



Gravitational Waves meets Amplitudes in the Southern Hemisphere Waveform Modelling for Gravitational Wave Data Analysis II Geraint Pratten

ICTP-SAIFR, São Paolo, Brazil, 15th August 2023

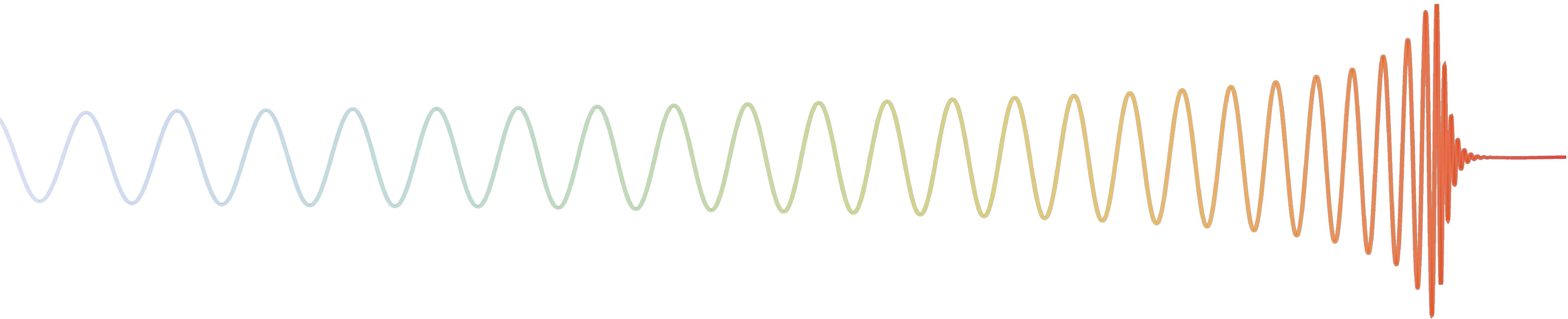


Introduction

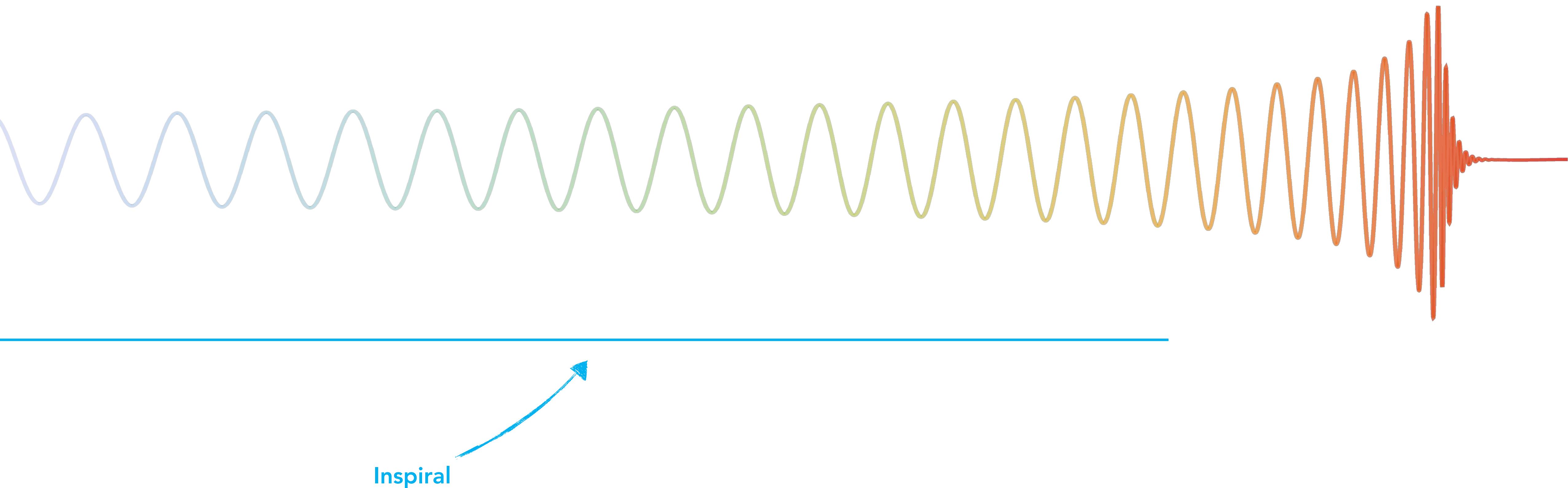
- Aim to build on Part I (Maria Haney)
 - **Impossible** to cover all work by the community over the past 5+ years
 - **Ambitious** slides... more material than we can cover but hopefully useful **reference** point
- Today we will provide a **broad introduction** to:
 - Numerical Relativity (emphasis on codes/coverage not so much on methods)
 - Surrogates and Reduced Order Models
 - The Effective One Body Framework
- Focus **mainly** on inspiral-merger-ringdown (IMR) models
 - Bulk of compact binaries observed by LIGO-Virgo-KAGRA are heavy(-ish) binary black holes



Anatomy of an Inspiral...



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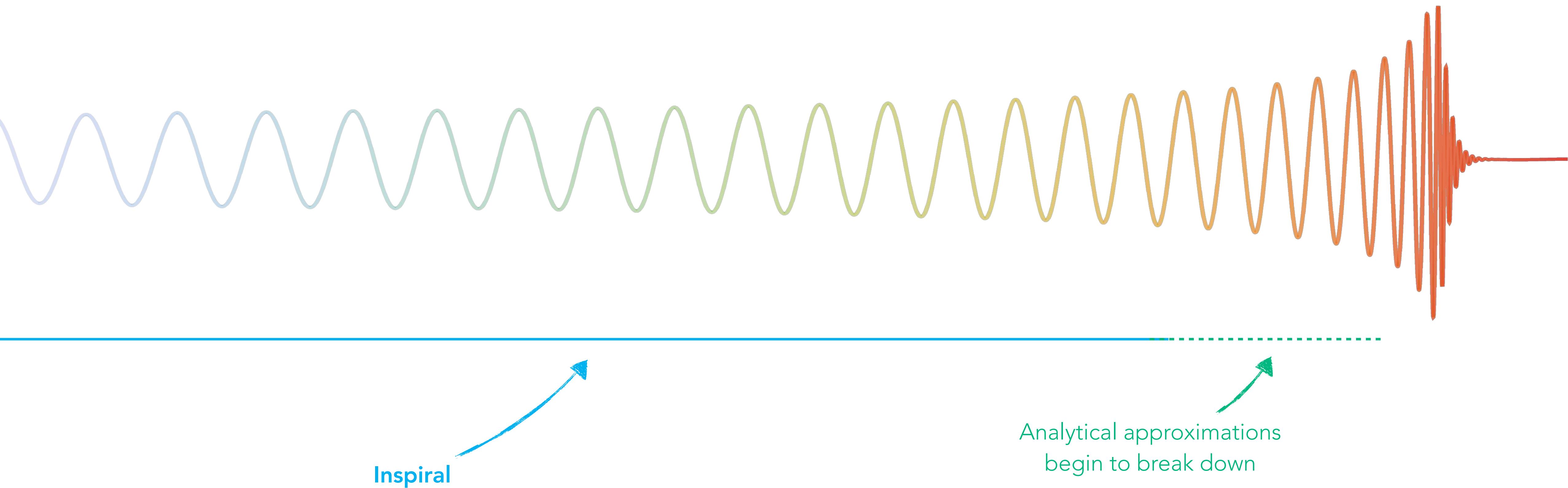


Flux Balance:

$$\frac{dE_{\text{orbital}}}{dt} = \mathcal{L}_{\text{GW}} \approx \frac{32}{5} \frac{c^5}{G} \frac{(m_1 m_2)^2}{M^4} \left(\frac{v}{c}\right)^5$$



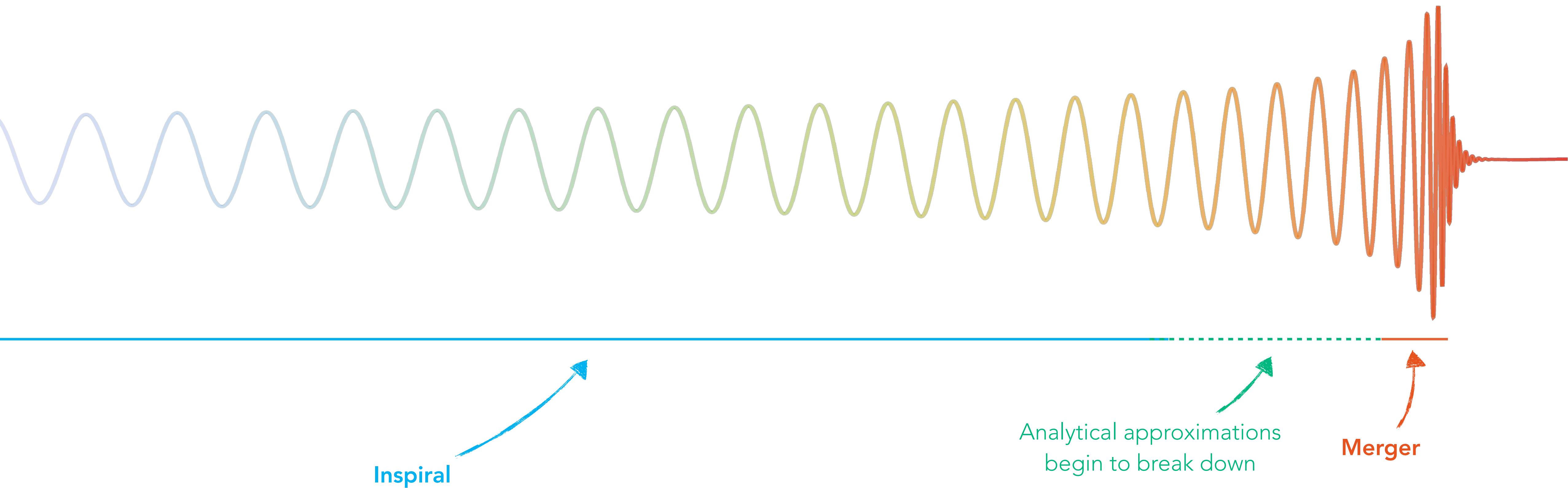
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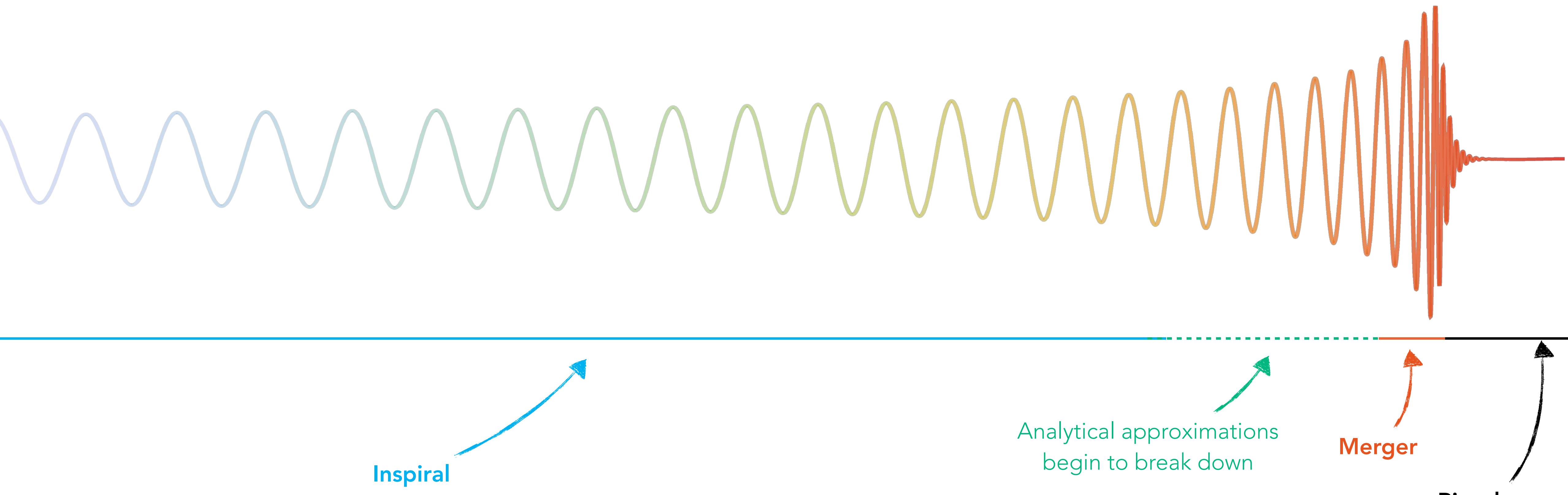
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Anatomy of an Inspiral...

post-Newtonian

$$h^{\alpha\beta}(t, r) = -\frac{4G}{c^4 r} \int T^{\alpha\beta}(t - r/c + \mathbf{n} \cdot \mathbf{r}'/c, \mathbf{r}') d^3 r'$$

scattering amplitudes

$$\mathcal{M}^{\Delta+\nabla} = \frac{2\pi^2 G^2 \epsilon_1 \cdot \epsilon_4 \epsilon_2 \cdot \epsilon_3}{\sqrt{-q^2}} \sum_{n,i} \alpha^{(n,i)} \mathcal{O}^{(n,i)}$$

numerical relativity

$$\partial_t \gamma_{ij} = -2\alpha K_{ij} + D_i \beta_j + D_j \beta_i$$

$$\partial_t K_{ij} = \dots$$

BH perturbation theory

EFT

$$S_{\text{eff}} = -\frac{1}{16\pi G} \int d^4x \sqrt{\bar{g}} R[\bar{g}_{\mu\nu}] + \sum_{i=1}^{\infty} C_i(r_s) \int d\sigma \mathcal{O}_i(\sigma)$$

post-Minkowski

$$h^{\alpha\beta} = \sum_{n=1} G^{(n)} h_{(n)}^{\alpha\beta}$$

gravitational self-force

$$g_{\alpha\beta} + \sum_{m=-\infty}^{\infty} \left[q h_{\alpha\beta}^{1,m}(\Omega) + q^2 h_{\alpha\beta}^{2,m}(\Omega) \right] e^{-im\phi} + \mathcal{O}(q^3)$$

effective one body

$$H_{\text{EOB}} = M \sqrt{1 + 2\nu \left(\frac{H_{\text{eff}}}{\mu} - 1 \right)}$$

+ other approaches

$$h = \sum C_{[p]\ell mn} e^{-i\tilde{\omega}_{[p]\ell mn} t} {}_{-2}S_{[p]\ell mn}(\iota, \varphi)$$

Inspiral

Analytical approximations
begin to break down

Merger

Ringdown

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Flux Balance:

we will focus on the basics of EOB + NR + Surrogates

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Numerical Relativity



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- Complete spacetime geometry and dynamics + emitted gravitational radiation



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 - Example 1: Post-Newtonian expansion breaks down as $v \sim c$
 - Example 2: BH perturbation theory requires input initial conditions (infer from NR)
- For binaries: 3+1 decomposition and reformulate the EFE as a Cauchy problem + constraints

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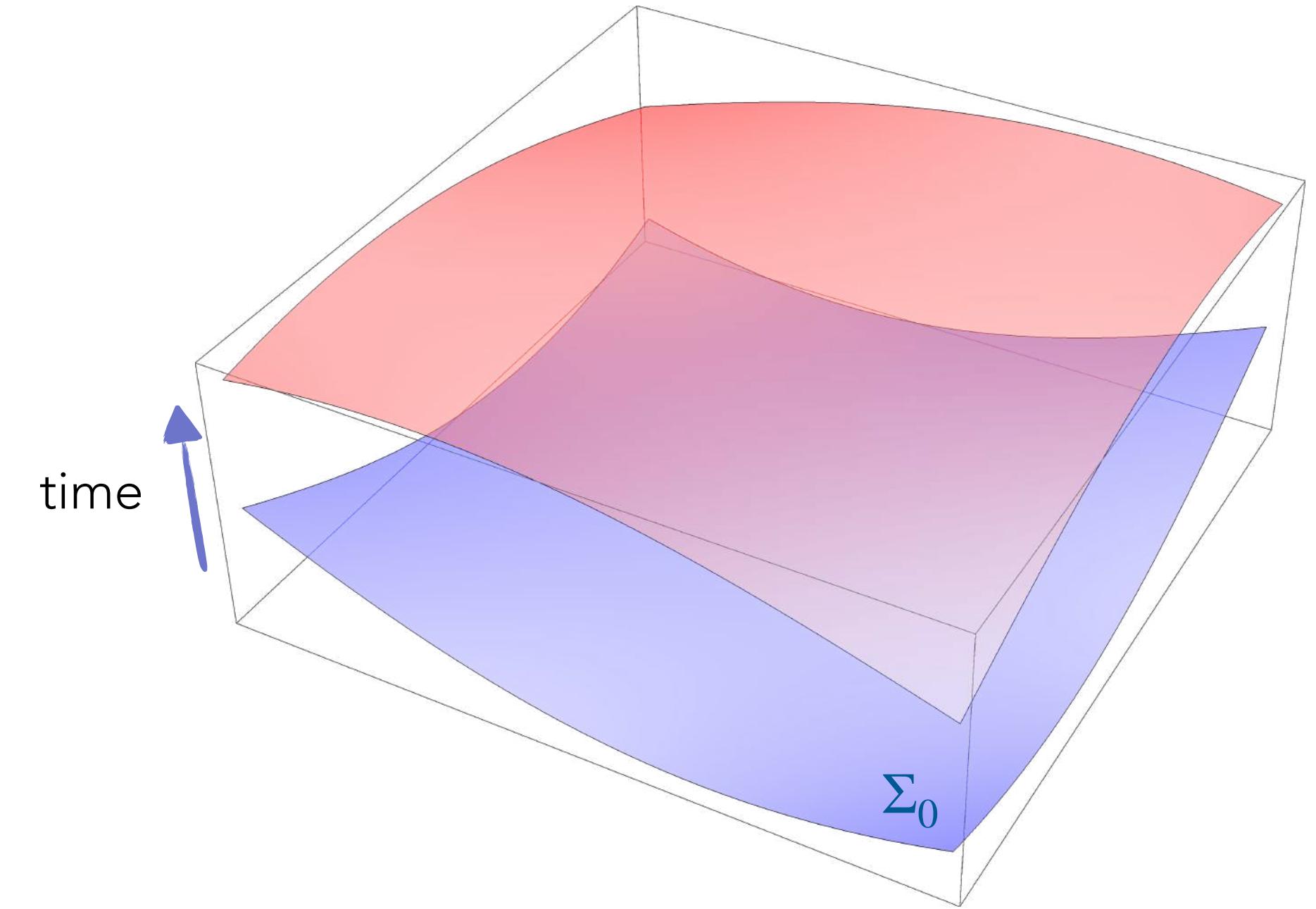
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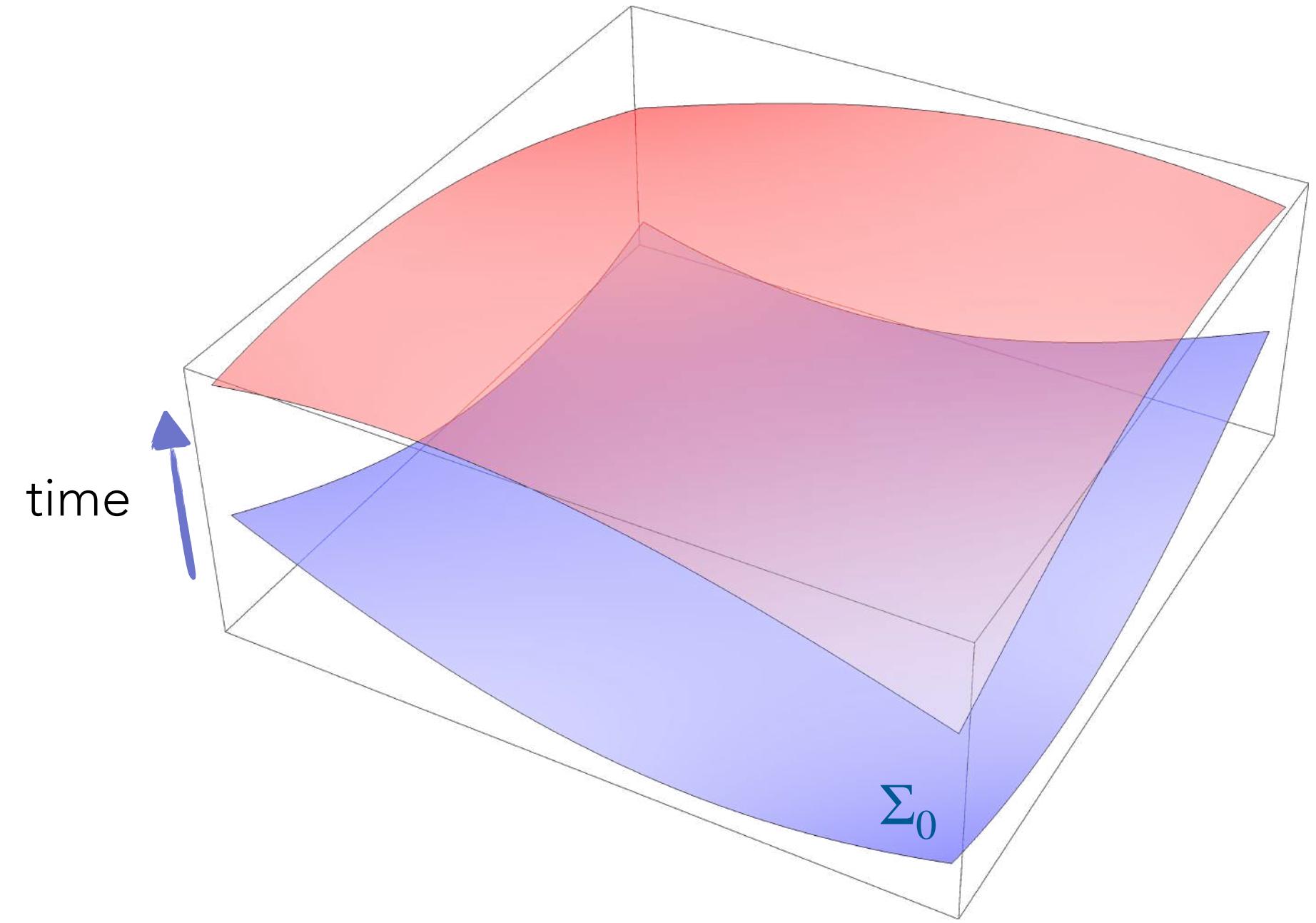
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- Initial data $u(0, \mathbf{x}) = f(\mathbf{x})$ evolved forward in time with evolution equations $\partial_t u(t, \mathbf{x}) = F(u, \partial u, \partial^2 u)$
- Constraint equations defined on initial hyper surfaces of equal time Σ_t [assuming a 3+1 perspective]



E.g. textbooks by Alcubierre, Gourgoulhon, or Baumgarte and Shapiro

- 3+1 decomposition → foliation of spacetime by spatial hyper surfaces along timeline vector field
- Normal to slices defined by: $n^\mu = -\alpha g^{\mu\nu} \nabla_\nu t$
- The 3-metric intrinsic to spatial hypersurfaces is $\gamma_{\mu\nu} = g_{\mu\nu} + n_\mu n_\nu$
- Decompose the spacetime metric as $ds^2 = -\alpha^2 dt^2 + \gamma_{ij}(dx^i + \beta^i dt)(dx^j + \beta^j dt)$
- Lapse function α defines a proper time
- Shift vector β^μ determines relative velocity between Eulerian observers and lines of constant spatial coordinates
- Extrinsic curvature: $K_{\mu\nu} = \gamma^\lambda_\mu \gamma^\rho_\nu \nabla_{(\lambda} n_{\rho)} = -\frac{1}{2} \mathcal{L}_n \gamma_{\mu\nu}$
- Extrinsic curvature ~ relative change of spatial metric as it moves along normal vector-field (related to flow of time) ~ time derivative of spatial metric



- Evolution equations

Arnowitt, Deser and Misner 1962
York 1979

$$\partial_t \gamma_{ij} = -2\alpha K_{ij} + D_i \beta_j + D_j \beta_i$$

$$\begin{aligned} \partial_t K_{ij} = & -D_i D_j \alpha + \alpha (R_{ij} - 2K_{ik} K_j^k + K K_{ij}) \\ & - \alpha 8\pi \left(S_{ij} - \frac{1}{2} \gamma_{ij} (S - \rho) \right) + \beta^k D_k K_{ij} + K_{ik} D_j \beta^k + K_{kj} D_i \beta^k \end{aligned}$$

- Constraint Equations

$${}^{(3)}R + K^2 - K_{ij} K^{ij} = 16\pi\rho \quad \text{Hamiltonian constraint}$$

$$K_{j;i}^i - K_{;j} = 8\pi S_j \quad \text{Momentum constraint}$$

- 12 evolved variables γ_{ij}, K_{ij} + 12 evolution equations
- 4 free variables α, β^i + 4 constraint equations



- Problem: ADM equations are **not** well posed [strong hyperbolicity]
 - Amplify small errors in the constraints leading to severe instabilities
 - No stable long term evolution
- Instabilities in Ricci tensor → mixed 2nd derivatives of spatial metric prevent hyperbolicity
- Coordinates arbitrary but there are many *bad* choices $\partial_{tt}\gamma_{ij} \simeq -2\alpha R_{ij} \simeq \Delta\gamma_{ij} + \gamma_{ik}\partial_j\partial_l\gamma^{kl} + \gamma_{jk}\partial_i\partial_l\gamma^{kl}$
- Black holes have singularities...
 - Circumvent through gauge choice? Moving punctures!
 - Numerically excise from domain? Popular in conjunction with generalised harmonic gauge



Numerical Relativity

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Multiple widely different methods to circumvent all of these issues



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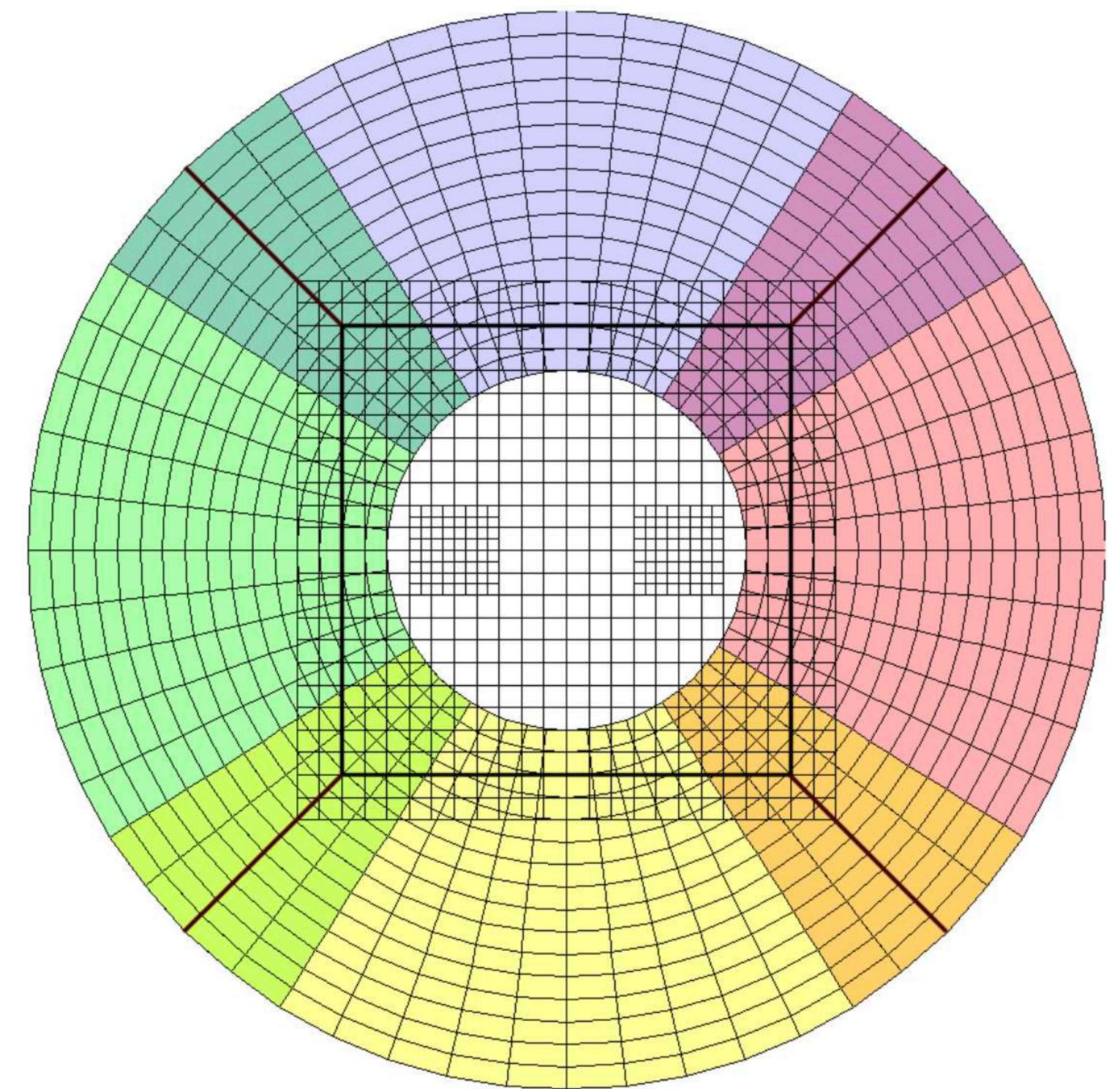
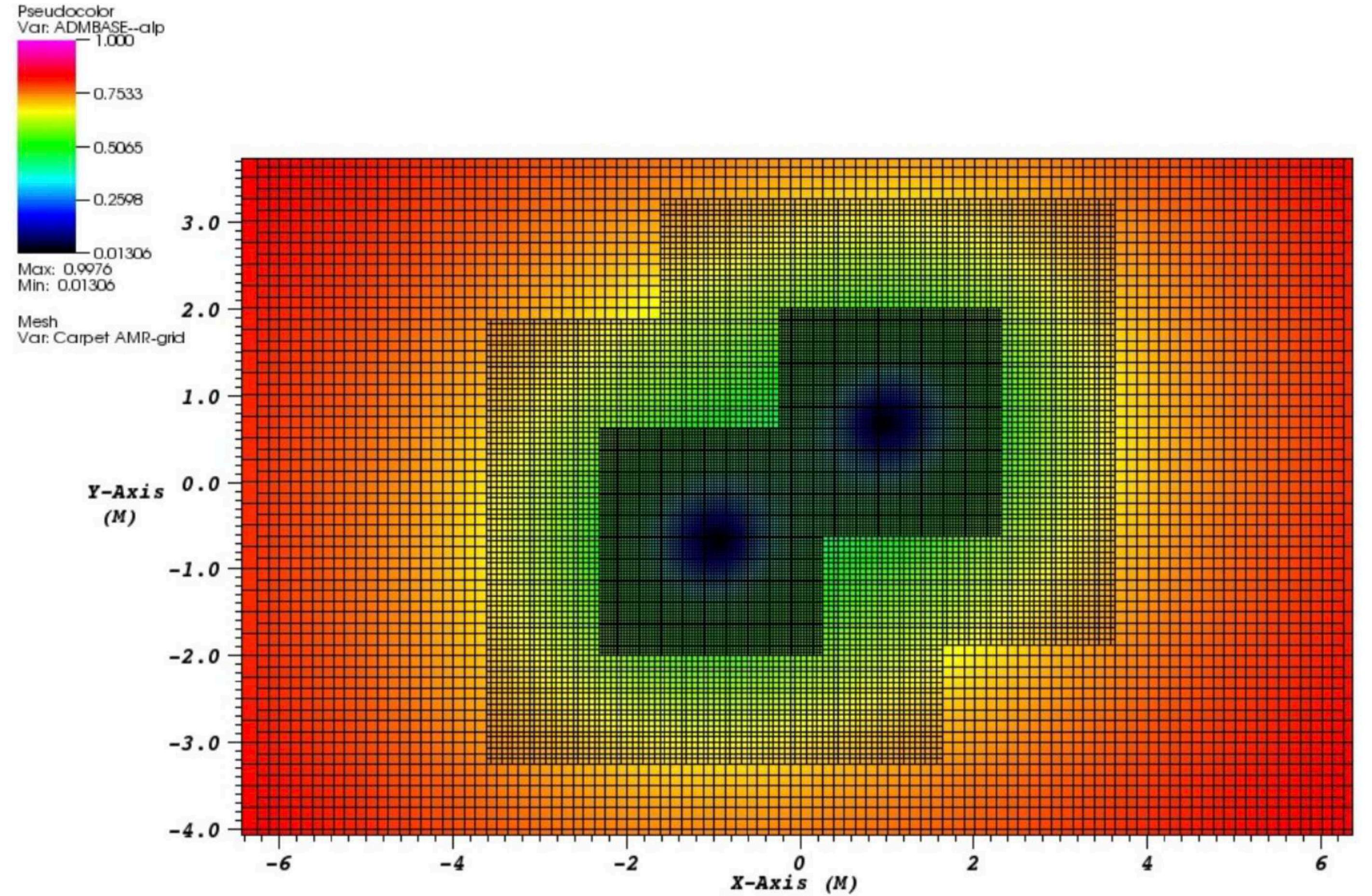
Finite Difference Codes: BAM, ET, LazEv, Maya, Goddard, GRChombo, ...

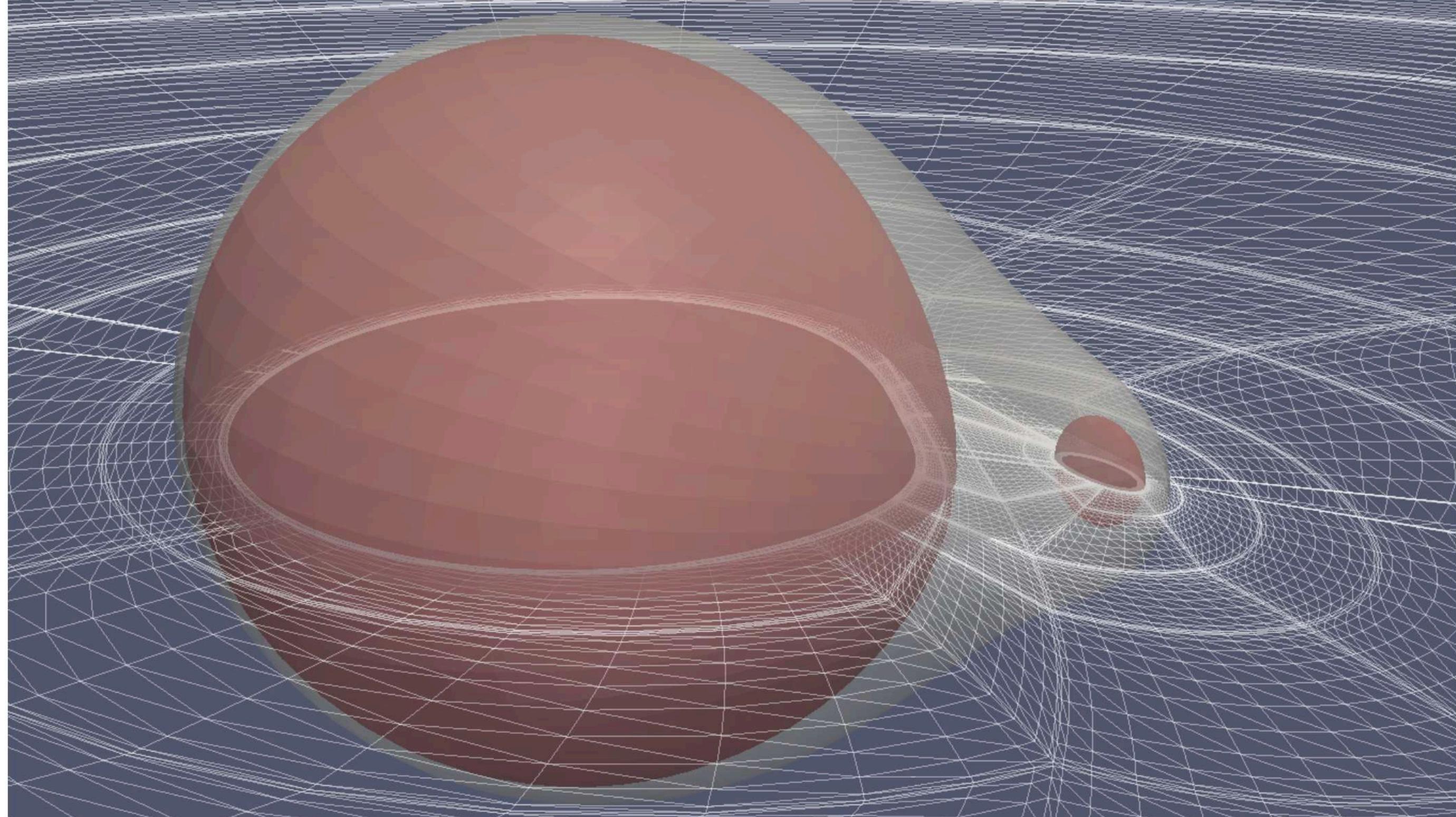
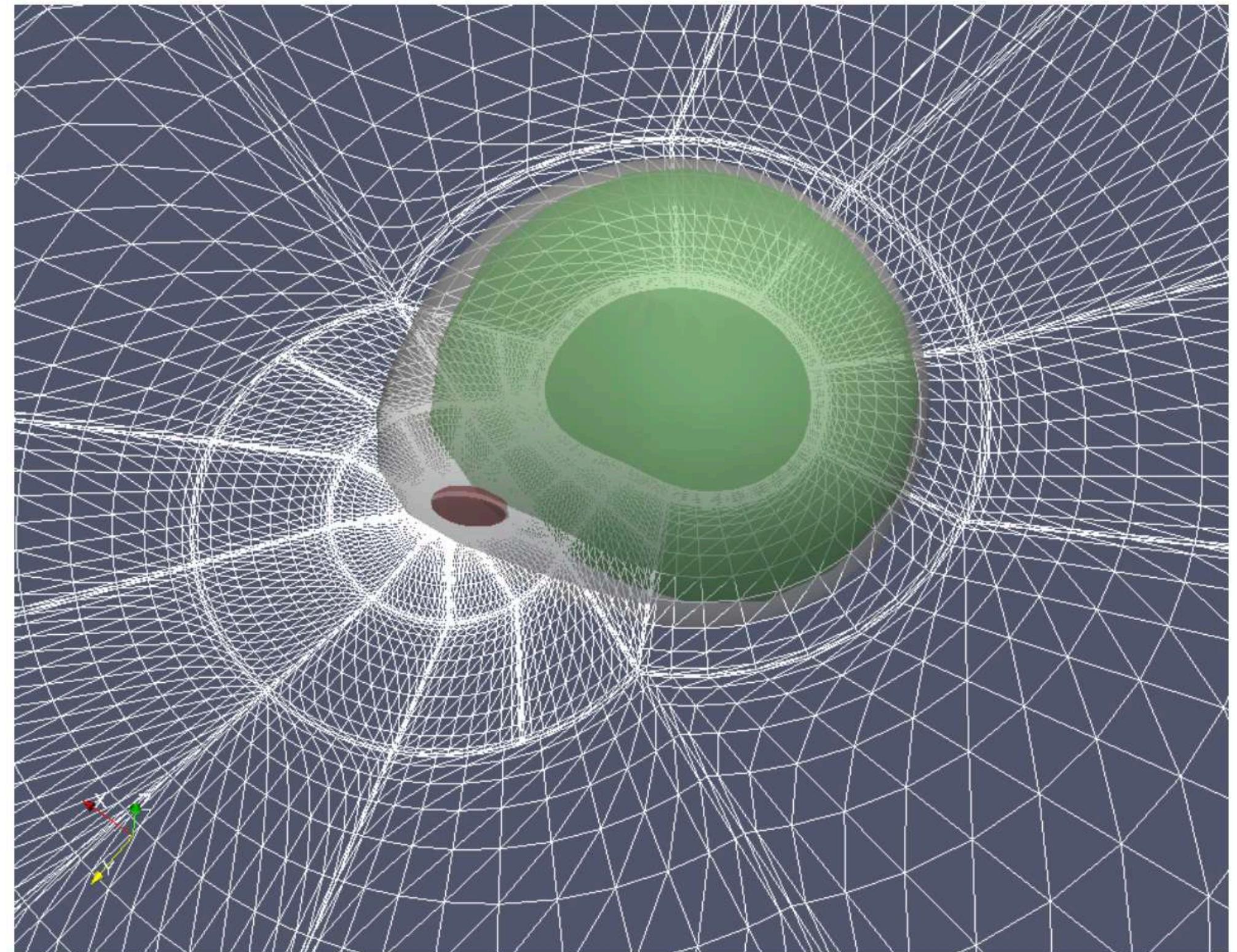
- Initial Data: **CTT** [York+] + **Bowen-York** (conformally flat, $\chi \leq 0.9$) [Brandt+, Ansorg+]; Beyond Bowen-York [e.g. Ruchlin+] or **CTS** [East+14, Corman+22]
- Formulation: **BSSN** [Shibata+, Nakamura+, Baumgarte+], **or CCZ4** [Weyhausen+, Alic+] **or Generalised Harmonic**
- **Moving punctures** gauge conditions [Alcubierre+02, Campanelli+05, Baker+05] → robust merger (esp. at higher mass ratios) or **Excision** for **GH** [e.g. Pretorius+04, East+14, East+21, Corman+22]
- BHs advected on AMR (e.g. **Berger-Oliger**) grids + optionally multipatch grids adapted to spherical topology [Reisswig+]
- **Boundary Conditions:** Sommerfeld/Robin GCs
- Spatial **finite difference** (typically 6th/8th order)
- **Time evolution:** Method of lines w/ 4th order RK
- **GW extrapolation** + **CCE** e.g. PITNull [Bishop+, Winicour+]

Spectral Codes: SpEC, SpECTRE, BAMPS, ...

- Initial Data: **XCTS** [York+, Pfeiffer+] + **SKS** [Lovelace+, Varma+] (conformally curved, $\chi \leq 0.999$)
- Formulation: First order **GH** + constraint damping [Pretorius+, Lindblom+, Friedrich, Garfinkle, Gundlach+] (BSSN/CCZ4 in SpECTRE)
- **Excision** = BH mergers difficult [Szilagyi+, Scheel+, Hemberger+, Ossokine+]
- **Change gauge** from ~ coronating to damped harmonic gauge near merger [e.g. Lindblom+]
- Dynamical grids with multiple subdomains
- **Boundary Conditions:** Constraint preserving/minimally reflective
- Multi-domain **spectral methods** (~ exponentially convergent)
- **Time evolution:** Method of lines w/ 5th order DP
- **GW extrapolation** + **CCE**

See Boyle+19 for details on SXS





SXS Collaboration: Mass ratio 8:1

- Interlude on initial data...
- Want to solve the constraint equations

$$\begin{aligned}\mathcal{C}_0 &:= R + K^2 - K_{ij}K^{ij} - 16\pi E = 0 \\ \mathcal{C}_i &:= D_j K_i^j - D_i K - 8\pi P_i = 0 ,\end{aligned}$$

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4 equations: prescribe 8 fields
and solve for 4

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Want to determine K_{ij} and γ_{ij}
on $\Sigma_0 \rightarrow 12$ components

What is our **free** data and what is **constrained**?



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What is our **free** data and what is **constrained**?

- Must satisfy constraint equations
- Must be physically meaningful
- Choice of free/constrained data also impacted by mathematical properties (linearity, decoupling, etc)



- Conformal transformation of spatial metric: $\gamma_{ij} = \psi^4 \bar{\gamma}_{ij}$ Lichnerowicz 1944
- Decompose extrinsic curvature: $K_{ij} = \psi^{-2} \bar{A}_{ij} + \frac{1}{3} \gamma_{ij} K$
- Leads to the following system of equations

$$8\bar{D}^2\psi - \psi\bar{R} - \frac{2}{3}\psi^5 K^2 + \psi^{-7} \bar{A}_{ij} \bar{A}^{ij} = 0$$

York 79, O Murchadha+ 74

$$\bar{D}_j \bar{A}^{ij} - \frac{2}{3}\psi^6 \bar{\gamma}^{ij} \bar{D}_j K = 0$$

- Moving punctures assumes conformal flatness → decouple the equations

$$\bar{\gamma}_{ij} = f_{ij} \quad K = 0$$

$$\begin{aligned}\bar{D}^2\psi &= \frac{1}{8}\psi^{-7}\bar{A}_{ij}\bar{A}^{ij} \\ \bar{D}_j\bar{A}^{ij} &= 0\end{aligned}$$

- Bowen and York derived solution for binary black hole in terms of momenta and spin

$$\bar{A}^{ij} = \frac{3}{2} \sum_I \frac{1}{r_I^2} \left(2P_I^{(i} n_I^{j)} - (\eta^{ij} - n_I^i n_I^j) P_I^k n_I^k + \frac{4}{r_I} n_I^{(i} \epsilon^{j)kl} S_I^k n_I^l \right)$$

Bowen and York 1980

- Decompose conformal factor

$$\psi = 1 + u + \sum_i \frac{M_i}{r_i}$$

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Solution to homogenous equation which we call α

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Puncture field corrects momentum and spin of BH such that ψ solves inhomogeneous equation

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$$\bar{A}^{ij} = \frac{3}{2} \sum_I \frac{1}{r_I^2} \left(2P_I^{(i} n_I^{j)} - (\eta^{ij} - n_I^i n_I^j) P_I^k n_I^k + \frac{4}{r_I} n_I^{(i} \epsilon^{j)kl} S_I^k n_I^l \right)$$

Bowen and York 1980

- Decompose conformal factor

$$\psi = 1 + u + \sum_i \frac{M_i}{r_i}$$



$$\bar{D}^2 u = -\frac{1}{8} \alpha^7 \bar{A}_{ij} \bar{A}^{ij} [\alpha (1+u) + 1]^{-7}$$

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conformal transverse traceless (CTT)

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Bowen and York 1980

- Decompose conformal factor

Free data: $\bar{\gamma}_{ij}, \bar{A}_{ij}^{\text{TT}}, K$

Constrained data: ψ, u

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conformal transverse traceless (CTT)



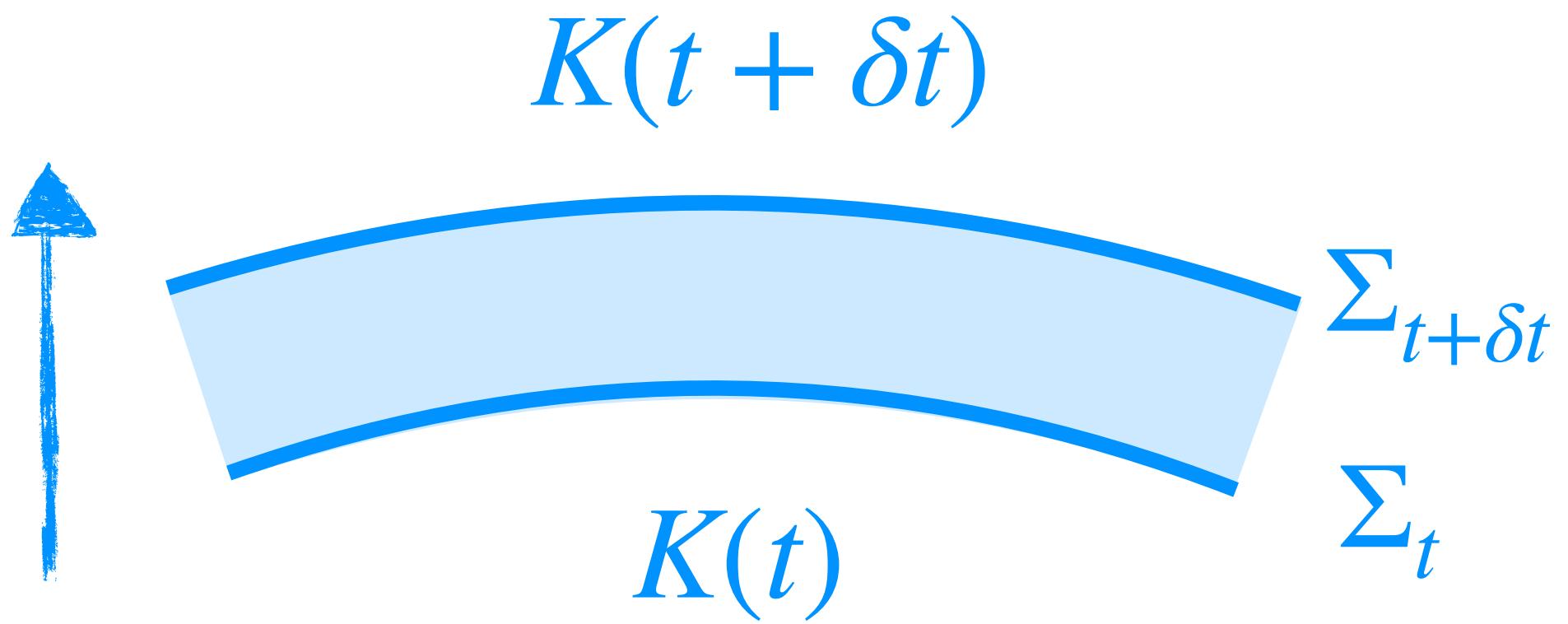
- Alternatively we can include notion of evolution between hyper surfaces $\bar{u}_{ij} = \partial_t \bar{\gamma}_{ij}$
- Introduce auxiliary field for evolution of intrinsic curvature

$$\mathcal{D}^2\alpha = -\partial_t K + \alpha \left(K_{ij} K^{ij} + 4\pi (\rho_H + S) \right) + \beta^i \mathcal{D}_i K.$$

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“Thin sandwich” as
we specify the
evolution between
hyper surfaces



York 1999
Pfeiffer and York 2003

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$$\mathcal{D}^2\alpha = -\partial_t K + \alpha \left(K_{ij} K^{ij} + 4\pi (\rho_H + S) \right) + \beta^i \mathcal{D}_i K.$$

- Leads to a modified system of equations

$$\begin{aligned} \bar{\mathcal{D}}^2\psi &= \frac{1}{8}\psi\bar{R} + \frac{1}{12}\psi^5 K^2 - \frac{1}{8}\psi^{-7}\bar{A}_{ij}\bar{A}^{ij} \\ \bar{\mathcal{D}}^2(\alpha\psi) &= \alpha\psi \left(\frac{7}{8}\psi^{-8}\bar{A}_{ij}\bar{A}^{ij} + \frac{5}{12}\psi^4 K^2 + \frac{1}{8}\bar{R} \right. \\ &\quad \left. - \psi^5 \partial_t K + \psi^5 \beta^i \bar{\mathcal{D}}_i K, \right) \\ \bar{\mathcal{D}}_i(\bar{L}\beta)^{ij} &= (\bar{L}\beta)^{ij} \bar{\mathcal{D}}_i \ln(\bar{\alpha}) + \bar{\alpha} \bar{\mathcal{D}}_i \left(\bar{\alpha}^{-1} \bar{u}^{ij} \right) \\ &\quad + \frac{4}{3}\bar{\alpha}\psi^6 \bar{\mathcal{D}}^j K \end{aligned}$$

Pfeiffer 2005

Pfeiffer and York 2003



Numerical Relativity

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- Free data: $\bar{\gamma}_{ij}, \bar{u}_{ij}, K, \dot{K}$
- Constrained data: ψ, α, β^i

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- Parameter space coverage for BBH?

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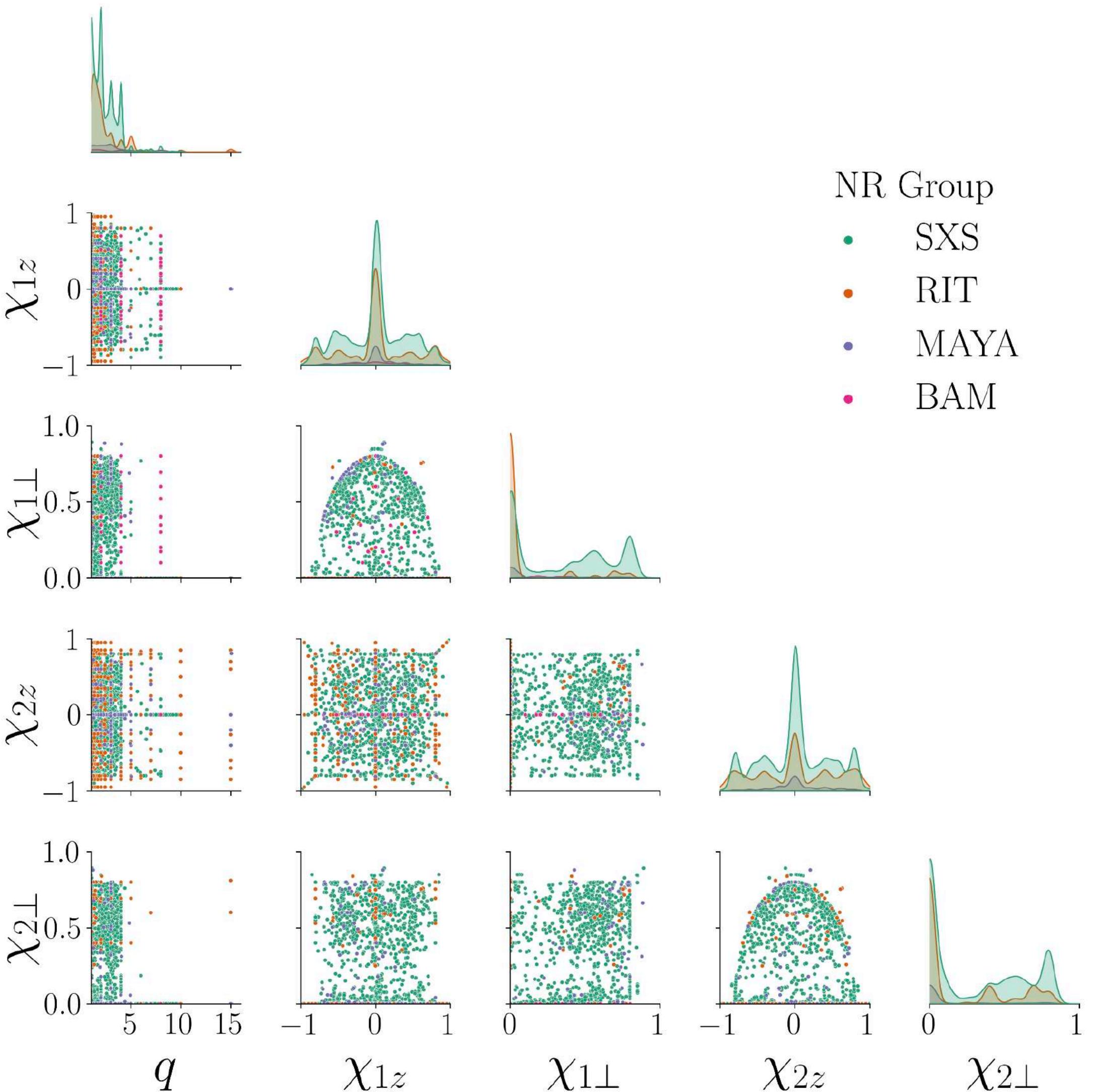


Figure 3. Segment of the public catalogs' coverage of the quasi-circular parameter space as of June 2023, courtesy of Deborah Ferguson.

- Parameter space coverage for BBH?
- ~ 5700 NR waveforms publicly available to date
- Broad range of mass ratios, spins and eccentricities

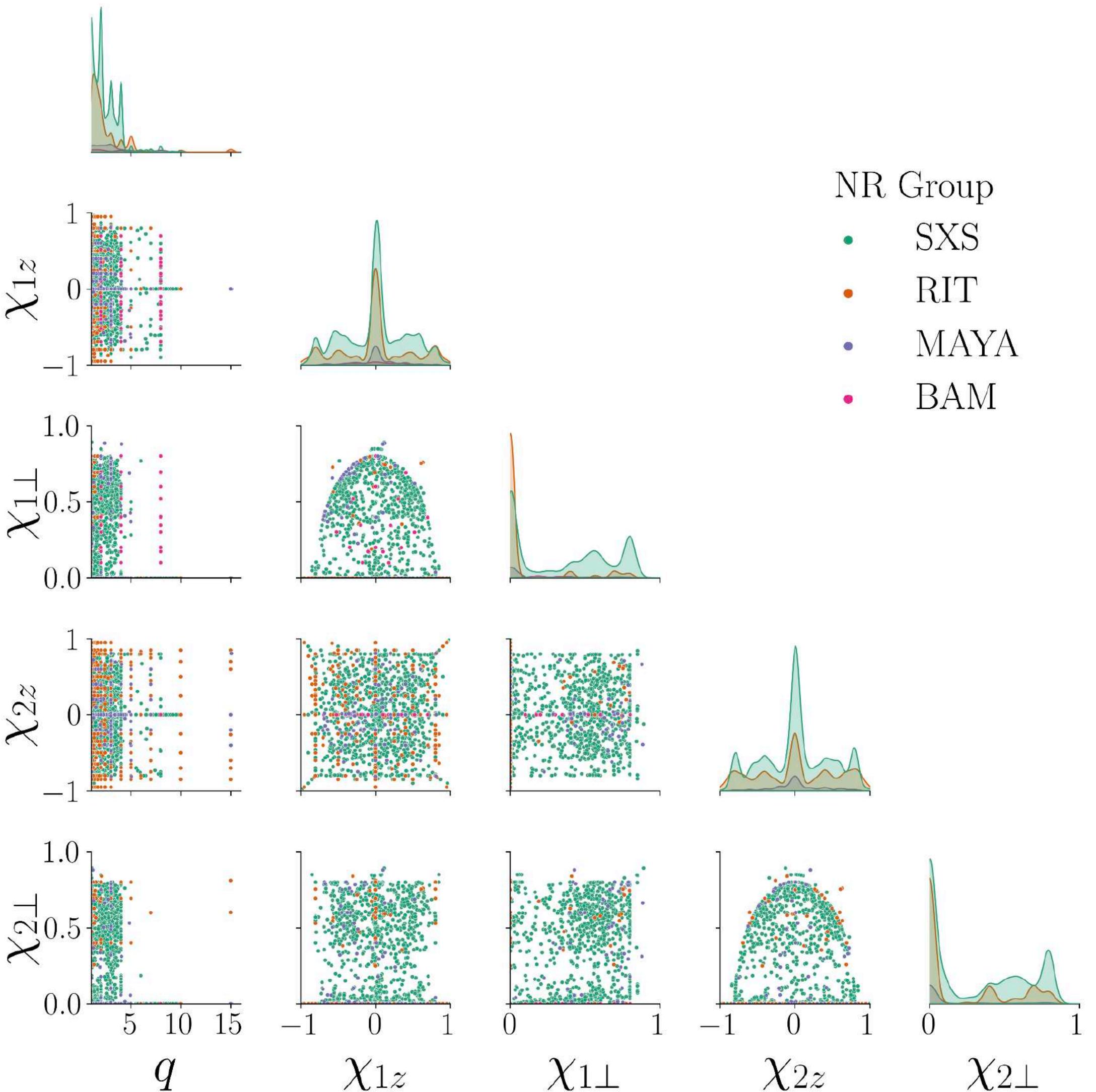


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- Parameter space coverage for BBH?
- ~ 5700 NR waveforms publicly available to date
- Broad range of mass ratios, spins and eccentricities
- Non-spinning: $q \leq 18$
- Moderate spins: $q \leq 8$
- Aligned-spins up to $\chi \leq 0.85$ for $q \leq 18$
- Eccentric BBHs for $q \leq 10$

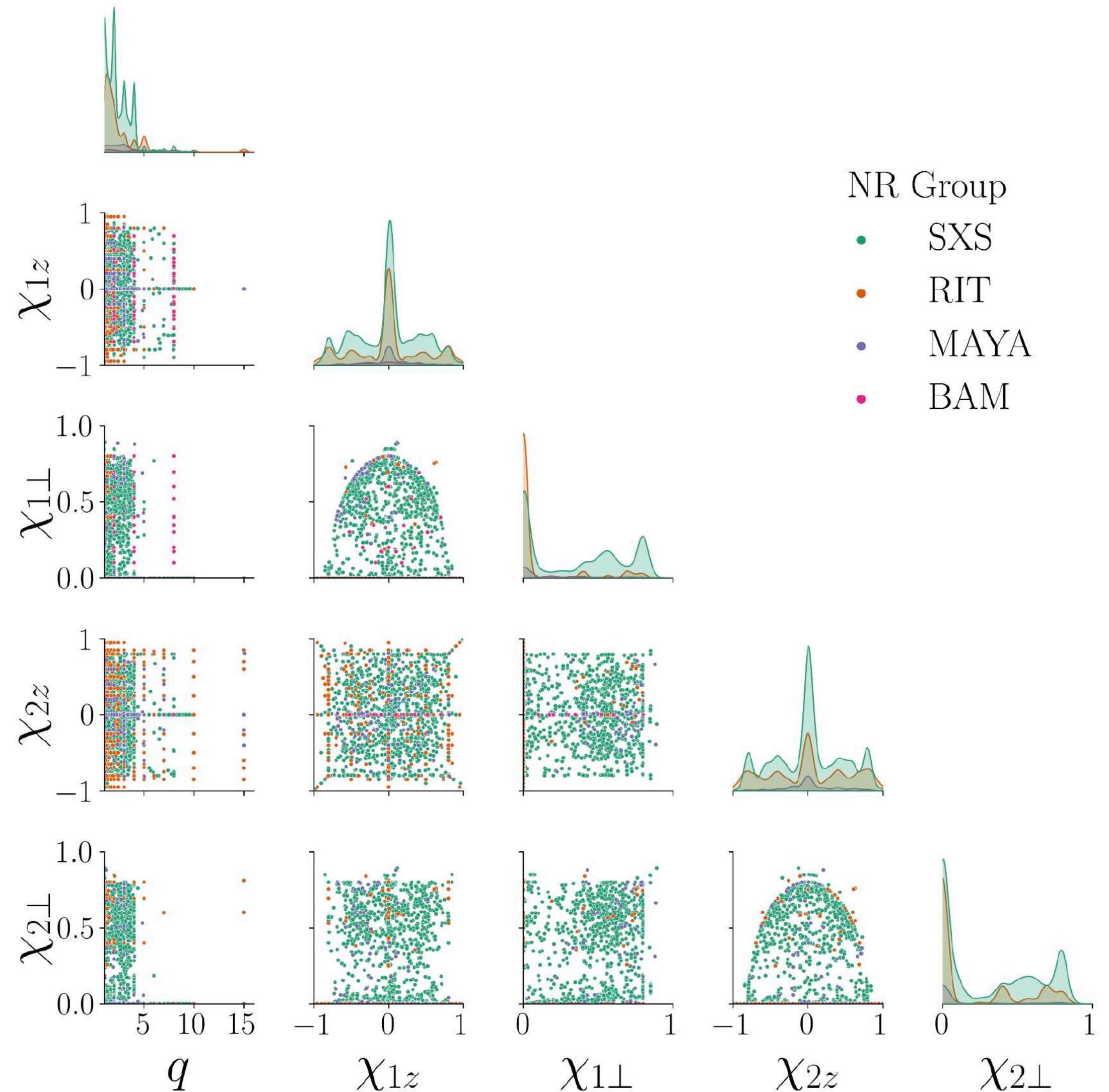
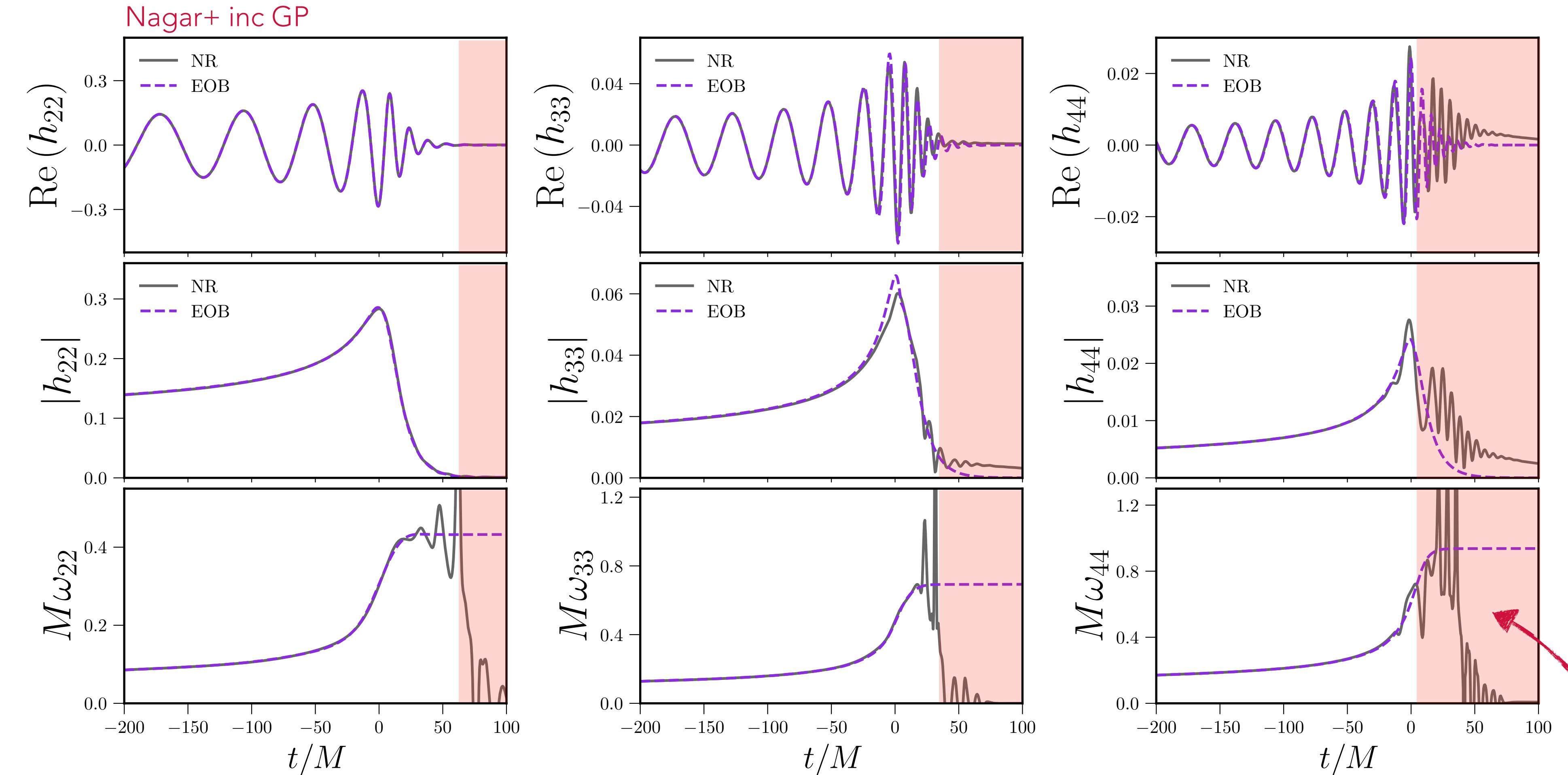


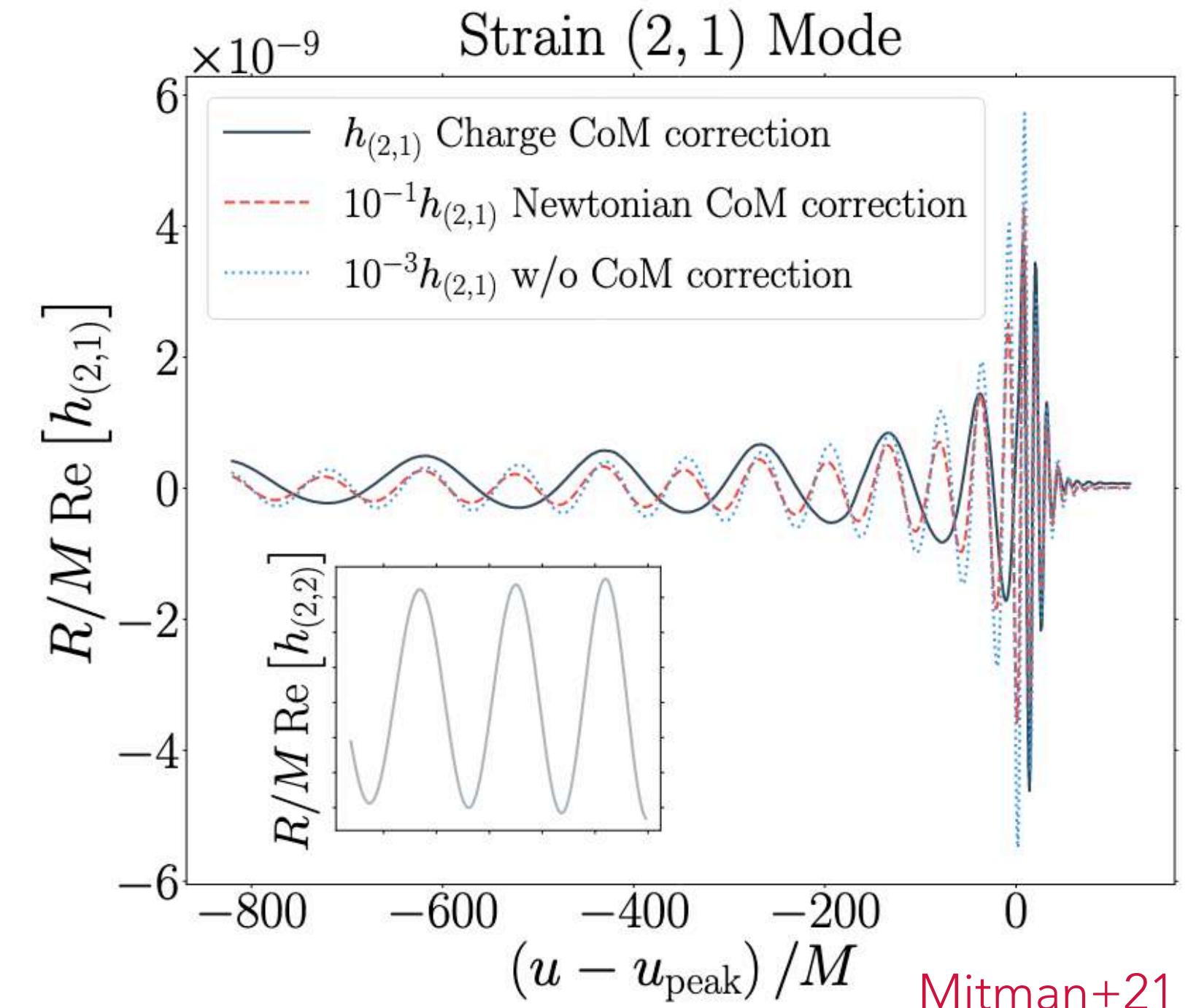
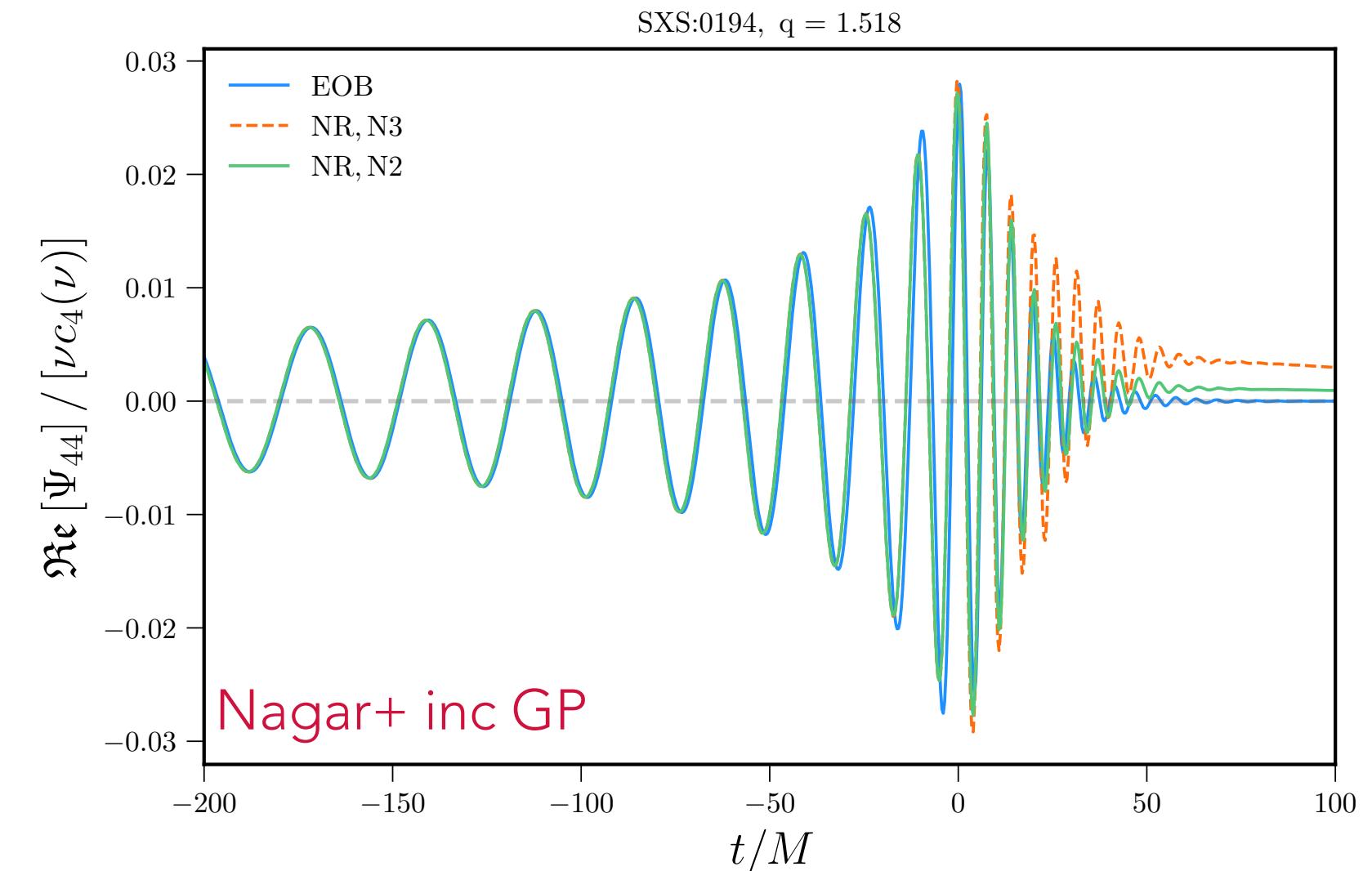
Figure 3. Segment of the public catalogs' coverage of the quasi-circular parameter space as of June 2023, courtesy of Deborah Ferguson.

- Numerical relativity allows us to incorporate full non-perturbative information in strong-field regime
- Not free from **systematics** and couples to how models are informed and calibrated



Numerical Relativity

- Extrapolation of waveforms to \mathcal{I}^+ can introduce unphysical features [Chu+, Boyle+, Nagar (inc GP)+]
- Mitigate with **cauchy characteristic extrapolation (CCE)** [Bishop+, Reisswig+, Taylor+, Barkett+, Moxon+]
 - Help reduce near-zone and gauge-effects on waveform
- Recent work to understand impact of frame choice on waveform
 - Fix Poincaré (by mapping to center-of-mass) frame [Boyle+, Woodford+]
 - Use Poincaré charges and super translation charges to fix BMS frame [Mitman+]
 - Methodology increasingly important to meet accuracy requirements



Reduced Order Modelling and Surrogates

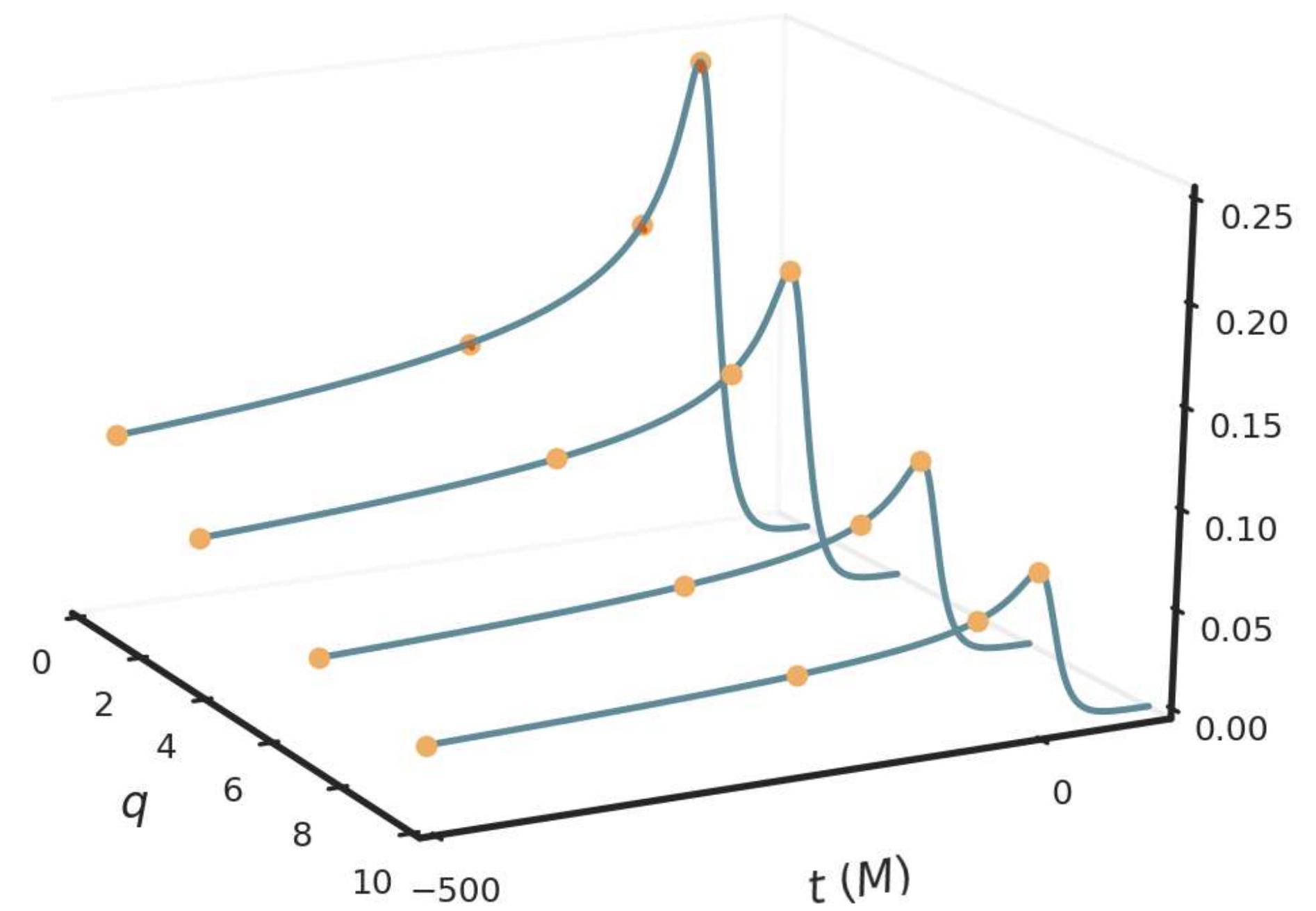


- Reduced order models ~ compressing the number of degrees of freedom [e.g. Field+, Antil+, Tiglio+, Canizares+, Pürrer+, Blackman+, Varma+, ...]

Reduced Order Models and Surrogates



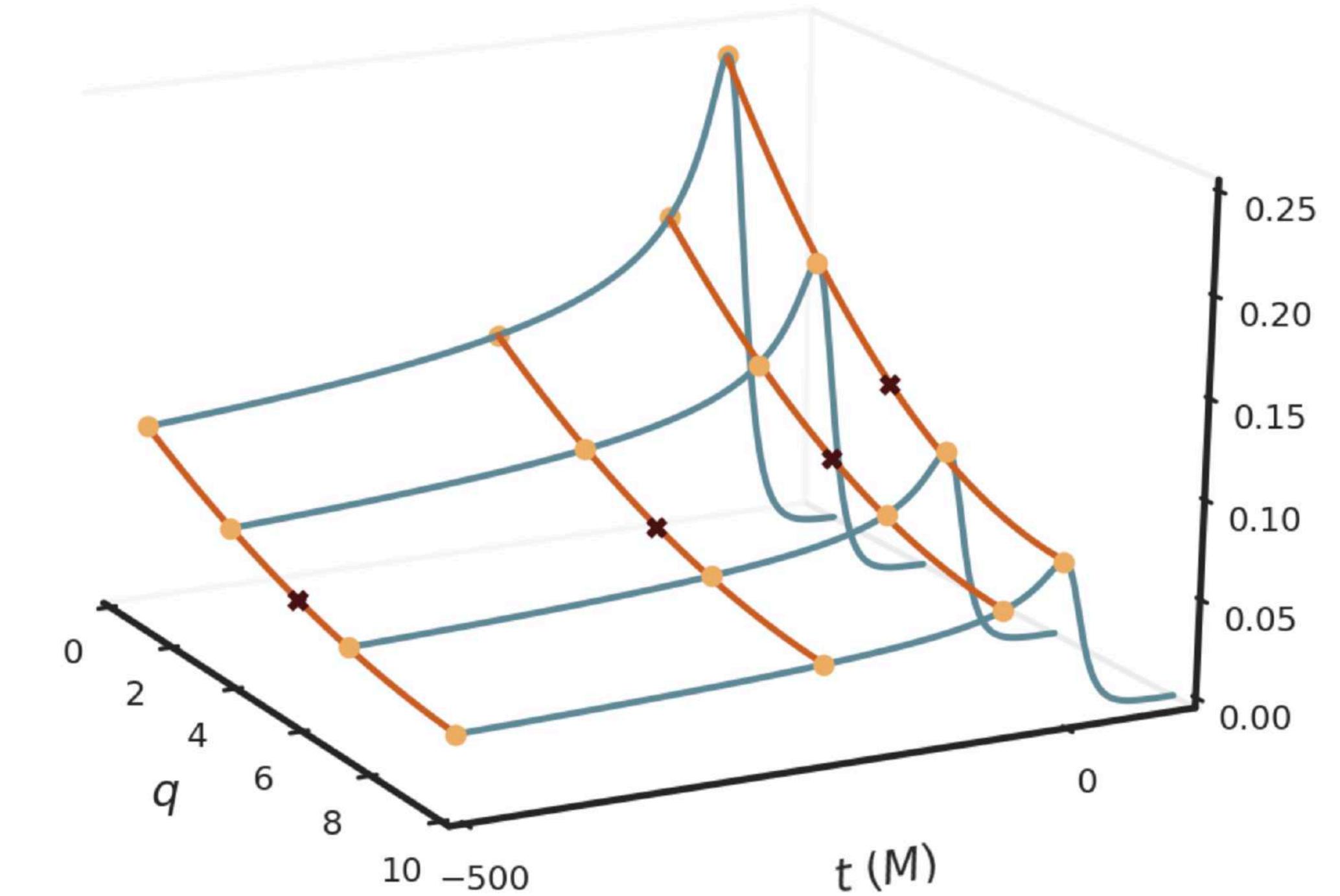
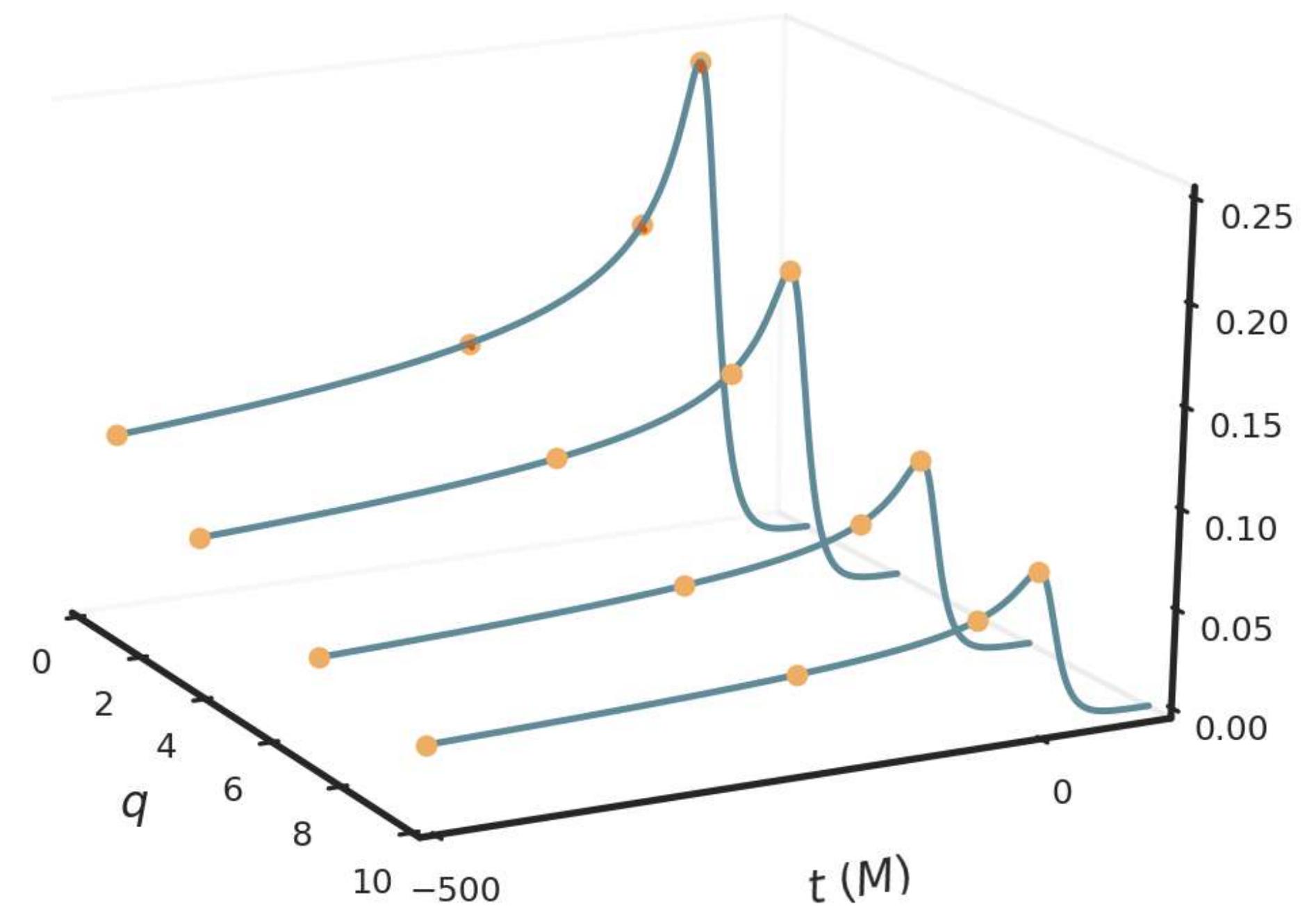
- Reduced order models ~ compressing the number of degrees of freedom [e.g. Field+, Antil+, Tiglio+, Canizares+, Pürrer+, Blackman+, Varma+, ...]
- Build **reduced basis** with SVD of greedy algorithms [expensive offline + fast online evaluation]



Reduced Order Models and Surrogates



- Reduced order models ~ compressing the number of degrees of freedom [e.g. Field+, Antil+, Tiglio+, Canizares+, Pürrer+, Blackman+, Varma+, ...]
- Build **reduced basis** with SVD of greedy algorithms [expensive offline + fast online evaluation]
- **Interpolate** projection coefficients at **empirical** interpolation nodes (time/frequency) over parameter space



Credit to Vijay Varma for images!

<https://github.com/vijayvarma392/SurrogateMovie>



- Begin by building a *reduced basis*

$$h(t, \boldsymbol{\lambda}) \approx \sum_{i=1}^n c_i(\boldsymbol{\lambda}) \hat{e}_i(t)$$

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$$\max \epsilon = \max \left| h(t, \boldsymbol{\lambda}) - \sum_{i=1}^n c_i(\boldsymbol{\lambda}) \hat{e}_i(t) \right|^2 \leq \sigma$$

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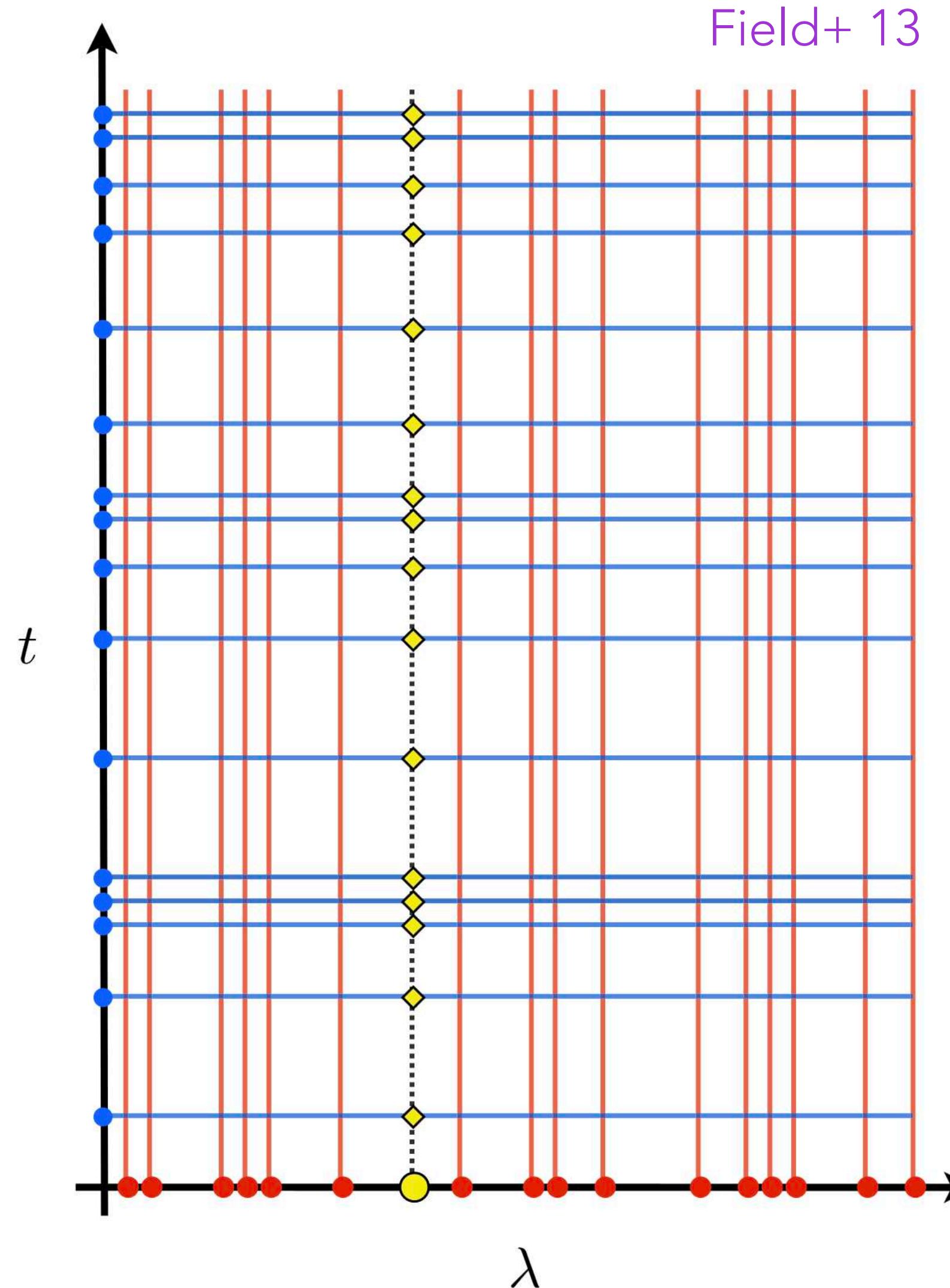
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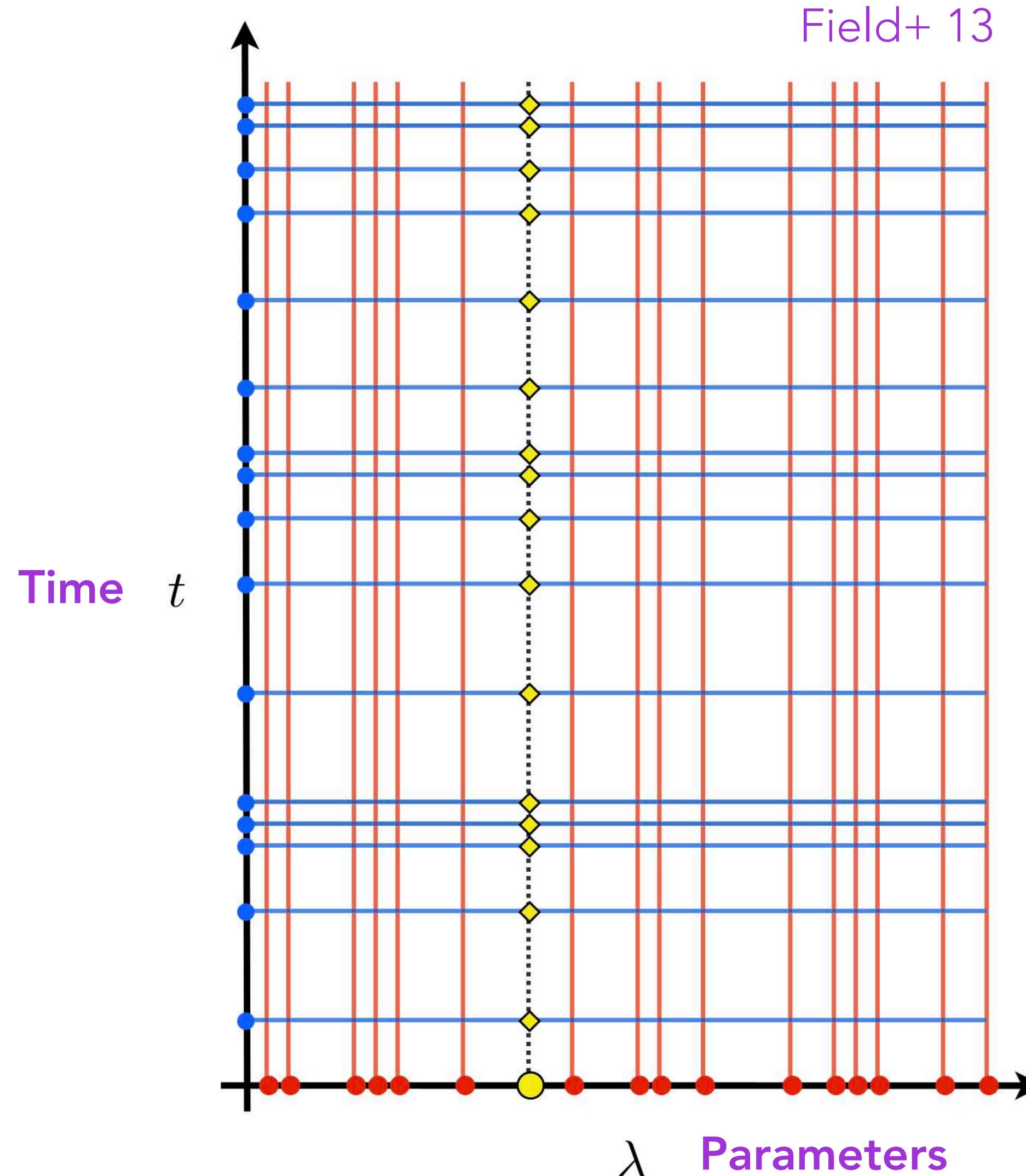
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need a global fit across parameter space

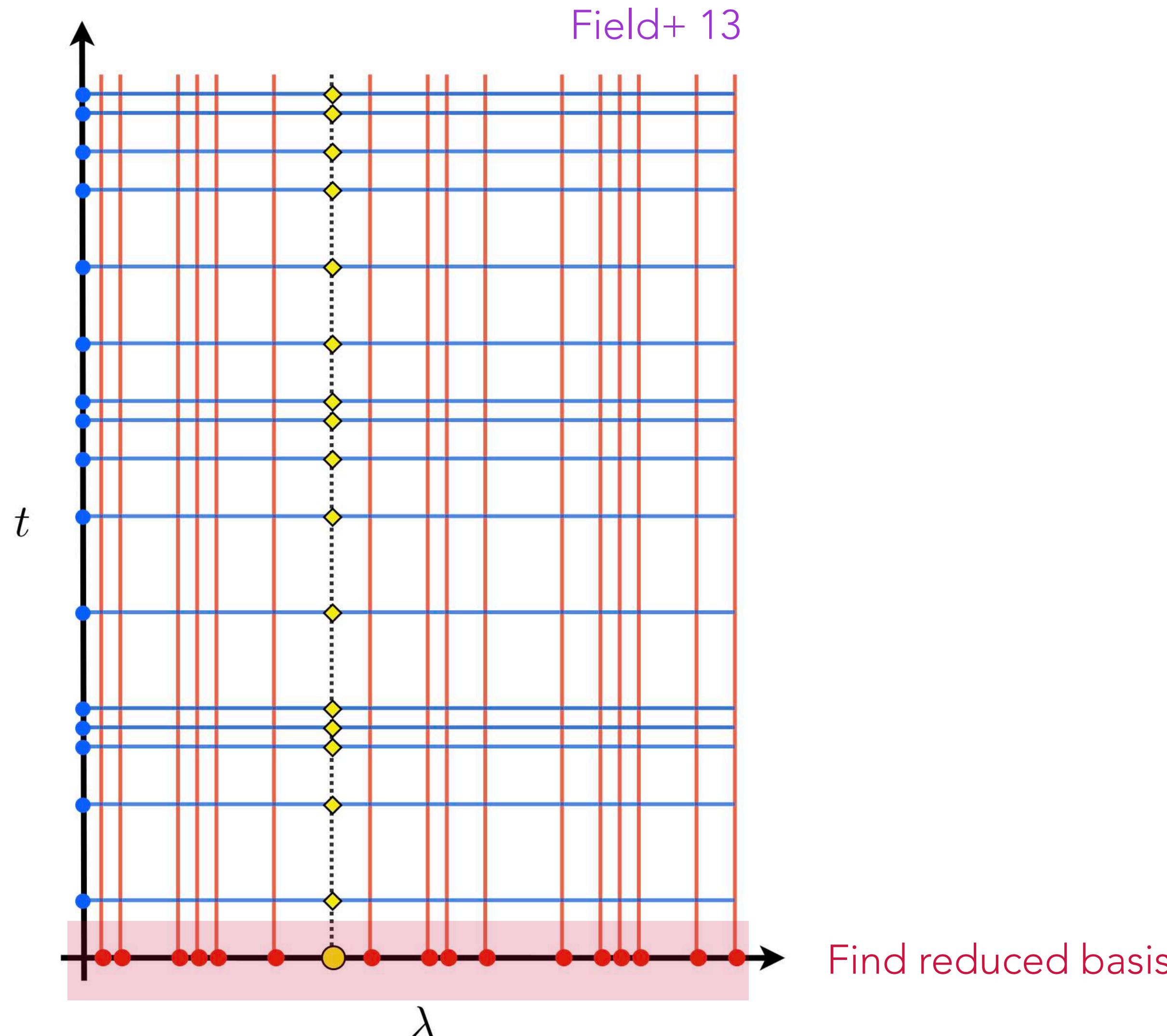
Reduced Order Models and Surrogates



Reduced Order Models and Surrogates



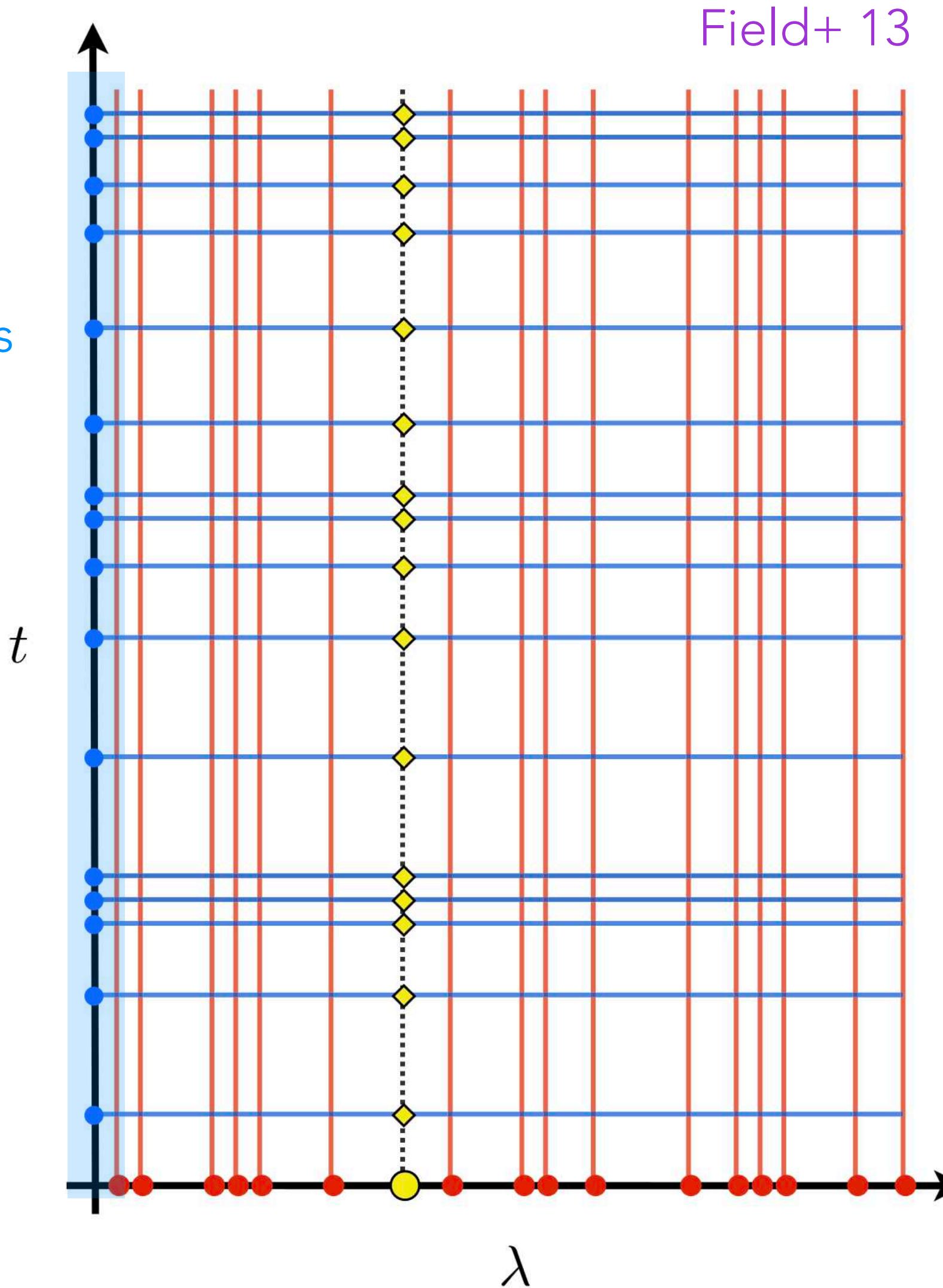
Reduced Order Models and Surrogates



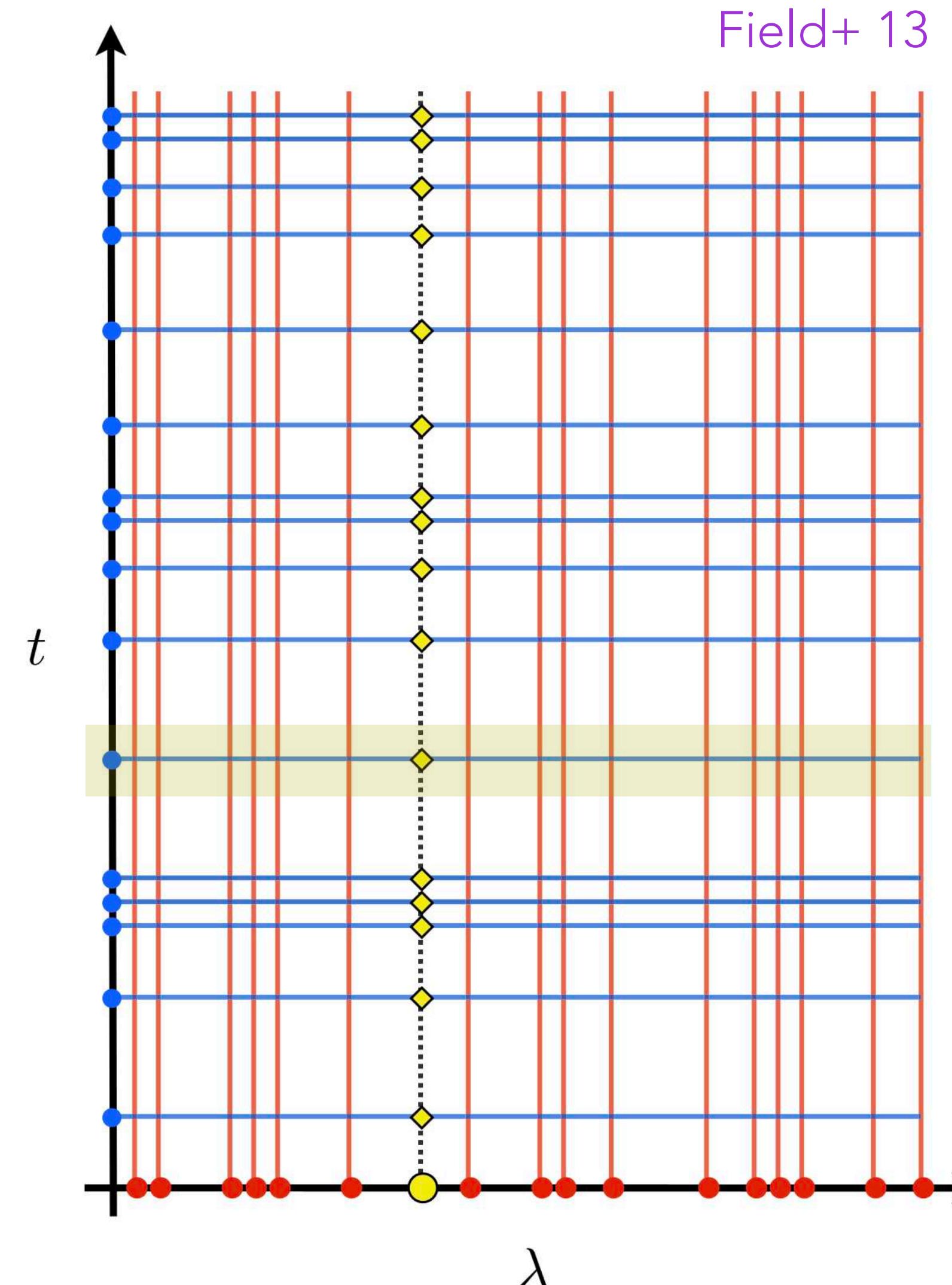
Reduced Order Models and Surrogates



Then find empirical interpolation nodes



Reduced Order Models and Surrogates

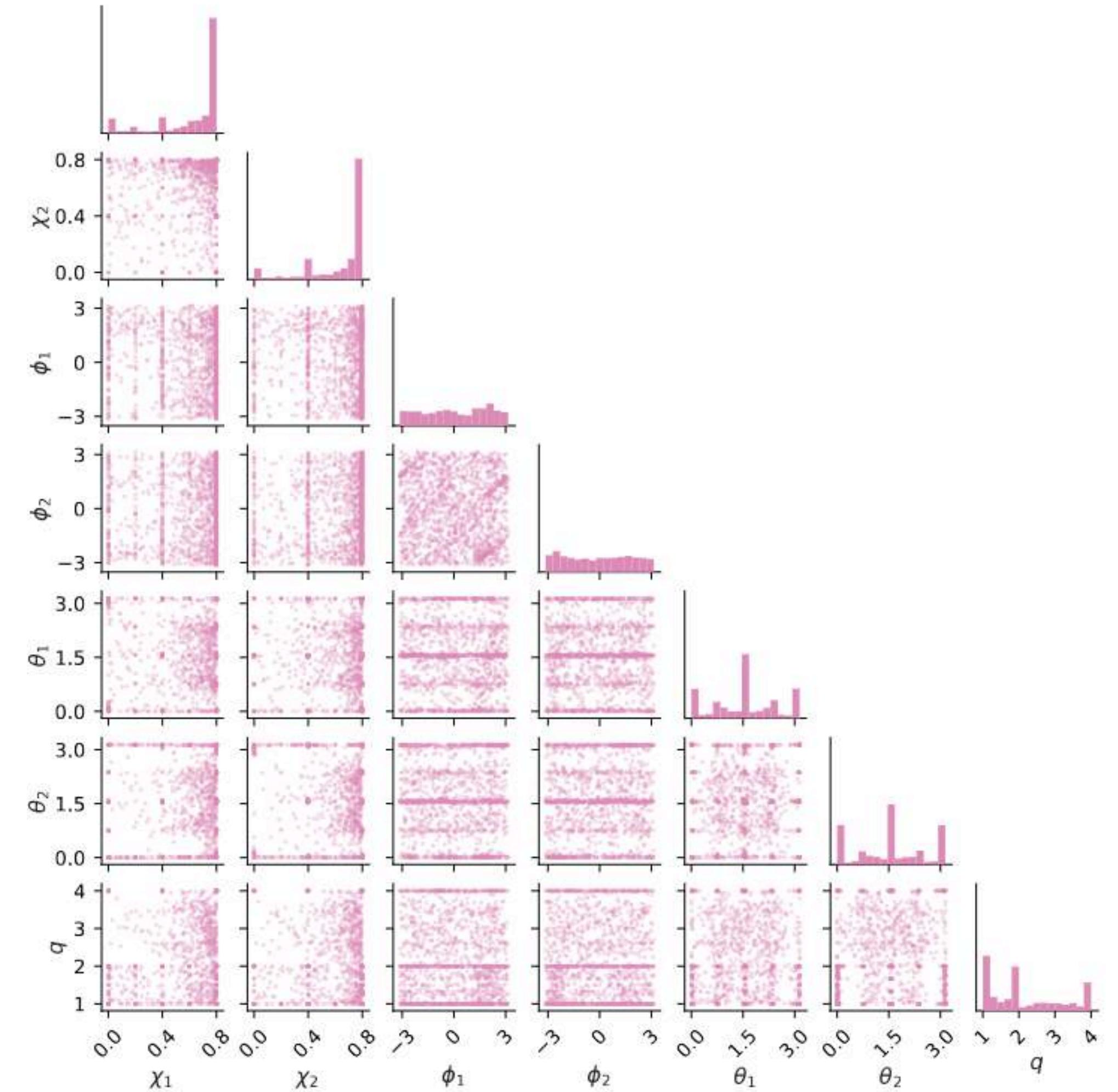


Fit empirical interpolation nodes
across parameter space

Reduced Order Models and Surrogates



- Current state-of-the-art is **NRSur7dq4**
- 1528 precessing NR simulations used to build surrogate
 - Calibrated to $q = 4$ and $|\chi_i| = 0.8$
 - But extrapolation up to $q \sim 6$ and $|\chi_i| \sim 0.99$
- Recent surrogate work includes
 - Aligned-spin NR+PN surrogate with memory [Yoo+23]
 - Extension to extremal BH spins [Walker+22]
 - Surrogate for test particle waveforms [Islam+22]
 - Eccentric aligned-spin surrogate [Islam+22]

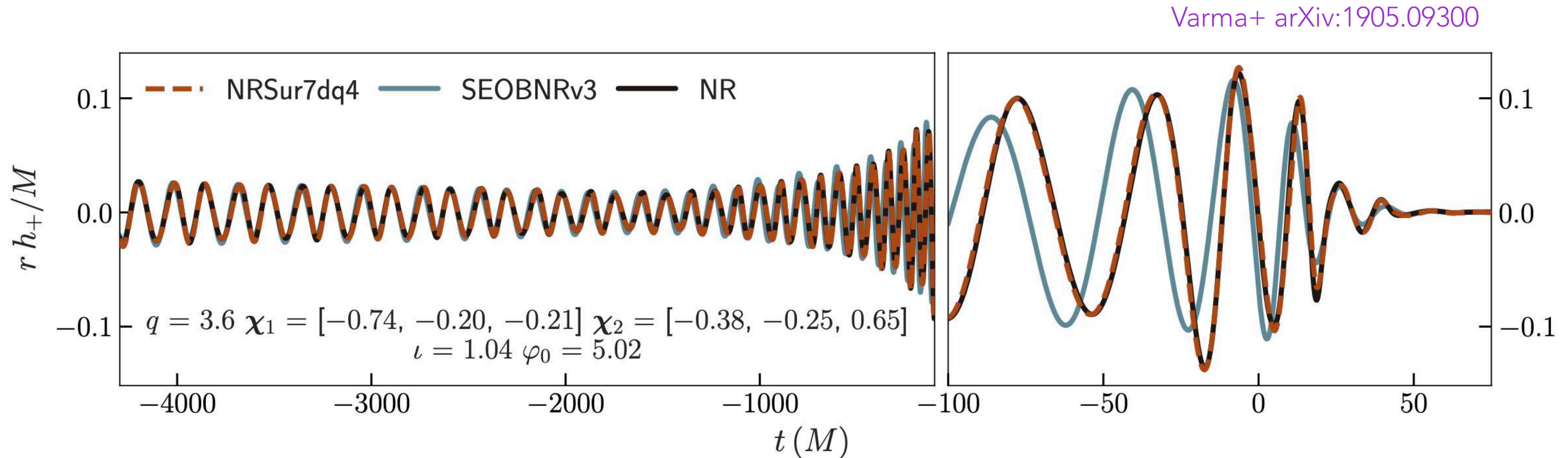


Reduced Order Models and Surrogates



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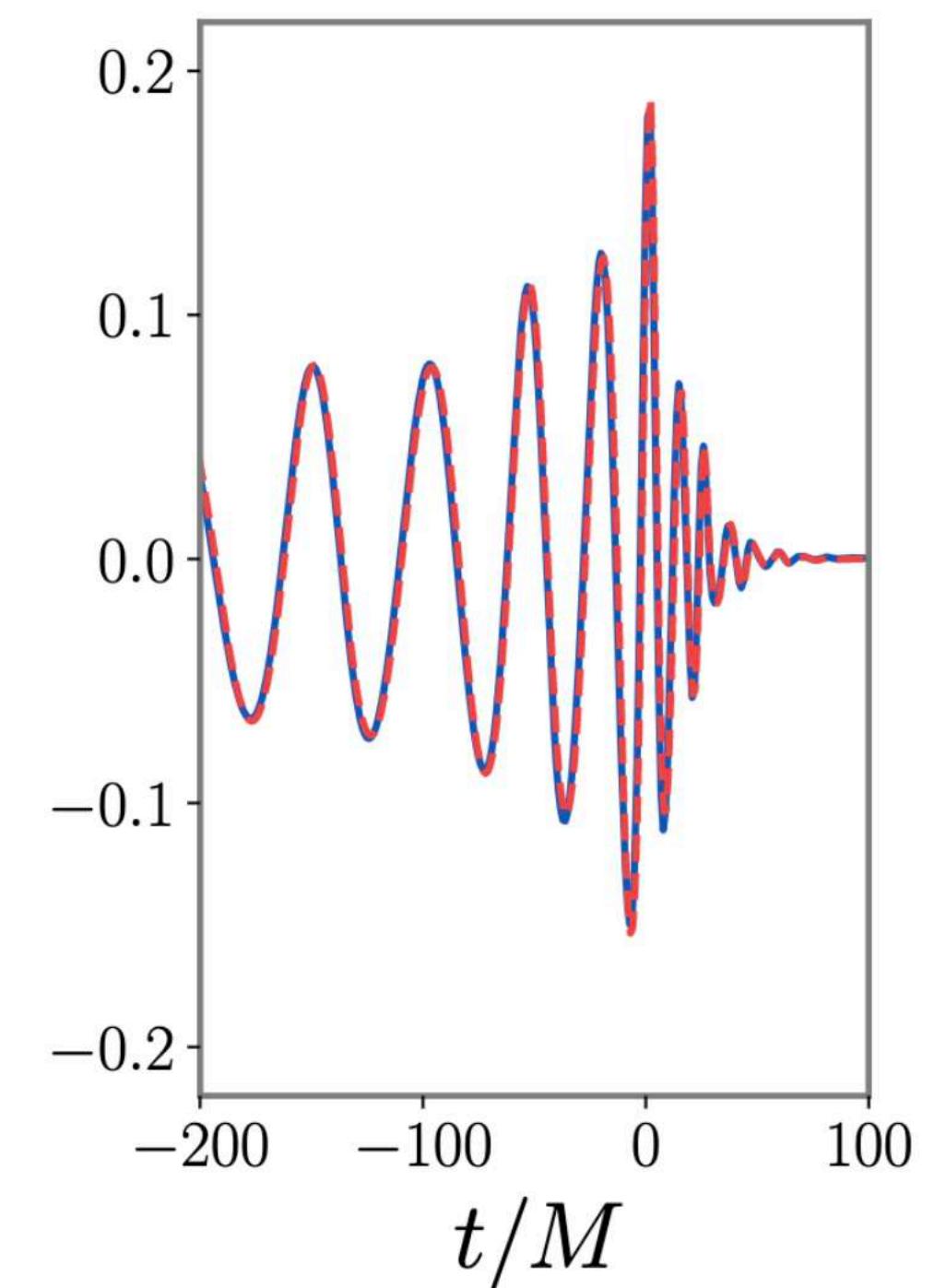
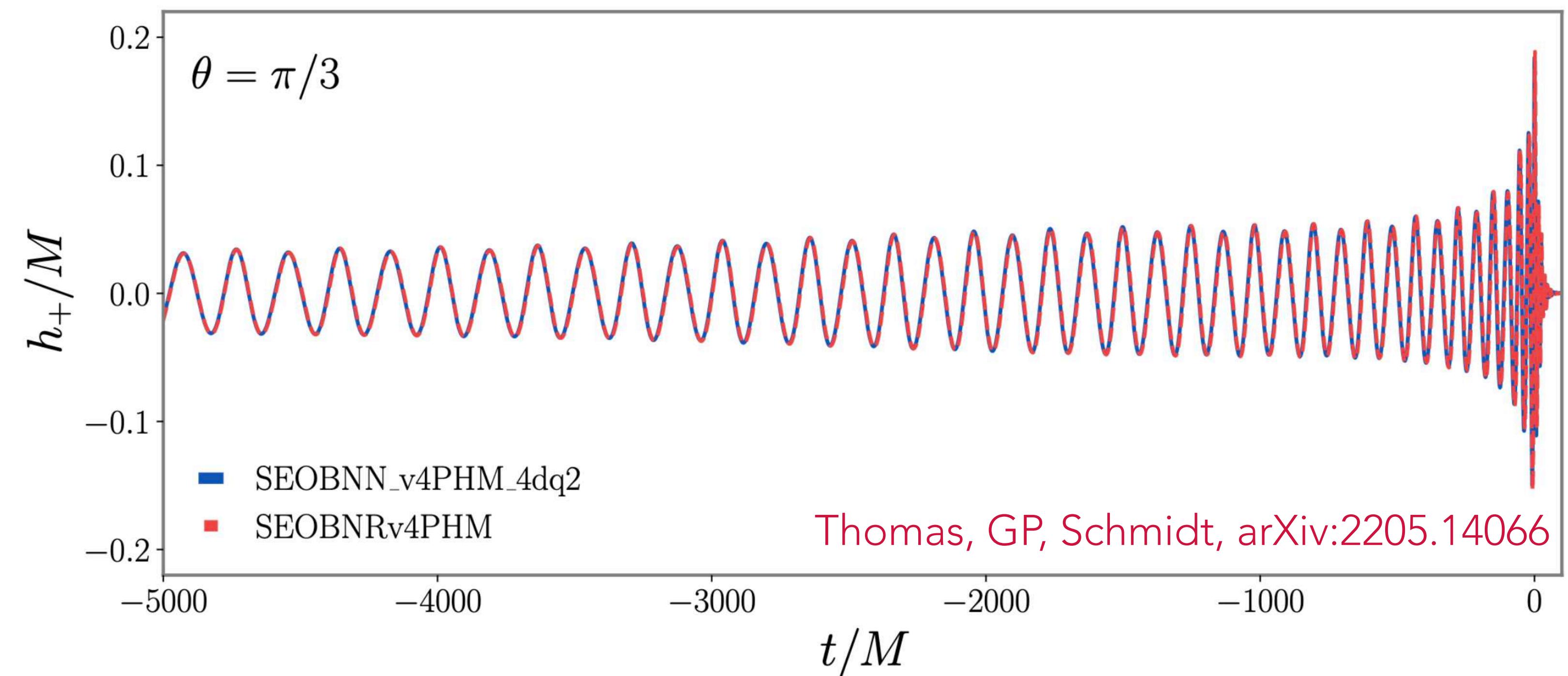
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Reduced Order Models and Surrogates



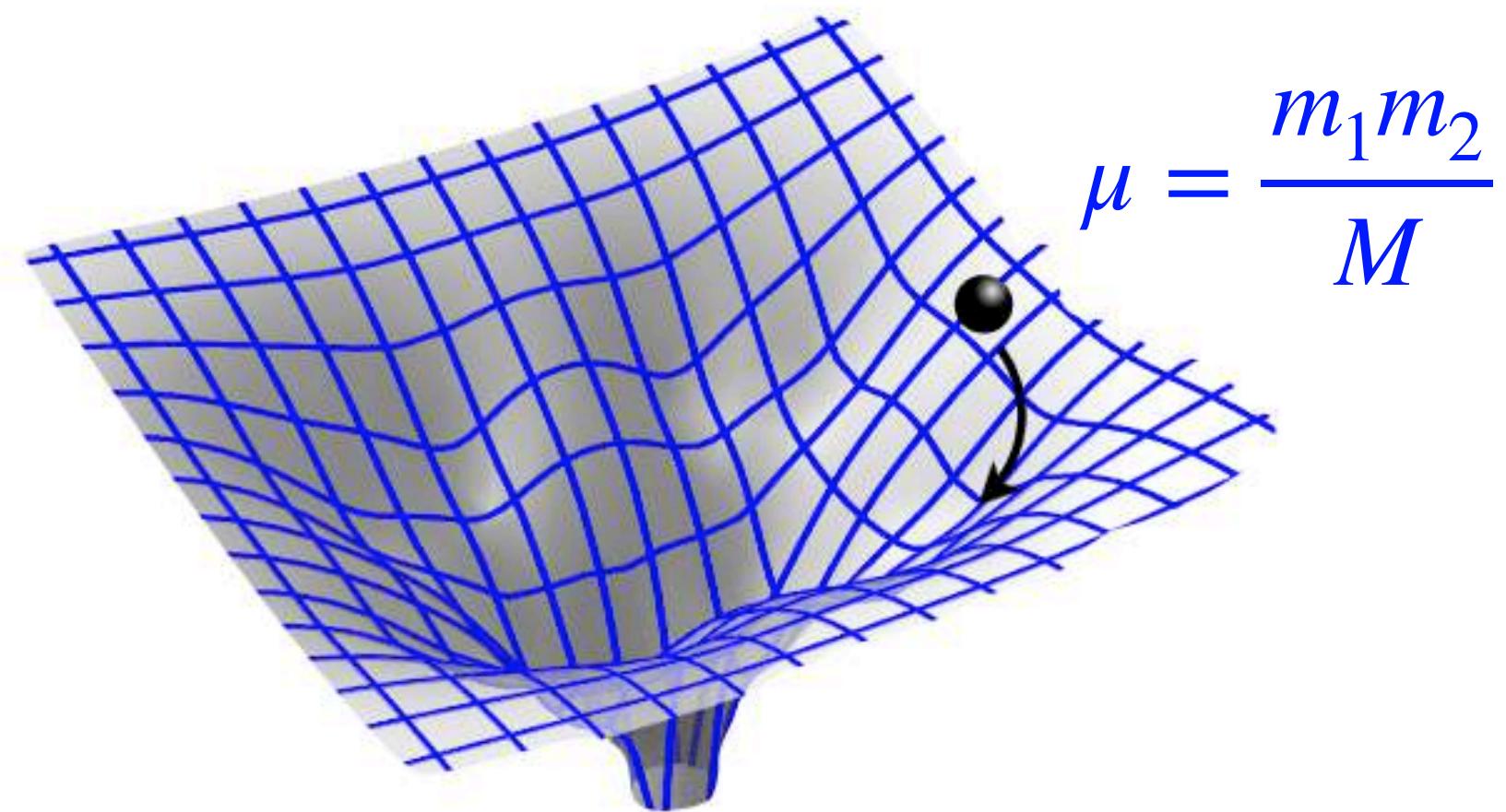
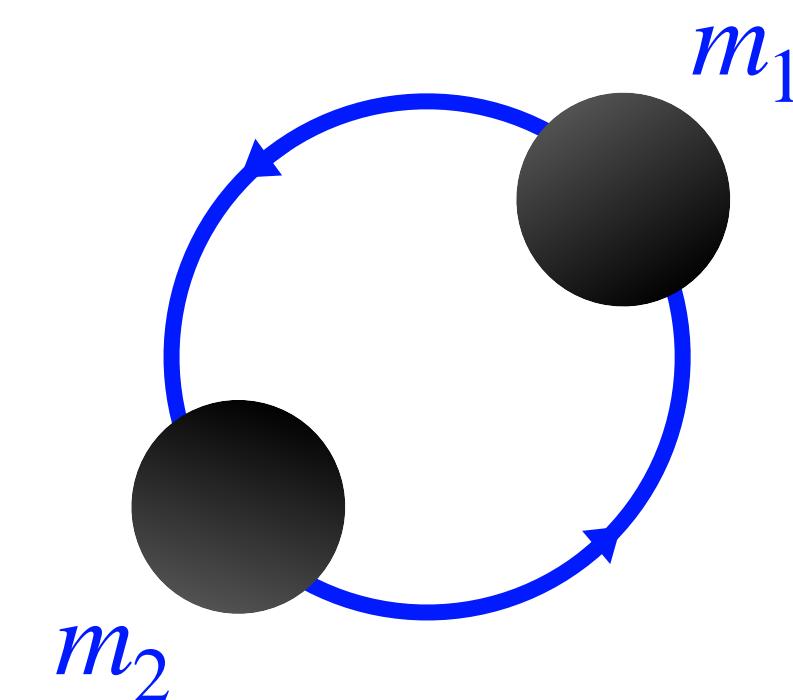
- Procedure can be applied to any semi-analytical waveform models [Fields+, Purrer+, Lackey+, Thomas+, Varma+]



The Effective One Body Framework

Effective One Body

- Novel approach introduced by Buonanno and Damour in 1999
- Inspired by approach to EM interacting quantum two body problem [Brézin+ 1970]
- Basic idea is to map two-body problem onto an effective one-body problem via a canonical transformation



- Calculate motion of a test-particle in a deformed/effective external metric \leftrightarrow equations of motion

Buonanno and Damour 1999: arXiv:gr-qc/9811091

Buonanno and Damour 2000: arXiv:gr-qc/0001013



Effective One Body

- Three main analytical components to an EOB model



Effective One Body

- Three main analytical components to an EOB model
 1. Hamiltonian to describe the conservative binary dynamics
 2. Radiation reaction (RR) force to account for loss of energy and angular momentum via emission of GWs
 3. Gravitational waveform for inspiral, merger, and ringdown



Effective One Body

- Consider a test-particle orbiting a non-spinning BH of mass M

$$ds^2 = - \left(1 - \frac{2M}{r^2}\right) dt^2 + \left(1 - \frac{2M}{r^2}\right)^{-1} dr^2 + r^2 d\Omega^2$$



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- We can write down the Hamiltonian for a test-particle of mass μ orbiting the BH

$$H_{\text{Schw}}(\mathbf{r}, \mathbf{p}) = \sqrt{\left(1 - \frac{2M}{r}\right) \left[\mu^2 + \left(1 - \frac{2M}{r}\right) p_r^2 + \frac{p_\varphi^2}{r^2} \right]}$$



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- And the effective radial potential

$$\frac{V_{\text{eff}}^2(r)}{\mu^2} = \left(1 - \frac{2M}{r}\right) \left(1 + \frac{L^2}{\mu^2 r^2}\right)$$

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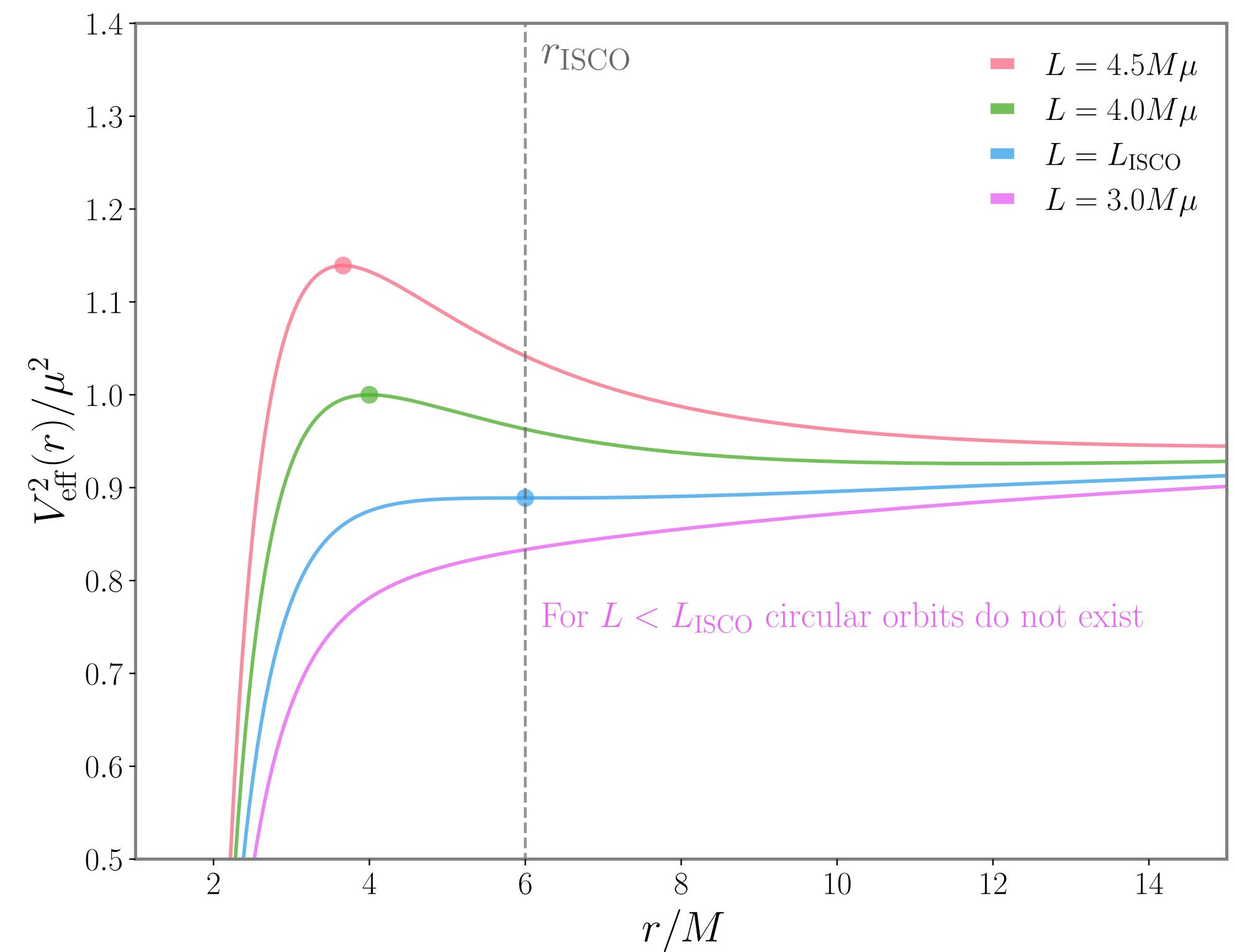
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Effective One Body

- In EOB map the two-body dynamics to geodesic motion of a single particle in effective metric

$$S_{\text{tot}} [z_1^\mu, z_2^\mu, g_{\mu\nu}] = - \int m_1 ds_1 - \int m_2 ds_2 + S_{\text{field}} [g_{\mu\nu}(x)]$$

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$ds_i = \sqrt{-g_{\mu\nu}(z_i^\lambda) dz_i^\mu dz_i^\nu}$

gauge-fixed Einstein-Hilbert action

Line element along trajectory of particle

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gauge-fixed Einstein-Hilbert action

Line element along trajectory of particle

- Associate real two-body dynamics to effective one-body dynamics in external spacetime

$$S_{\text{eff}} [z_0^\mu] = - \int m_0 ds_0$$

$$ds_0 = \sqrt{-g_{\mu\nu}^{\text{eff}}(z_0^\lambda) dz_0^\mu dz_0^\nu}$$

$g_{\mu\nu}^{\text{eff}} p_\mu p_\nu + \mu^2 + Q = 0 \quad \rightarrow \quad H_{\text{eff}} = E_{\text{eff}} = -p_0$

deformed mass-shell condition

E.g. Damour 2001 arXiv:gr-qc/0103018

Line element along trajectory of effective particle



Effective One Body

- Starting from the real nPN Hamiltonian

$$H_{\text{real}}^{\text{PN}} = H_{\text{Newt}} + H_{1\text{PN}} + H_{2\text{PN}} + \dots$$

- Write down ansatz for relation between EOB Hamiltonian and effective Hamiltonian

$$H_{\text{EOB}} = M \sqrt{1 + 2\nu \left(\frac{H_{\text{eff}}}{\nu} - 1 \right)}$$

Not magical! Arises from inverting energy mapping. See Buonanno and Damour 1999

- H_{EOB} related to H_{PN} in a different gauge via canonical transformation

$$H_{\text{EOB}} = H_{\text{PN}} + \{\mathcal{G}, H_{\text{PN}}\} + \frac{1}{2!} \{\mathcal{G}, \{\mathcal{G}, H_{\text{PN}}\}\} + \dots$$

Each bracket introduces factor of c^{-2}

- Find unknown coefficients in H_{eff} by matching LHS to RHS



Effective One Body

- Effective Hamiltonian describes geodesic motion of test-particle in deformed external spacetime

$$H_{\text{eff}}^{\nu} = \mu \sqrt{A_{\nu}(r) \left[\mu^2 + A_{\nu}(r) \bar{D}_{\nu}(r) p_r^2 + \frac{p_{\varphi}^2}{r^2} + Q_{\nu}(r, p_r) \right]}$$



Effective One Body

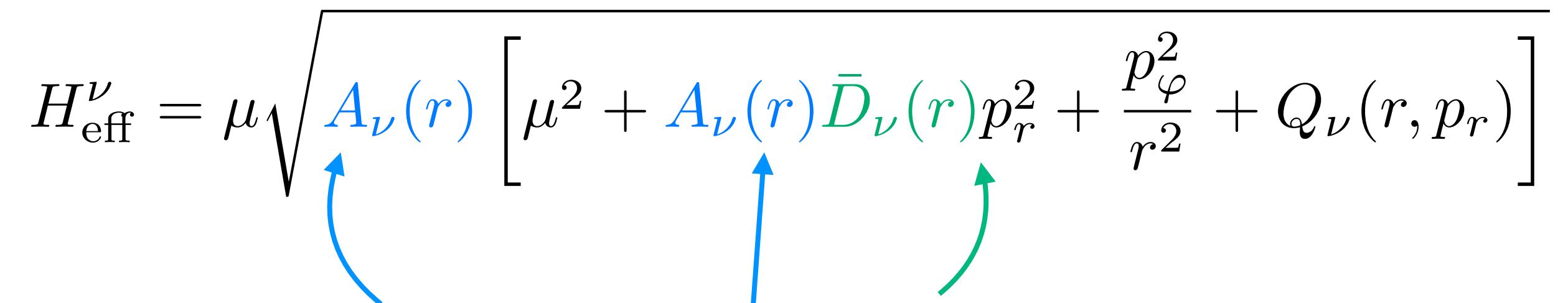
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$$H_{\text{eff}}^{\nu} = \mu \sqrt{A_{\nu}(r) \left[\mu^2 + A_{\nu}(r) \bar{D}_{\nu}(r) p_r^2 + \frac{p_{\varphi}^2}{r^2} + Q_{\nu}(r, p_r) \right]}$$

In non-spinning $\mu \rightarrow 0$
limit reduces to
Hamiltonian of test-
particle in Schwarzschild
background

Effective One Body

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The diagram consists of three arrows: a blue curved arrow pointing to the term $A_{\nu}(r)$, a blue straight arrow pointing to the term $\bar{D}_{\nu}(r)$, and a green curved arrow pointing to the term $Q_{\nu}(r, p_r)$.

Differ from Schwarzschild due to PN corrections that depend on ν

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effective deformed metric

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$$u = 1/r$$

$$A_{\text{non-spin}}^{\text{Taylor}}(u) = 1 - 2u + 2\nu u^3 + \nu \left(\frac{94}{3} - \frac{41\pi^2}{32} \right) u^4 + \left[\nu(\dots) + \nu^2(\dots) + \frac{64}{5}\nu \ln u \right] u^5 + [\nu a_6 + \dots] u^6$$

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a_6 is as-of-yet unknown PN coefficient
that gets calibrated to NR

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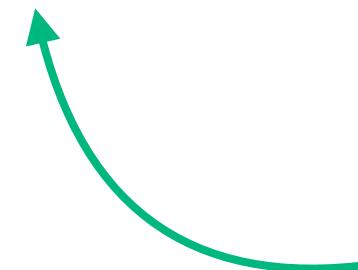
Effective One Body

- Significant freedom in structure of Hamiltonian, gauge, PN information included, resummation etc



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We will come back to this later...



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- Resummation of analytical information critical in reducing difference with NR information



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- Padé resummation is an effective strategy

$$F(u) = P_n^1 [F_{\text{PN}}] = \frac{1 + n_1 u}{1 + d_1 u + \cdots + d_n u^n}$$

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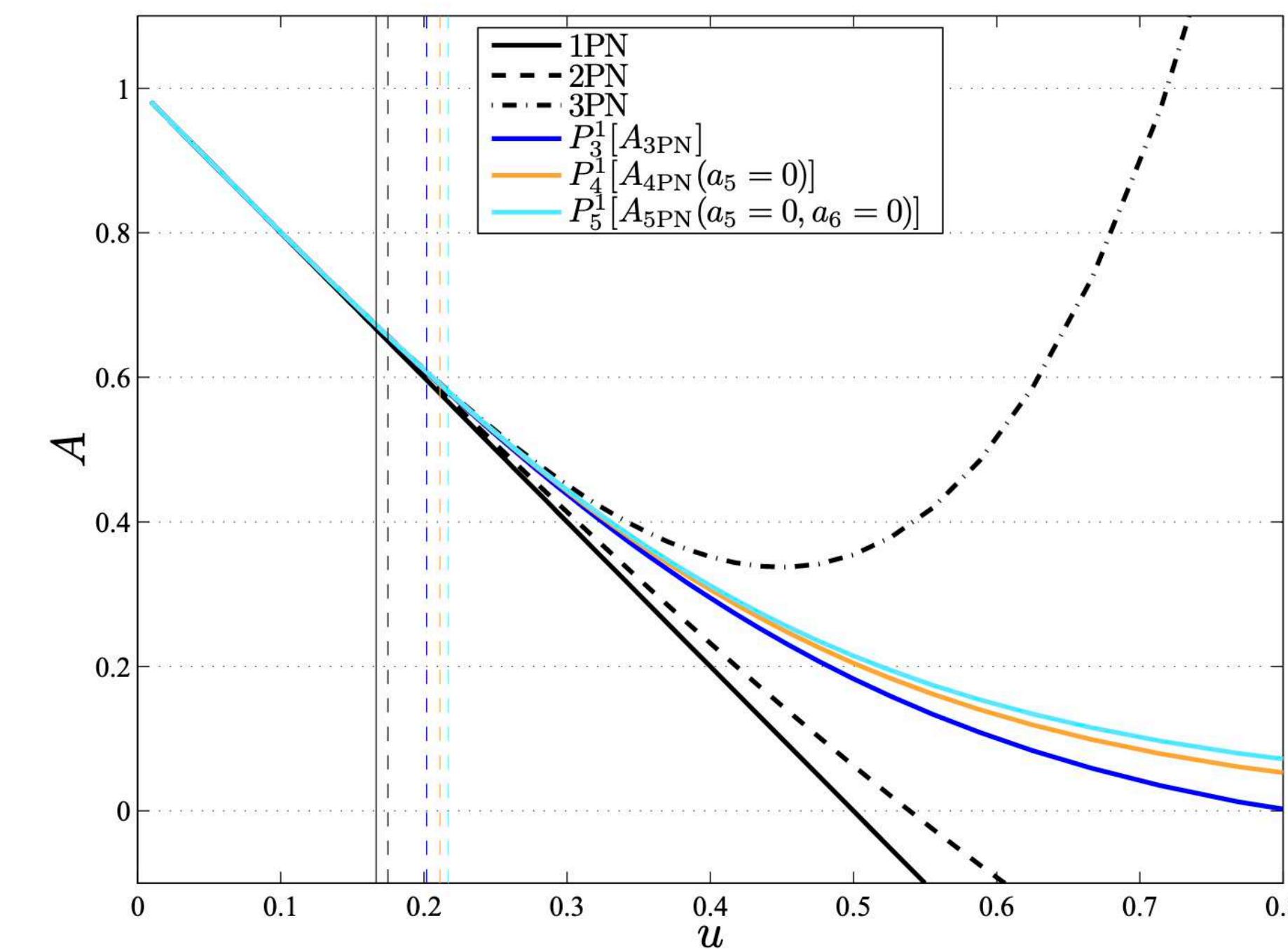


Fig. 2 Various approximations and Padé resummation of the EOB radial potential $A(u)$, where $u = GM/(c^2 R)$, for the equal-mass case $v = 1/4$. The vertical dashed lines indicate the corresponding (adiabatic) LSO location [2] defined by the condition $d^2 \mathcal{E}_{\text{eff}}^0 / dR^2 = d\mathcal{E}_{\text{eff}}^0 / dR = 0$, where $\mathcal{E}_{\text{eff}}^0$ is the effective energy along the sequence of circular orbits (*i.e.*, when $P_R^{\text{eff}} = 0$).



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- Example: resummation used in SEOBNRv5 (Pompili+23)

$$A_{\text{non-spin}}(u) = P_5^1 \left[A_{\text{non-spin}}^{\text{Taylor}}(u) \right]$$

$$\bar{D}_{\text{non-spin}}(u) = P_3^2 \left[\bar{D}_{\text{non-spin}}^{\text{Taylor}}(u) \right]$$



Effective One Body

- Can generalise the Hamiltonian to include spin



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$$\begin{aligned} H_{\text{eff}} = & \sqrt{A(r) \left[\mu^2 c^2 + A(r) \bar{D}(r) \frac{p_r^2}{c^2} + \frac{L^2}{c^2 r^2} + Q(r, p_r) \right]} \\ & + \frac{1}{c^3 r^3} \mathbf{L} \cdot [g_S(r, p_r) \mathbf{S} + g_{S^*}(r, p_r) \mathbf{S}^*]. \end{aligned}$$



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inclusion of spin effects is not unique
(e.g. gauge choices)





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inclusion of spin effects is not unique
(e.g. gauge choices)

- Include PN SO information through gyro-gravitomagnetic terms

$$g_S = 2 - \frac{1}{c^2} \left[\frac{27\nu}{16} \frac{p_r^2}{\mu^2} + \frac{5\nu M}{16r} \right] + \dots + \frac{1}{c^8} (g_S^{5.5\text{PN,loc}} + g_S^{5.5\text{PN,nonloc}}),$$

$$g_{S^*} = \frac{3}{2} - \frac{1}{c^2} \left[\left(\frac{3\nu}{2} + \frac{5}{4} \right) \frac{p_r^2}{\mu^2} + \left(\frac{3}{4} + \frac{\nu}{2} \right) \frac{M}{r} \right] + \dots + \frac{1}{c^8} (g_{S^*}^{5.5\text{PN,loc}} + g_{S^*}^{5.5\text{PN,nonloc}})$$



Effective One Body

- Can also generalise the Hamiltonian to arbitrary spins



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$$ds^2 = g_{\text{Kerr}}^{\mu\nu} \partial_\mu \partial_\nu$$

$$ds^2 = -\frac{\Lambda}{\Delta\Sigma} \partial_t^2 + \frac{\Delta}{\Sigma} \partial_r^2 + \frac{1}{\Sigma} \partial_\theta^2 + \frac{\Sigma - 2Mr}{\Sigma\Delta \sin^2\theta} \partial_\phi^2 - \frac{4Mra}{\Sigma\Delta} \partial_t \partial_\phi$$



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$$H^{\text{Kerr}} = \frac{2Mr}{\Lambda} \mathbf{L} \cdot \mathbf{a} + [A^{\text{Kerr}} (\mu^2 + B_{\text{np}}^{\text{Kerr}} (\mathbf{n} \cdot \mathbf{p})^2 + B_p^{\text{Kerr}} \mathbf{p}^2 + B_{\text{npa}}^{\text{Kerr}} (\mathbf{n} \times \mathbf{p} \cdot \mathbf{a})^2)]^{1/2}$$



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$$\begin{aligned} H_{\text{eff}}^{\text{prec}} &= \frac{Mr}{\Lambda} [\mathbf{L} \cdot (g_{a+} \mathbf{a}_+ + g_{a-} \delta \mathbf{a}_-) + \text{SO}_{\text{calib}} + G_{a^3}^{\text{prec}}] \\ &\quad + [A^{\text{prec}} (\mu^2 + B_p^{\text{prec}} \mathbf{p}^2 + B_{np}^{\text{prec}} (\mathbf{n} \cdot \mathbf{p})^2 \\ &\quad + B_{npa}^{\text{Kerr}} (\mathbf{n} \times \mathbf{p} \cdot \mathbf{a}_+)^2 + Q^{\text{prec}})]^{1/2} \end{aligned}$$

Map to effective metric of
deformed Kerr
background with
 $\mathbf{a} = \mathbf{a}_1 + \mathbf{a}_2$



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Now things are getting messy...



Effective One Body

- Great, so we have a Hamiltonian...



Effective One Body

- Great, so we have a Hamiltonian... now what?



Effective One Body

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- The Hamilton-Jacobi equations provide the equations of motion...

$$\frac{d\mathbf{r}}{dt} = \frac{\partial H_{\text{EOB}}}{\partial \mathbf{p}}, \quad \frac{d\mathbf{p}}{dt} = -\frac{\partial H_{\text{EOB}}}{\partial \mathbf{r}} + \mathcal{F}, \quad \frac{d\mathbf{S}_{1,2}}{dt} = \frac{\partial H_{\text{EOB}}}{\partial \mathbf{S}_{1,2}} \times \mathbf{S}_{1,2},$$



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radiation reaction force



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radiation reaction force

- The RR force \leftrightarrow flux of angular momentum expressed as sum of factorised and resummed multipoles

$$\mathcal{F}_\varphi = -\frac{M\Omega}{8\pi} \sum_{\ell=2}^{\ell_{\max}} \sum_{m=1}^{\ell} m^2 |d_L h_{\ell m}^F|^2$$



Effective One Body

- The inspiral-plunge EOB modes can be written as

factorised modes

$$h_{\ell m}^{\text{IM}} = h_{\ell m}^F N_{\ell m} \quad \text{non quasi-circular (NQC) correction}$$

- Factorisation of each multipole, e.g. Damour+07, Damour+08, Pan+10

$$h_{\ell m}^F(t) = h_{\ell m}^{(N, \epsilon)} S_{\text{eff}}^{(\epsilon)} T_{\ell m} e^{i\delta_{\ell m}} (\rho_{\ell m})^\ell h_{\ell m}^{\text{NQC}}$$



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Newtonian contribution, ϵ denotes parity of mode

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Effective source term: $\hat{S}_{\text{eff}} = \begin{cases} \frac{E_{\text{eff}}(v_\Omega)}{\mu}, & \ell + m \text{ even,} \\ v_\Omega \frac{p_\phi(v_\Omega)}{M\mu}, & \ell + m \text{ odd,} \end{cases}$



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Resums infinite number of leading logarithms: $T_{\ell m} = \frac{\Gamma(\ell + 1 - 2i\hat{k})}{\Gamma(\ell + 1)} e^{\pi\hat{k}} e^{2i\hat{k}\ln(2m\Omega r_0)}$

$$h_{\ell m}^F(t) = h_{\ell m}^{(N, \epsilon)} S_{\text{eff}}^{(\epsilon)} T_{\ell m} e^{i\delta_{\ell m}} (\rho_{\ell m})^\ell h_{\ell m}^{\text{NQC}}$$



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Residual phase correction due to sub-leading logarithms



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Residual amplitude correction such that expansion agrees with PN-expanded modes

$$h_{\ell m}^F(t) = h_{\ell m}^{(N,\epsilon)} S_{\text{eff}}^{(\epsilon)} T_{\ell m} e^{i\delta_{\ell m}} (\rho_{\ell m})^\ell h_{\ell m}^{\text{NQC}}$$



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Phenomenological NQC corrections to shape waveform during late-plunge up to merger



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$$h_{\ell m}^F(t) = h_{\ell m}^{(N, \epsilon)} S_{\text{eff}}^{(\epsilon)} T_{\ell m} e^{i\delta_{\ell m}} (\rho_{\ell m})^\ell h_{\ell m}^{\text{NQC}}$$

- Complete EOB waveform by attaching a merger-ringdown

$$h_{\ell m}(t) = h_{\ell m}^{\text{IM}}(t) \Theta(t_{\text{match}}^{\ell m} - t) + h_{\ell m}^{\text{R}}(t) \Theta(t - t_{\text{match}}^{\ell m}) ,$$

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- The inspiral-plunge EOB modes can be written as

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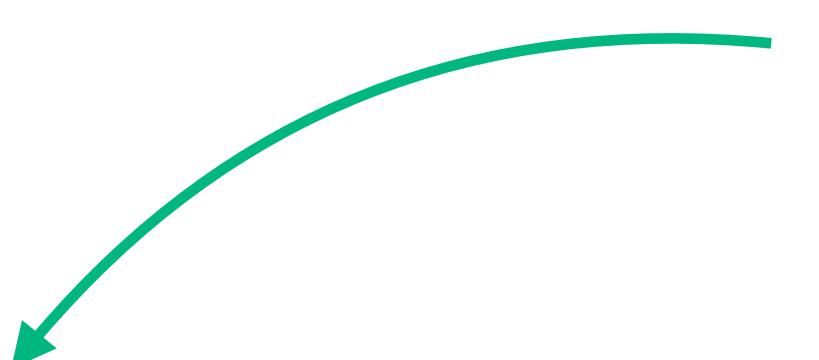
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- Complete EOB waveform by attaching a merger-ringdown

$$h_{\ell m}(t) = h_{\ell m}^{\text{IM}}(t) \Theta(t_{\text{match}}^{\ell m} - t) + h_{\ell m}^R(t) \Theta(t - t_{\text{match}}^{\ell m}),$$



$$h_{\ell m}^R(t) \sim \sum_{n=0}^{\infty} A_{\ell mn} e^{-i\sigma_{\ell mn} t}$$

Ringdown well described via
BH perturbation theory as
superposition of QNM



- Two main flavours of EOB are **SEOBNR** and **TEOBResumS**



Effective One Body

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 - Pompili+23 <https://arxiv.org/abs/2303.18039>
 - Khalil+23 <https://arxiv.org/abs/2303.18143>
 - Ramos-Buades+23 <https://arxiv.org/abs/2303.18046>
 - Maarten+23 <https://arxiv.org/abs/2303.18026>
 - Damour+14 <https://arxiv.org/abs/1406.6913>
 - Nagar+18 <https://arxiv.org/abs/1806.01772>
 - Nagar+21 <https://arxiv.org/abs/2108.02043>
 - Nagar+23 <https://arxiv.org/abs/2304.09662>

Some starter references
(but highly incomplete)



Effective One Body

- Two main flavours of EOB are **SEOBNR** and **TEOBResumS**

TABLE II. Summary of the main differences of the SEOBNRv5 Hamiltonian derived here, which builds on the results of Refs. [103, 104], compared to that of SEOBNRv4 and TEOBResumS.

	SEOBNRv5	SEOBNRv4 [99, 100, 107, 111]	TEOBResumS [102, 112, 113]
nonspinning part	4PN with partial 5PN in A_{nos} and \bar{D}_{nos} , 5.5PN in Q_{nos}	4PN in A_{nos} , 3PN in \bar{D}_{nos} and Q_{nos}	4PN with partial 5PN in A_{nos} , 3PN in \bar{D}_{nos} and Q_{nos}
A_{nos} resummation	(1,5) Padé	horizon factorization and log resummation	(1,5) Padé
\bar{D}_{nos} resummation	(2,3) Padé	log	Taylor expanded ($D_{\text{nos}} \equiv 1/\bar{D}_{\text{nos}}$ is inverse-Taylor resummed)
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Effective One Body

- SEOBNRv5 is the current **fifth** generation of SEOBNR model

Laying the foundation of the effective-one-body waveform models SEOBNRv5: improved accuracy and efficiency for spinning non-precessing binary black holes

Lorenzo Pompili,^{1,*} Alessandra Buonanno,^{1,2} Héctor Estellés,¹ Mohammed Khalil,^{3,1,2}

Maarten van de Meent,^{1,4} Deyan P. Mihaylov,¹ Serguei Ossokine,¹ Michael Pürer,^{5,6,1} Antoni Ramos-Buades,¹

Ajit Kumar Mehta,^{7,1} Roberto Cotesta,⁸ Sylvain Marsat,⁹ Michael Boyle,¹⁰ Lawrence E. Kidder,¹⁰

Harald P. Pfeiffer,¹ Mark A. Scheel,¹¹ Hannes R. Rüter,¹² Nils Vu,¹¹ Reetika Dudi,¹

Sizheng Ma,¹¹ Keefe Mitman,¹¹ Denyz Melchor,¹³ Sierra Thomas,^{13,14} and Jennifer Sanchez¹⁵

SEOBNRv5PHM: Next generation of accurate and efficient multipolar precessing-spin effective-one-body waveforms for binary black holes

Antoni Ramos-Buades,^{1,*} Alessandra Buonanno,^{1,2} Héctor Estellés,¹ Mohammed Khalil,^{3,1,2}

Deyan P. Mihaylov,¹ Serguei Ossokine,¹ Lorenzo Pompili,¹ and Mahlet Shiferaw^{1,4}

Theoretical groundwork supporting the precessing-spin two-body dynamics of the effective-one-body waveform models SEOBNRv5

Mohammed Khalil,^{1,2,3,*} Alessandra Buonanno,^{2,3} Héctor Estellés,²

Deyan Mihaylov,² Serguei Ossokine,² Lorenzo Pompili,² and Antoni Ramos-Buades²

Enhancing the SEOBNRv5 effective-one-body waveform model with second-order gravitational self-force fluxes

Maarten van de Meent,^{1,2} Alessandra Buonanno,² Deyan P. Mihaylov,² Serguei Ossokine,² Lorenzo
Pompili,² Niels Warburton,³ Adam Pound,⁴ Barry Wardell,³ Leanne Durkan,^{3,5} and Jeremy Miller⁶



Effective One Body

- Key highlights from [SEOBNRv5](#)
- Most of 5PN NS terms and all [generic-spin](#) terms up to [4PN](#) [SO + SS at NNLO, $S^3 + S^4$ terms at LO]
- Improved NR calibration [442 simulations + 13 test particle]
- PN information from orbit-averaged generic Hamiltonian
- In-plane spin-effects via [partially precessing](#) Hamiltonian
- Calibration of NS modes and radiation-reaction up to [2-GSF](#)
- Precession equations PN-expanded with self-consistent SO and SS couplings at NNLO (e.g. SSC)
- Mismatch against 1543 precessing-spin NR simulations have [99.8%](#) of cases with $1 - \mathcal{M} < 3\%$
- Calibration to 2GSF flux

Effective One Body: Highlights from SEOBNRv5



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- Partial-precession effects in Hamiltonian

$$H_{\text{eff}}^{\text{pprec}} = \frac{Mp_\phi \mathbf{l} \cdot (g_{a_+} \mathbf{a}_+ + g_{a_-} \delta \mathbf{a}_-) + \text{SO}_{\text{calib}} + \langle G_{a^3}^{\text{pprec}} \rangle}{r^3 + a_+^2(r + 2M)} \\ + \left[A^{\text{pprec}} \left(\mu^2 + B_p^{\text{pprec}} \frac{p_\phi^2}{r^2} + (1 + B_{np}^{\text{pprec}}) (\mathbf{n} \cdot \mathbf{p})^2 \right) \right]$$

Effective One Body: Highlights from SEOBNRv5

- Partial-precession effects in Hamiltonian

(Khalil+2023)

full-precessing-spin (prec) Hamiltonian

$$H_{\text{EOB}}^{\text{prec}}(\mathbf{r}, \mathbf{p}, \mathbf{a}_+, \mathbf{a}_-)$$

$\mathbf{r}, \mathbf{p} \rightarrow$ canonical variables in center of mass

$\mathbf{a}_{1,2} \rightarrow$ body's spins $\mathbf{a}_\pm = \mathbf{a}_1 \pm \mathbf{a}_2$

$\nu \rightarrow$ symmetric mass ratio

orbit-averaging in-plane spins
for circular orbits

simplifying PN resummation, requiring
that $H_{\text{EOB}}^{\text{pprec}}$ reduces to $H_{\text{EOB}}^{\text{prec}}$ when PN
expanded through $\mathcal{O}(a_\pm^3)$

(Khalil+2023)

partial-precessing-spin (pprec) Hamiltonian

$$H_{\text{EOB}}^{\text{pprec}}(r, p_r, \mathbf{l}^2, \mathbf{a}_\pm \cdot \mathbf{l}_N, \mathbf{a}_\pm \cdot \mathbf{l}, \mathbf{a}_\pm^2, \mathbf{a}_+ \cdot \mathbf{a}_-)$$

$\mathbf{l} \rightarrow$ orbital angular momentum
 $\mathbf{l}_N \rightarrow$ Newtonian angular momentum

PN-expanded EOB equations for spins,
angular momentum, velocity parameter $v = (M\omega)^{1/3}$

$$\dot{\mathbf{a}}_\pm = \boldsymbol{\Omega}_{\mathbf{a}_\pm} \times \mathbf{a}_\pm$$

$$\dot{\mathbf{l}} = \dot{\mathbf{l}}(\mathbf{l}_N, v, \mathbf{a}_\pm)$$

$$\dot{\mathbf{l}}_N = \dot{\mathbf{l}}_N(\mathbf{l}_N, v, \mathbf{a}_\pm)$$

$$\dot{v} = \left[\frac{\dot{E}(v)}{dE_{\text{EOB}}(v)/dv} \right]_{\text{PN expanded}}$$

EOB orbital equations in co-precessing (P) frame

$$\dot{r} = \frac{\partial H_{\text{EOB}}^{\text{pprec}}}{\partial p_r} \quad \omega \equiv \dot{\phi} = \frac{\partial H_{\text{EOB}}^{\text{pprec}}}{\partial p_\phi}$$

$$\dot{p}_r = - \frac{\partial H_{\text{EOB}}^{\text{pprec}}}{\partial r} + \mathcal{F}_r \quad \dot{p}_\phi = \mathcal{F}_\phi$$

Inertial-frame EOB waveform multipoles

$$h_{\ell m}^I(t) = \sum_{m', m''} \mathbf{R}_{mm'}^{\text{JI}} \mathbf{R}_{m'm''}^{\text{PJ}} h_{\ell m''}^P(t)$$

Co-precessing frame EOB waveform multipoles

$$h_{\ell m}^P(t) = h_{\ell m}^P(r, v, \mathbf{a}_\pm \cdot \mathbf{l}_N)$$

$$H_{\text{eff}}^{\text{pprec}} = \frac{Mp_\phi \mathbf{l} \cdot (g_{a_+} \mathbf{a}_+ + g_{a_-} \delta \mathbf{a}_-) + \text{SO}_{\text{calib}} + \langle G_{a^3}^{\text{pprec}} \rangle}{r^3 + a_+^2(r + 2M)} + \left[A^{\text{pprec}} \left(\mu^2 + B_p^{\text{pprec}} \frac{p_\phi^2}{r^2} + (1 + B_{np}^{\text{pprec}})(\mathbf{n} \cdot \mathbf{p})^2 \right) \right]$$



- Calibration to 2nd order gravitational-self-force (GSF) flux



- Calibration to 2nd order gravitational-self-force (GSF) flux
- GSF models metric of binary as expansion in $\epsilon = m_2/m_1$

$$g_{\alpha\beta} + \sum_{m=-\infty}^{\infty} \left[\epsilon h_{\alpha\beta}^{1,m}(\Omega) + \epsilon^2 h_{\alpha\beta}^{2,m}(\Omega) \right] e^{-im\varphi} + \mathcal{O}(\epsilon^3)$$



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A green curved arrow points from the text "Schwarzschild metric" to the term $g_{\alpha\beta}$ in the equation.

Schwarzschild metric

Effective One Body: Highlights from SEOBNRv5



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metric amplitudes





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- Flux from (ℓ, m) modes of $\epsilon h_{\alpha\beta}^{1,m} + \epsilon^2 h_{\alpha\beta}^{2,m}$ at \mathcal{J}^+

$$\mathcal{F}_{\ell m}^{\text{GSF}\epsilon}(\epsilon, y) = \epsilon^2 \mathcal{F}_{\ell m}^{\text{GSF1}\epsilon}(y) + \epsilon^3 \mathcal{F}_{\ell m}^{\text{GSF2}\epsilon}(y) + \mathcal{O}(\epsilon^4)$$



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- Want to compare to EOB flux

$$\mathcal{F}_{\ell m}^{\text{EOB}} = d_L^2 \frac{(mM\Omega)^2}{8\pi} \left| h_{\ell m}^N \right|^2 \left| \hat{S}_{\ell m} \right|^2 \left| T_{\ell m} \right|^2 \left| \rho_{\ell m} \right|^{2\ell}$$

Effective One Body: Highlights from SEOBNRv5



- Calibration to 2nd order gravitational-self-force (GSF) flux

Maarten+23

- GSF models metric of binary as expansion in $\epsilon = m_2/m_1$

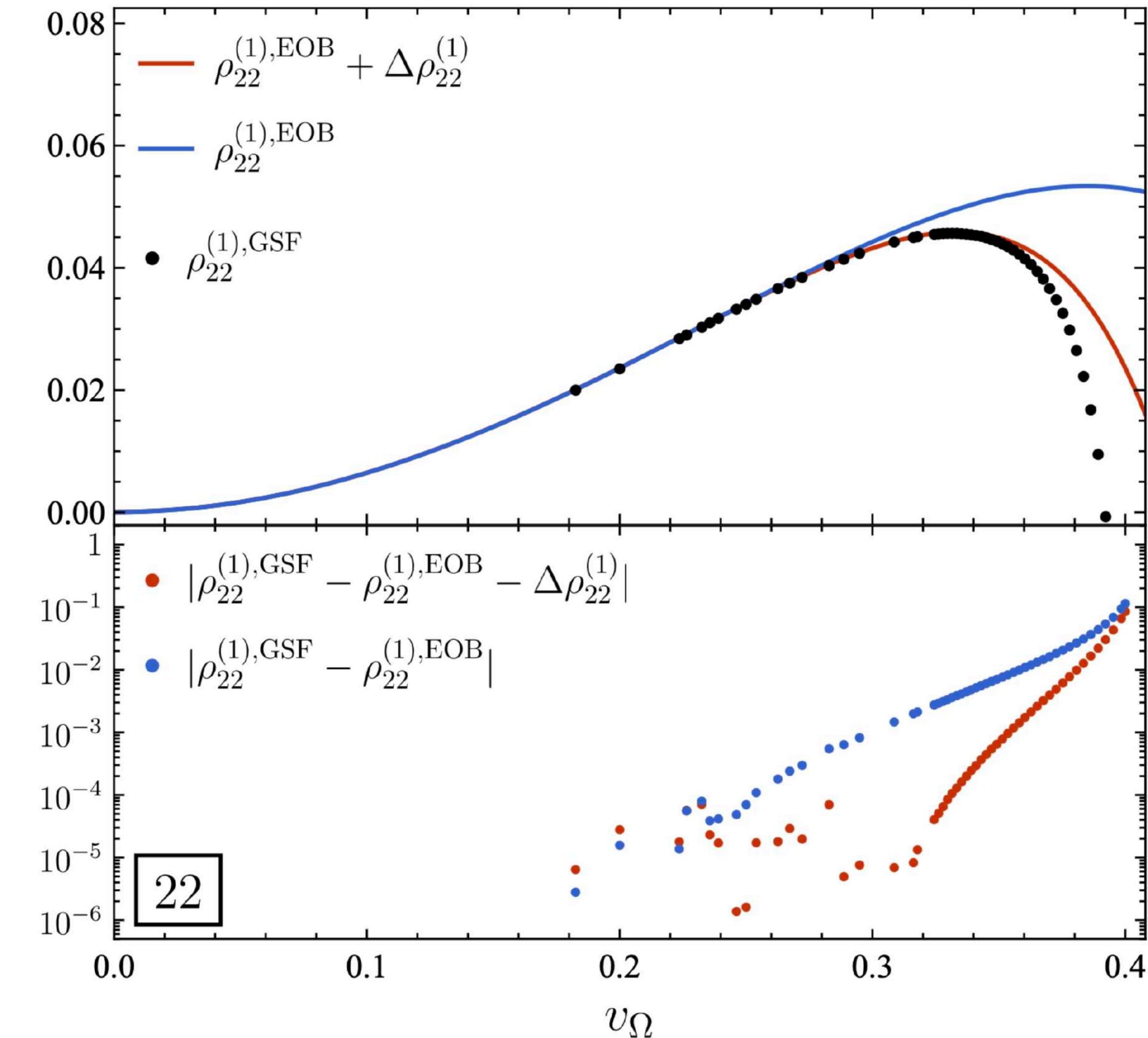
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- Flux from (ℓ, m) modes of $\epsilon h_{\alpha\beta}^{1,m} + \epsilon^2 h_{\alpha\beta}^{2,m}$ at \mathcal{J}^+

$$\mathcal{F}_{\ell m}^{\text{GSF}\epsilon}(\epsilon, y) = \epsilon^2 \mathcal{F}_{\ell m}^{\text{GSF1}\epsilon}(y) + \epsilon^3 \mathcal{F}_{\ell m}^{\text{GSF2}\epsilon}(y) + \mathcal{O}(\epsilon^4)$$

- Want to compare to EOB flux

$$\mathcal{F}_{\ell m}^{\text{EOB}} = d_L^2 \frac{(mM\Omega)^2}{8\pi} \left| h_{\ell m}^N \right|^2 \left| \hat{S}_{\ell m} \right|^2 \left| T_{\ell m} \right|^2 |\rho_{\ell m}|^{2\ell}$$



Effective One Body: Highlights from SEOBNRv5



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- Calibration to 2nd order gravitational-self-force (GSF) flux

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- GSF models metric of binary as expansion in $\epsilon = m_2/m_1$

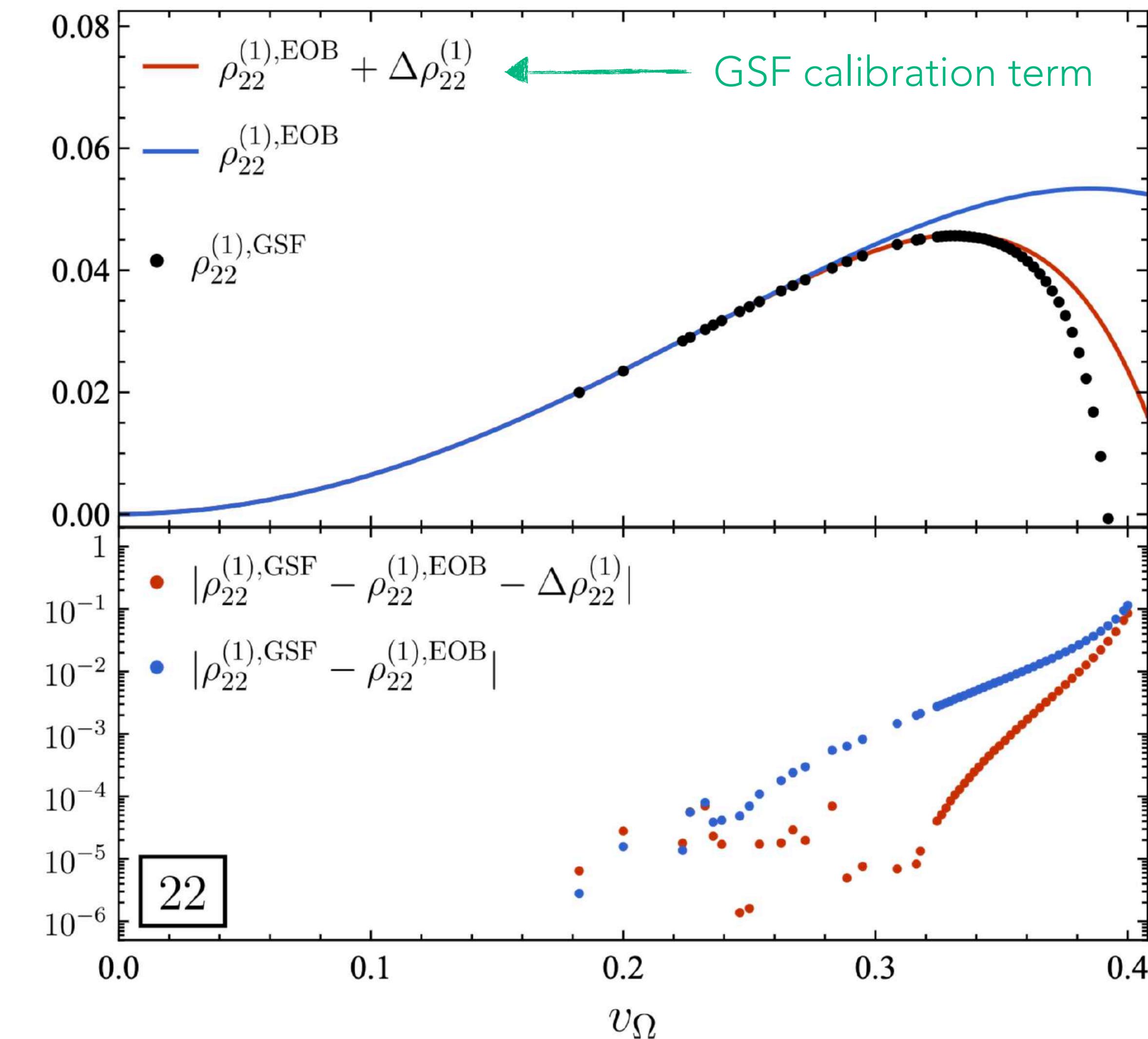
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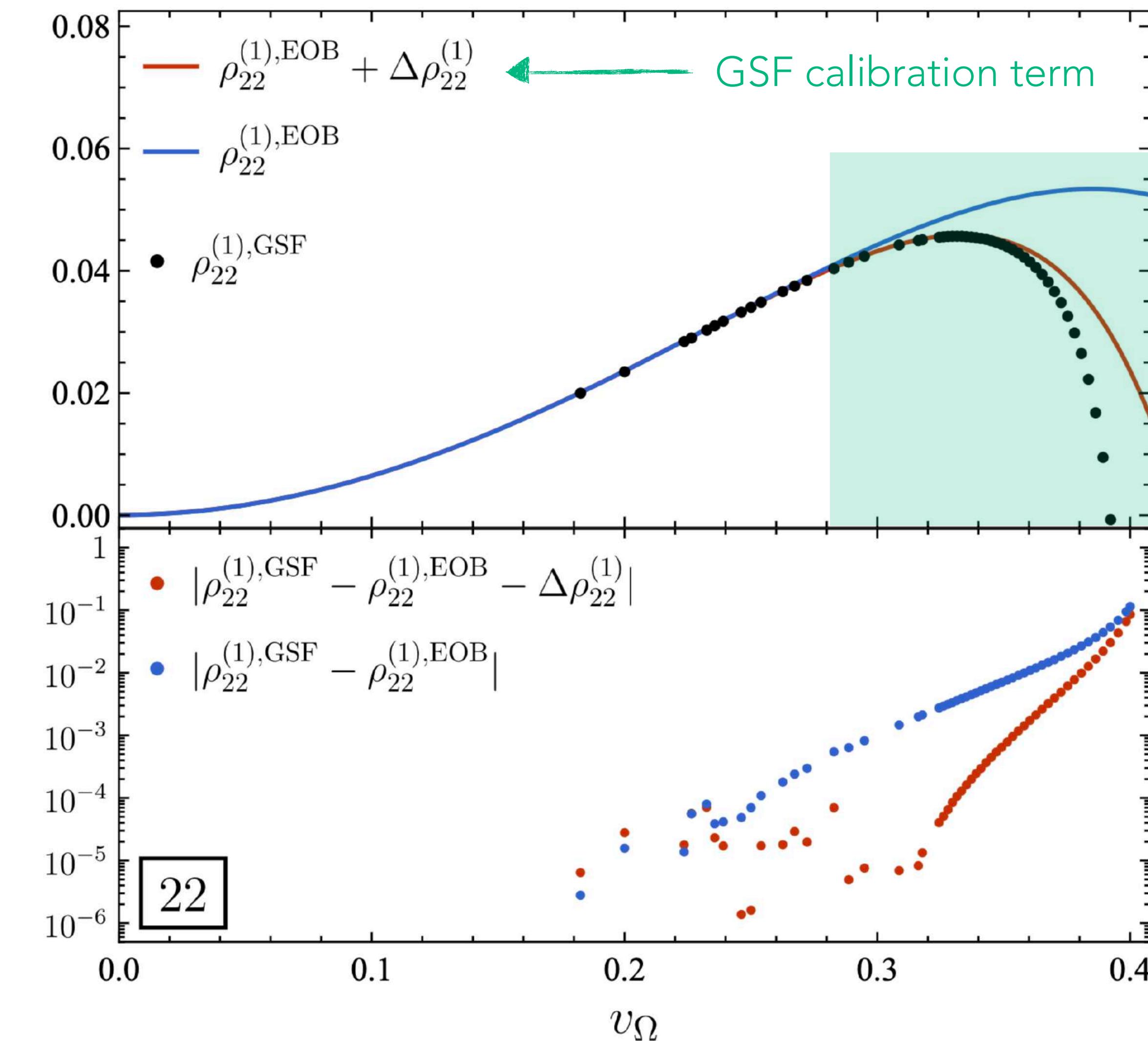
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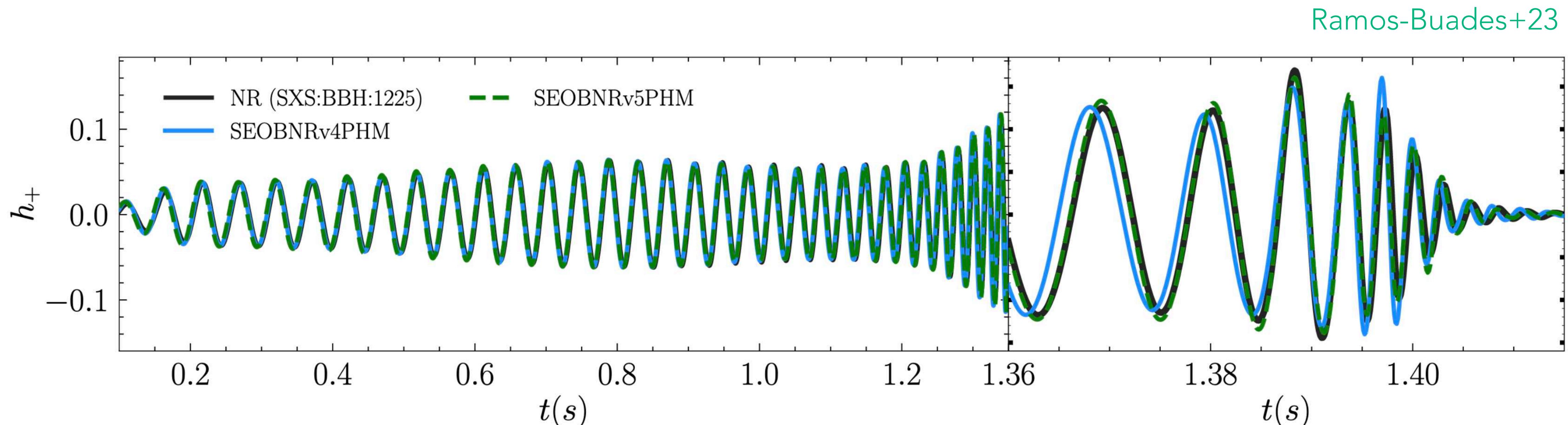


Effective One Body: Highlights from SEOBNRv5



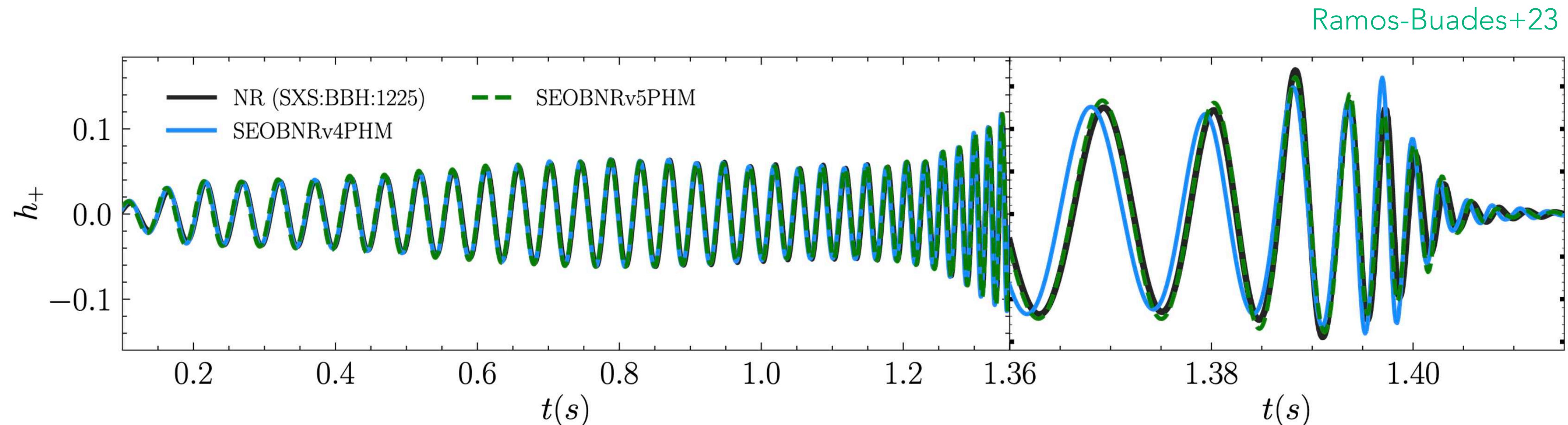
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Approximant	SEOBNRv4PHM	SEOBNRv5PHM	IMRPhenomXPHM	TEOBResumS-GIOTTO
median $\max_M \overline{\mathcal{M}}_{\text{SNR}}$	$7.49 \cdot 10^{-3}$	$4.75 \cdot 10^{-3}$	$14.35 \cdot 10^{-3}$	$11.47 \cdot 10^{-3}$
% cases with $\max_M \overline{\mathcal{M}}_{\text{SNR}} < 1\%$	60.8%	84.4%	38.3%	44.9%
% cases with $\max_M \overline{\mathcal{M}}_{\text{SNR}} < 3\%$	95.3%	99.8%	78.3%	83.3%

Effective One Body: Highlights from SEOBNRv5



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Model Accuracy?

Metrics for Model Accuracy?



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- Mismatch as **one** way to gauge (point-wise) level of agreement between models (and/or NR)
- Overlap is the noise-weighted inner product - weighted by PSD of detector

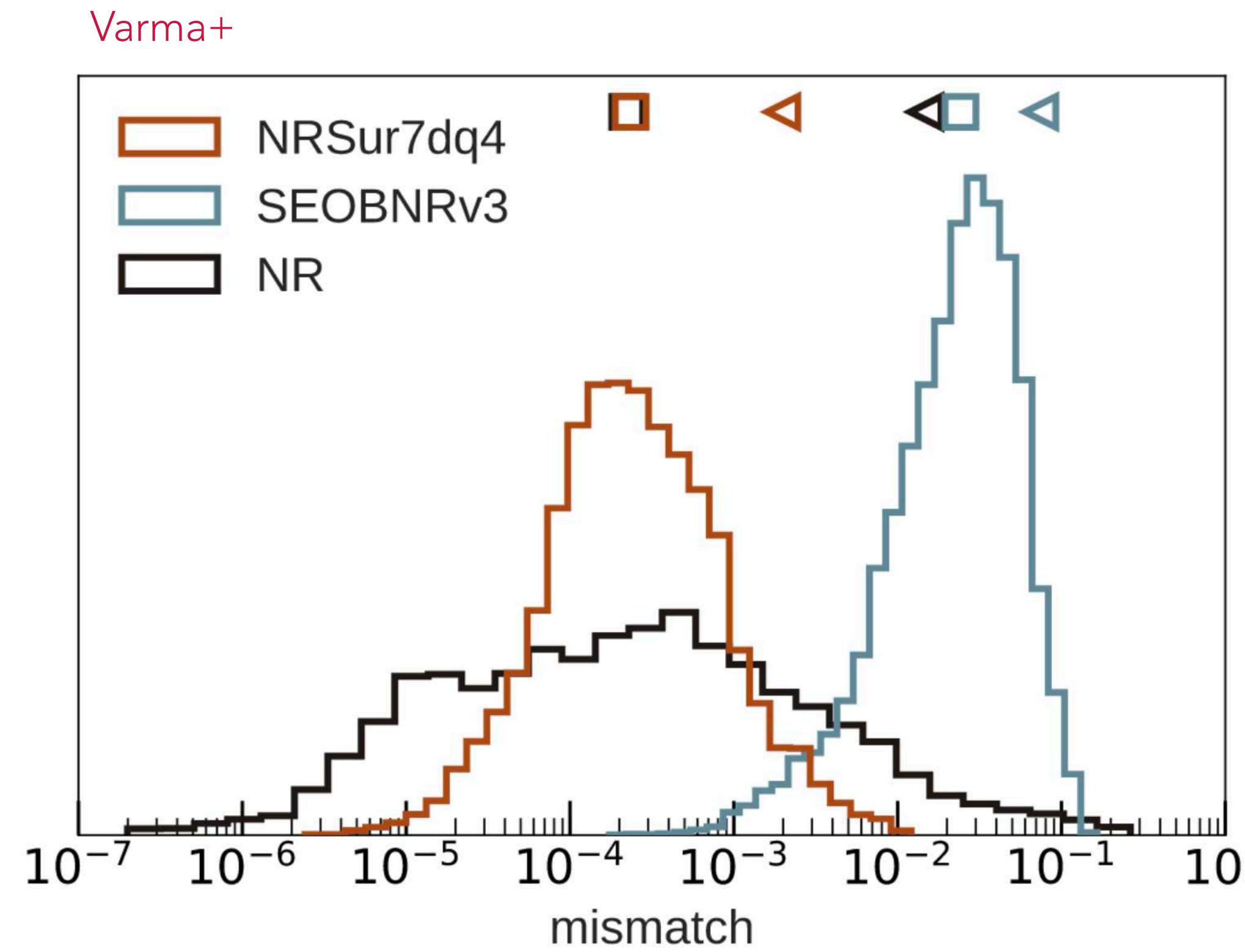
$$\langle h_1, h_2 \rangle = 4 \operatorname{Re} \int_{f_{\text{low}}}^{f_{\text{high}}} df \frac{\tilde{h}_1(f) \tilde{h}_2^*(f)}{S_n(f)}$$

- Interested in the mismatch optimised over polarisation angle as well as time and phase (gauge)

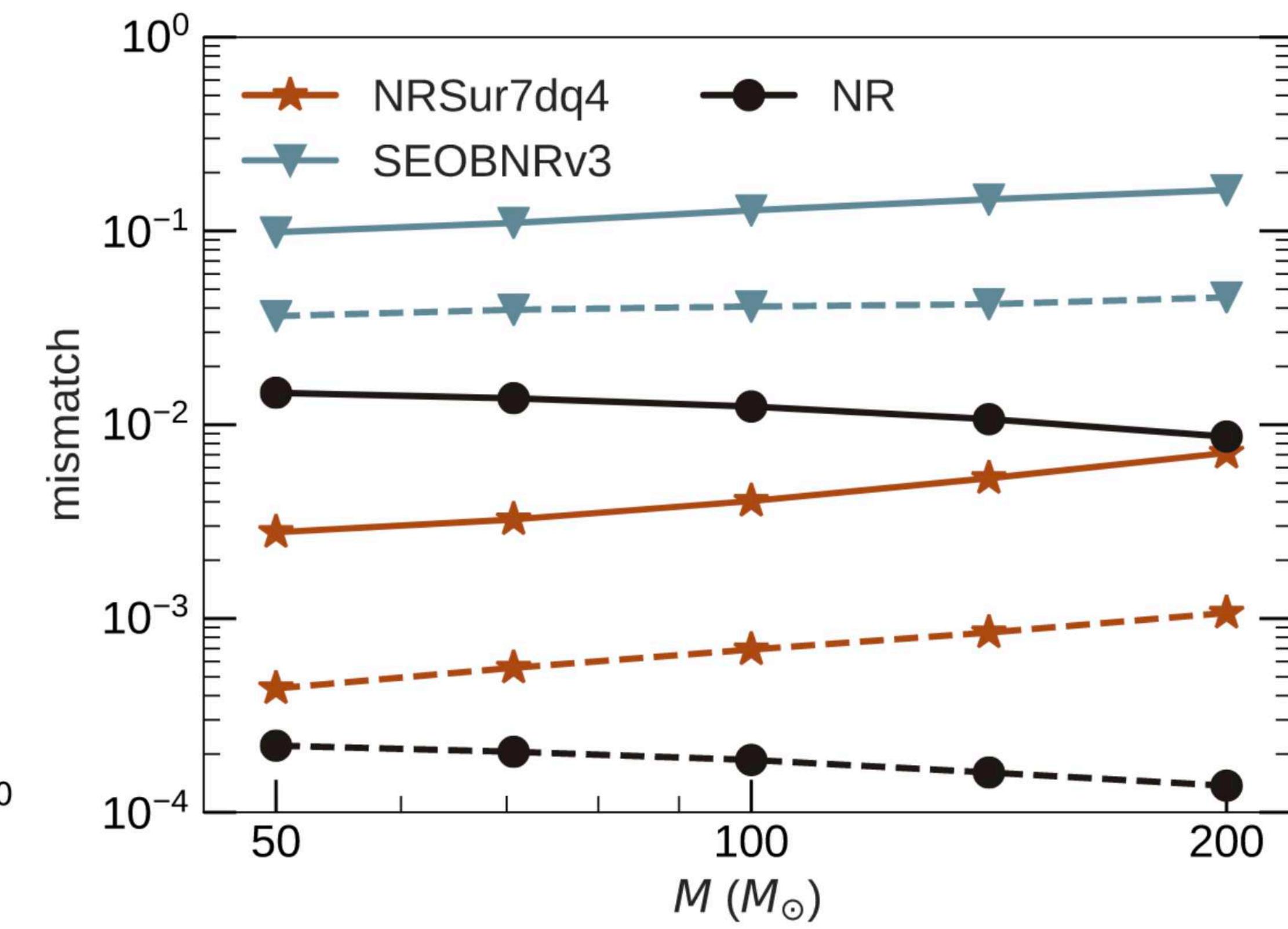
$$\mathcal{M} \approx 1 - \max_{t_c, \varphi_0, \psi} \left[\frac{\langle h_1, h_2 \rangle}{\sqrt{\langle h_1, h_1 \rangle \langle h_2, h_2 \rangle}} \right]$$

- Lots of different variations [e.g. sky-and-polarization averaged]
- Treat as a **measure of agreement** between two waveforms at point in parameter space

- NR surrogates amongst most accurate models available ~ at level of NR errors



(a) Flat noise mismatches

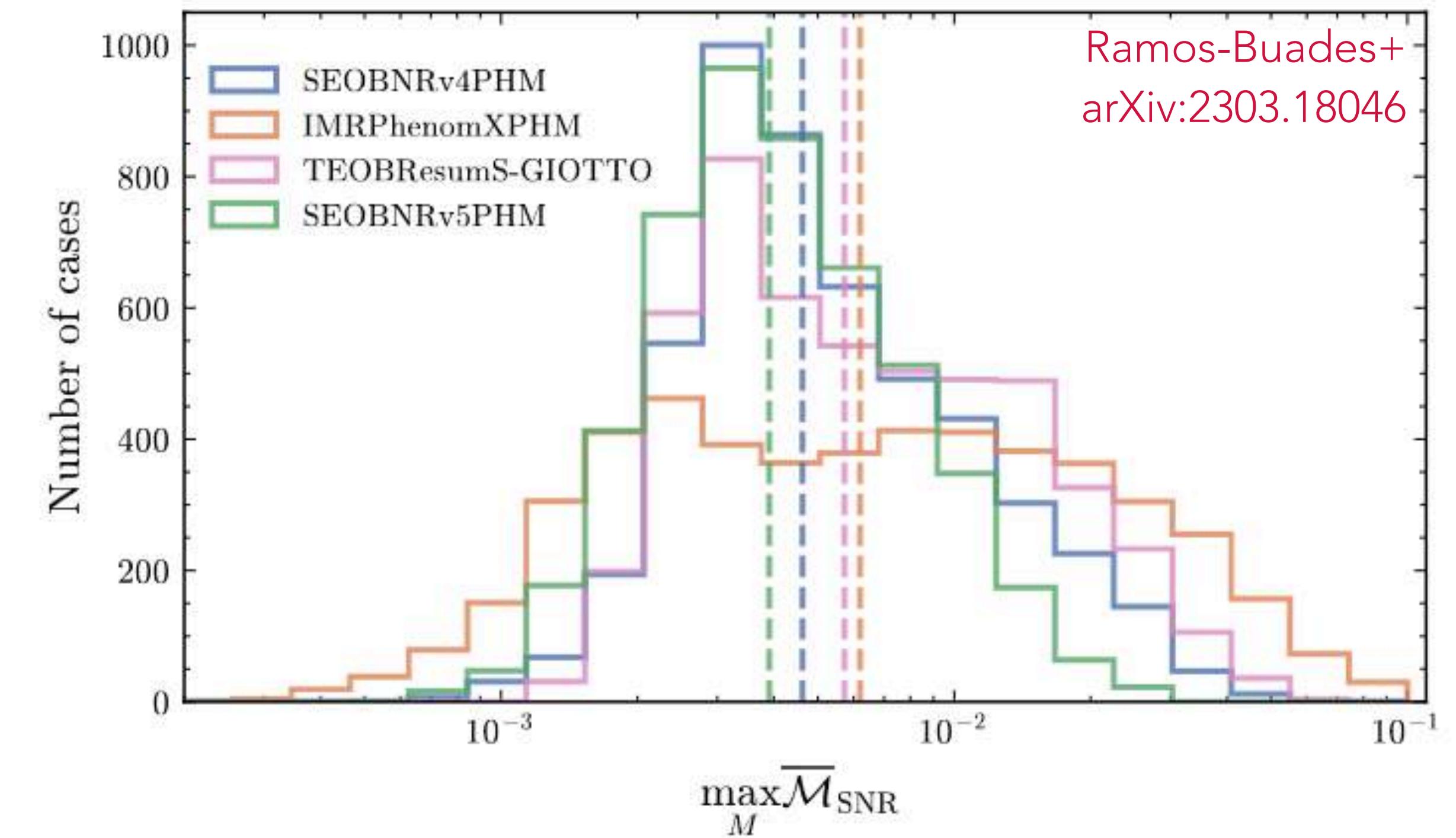
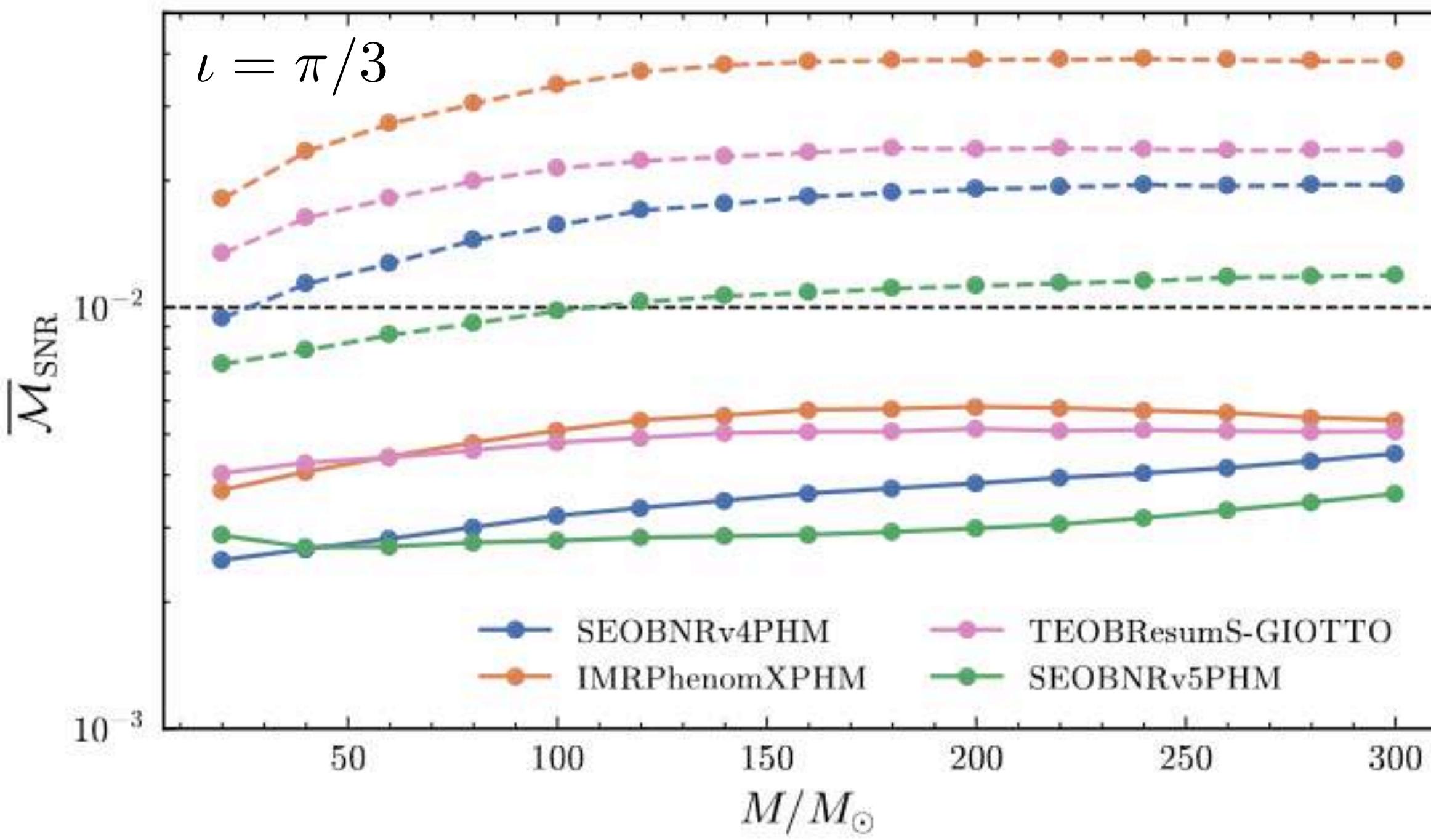


(b) LIGO noise mismatches

Mismatches Across the Parameter Space?



- Can use NR surrogate to gauge performance of semi-analytical models



Mismatches Across the Parameter Space?

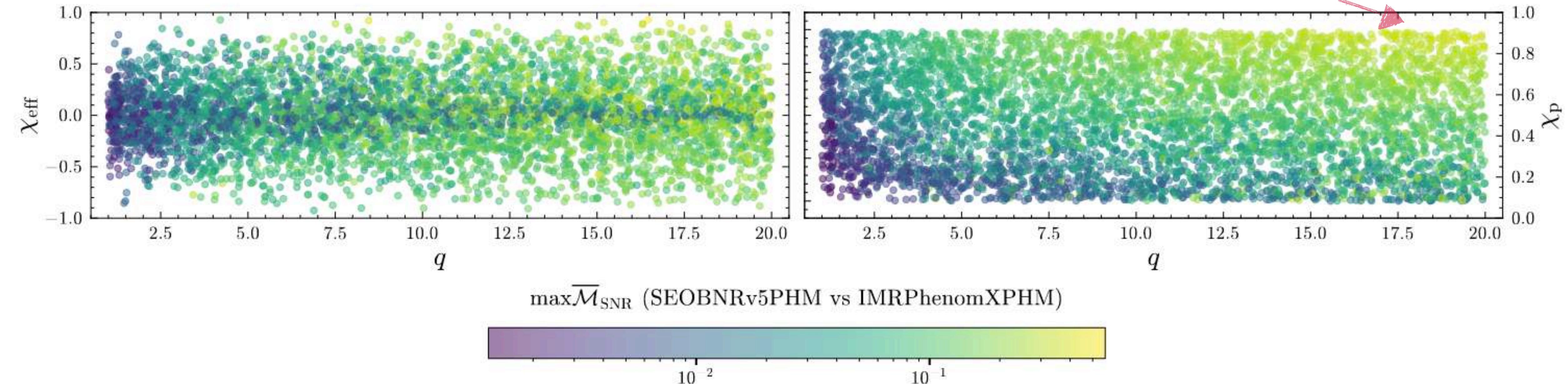


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Largest differences at high(er) mass ratios and precession

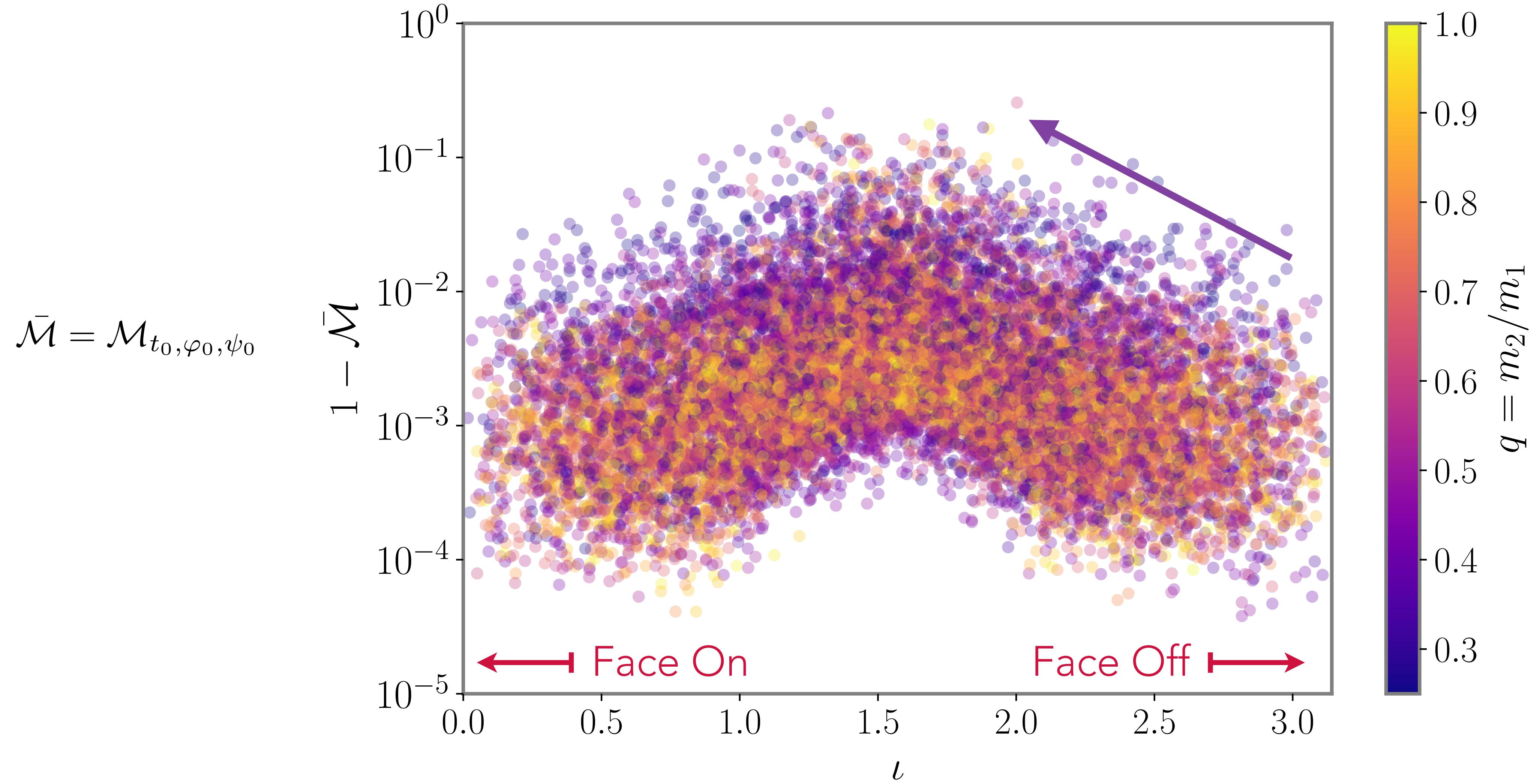
Ramos-Buades+ arXiv:2303.18046



Mismatches Across the Parameter Space?



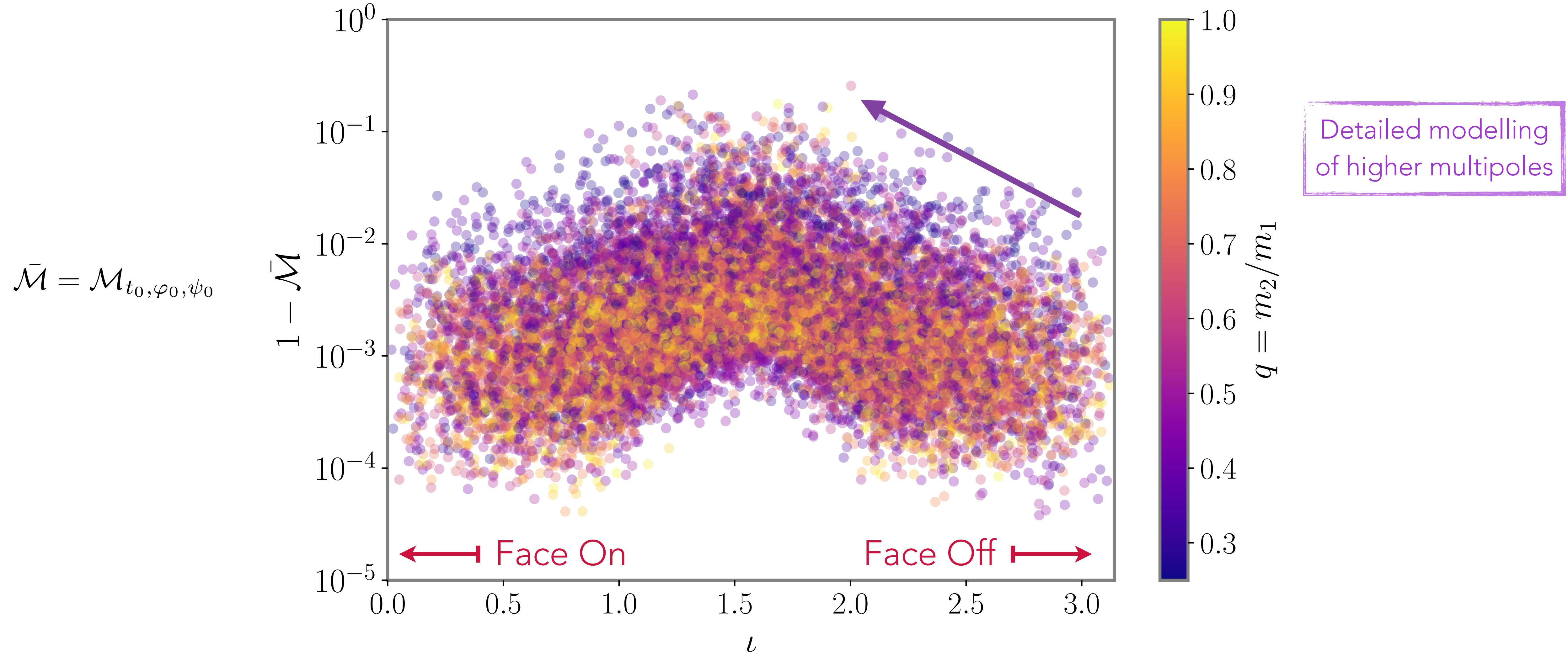
- Accuracy of models highly dependent on binary geometry, mass ratio, spins, etc



Mismatches Across the Parameter Space?



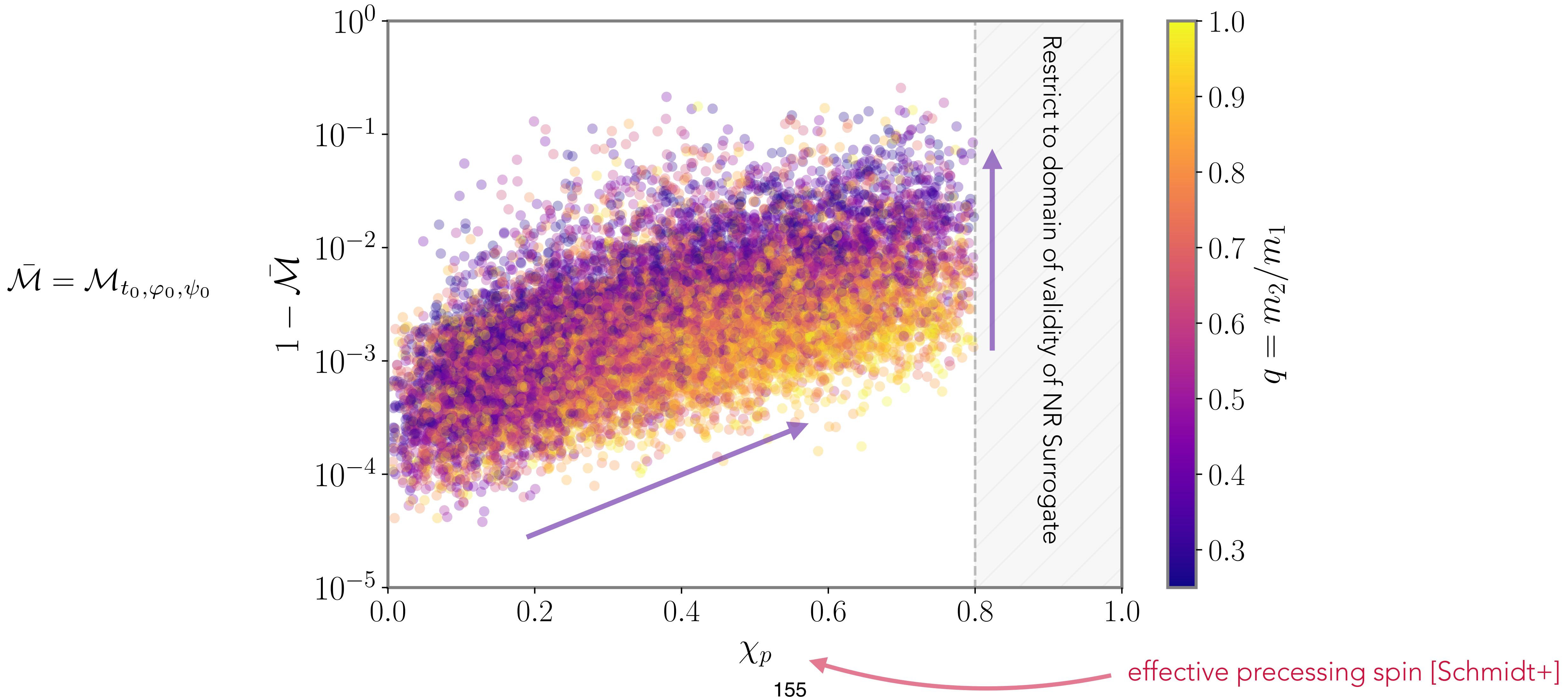
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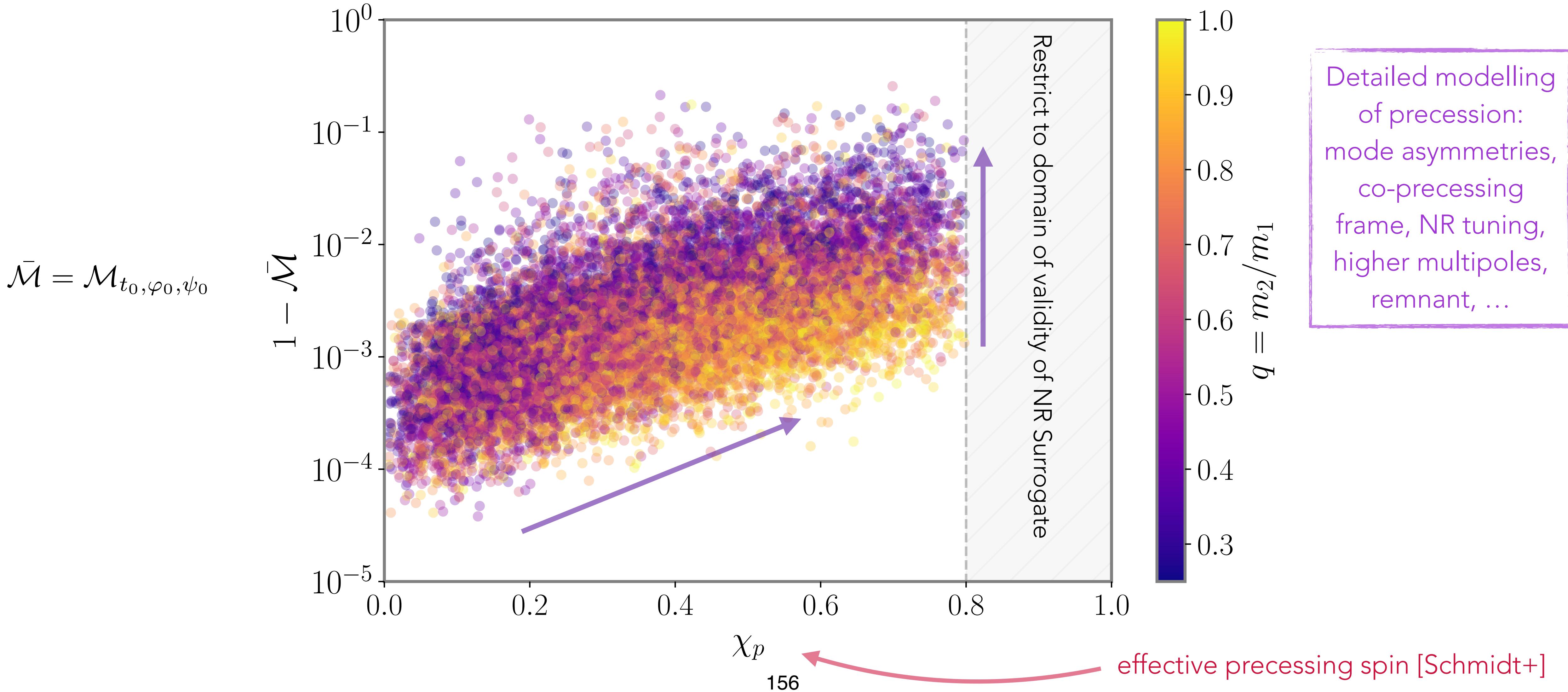
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Mismatches Across the Parameter Space?



- Accuracy of models highly dependent on binary geometry, mass ratio, spins, etc



Thank You!