

# Ex 1: Lindblad-Keldysh functional integral

We fix some details of derivation.

a) The Trotter step.

- Recall  $\hat{\mathcal{S}}(t) = e^{\hbar(t-t_0)\hat{L}} \hat{\mathcal{S}}(t_0) = \lim_{N \rightarrow \infty} (e^{\hat{L}\delta t})^N \hat{\mathcal{S}}(t_0) = \lim_{N \rightarrow \infty} (\mathbb{1} + \hat{L}\delta t)^N \hat{\mathcal{S}}(t_0)$

- For  $t_n = t_0 + n \cdot \delta t$ ,  $\hat{\mathcal{S}}_n = \hat{\mathcal{S}}(t_n)$ , represent

$$\hat{\mathcal{S}}_n = \int \prod_{\sigma=\pm} d(\phi_{\sigma,n}^*, \phi_{\sigma,n}) e^{-\sum_{\sigma} \phi_{\sigma,n}^* \phi_{\sigma,n}} \underbrace{\langle \phi_{+n} | \hat{\mathcal{S}}_n | \phi_{-n} \rangle}_{\mathcal{S}_n \text{ matrix element}} |\phi_{+n}\rangle \langle \phi_{-n}|$$

- For definiteness, work with a single degree of freedom,

$$\hbar[\hat{\mathcal{S}}] = -i[\omega_0 \hat{a}^\dagger \hat{a}, \hat{\mathcal{S}}] + \gamma(2\hat{a} \hat{\mathcal{S}} \hat{a}^\dagger - \{\hat{a}^\dagger \hat{a}, \hat{\mathcal{S}}\})$$

for bosonic creation/annihilation operators  $\hat{a}^\dagger, \hat{a}$ . This will illustrate the main points  $\textcircled{*}$ .

- Find the matrix element  $\mathcal{S}_{n+1} = \langle \phi_{+n+1} | \hat{\mathcal{S}}_{n+1} | \phi_{-n+1} \rangle$  appearing in the coherent state representation of  $\hat{\mathcal{S}}_{n+1}$ .

Convince yourself that the Lindblad structure is reproduced.

b) Complete the steps to a contour functional integral.

$\textcircled{*}$  Comment: There is a subtlety w/o counterpart in Hamiltonian functional integrals: Even if  $\hat{H}, \hat{L}$  are normal ordered, this need not be the case for  $L^\dagger L$  (example?). This has to be accounted for by a point splitting in time, inserting a resolution of  $\mathbb{1}$  between time steps  $n, n+1$ .

## Ex. 2: Mean field dynamics in operator and Keldysh theory

We study the Lindblad  $\phi^4$  workhorse model.

- a) - Compute the evolution of the field operator expectation value
- $$\partial_t \langle \hat{\phi}(\vec{x}) \rangle(t) = \partial_t \text{tr}(\hat{\phi}(\vec{x}) \hat{\rho}(t)) = \text{tr}(\hat{\phi}(\vec{x}) \hat{L}[\hat{\rho}])$$

Hint: Use cyclic invariance to bring to a form containing only commutators  $[\hat{H}, \hat{\phi}(\vec{x})], [\hat{L}, \hat{\phi}(\vec{x})]$ .

- Make the ansatz  $\hat{\rho} = \int_{\vec{x}} \hat{\rho}(\vec{x})$ ,  $\hat{\rho}(\vec{x}) = |\phi(\vec{x})\rangle\langle\phi(\vec{x})|$  with coherent states  $|\phi(\vec{x})\rangle$ . You obtain a dissipative Gross-Pitaevskii eq.
- Further simplify with ansatz  $\phi(\vec{x}, t) = \phi(t)$ , and solve for the stationary state with  $\phi(t) = \sqrt{S_0} e^{i\gamma t}$ . Determine  $\gamma, S_0$  as function of  $\gamma e^{-\gamma\tau}$  (assuming all other parameters positive).

- b) Now derive the same equation in the deterministic limit of Keldysh field theory:
- $$S[\Phi_+, \Phi_-]$$

- Write the Lindblad-Keldysh action for the workhorse  $\phi^4$  Lindblad eq.
- Perform a Keldysh rotation and expand to first order in  $\Phi_q$

$$S[\Phi_+ = (\Phi_c + \Phi_q)/\sqrt{2}, \Phi_- = (\Phi_c - \Phi_q)/\sqrt{2}]$$

- Compare the dissipative Gross-Pitaevskii equation to the deterministic equation of motion  $\left. \frac{\delta S}{\delta \phi_c^*} \right|_{\phi_q=0} = 0$ .

### Ex. 3: Keldysh theory for the noisy quantum oscillator

Consider the Lindblad equation  $\textcircled{*}$

$$\partial_t \hat{\rho} = -i [ \omega_0 \hat{a}^\dagger \hat{a}, \hat{\rho} ] + \gamma_e (2\hat{a} \hat{\rho} \hat{a}^\dagger - \{\hat{a}^\dagger \hat{a}, \hat{\rho}\}) + \gamma_p (2\hat{a}^\dagger \hat{\rho} \hat{a} - \{\hat{a} \hat{a}^\dagger, \hat{\rho}\})$$

- Find the Keldysh action ( $\pm$  basis, time domain)
- Perform a Keldysh rotation to  $q, c$  basis and frequency domain (Fourier convention  $\phi(t) = \int \frac{d\omega}{2\pi} e^{-i\omega t} \phi(\omega)$ )
- Find the Green functions  $G^K(\omega), G^R(\omega)$
- Optional: Fourier transform back the last result to the time dom. using residue theorem.

Hint for c):

- Write the theory in matrix form (discrete indices  $c, q$  and continuous frequency)

$$S = \sum_{a,b} \phi_a^* (G^{-1})_{ab} \phi_b$$

- compute the sourced partition function using the Gaussian identity

$$\int d(\phi^*, \phi) e^{-\sum_{a,b} \phi_a^* (G^{-1})_{ab} \phi_b - i \sum_a \phi_a^* j_a + j_a^* \phi_a}$$

$$= N e^{-\sum_{a,b} j_a^* G_{ab} j_b}, \quad N = \det(G^{-1})$$

- Compute the Green function as the second variation of the sourced partition function.

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\* This is an example where  $L^\dagger L = a a^\dagger$  is not normal ordered, but for quadratic theories we may ignore the issue.

Appendix: Doing the functional integral for the deterministic limit

$$Z \approx \int \mathcal{D}(\underline{\Phi}_q, \underline{\Phi}_c) e^{i \int (\underline{\Phi}_q \frac{\delta S}{\delta \Phi_c} + \underline{\Phi}_q^* \frac{\delta S}{\delta \Phi_c^*})}$$

- We want to perform integration over  $\underline{\Phi}_q$ , which appears linearly in the exponent. It will produce a  $\delta$ -constraint on  $\frac{\delta S}{\delta \Phi_c}$ ,  $\frac{\delta S}{\delta \Phi_c^*}$ .
- A quick & dirty way is noticing the analogy to Fourier transform,
 
$$\int dp e^{i p x} = \delta(x)$$
- A cleaner argument uses a regularization and Gaussian integration. We focus on a single degree of freedom, i.e.  $\int = \int dt = \int_t$

$$\int \mathcal{D}\underline{\Phi}_q e^{i \int_t \left[ \Phi_q(t) \underbrace{\frac{\delta S}{\delta \Phi_c(t)}}_{w(t)} + \Phi_q^*(t) \underbrace{\frac{\delta S}{\delta \Phi_c^*(t)}}_{\tilde{w}(t)} \right]} ; \int \mathcal{D}\underline{\Phi}_q = \int_t \prod d\Phi_q(t)$$

$$= \lim_{r \rightarrow 0} \int \mathcal{D}\underline{\Phi}_q e^{i \int_t \left[ \Phi_q(t) \underbrace{\frac{\delta S}{\delta \Phi_c(t)}}_{w(t)} + \Phi_q^*(t) \underbrace{\frac{\delta S}{\delta \Phi_c^*(t)}}_{\tilde{w}(t)} \right] - \int_{tt'} \Phi_q^*(t) C(t, t') \Phi_q(t')}$$

$$\text{with } C(t-t') = \frac{r}{2} \delta(t-t') \Rightarrow C^{-1}(t, t') = \frac{2}{r} \delta(t-t')$$

unit matrix

$$\begin{aligned} \text{Gauss} \\ \text{integral } \lim_{r \rightarrow 0} & (\det C^{-1}) e^{-\int_{tt'} \tilde{w}(t) C(t, t') w(t')} = \lim_{r \rightarrow 0} (\det C^{-1}) e^{-\frac{2}{r} \int_t w(t) \tilde{w}(t)} \\ & = \int_t \prod e^{-\frac{2}{r} w(t) \tilde{w}(t)} \end{aligned}$$

$$\text{with functional } \delta\text{-constraint } \delta(w) \equiv \int_t \delta(w(t))$$

The last equality follows because in the limit  $r \rightarrow 0$ , the Gaussian distribution becomes very narrow and centered around  $w(t) = \tilde{w}(t) = 0 \quad \forall t$ .