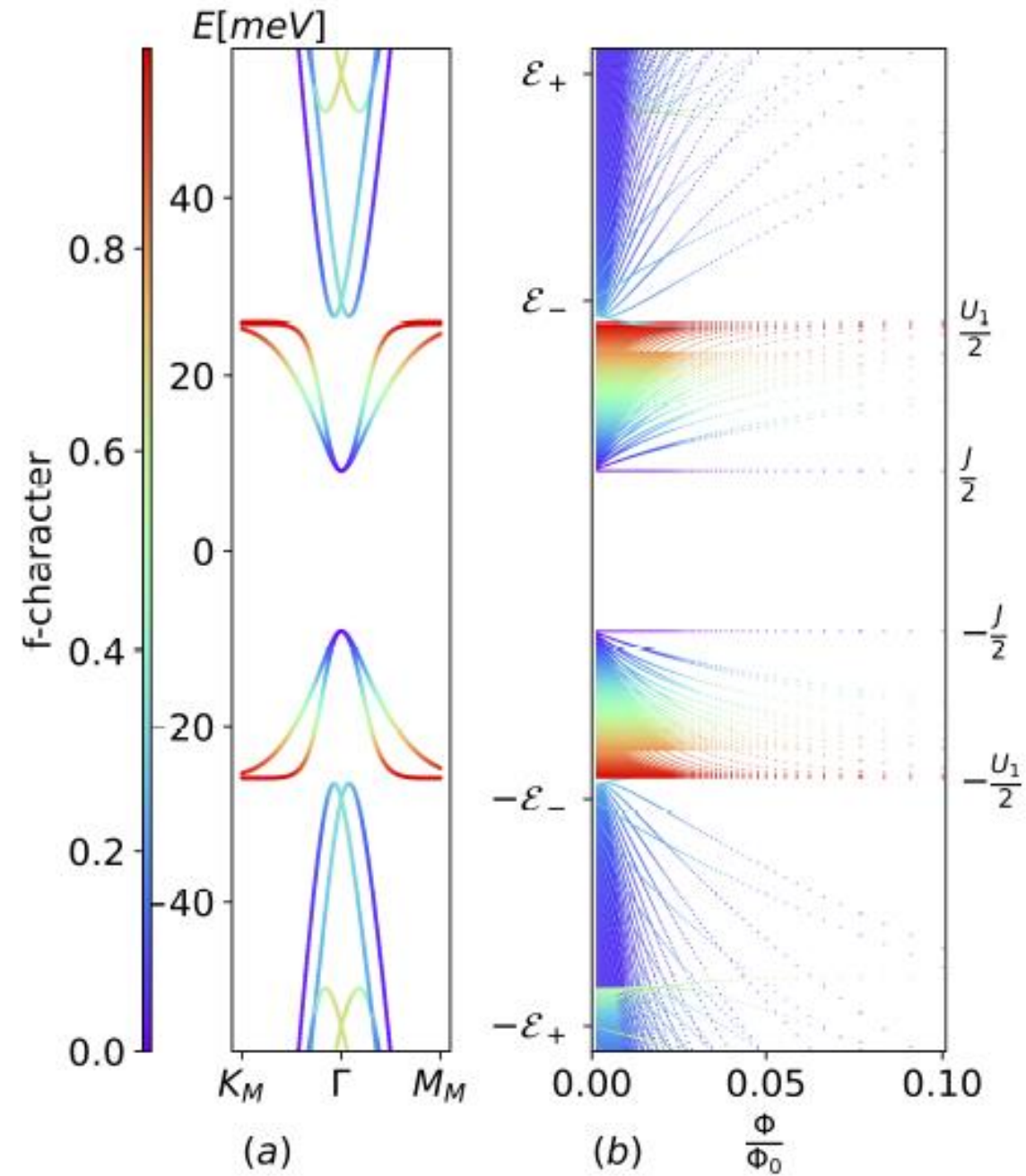
*ff*

$$\Sigma_m = \Sigma(m) \approx 1 - \left(m + \frac{1}{2}\right) \frac{\phi}{\phi_0} \frac{2\pi\lambda^2}{A_{uc}}$$

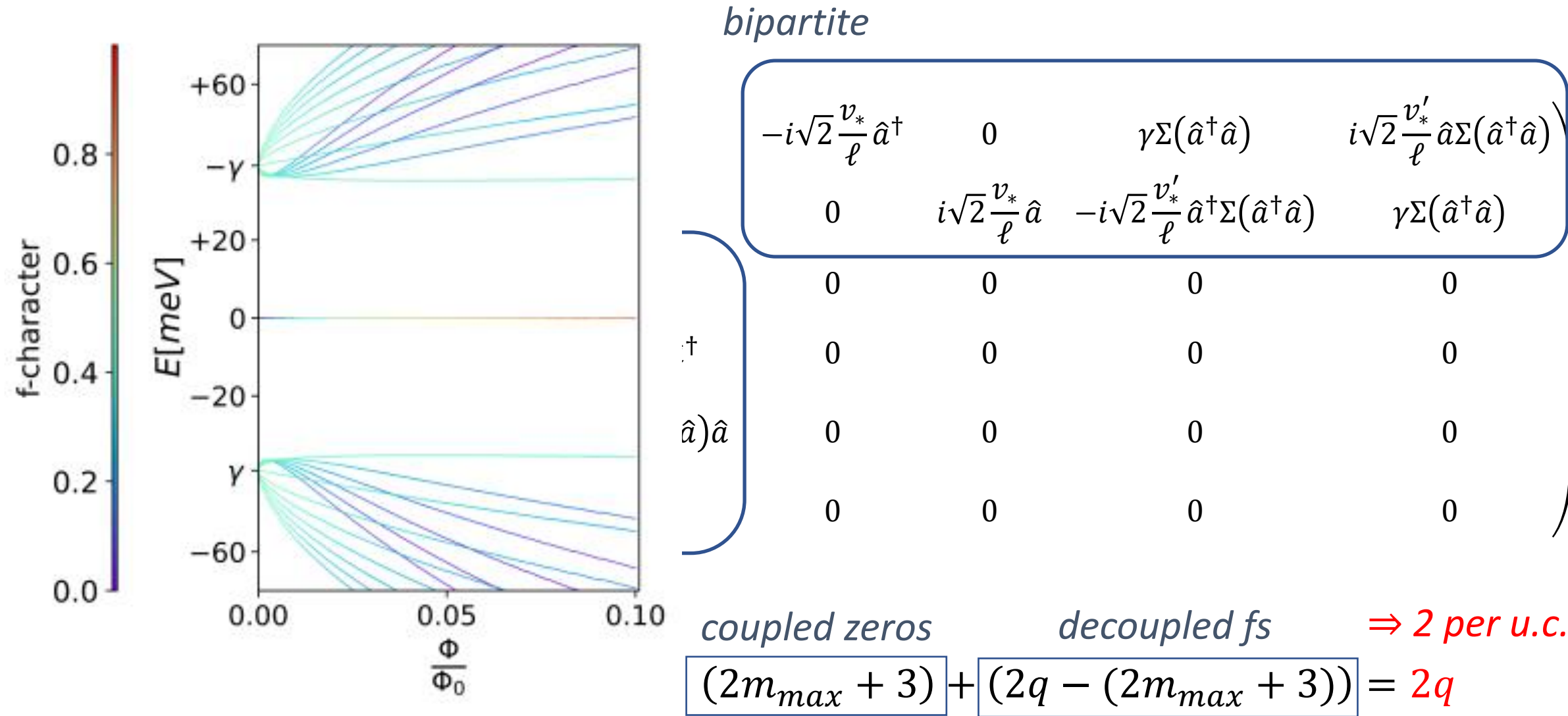
# Topological heavy fermions in magnetic field



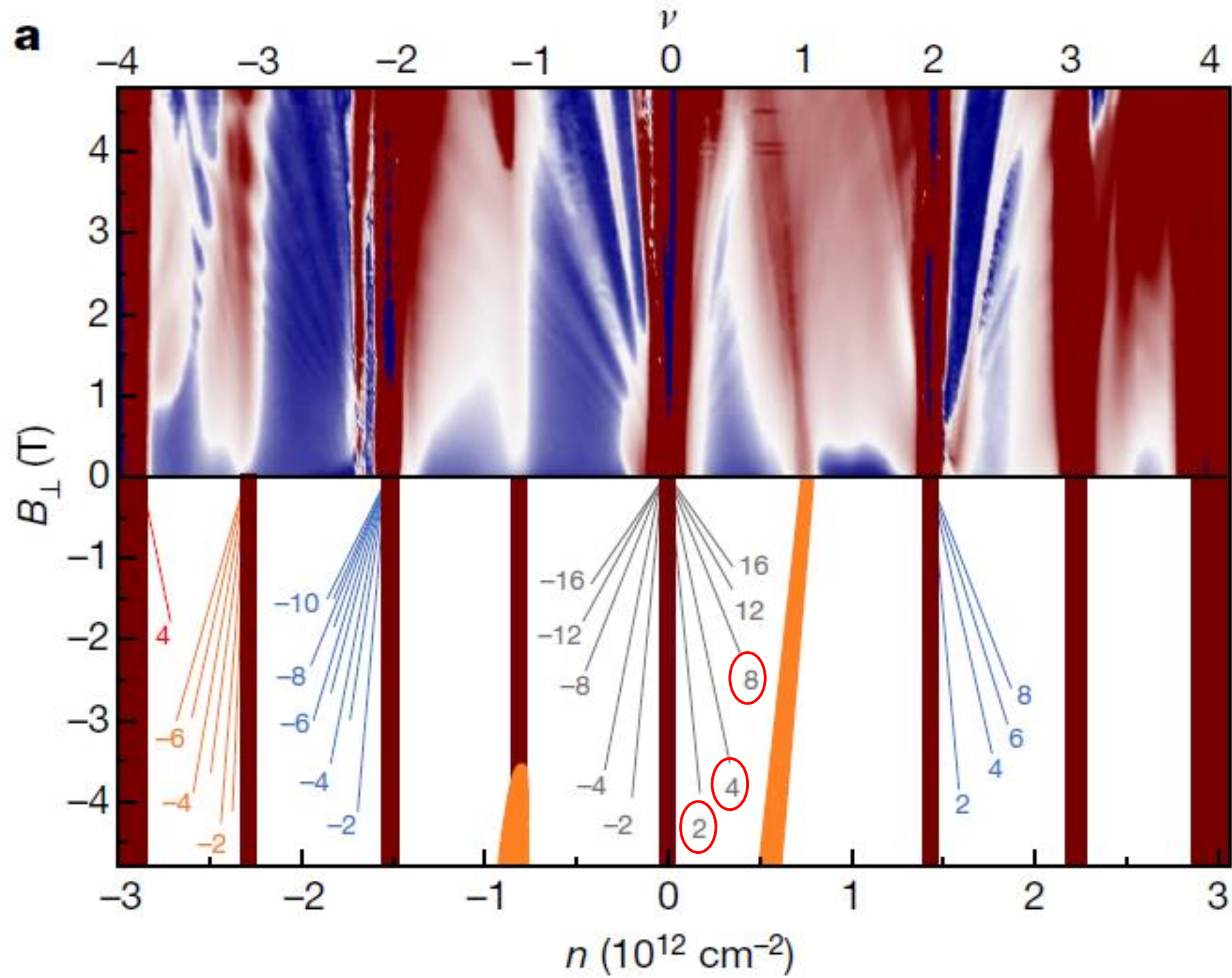
$$\varepsilon_{\pm} = \pm \frac{U_1}{4} + \sqrt{\frac{U_1^2}{16} + \gamma^2}$$



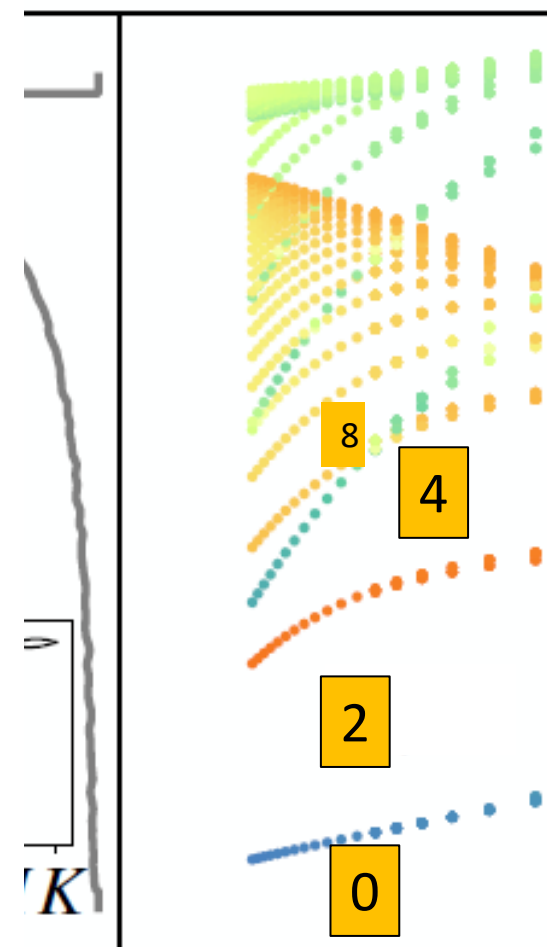
# Recovering the non-interacting topological heavy fermions in magnetic field (for $M=0$ )







$$w_0/w_1 = 0.7$$



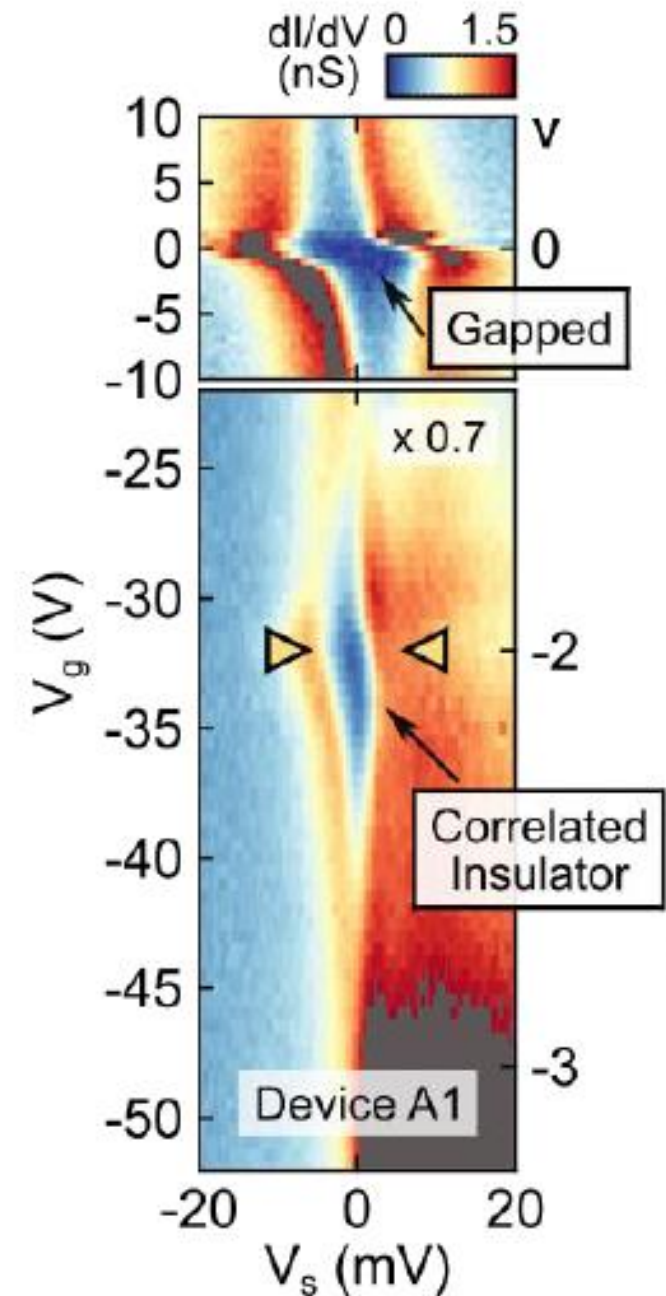
$$\phi/\phi_0$$

$$\langle \sigma_z \rangle$$



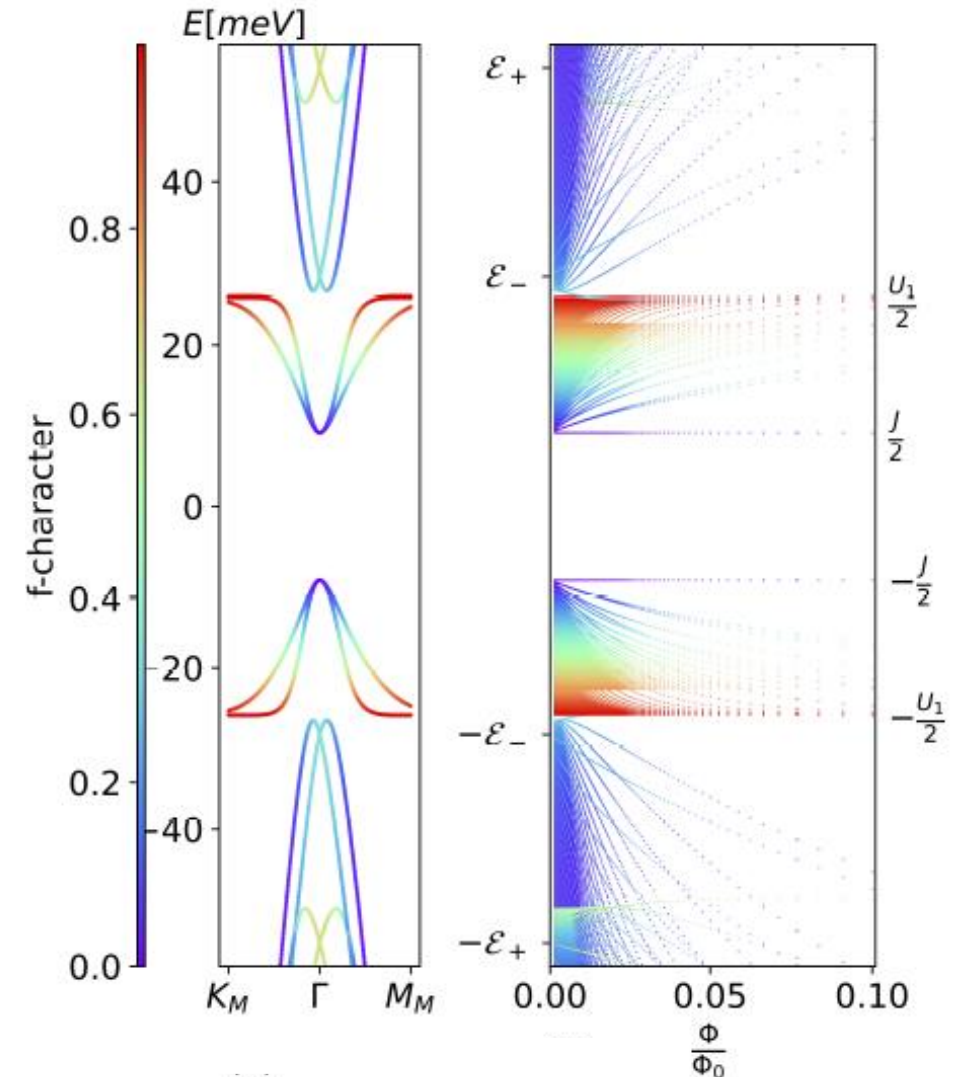
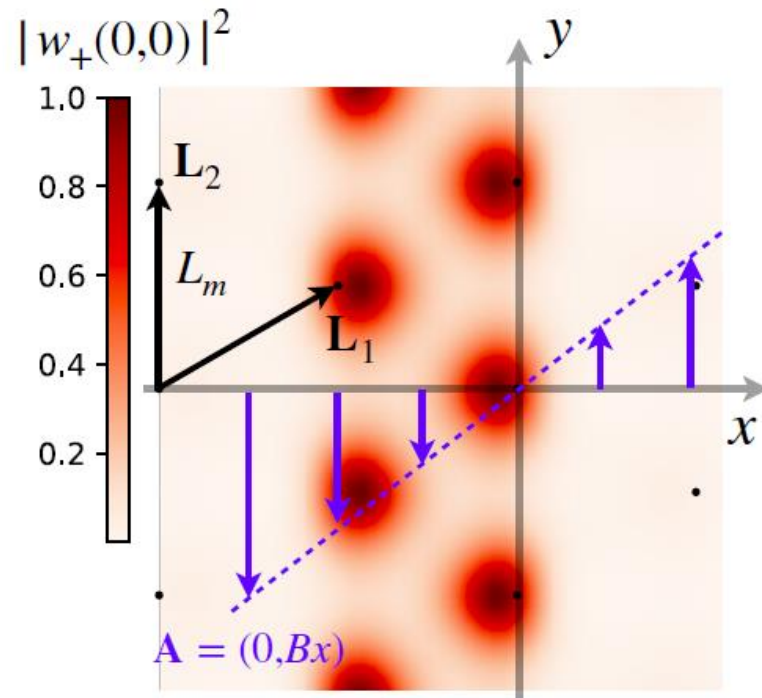
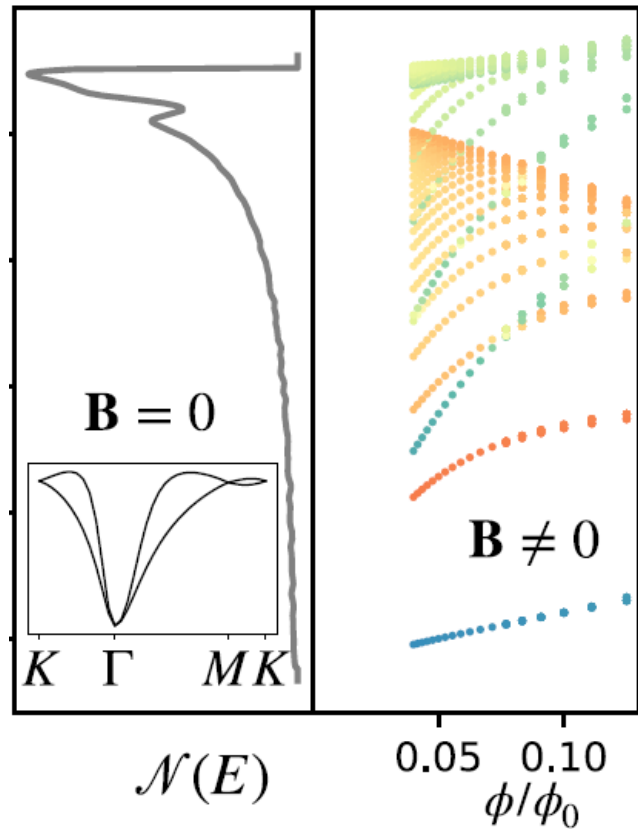
Although it is tempting to make a comparison with the transport data on the device with the gap at CNP, we are not ready to reach any conclusions yet, in part because of the absence of local strain characterization.

In this regard, it would be highly desirable to have the low B Landau level spectroscopy in ultra-low strain device regions where STM reveals a gap at the charge neutrality point without hBN substrate alignment.



# Summary

*Itineracy at the strong coupling and a new approach to the Hofstadter problem*



# Beyond the minimal continuum model: towards a more accurate description of electronic structure

Near degeneracy among many phases can cause sensitivity to terms in the minimal continuum model which were neglected.

This motivates development of a more accurate continuum theory from microscopic model.

We derived the effective continuum model for graphene bilayers by systematically expanding in real space gradients of the slow fermion fields and the atomic displacements allowing for an arbitrary **inhomogeneous** smooth lattice deformation, including a twist.

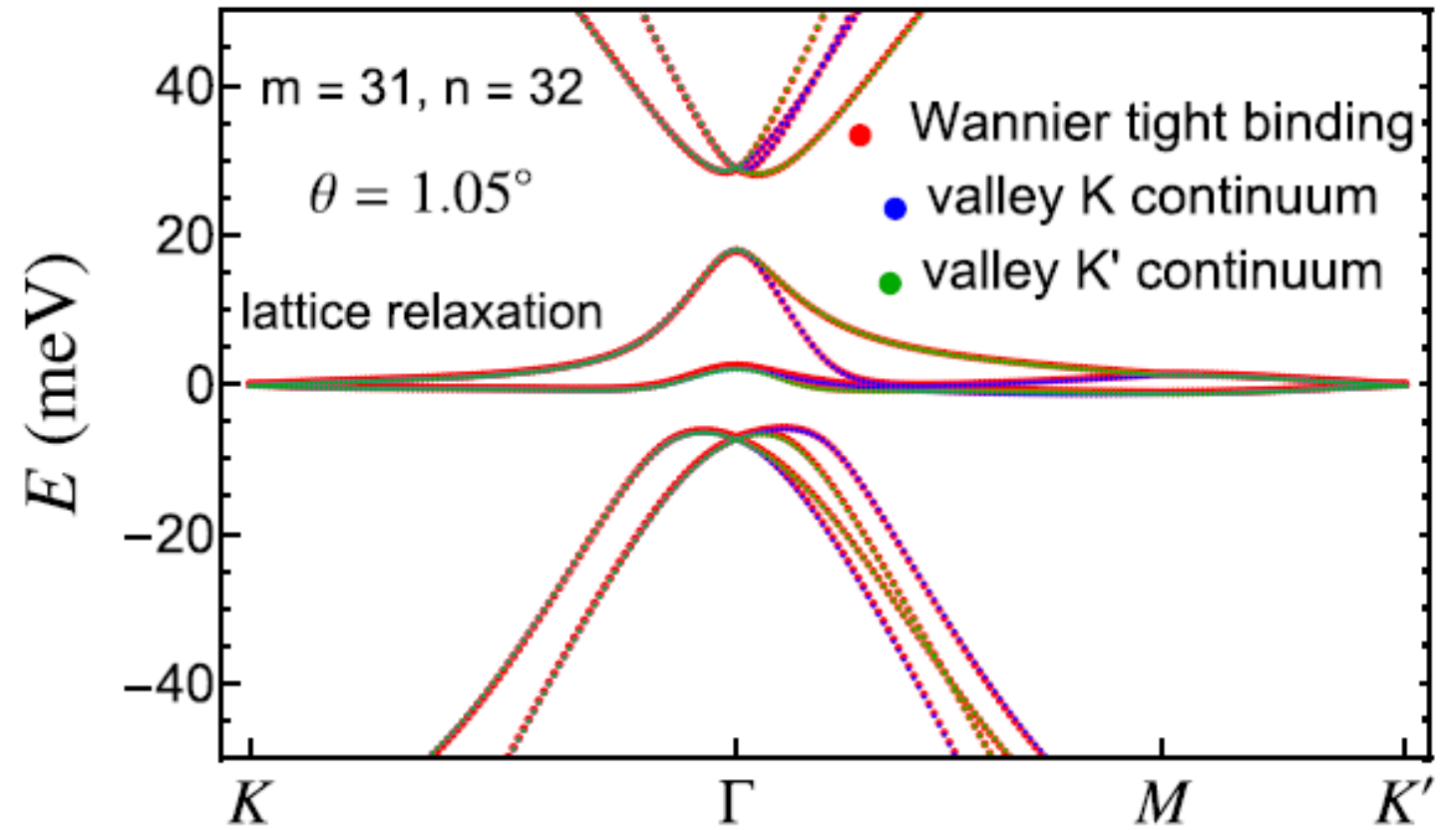
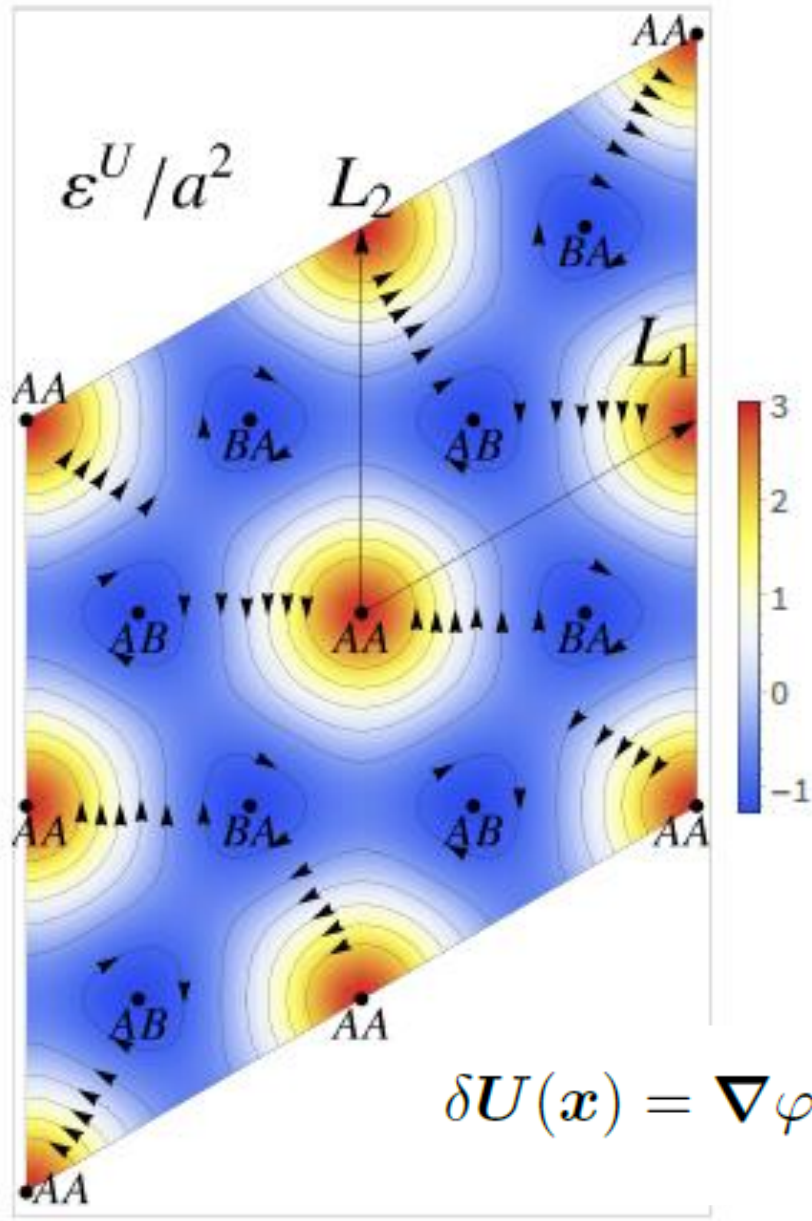
# Beyond the minimal continuum model: towards a more accurate description of electronic structure

Set up a gradient expansion of the slow fermion envelope functions  $\psi$  and the atomic displacement field  $U$  (in Eulerian coordinates as in Balents SciPost Phys. **7**, 048 (2019))

	intralayer	interlayer
1 <sup>st</sup> order terms:	$\nabla\psi, \nabla U \sim O(200meV)$	contact $w_{0,1} \sim O(100meV)$
2 <sup>nd</sup> order terms:	$(\nabla\psi)^2, \nabla U \nabla\psi, (\nabla U)^2$	$\nabla\psi, \nabla U$

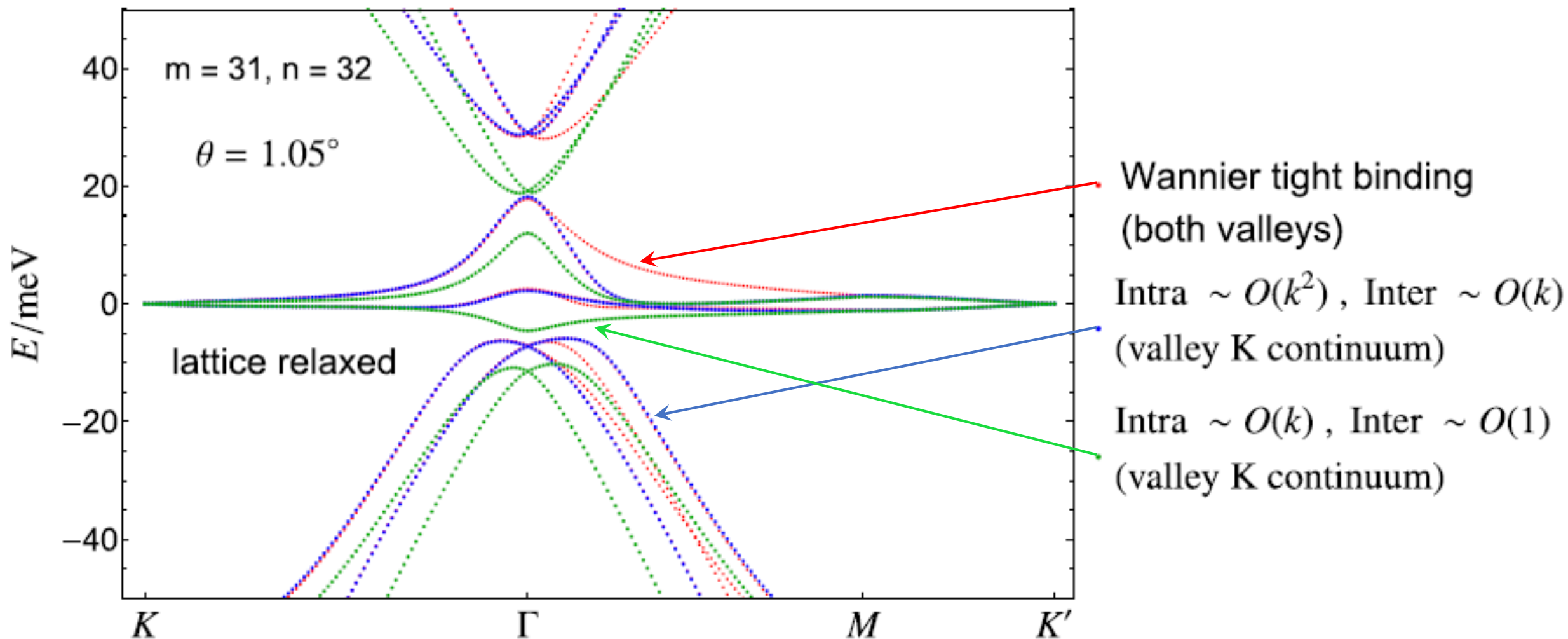
Smaller than 1<sup>st</sup> order by  $\sim |K|a\theta[rad] = 4\pi\theta[rad]/3 = 0.08$  i.e. narrow bandwidth

Beyond the minimal continuum model: towards a more accurate description of electronic structure



$$\delta U(\mathbf{x}) = \nabla \varphi^U(\mathbf{x}) + \nabla \times (\hat{\mathbf{z}} \varepsilon^U(\mathbf{x}))$$

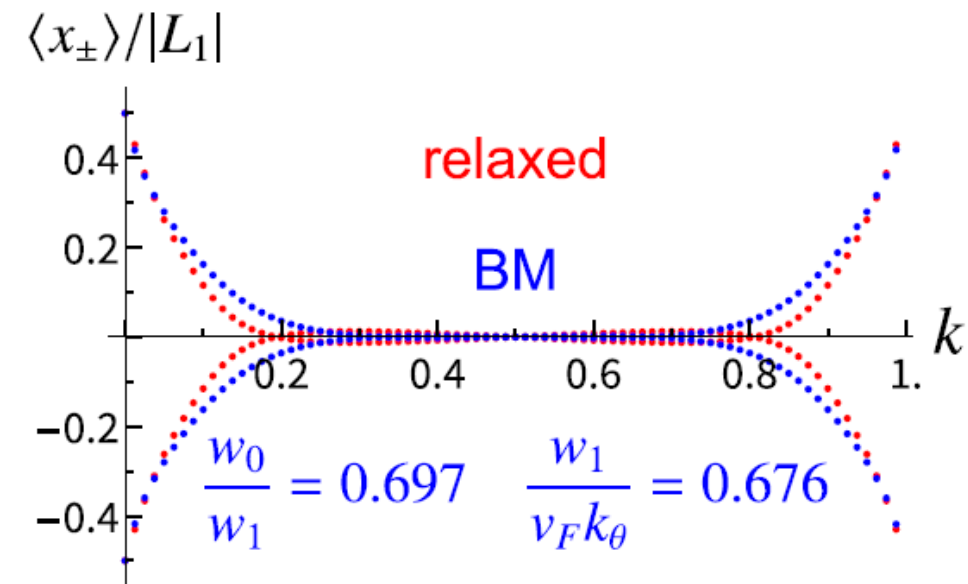
# Minimal and next-to-leading order continuum model: spectrum



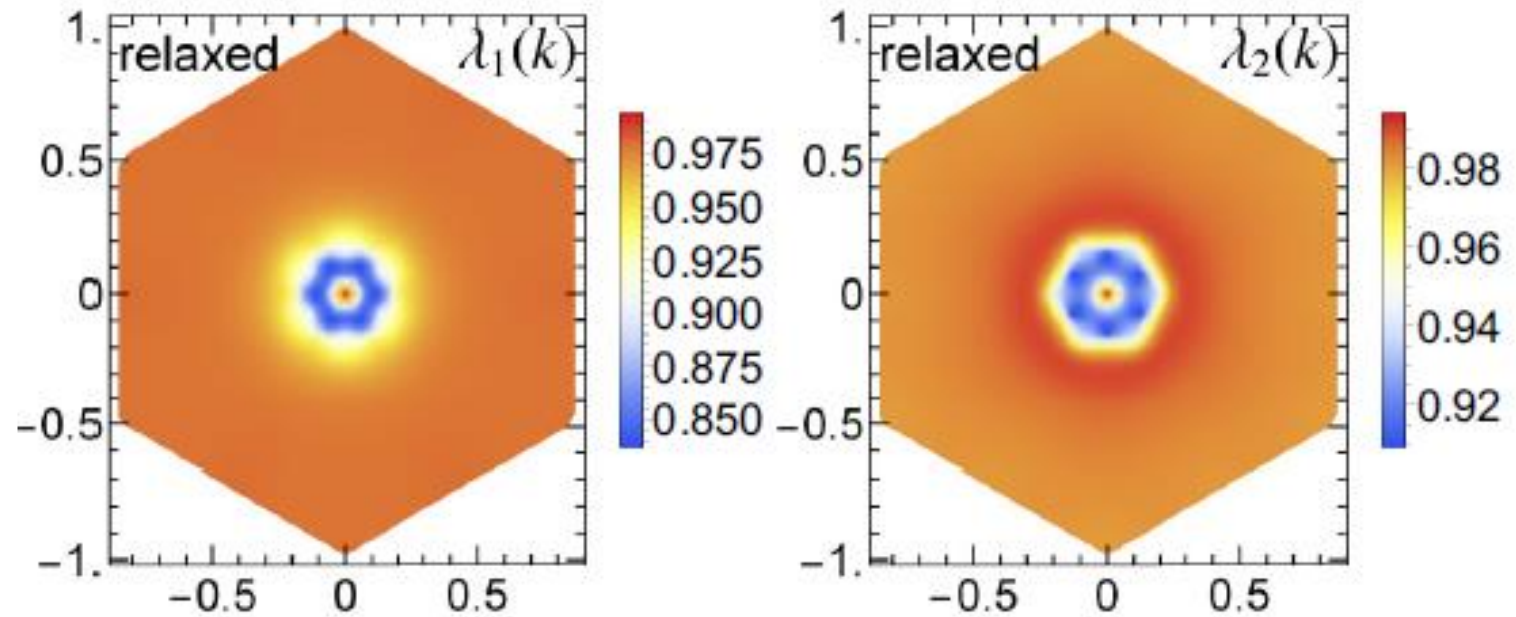


# Minimal and next-to-leading order continuum model: wavefunctions

Berry curvature distribution further away from chiral limit



Deviations from 1 measure the p-h asymmetry of the narrow band wavefunctions





# Topological heavy fermions in magnetic field

$$\begin{pmatrix}
 0 & 0 & -i\sqrt{2}\frac{v_*}{\ell}\hat{a}^\dagger & 0 \\
 0 & 0 & 0 & i\sqrt{2}\frac{v_*}{\ell}\hat{a} \\
 i\sqrt{2}\frac{v_*}{\ell}\hat{a} & 0 & \frac{J}{2} & M \rightarrow 0 \\
 0 & -i\sqrt{2}\frac{v_*}{\ell}\hat{a}^\dagger & M \rightarrow 0 & \frac{J}{2}
 \end{pmatrix}$$

$$\begin{pmatrix}
 \gamma\Sigma(\hat{a}^\dagger\hat{a}) & i\sqrt{2}\frac{v'_*}{\ell}\hat{a}\Sigma(\hat{a}^\dagger\hat{a}) \\
 -i\sqrt{2}\frac{v'_*}{\ell}\hat{a}^\dagger\Sigma(\hat{a}^\dagger\hat{a}) & \gamma\Sigma(\hat{a}^\dagger\hat{a}) \\
 0 & 0 \\
 0 & 0 \\
 \frac{U_1}{2} & 0 \\
 0 & \frac{U_1}{2}
 \end{pmatrix}$$

$$\begin{pmatrix}
 0 \\
 0 \\
 0 \\
 |0\rangle \\
 0 \\
 0
 \end{pmatrix}$$

$$cc$$

$$ff$$

# Topological heavy fermions in magnetic field

cc

$$\begin{pmatrix}
 \begin{array}{cccc}
 0 & 0 & -i\sqrt{2}\frac{v_*}{\ell}\hat{a}^\dagger & 0 \\
 0 & 0 & 0 & i\sqrt{2}\frac{v_*}{\ell}\hat{a} \\
 i\sqrt{2}\frac{v_*}{\ell}\hat{a} & 0 & \frac{J}{2} & M \rightarrow 0 \\
 0 & -i\sqrt{2}\frac{v_*}{\ell}\hat{a}^\dagger & M \rightarrow 0 & \frac{J}{2}
 \end{array} &
 \begin{array}{cc}
 \gamma\Sigma(\hat{a}^\dagger\hat{a}) & i\sqrt{2}\frac{v'_*}{\ell}\hat{a}\Sigma(\hat{a}^\dagger\hat{a}) \\
 -i\sqrt{2}\frac{v'_*}{\ell}\hat{a}^\dagger\Sigma(\hat{a}^\dagger\hat{a}) & \gamma\Sigma(\hat{a}^\dagger\hat{a}) \\
 0 & 0 \\
 0 & 0
 \end{array} &
 \begin{array}{c}
 0 \\
 c_2^{(3)}|0\rangle \\
 0 \\
 c_4^{(3)}|1\rangle \\
 0 \\
 c_6^{(3)}|0\rangle
 \end{array}
 \end{pmatrix}$$

*ff*

$$\begin{pmatrix}
 \begin{array}{cc}
 \frac{U_1}{2} & 0 \\
 0 & \frac{U_1}{2}
 \end{array}
 \end{pmatrix}$$

# Topological heavy fermions in magnetic field

*cc*

$$\begin{pmatrix}
 \begin{array}{cccc}
 0 & 0 & -i\sqrt{2}\frac{v_*}{\ell}\hat{a}^\dagger & 0 \\
 0 & 0 & 0 & i\sqrt{2}\frac{v_*}{\ell}\hat{a} \\
 i\sqrt{2}\frac{v_*}{\ell}\hat{a} & 0 & \frac{J}{2} & M \rightarrow 0 \\
 0 & -i\sqrt{2}\frac{v_*}{\ell}\hat{a}^\dagger & M \rightarrow 0 & \frac{J}{2}
 \end{array} &
 \begin{array}{cc}
 \gamma\Sigma(\hat{a}^\dagger\hat{a}) & i\sqrt{2}\frac{v'_*}{\ell}\hat{a}\Sigma(\hat{a}^\dagger\hat{a}) \\
 -i\sqrt{2}\frac{v'_*}{\ell}\hat{a}^\dagger\Sigma(\hat{a}^\dagger\hat{a}) & \gamma\Sigma(\hat{a}^\dagger\hat{a}) \\
 0 & 0 \\
 0 & 0
 \end{array} &
 \begin{array}{c}
 c_1^{(5)}|0\rangle \\
 c_2^{(5)}|1\rangle \\
 0 \\
 c_4^{(5)}|2\rangle \\
 c_5^{(5)}|0\rangle \\
 c_6^{(5)}|1\rangle
 \end{array}
 \end{pmatrix}$$

*ff*

$$\begin{pmatrix}
 \begin{array}{cc}
 \frac{U_1}{2} & 0 \\
 0 & \frac{U_1}{2}
 \end{array}
 \end{pmatrix}$$

# Topological heavy fermions in magnetic field

cc

$$\begin{pmatrix}
 \begin{array}{cccc}
 0 & 0 & -i\sqrt{2}\frac{v_*}{\ell}\hat{a}^\dagger & 0 \\
 0 & 0 & 0 & i\sqrt{2}\frac{v_*}{\ell}\hat{a} \\
 i\sqrt{2}\frac{v_*}{\ell}\hat{a} & 0 & \frac{J}{2} & M \rightarrow 0 \\
 0 & -i\sqrt{2}\frac{v_*}{\ell}\hat{a}^\dagger & M \rightarrow 0 & \frac{J}{2}
 \end{array} &
 \begin{array}{cc}
 \gamma\Sigma(\hat{a}^\dagger\hat{a}) & i\sqrt{2}\frac{v'_*}{\ell}\hat{a}\Sigma(\hat{a}^\dagger\hat{a}) \\
 -i\sqrt{2}\frac{v'_*}{\ell}\hat{a}^\dagger\Sigma(\hat{a}^\dagger\hat{a}) & \gamma\Sigma(\hat{a}^\dagger\hat{a}) \\
 0 & 0 \\
 0 & 0
 \end{array}
 \end{pmatrix}
 \begin{pmatrix}
 c_1^{(6m)}|m-1\rangle \\
 c_2^{(6m)}|m\rangle \\
 c_2^{(6m)}|m-2\rangle \\
 c_4^{(6m)}|m+1\rangle \\
 c_5^{(6m)}|m-1\rangle \\
 c_6^{(6m)}|m\rangle
 \end{pmatrix}$$

ff

$$\begin{pmatrix}
 \frac{U_1}{2} & 0 \\
 0 & \frac{U_1}{2}
 \end{pmatrix}$$

# Strong coupling limit magic angle

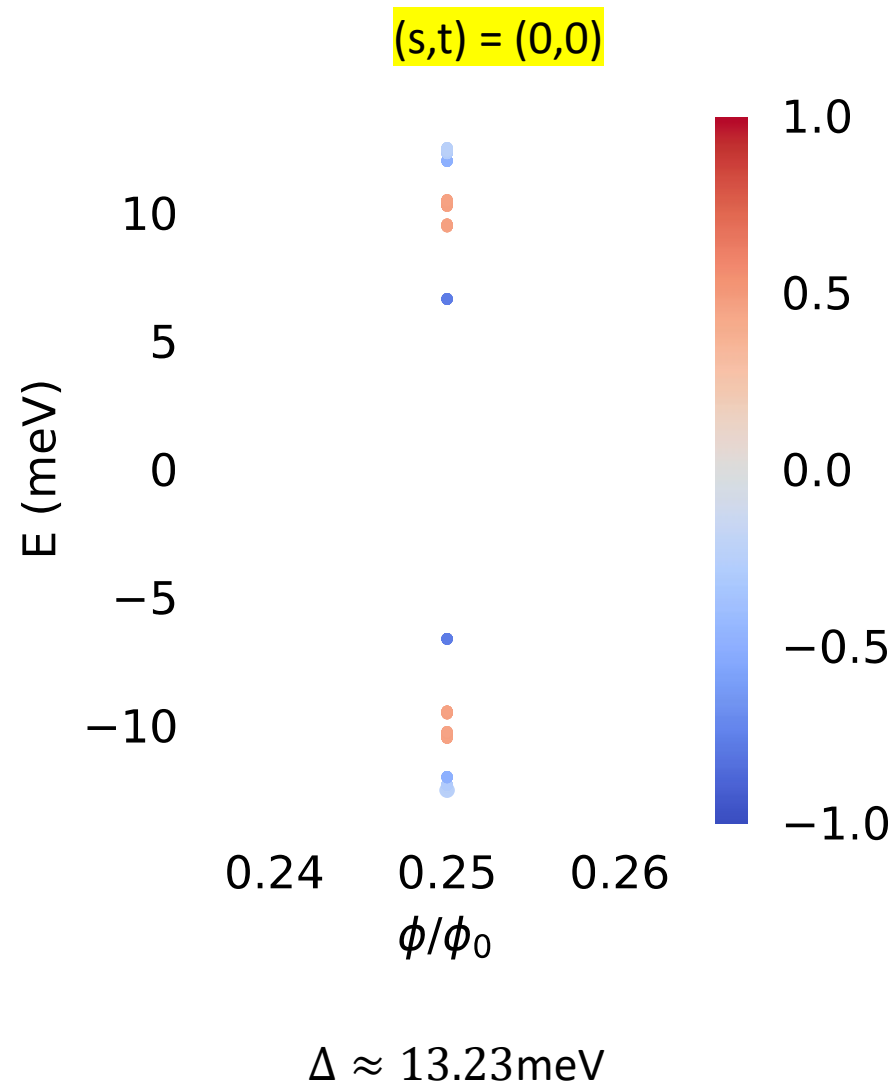
Parameter choices:

$$\frac{w_1}{v_F k_\theta} = 0.586, \frac{w_0}{w_1} = 0.7, V_q = \frac{2\pi}{\epsilon_r q} \tanh \frac{q\xi}{2}, \xi = L_m, \epsilon_r = 15$$

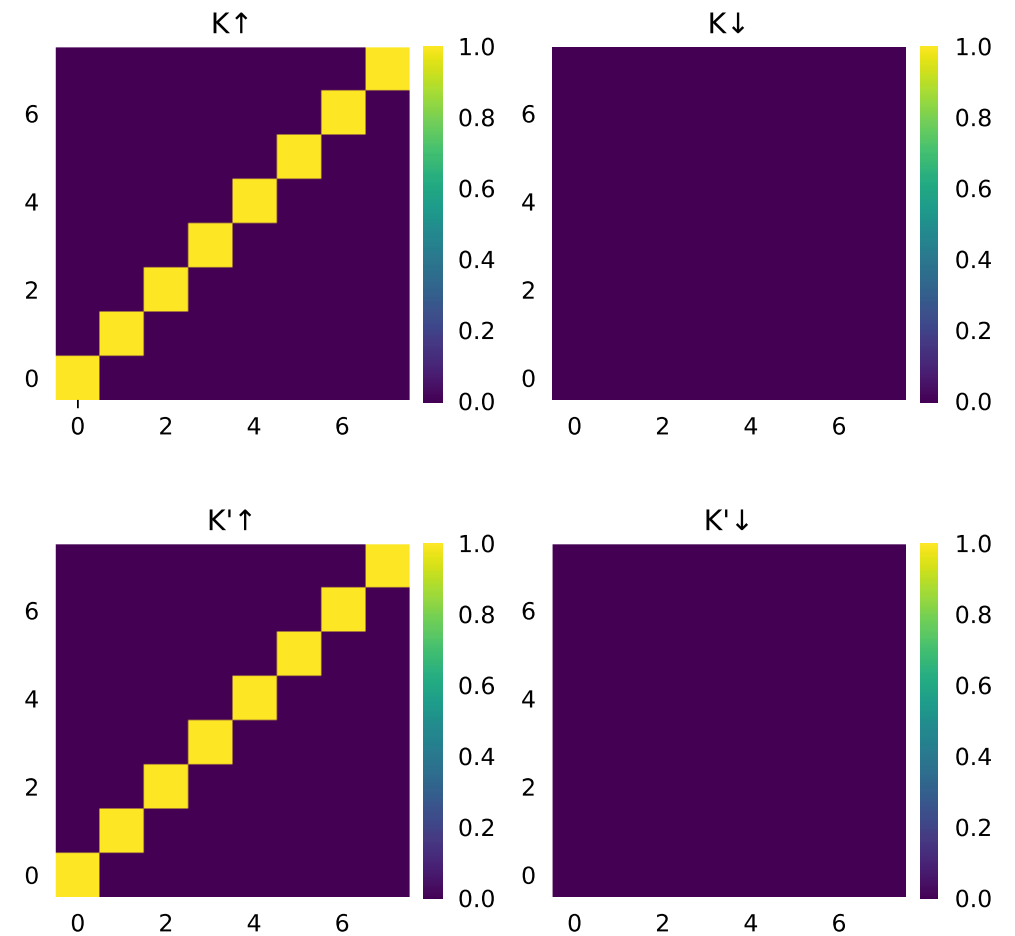
Dropping small angle rotation of Pauli matrices

Hartree Fock density matrix:  $P_{\alpha\beta}(k) \equiv \langle d_{\alpha k}^\dagger d_{\beta k} \rangle$ , where  $\alpha, \beta$  labels valley, spin, and  $2q$  bands

# Strong coupling limit and finite field Hartree Fock at flux $\frac{\phi}{\phi_0} = \frac{1}{4}$ :



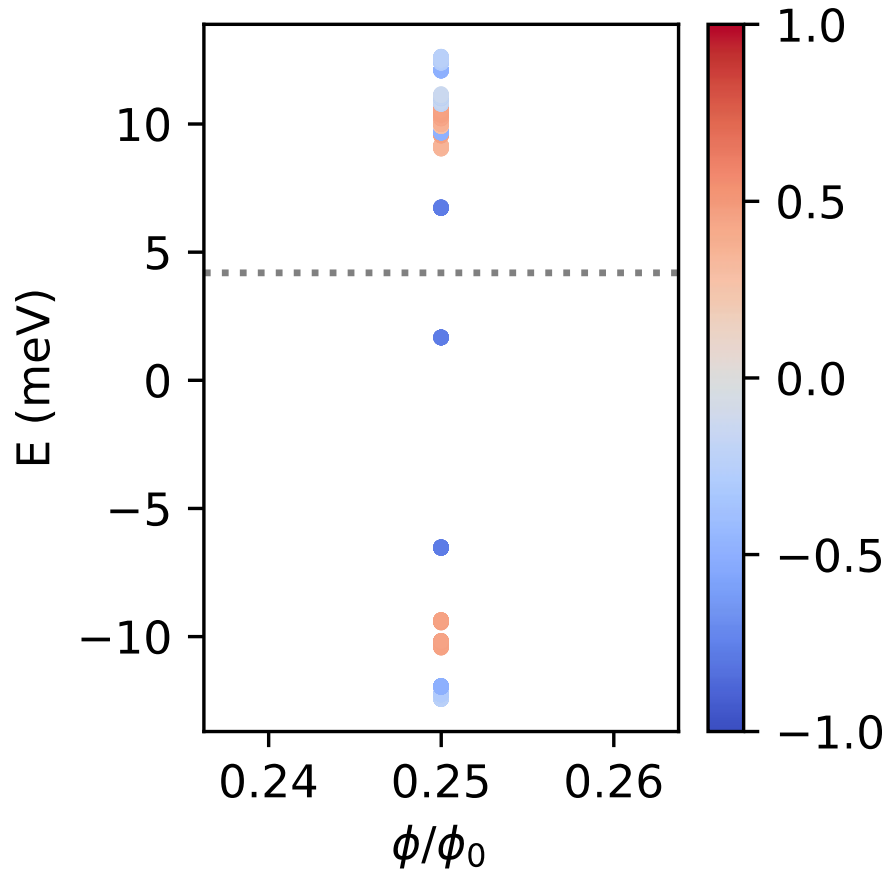
Density matrix in BM eigen basis;  
U(4) valley spin rotation symmetry



## Strong coupling limit and finite field Hartree Fock at flux $\frac{\phi}{\phi_0} = \frac{1}{4}$ :

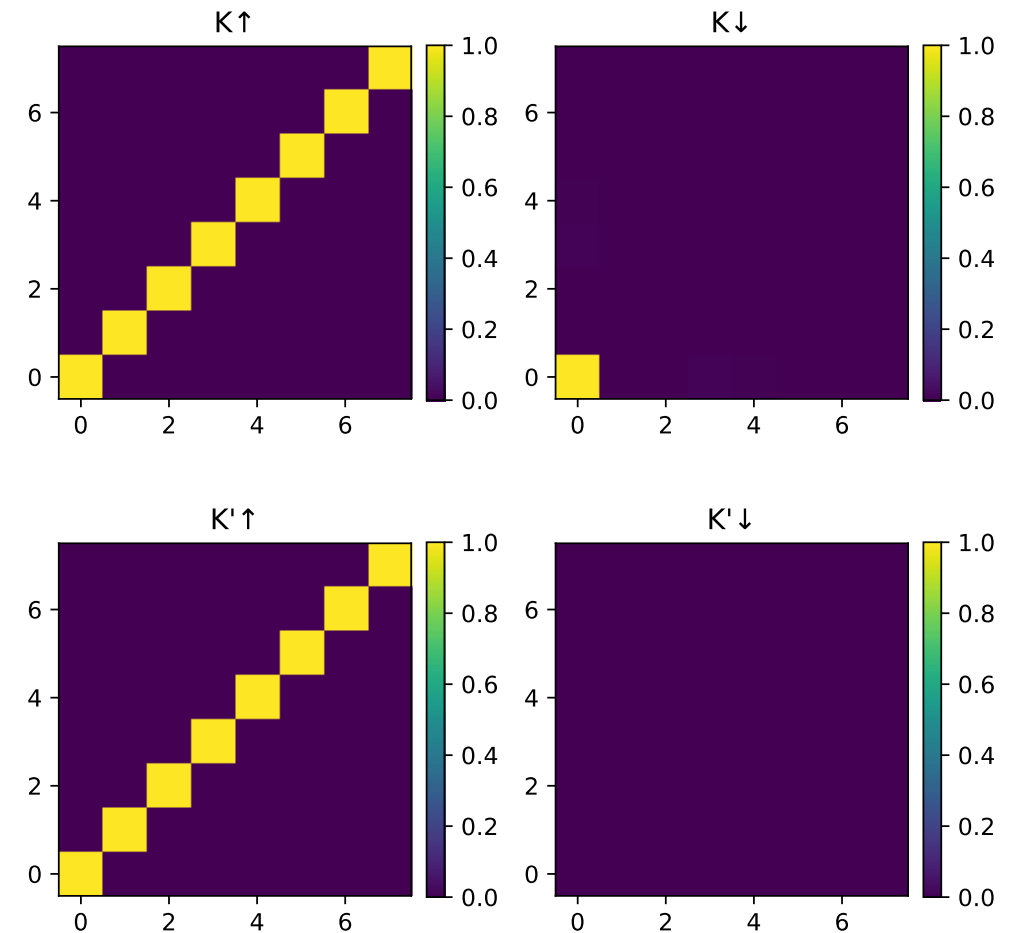
At low densities, Hartree-Fock spectrum corresponds to populating lowest energy strong coupling spectrum

Quantum Hall ferromagnetism at  $(s,t) = (0,1)$



$$\Delta \approx 5.05 \text{ meV}$$

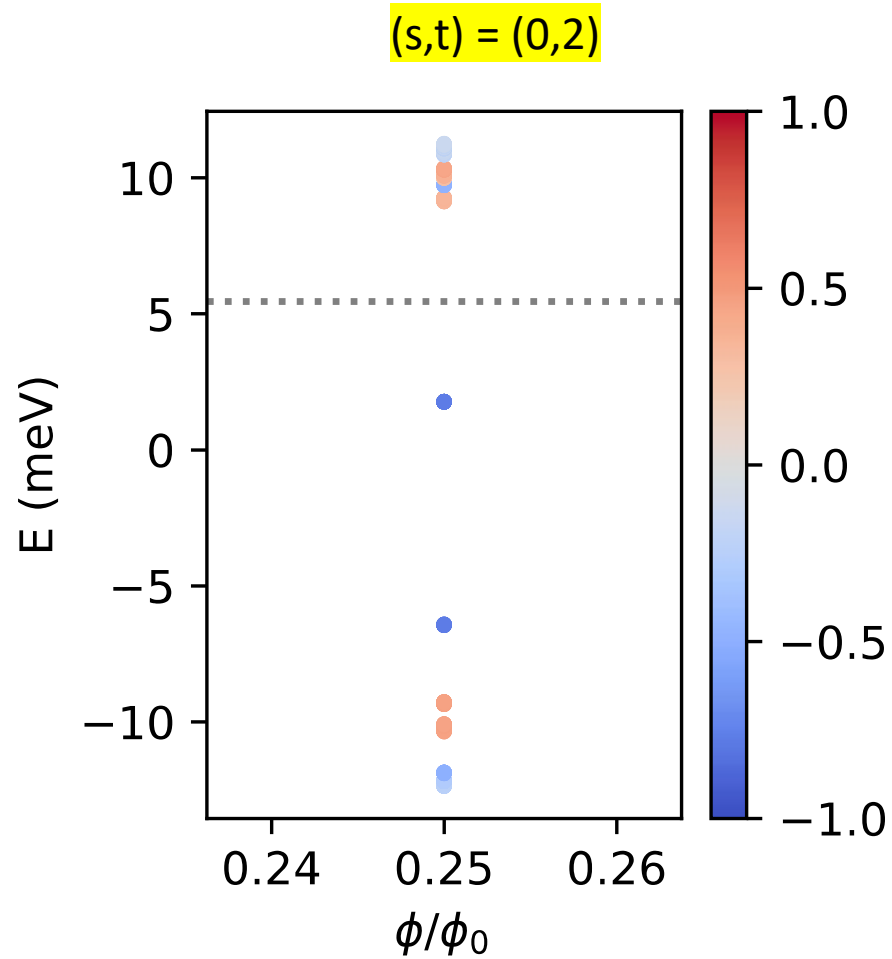
### Density matrix in strong coupling basis





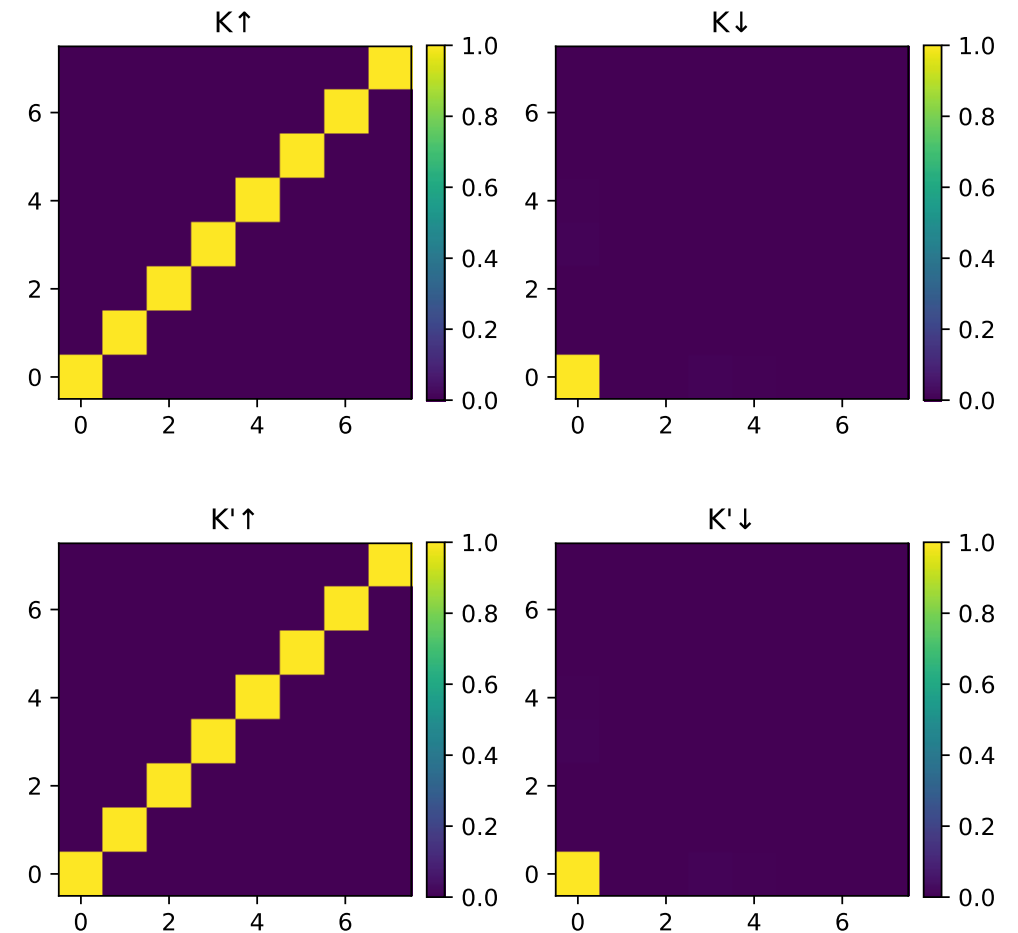
## Strong coupling limit and finite field Hartree Fock at flux $\frac{\phi}{\phi_0} = \frac{1}{4}$ :

At low densities, Hartree-Fock spectrum corresponds to populating lowest energy strong coupling spectrum



$$\Delta \approx 7.36 \text{ meV}$$

### Density matrix in strong coupling basis

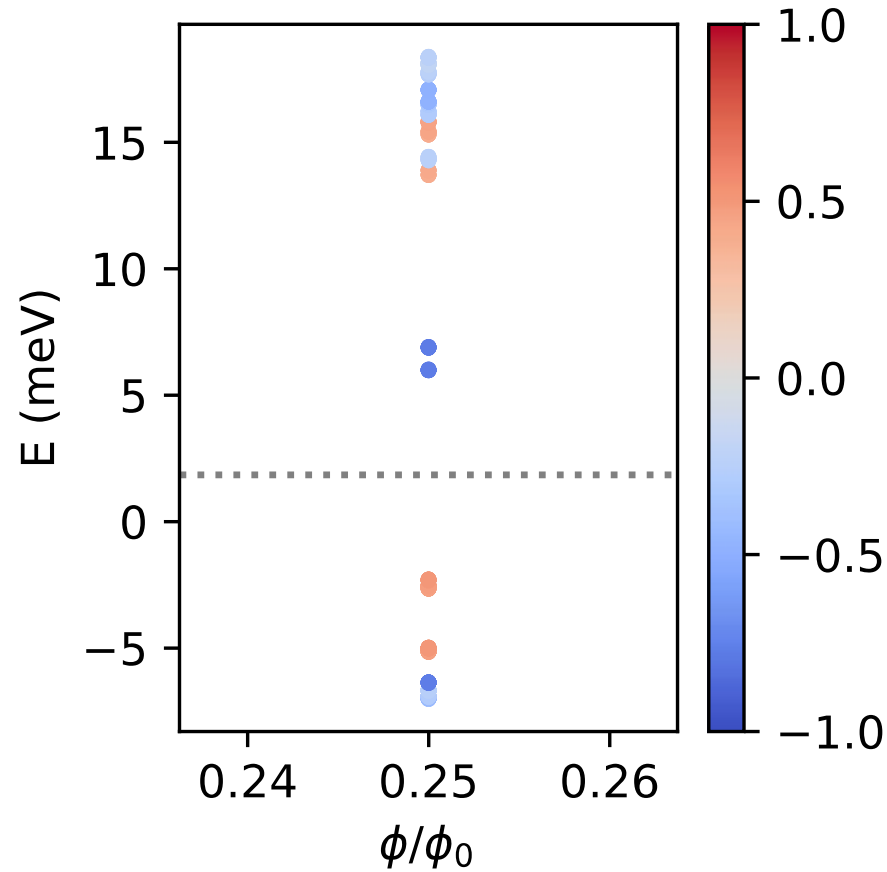


## Strong coupling limit and finite field Hartree Fock at flux $\frac{\phi}{\phi_0} = \frac{1}{4}$ :

At higher densities, Hartree-Fock reorganizes the wavefunctions from CNP

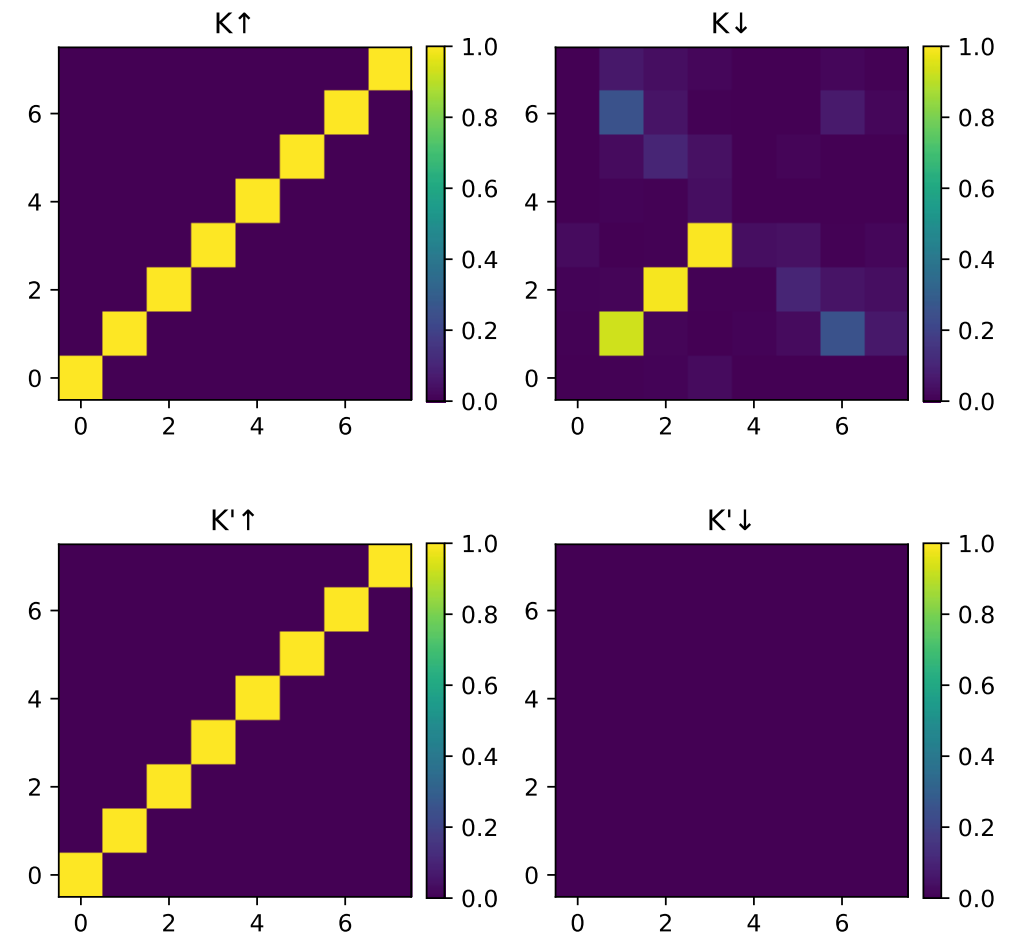
Spectrum indicative of a first order phase transition near  $(s,t) = (0,3)$

Heavy part of the spectrum gets brought down in energy instead of light ones



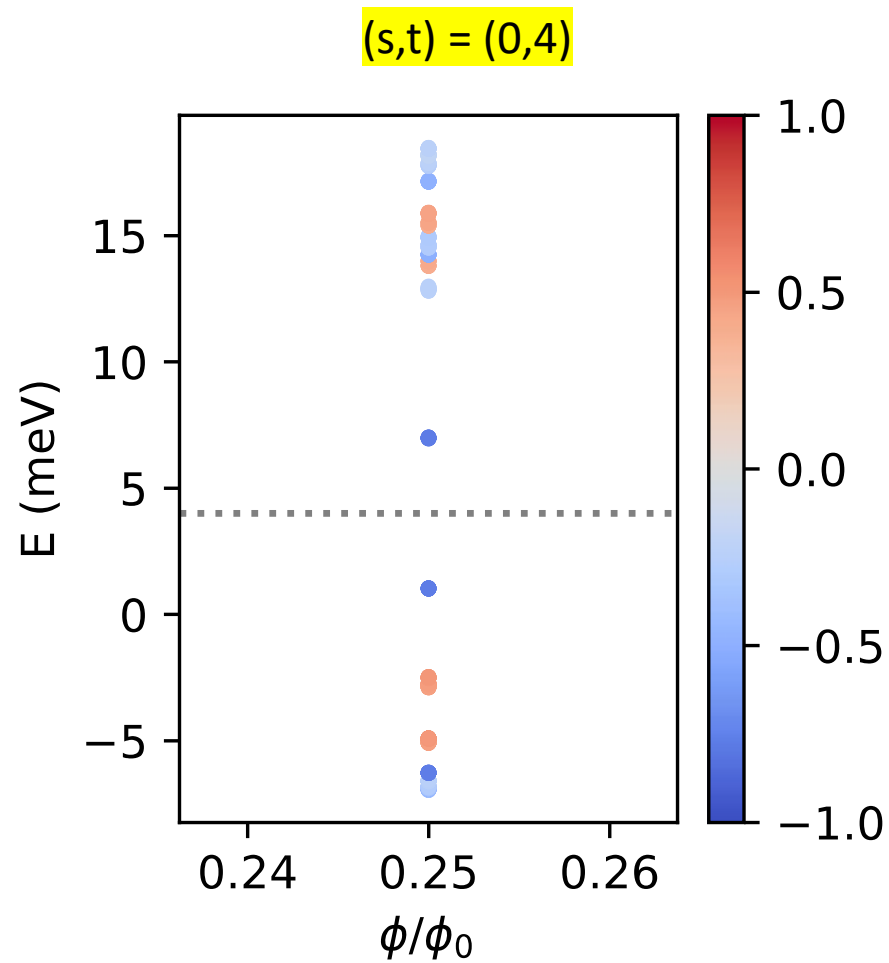
$$\Delta \approx 8.26 \text{ meV}$$

Density matrix in strong coupling basis



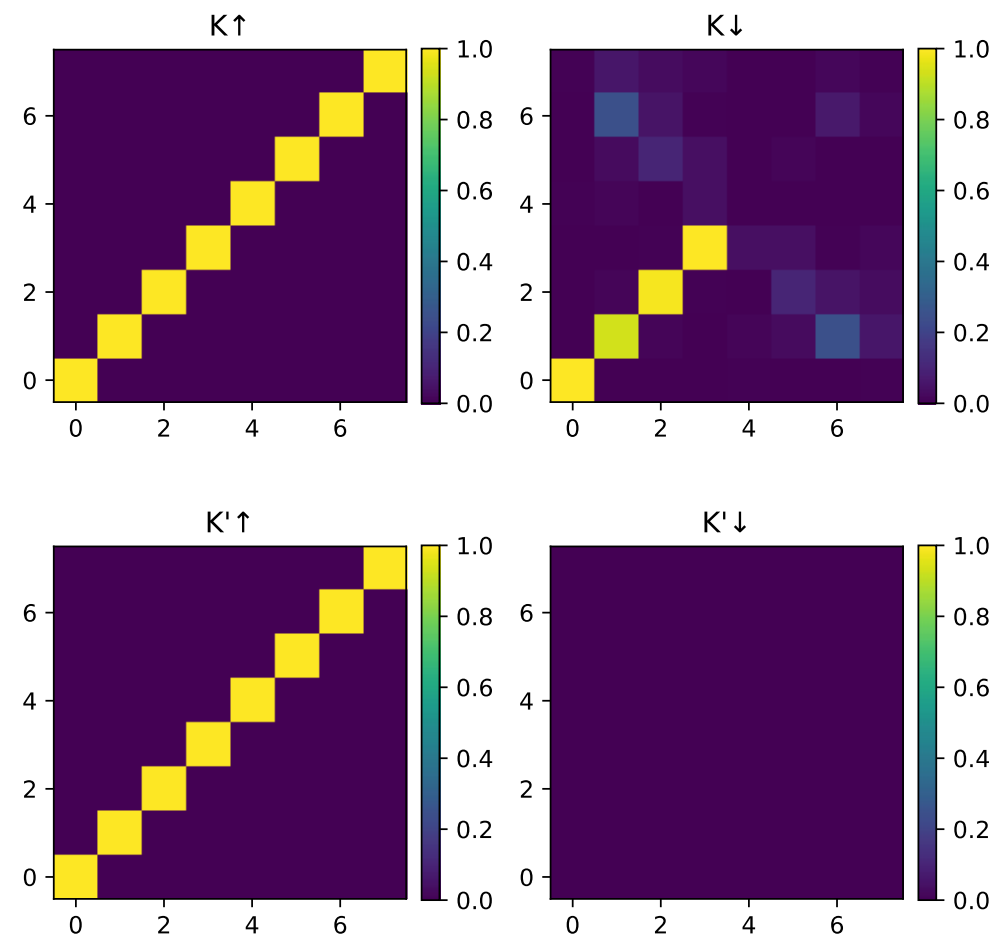
# Strong coupling limit and finite field Hartree Fock at flux $\frac{\phi}{\phi_0} = \frac{1}{4}$ :

At higher densities, Hartree-Fock reorganizes the wavefunctions from CNP



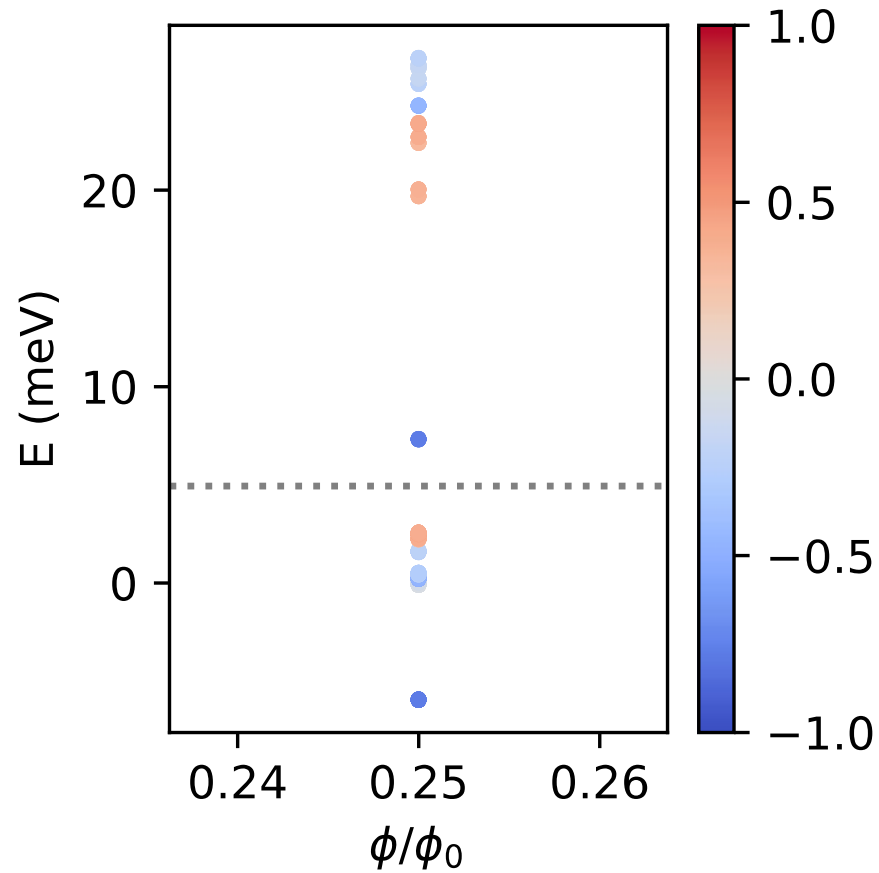
$$\Delta \approx 5.92 \text{ meV}$$

## Density matrix in strong coupling basis



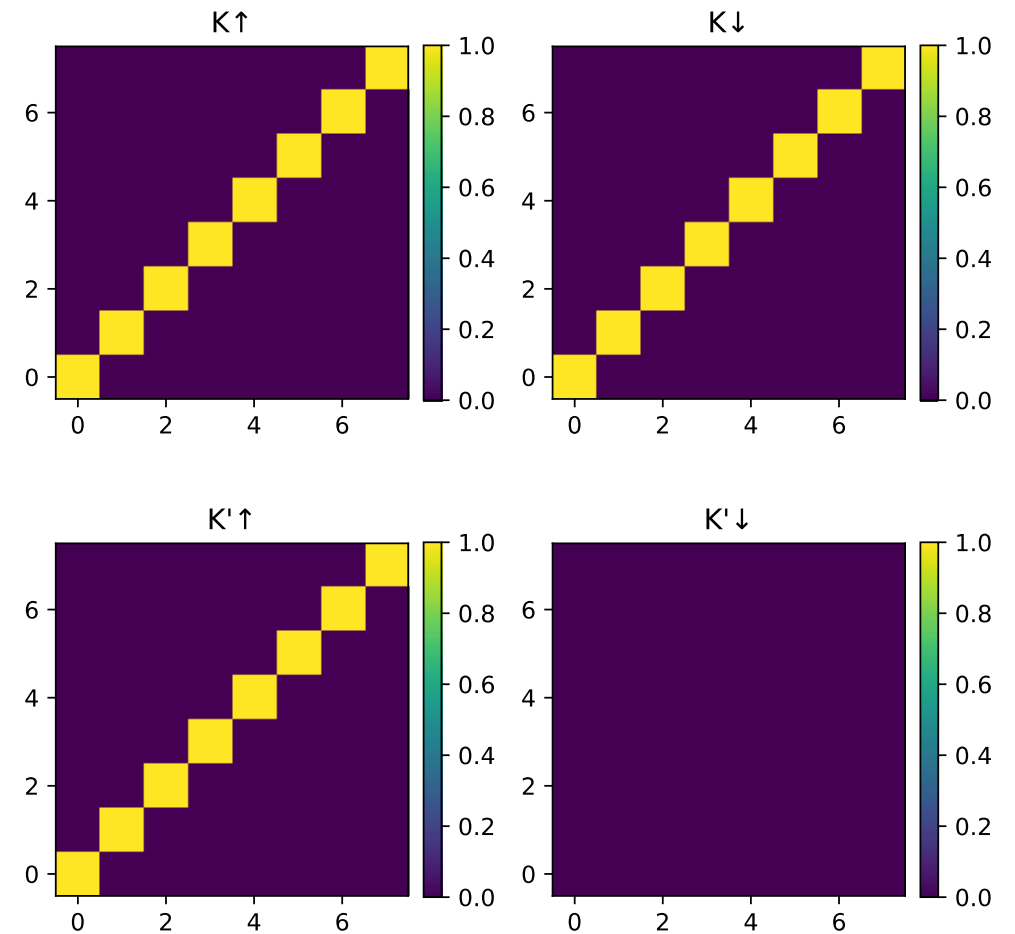
# Strong coupling limit and finite field Hartree Fock at flux $\frac{\phi}{\phi_0} = \frac{1}{4}$ :

Heavy-light dichotomy at  $(s,t) = (2,0)$



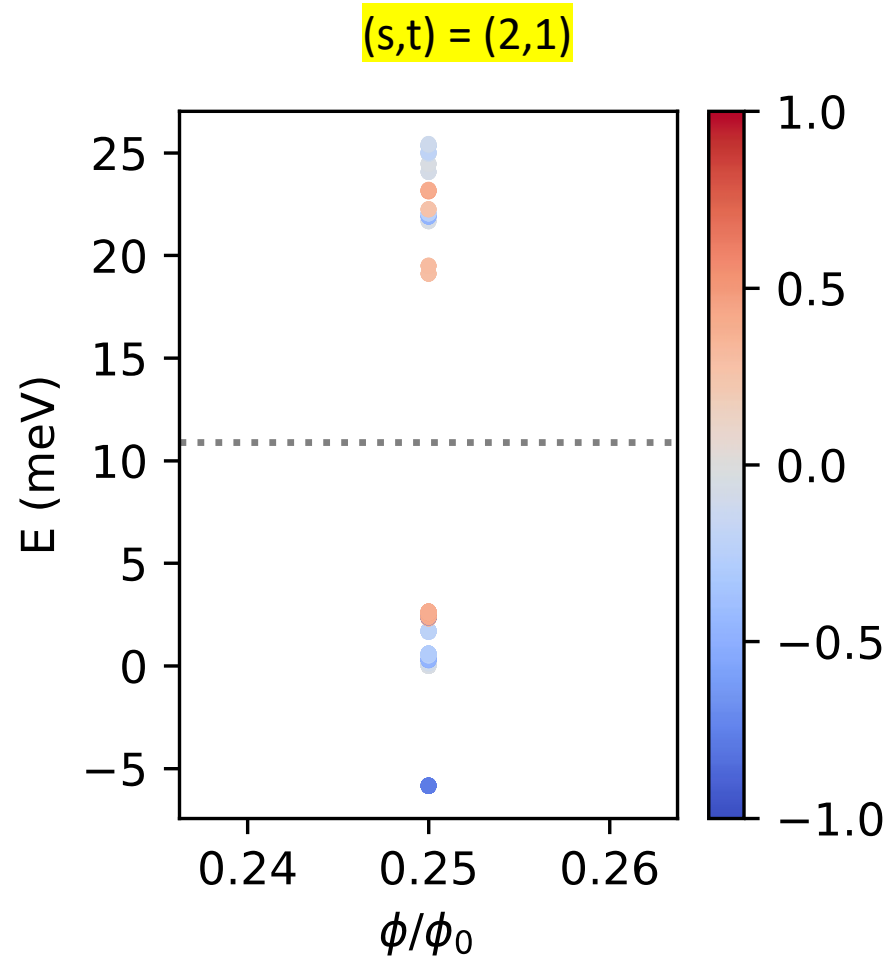
$$\Delta \approx 4.72 \text{ meV}$$

Density matrix in BM eigen basis;  
U(4) valley spin rotation symmetry



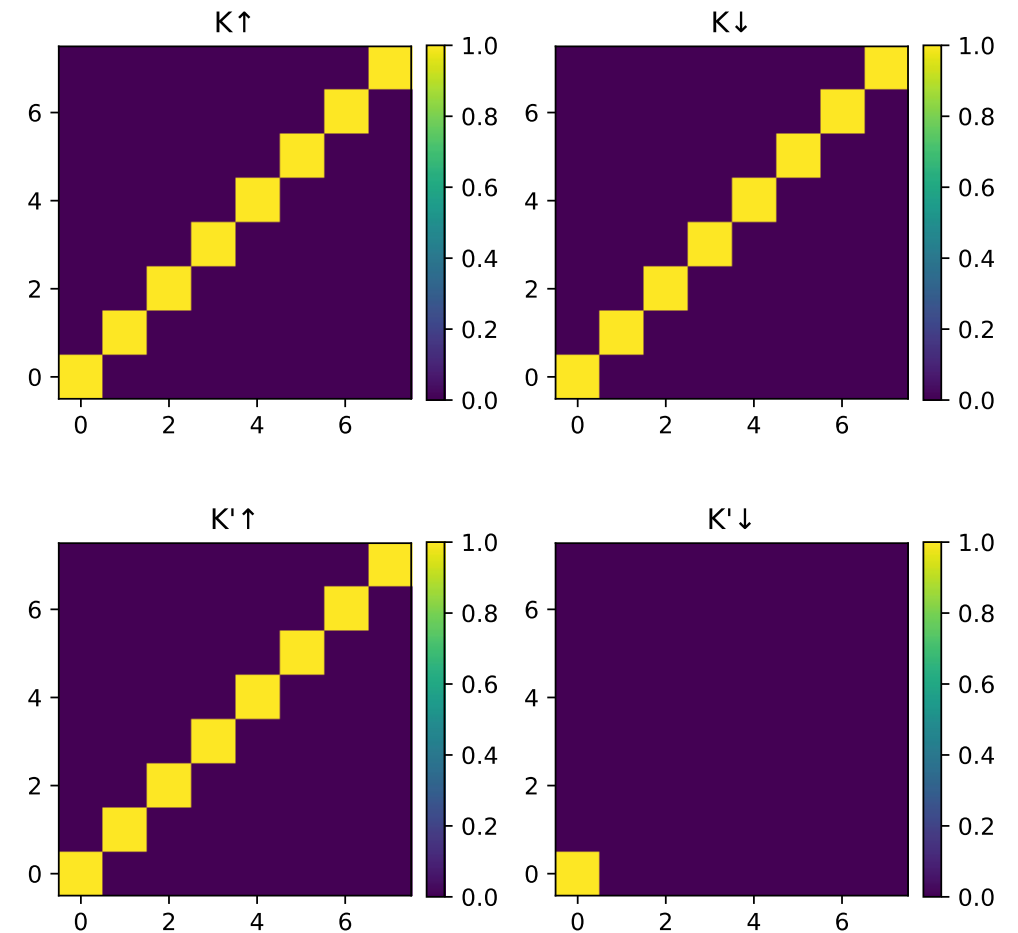
## Strong coupling limit and finite field Hartree Fock at flux $\frac{\phi}{\phi_0} = \frac{1}{4}$ :

At low densities, Hartree-Fock spectrum corresponds to populating lowest energy strong coupling spectrum



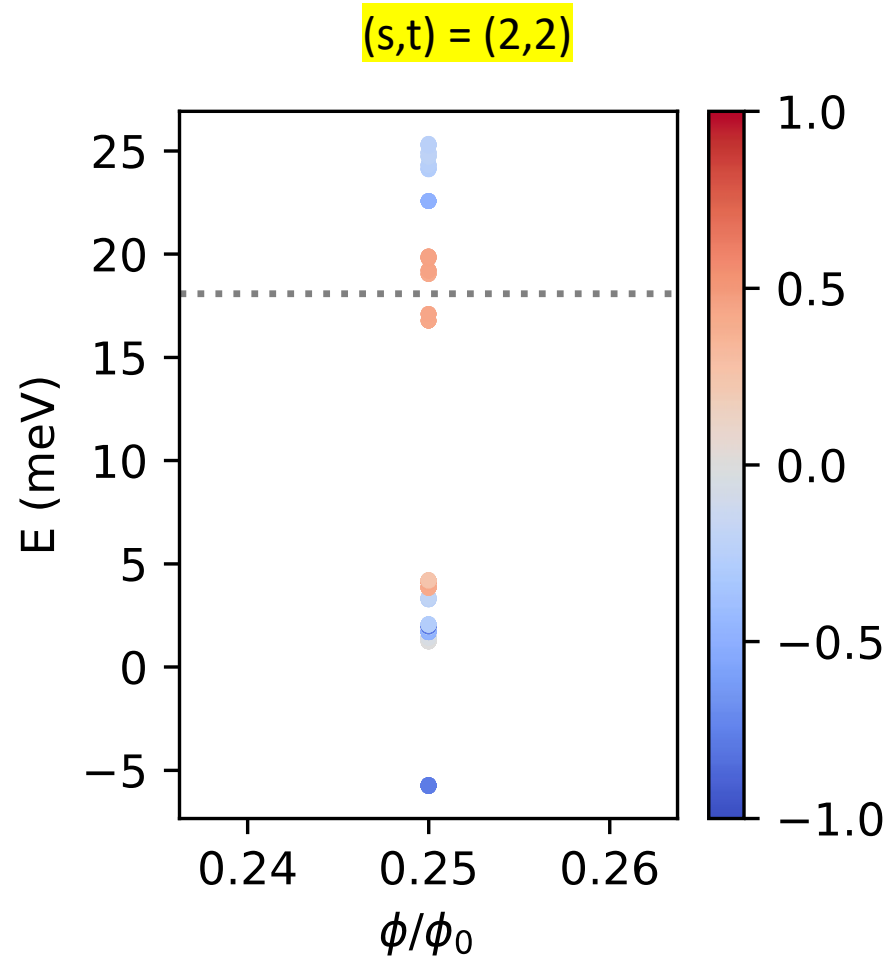
$$\Delta \approx 16.44 \text{ meV}$$

### Density matrix in strong coupling basis



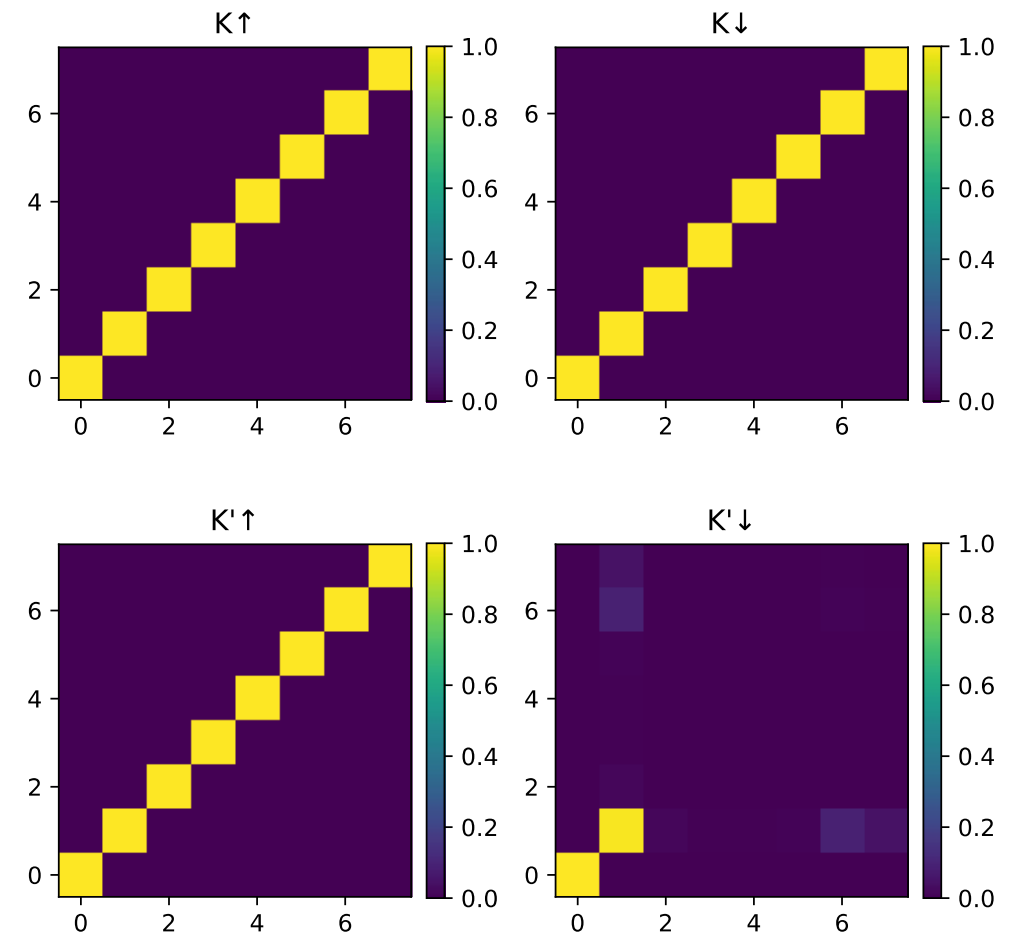
## Strong coupling limit and finite field Hartree Fock at flux $\frac{\phi}{\phi_0} = \frac{1}{4}$ :

At low densities, Hartree-Fock spectrum corresponds to populating lowest energy strong coupling spectrum



$$\Delta \approx 1.92 \text{ meV}$$

### Density matrix in strong coupling basis



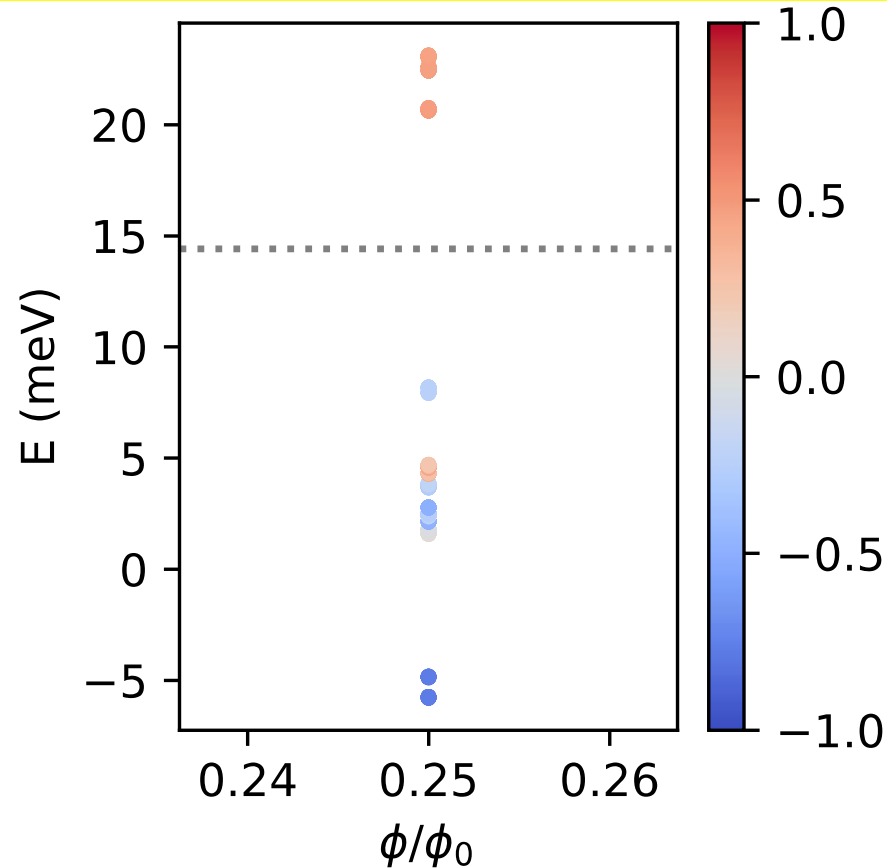
# Strong coupling limit and finite field Hartree Fock at flux $\frac{\phi}{\phi_0} = \frac{1}{4}$ :

A highly energetically competitive state at  $(s,t) = (2,2)$  is maximum Chern polarization

HF energy (chern):  $\approx -4.36\text{meV}$  per u.c.

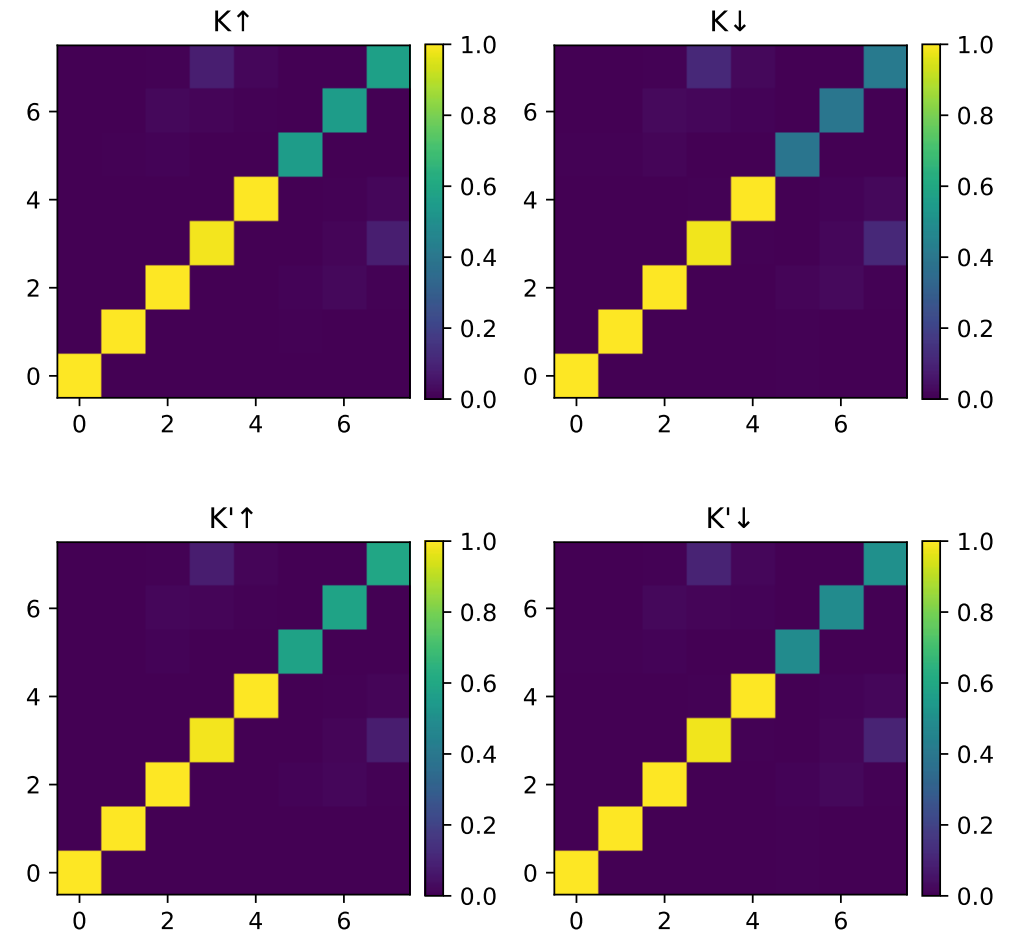
HF energy (flavor):  $\approx -4.54\text{meV}$  per u.c.

Can tip the energetic favor with  $w_0/w_1$ , screening length etc.



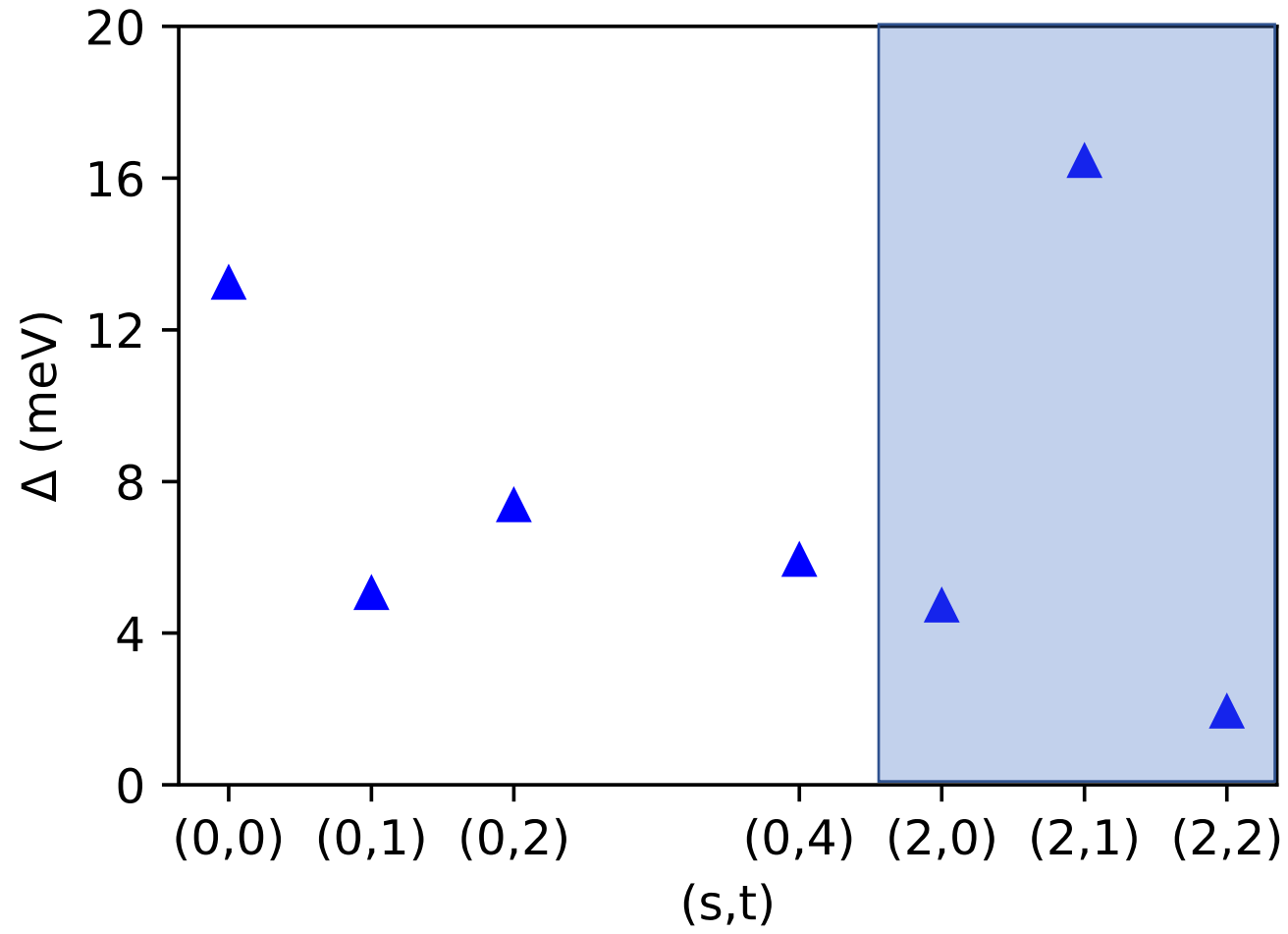
$$\Delta \approx 12.44\text{meV}$$

Density matrix in sublattice x valley  $\tau_z$  eigenbasis;  
U(4) valley spin rotation symmetry





# Hartree Fock spectral gaps on various Streda lines at flux 1/4



# Analytic construction of exact zero modes at $\mathbf{B} \neq 0$ in the chiral limit: anomaly and the index theorem

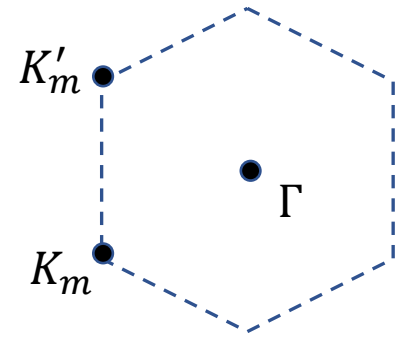
$$H_{BM} = \begin{pmatrix} v_F \sigma \cdot (\mathbf{p} - \frac{e}{c} \mathbf{A}) & T(\mathbf{r}) e^{i\mathbf{q}_1 \cdot \mathbf{r}} \\ e^{-i\mathbf{q}_1 \cdot \mathbf{r}} T^\dagger(\mathbf{r}) & v_F \sigma \cdot (\mathbf{p} + \mathbf{q}_1 - \frac{e}{c} \mathbf{A}) \end{pmatrix}$$

Laughlin gauge:  $\mathbf{A} = \frac{1}{2} B(-y, x)$

Let  $\begin{pmatrix} A_{top} \\ B_{top} \\ A_{bot} \\ B_{bot} \end{pmatrix} \rightarrow \begin{pmatrix} A_{top} \\ A_{bot} \\ B_{top} \\ B_{bot} \end{pmatrix}$  then  $H_{BM} \rightarrow \begin{pmatrix} 0 & D^\dagger \\ D & 0 \end{pmatrix}$

$$D = \begin{pmatrix} -iv_F \left( 2 \frac{\partial}{\partial \bar{z}} + \frac{z}{2\ell_B^2} \right) & w_1 U(\mathbf{r}) e^{i\mathbf{q}_1 \cdot \mathbf{r}} \\ w_1 U(-\mathbf{r}) e^{-i\mathbf{q}_1 \cdot \mathbf{r}} & -iv_F \left( 2 \frac{\partial}{\partial \bar{z}} + k_\theta + \frac{z}{2\ell_B^2} \right) \end{pmatrix}$$

Unlike at  $\mathbf{B} = 0$ , there  
is no normalizable  
state on B-sublattice



$$f(z) e^{-\bar{z}z/4\ell_B^2} \begin{pmatrix} \Psi_{K_m}^{B=0}(\mathbf{r}) \\ 0 \end{pmatrix}$$

$$f(z) e^{-\bar{z}z/4\ell_B^2} \begin{pmatrix} \Psi_{K'_m}^{B=0}(\mathbf{r}) \\ 0 \end{pmatrix}$$

# Analytic construction of exact zero modes at $\mathbf{B} \neq 0$ in the chiral limit: anomaly and the index theorem

$\mathbf{B} = 0$  zero energy states at  $K_m$  and  $K'_m$  have an opposite parity under  $PC_{2y}T$

Laughlin gauge:  $\mathbf{A} = \frac{1}{2} B(-y, x)$

Letting  $f(z) = (1, z, z^2, \dots, z^{N-1})$  we therefore prove linear independence of 2 Landau levels worth of exact zero energy states living on A sublattice

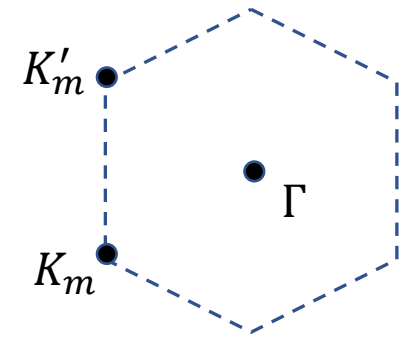
So for each  $k_1 \in (0, 1)$  and  $k_2 \in (0, \frac{1}{q})$  we have  $2p$  zero modes

Because  $\{H_{BM}, \sigma_z\} = 0$ , by index theorem we must have

$$\text{Tr}\langle\sigma_z\rangle = n_+ - n_- = 2p$$

At  $\mathbf{B} = 0$ ,  $\text{Tr}\langle\sigma_z\rangle = 0$ .

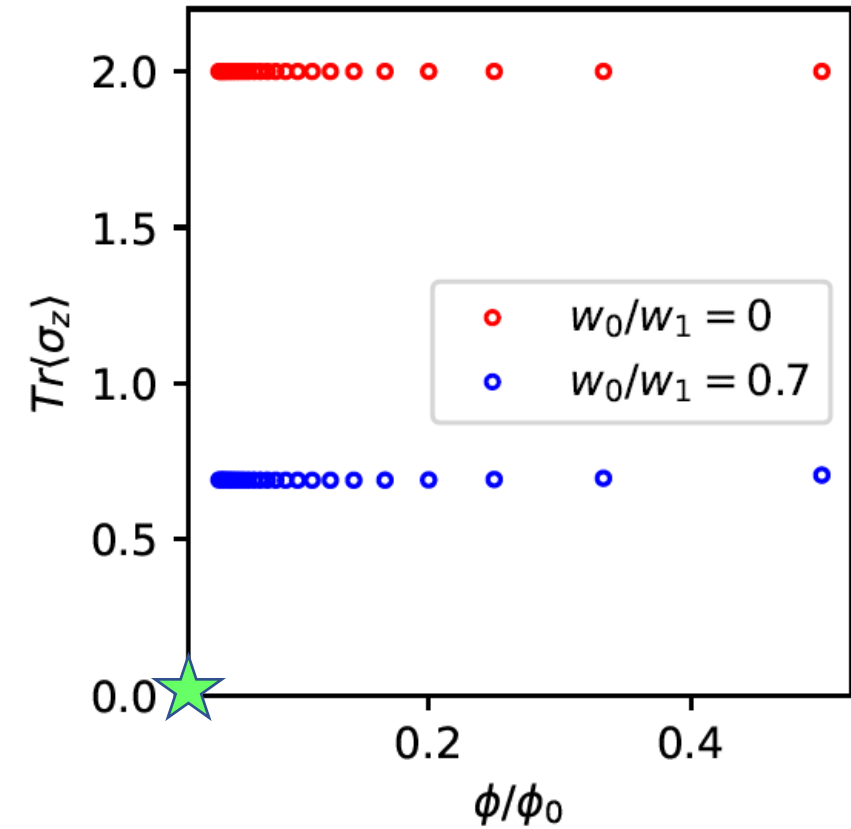
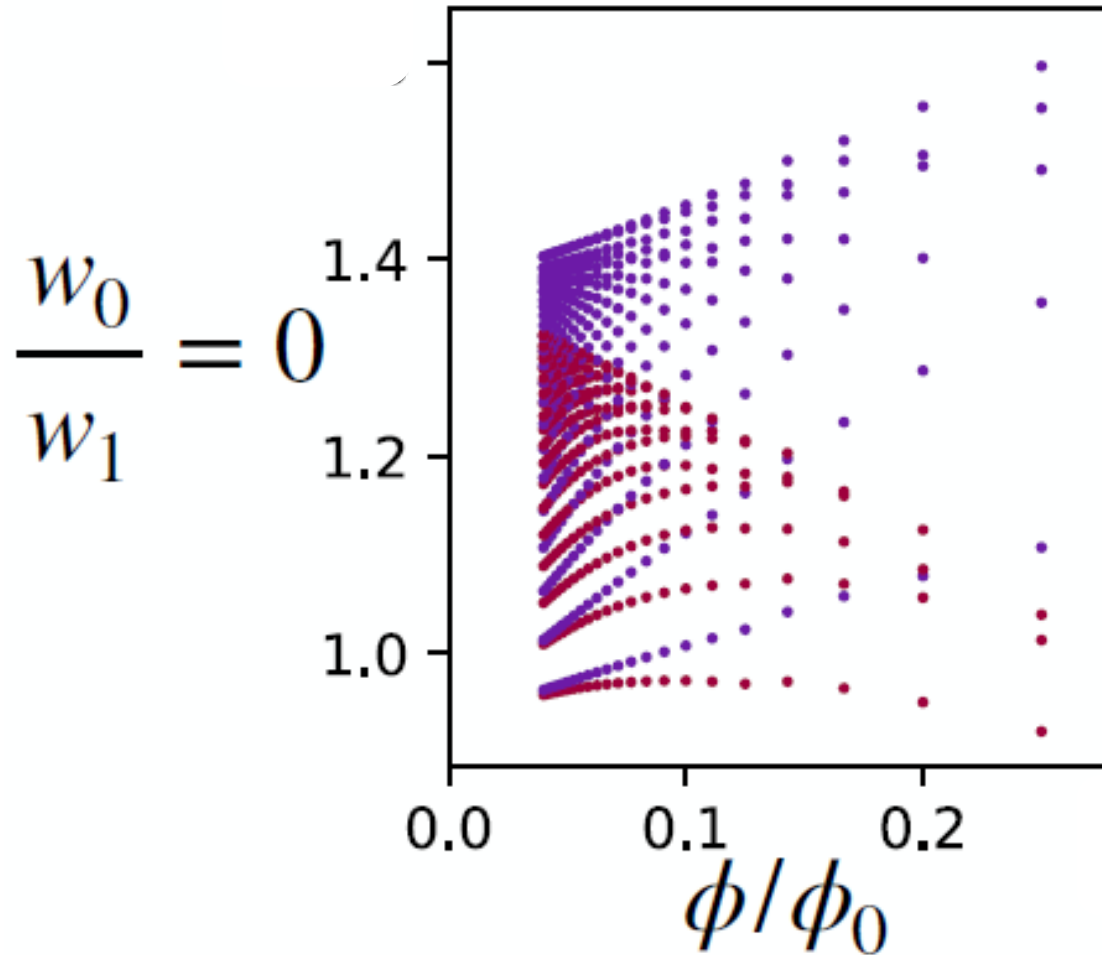
Therefore  $\text{Tr}\langle\sigma_z\rangle$  is *discontinuous* at  $\mathbf{B} = 0$



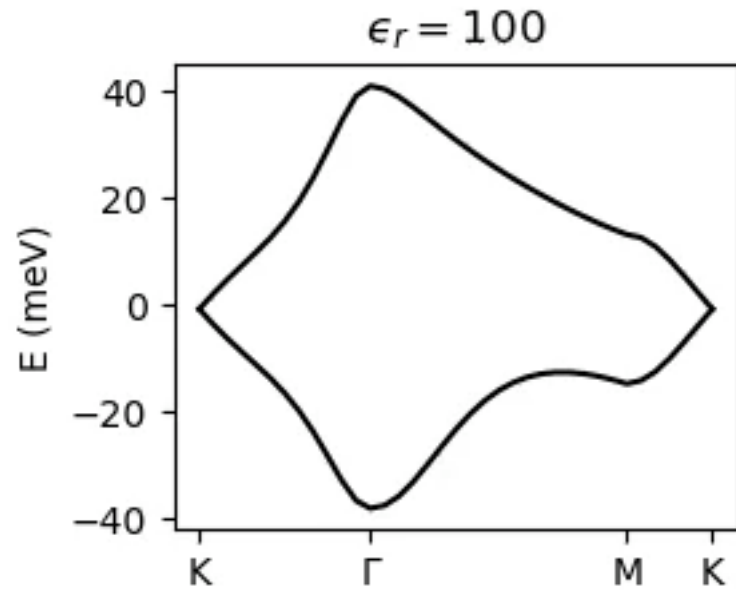
$$f(z)e^{-\bar{z}z/4\ell_B^2} \begin{pmatrix} \Psi_{K_m}^{B=0}(\mathbf{r}) \\ 0 \end{pmatrix}$$

$$f(z)e^{-\bar{z}z/4\ell_B^2} \begin{pmatrix} \Psi_{K'_m}^{B=0}(\mathbf{r}) \\ 0 \end{pmatrix}$$

# Exact single particle excitation spectrum at CNP in the strong coupling limit at small B-field at a single $k_1, k_2$



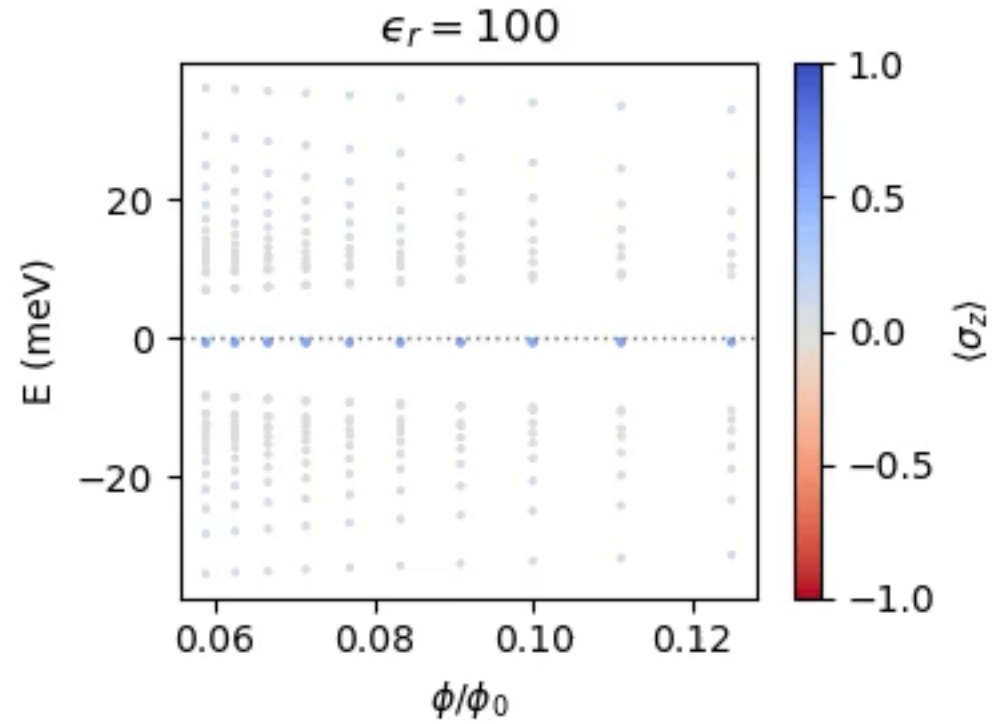
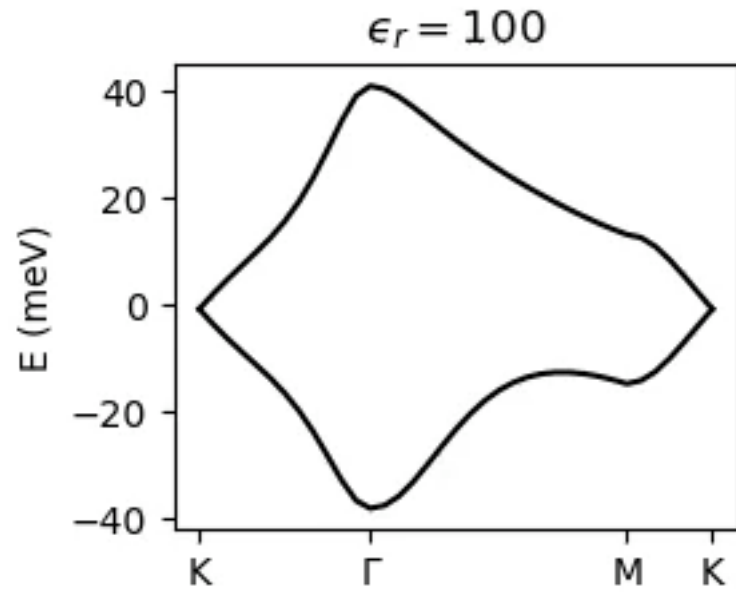
Evolution of the dispersion with interaction at  $\nu = -4$   
 from weak to intermediate coupling  $\theta = 1.38^\circ$



$$V_q = \frac{2\pi e^2 \tanh(\frac{q\xi}{2})}{\epsilon_r q}$$

$$\xi = L_m$$

$$\nu = -4$$



$$\theta = 1.38^\circ$$

$$V_q = \frac{2\pi e^2}{\epsilon_r} \frac{\tanh(\frac{q\xi}{2})}{q}, \xi = L_m$$



# Correlated electron physics in the narrow bands: RG perspective

Stage 1:

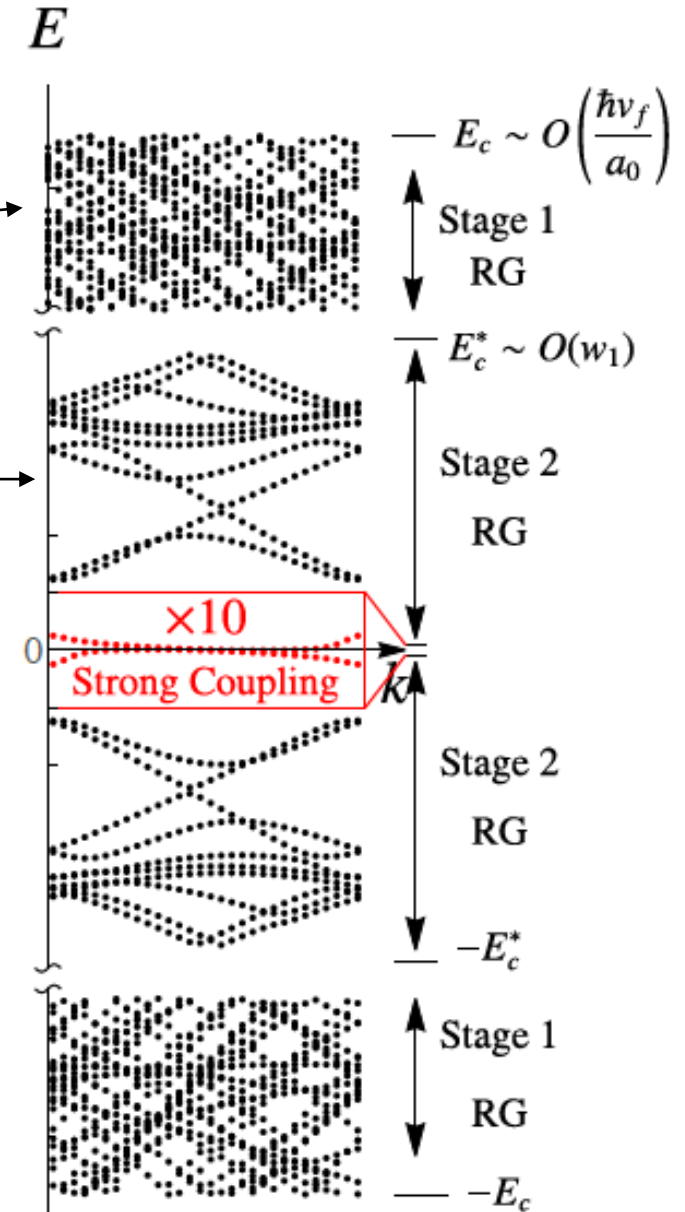
Coulomb interaction and interlayer tunneling are **perturbative**

Stage 2:

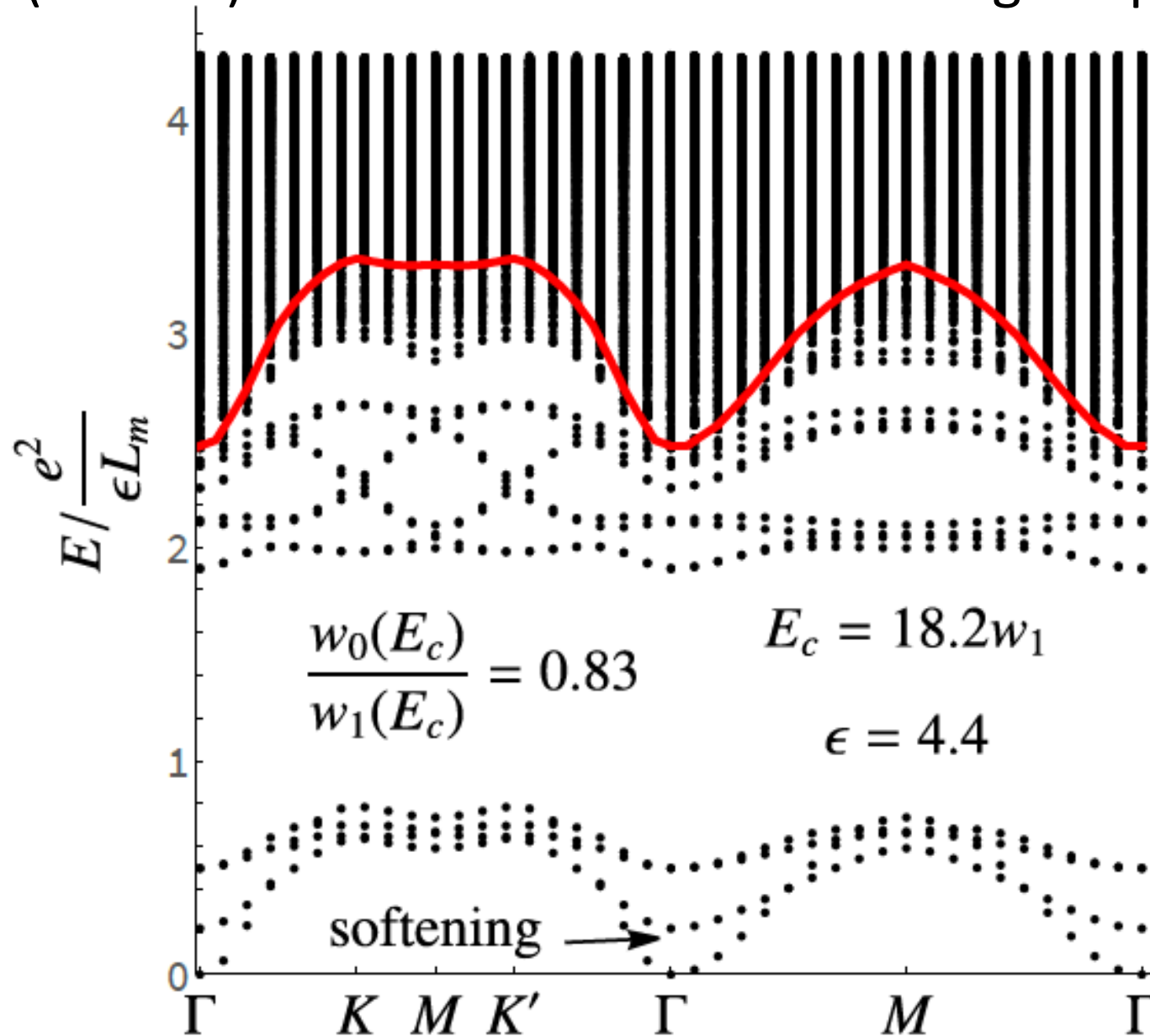
Coulomb interaction **perturbative**, interlayer tunneling **non-perturbative**

Final step:

Coulomb interaction **non-perturbative**: strong coupling



# Exact (neutral) collective modes in the strong coupling limit at CNP



# Justification for the strong coupling approach

