

# Chiral and deconfinement thermal transitions at finite quark spin polarization in lattice QCD simulations



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## General theoretical aim:

to understand the effect of finite spin polarization on QCD thermodynamics

## A more focused question:

how do the QCD crossover temperatures  $T_c^\ell$  depend on the quark spin potential  $\mu_\Sigma$  ?

$\ell : L = (\text{de})\text{confinement}, \psi = \text{chiral}$

## Quantities we calculate from first principles:

the curvatures of the crossover temperatures

- polarizes spins of quarks along certain axis
- introduces no baryon/electric/axial charge

$$\frac{T_c^\ell(\mu_\Sigma)}{T_c(0)} = 1 - \kappa_\Sigma^\ell \left( \frac{\mu_\Sigma}{T_c(0)} \right)^2 + \dots, \quad [\ell = L, \psi]$$

based on

V. V. Braguta, A. A. Roenko, M. Ch., Phys.Rev.D 111 (2025) 11, 114508 • e-Print: 2503.18636

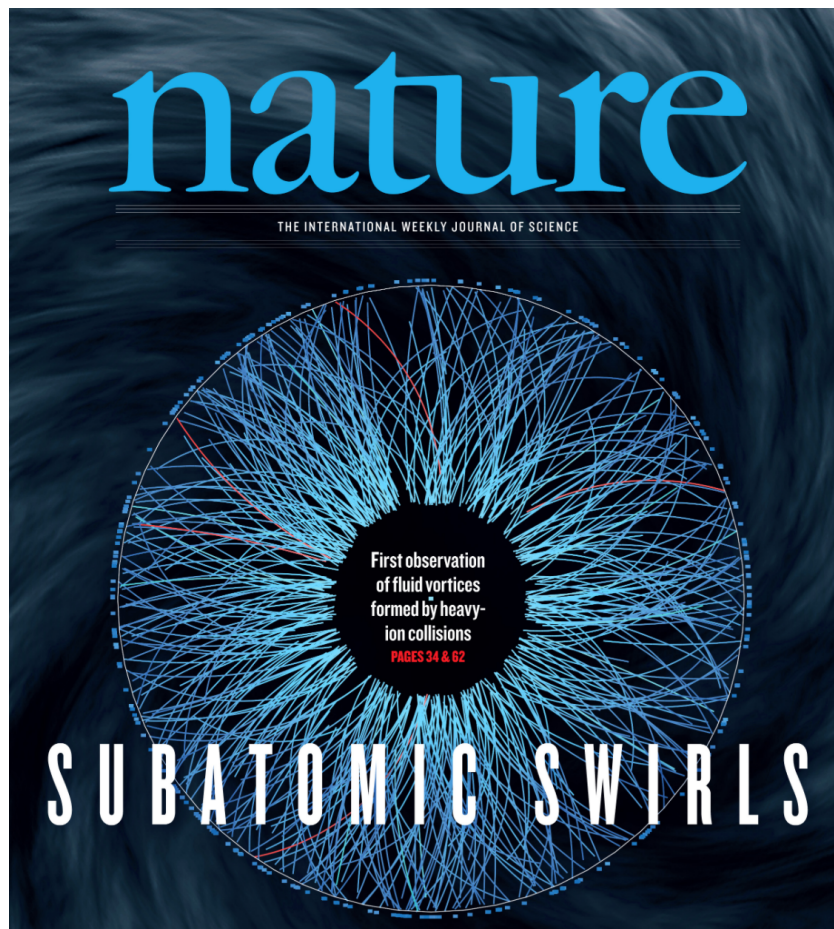
# Motivation by rotation

## Experiment

The experimental result for the global vorticity:

$$\omega \approx (9 \pm 1) \times 10^{21} \text{ s}^{-1}$$

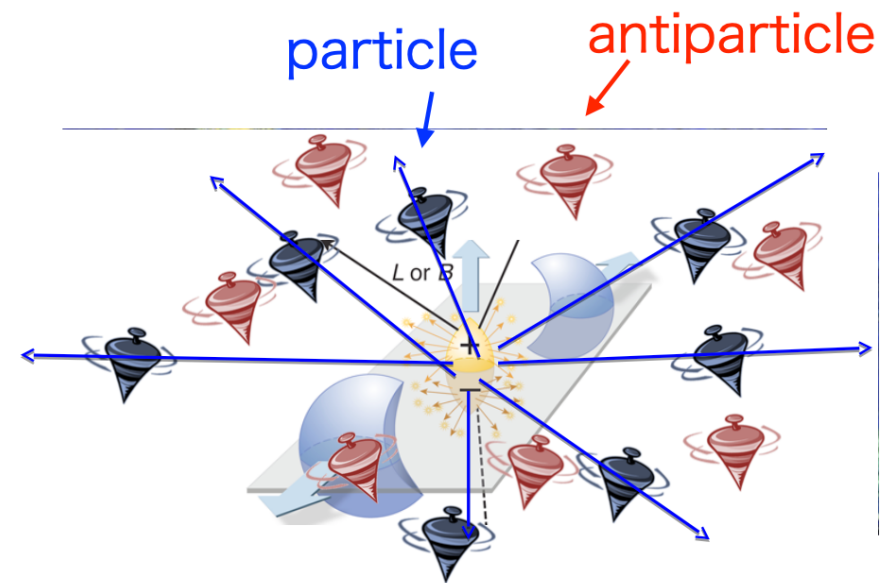
The most vortical fluid ever observed



The STAR Collaboration, Nature 62, 548 (2017)

## Theory

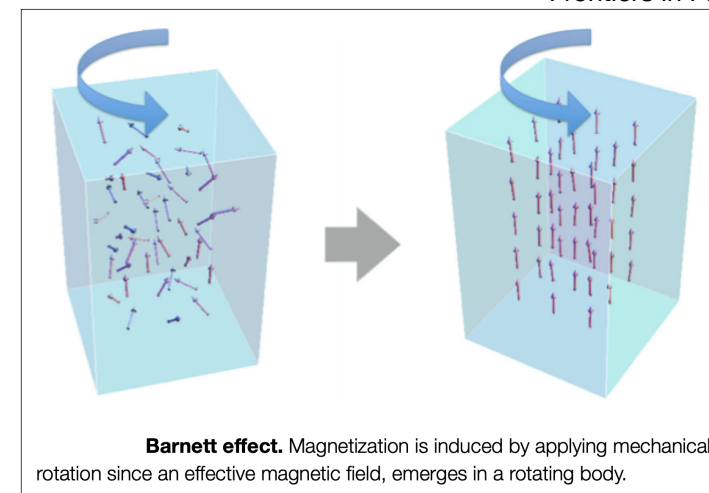
**Rotation (vorticity) polarizes the spins of quarks**  
→ gives an imprint in the spins of produced hadrons  
→ can be detected experimentally



[Illustration: T.Niida, 2019]

## The Barnett effect:

[Illustration: Matsuo, Ieda, Maekawa, Frontiers in Physics 3, 54 (2015)]



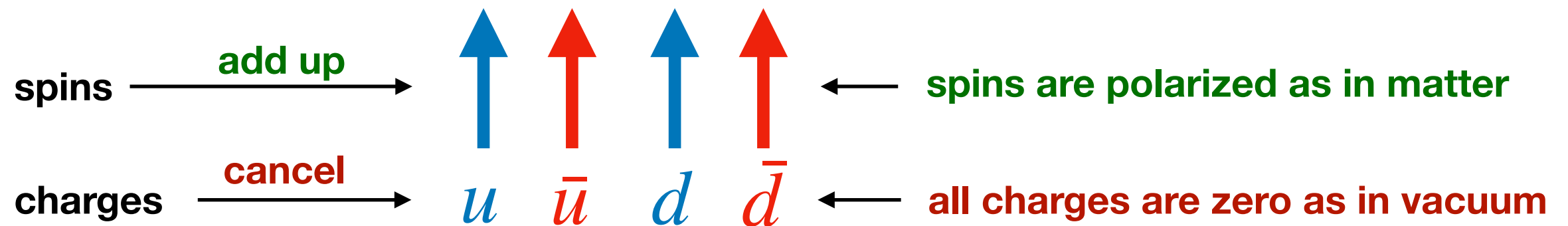
**Barnett effect.** Magnetization is induced by applying mechanical rotation since an effective magnetic field, emerges in a rotating body.

S. J. Barnett, "Magnetization by rotation," Phys. Rev. 6, 239 (1915).

# A special kind of (quark) matter:

1. Consider QGP with a non-zero spin density of quarks  
(generated, for example, by rotation/vorticity or other effects)
2. Take a clean example: a uniform, time-independent spin density  
(in a spirit of uniform steady rotation, uniform magnetic field, etc)
3. Assume that all charge densities are vanishing.  
(so that baryon, isospin, electric, axial, etc chemical potentials are zero)
4. Can we create such a configuration? Yes, we can!

A simplified example:



Each quark appears always in a company together with a corresponding anti-quark to make all global charges vanishing again, as in vacuum.

Disclaimer: valid in quark-gluon plasma phase (neutral, but not really a vacuum)

# Introducing spins of quarks

## The quark spin density term in the Dirac Lagrangian

$$\delta_{\Sigma} \mathcal{L}_q = \mu_{\alpha, \mu\nu} \bar{\psi} \mathcal{S}^{\alpha, \mu\nu} \psi$$

Spin potential

the relativistic spin density matrix

introduces a finite spin density and a finite spin current in the system

A particular choice

(a component of the)  
spin potential

$$\mu_{\alpha, \mu\nu} = \frac{\mu_{\Sigma}}{2} \delta_{\alpha 0} (\delta_{\mu 1} \delta_{\nu 2} - \delta_{\nu 1} \delta_{\mu 2})$$

makes the spins of quarks polarized along the  $z$ -axis in certain reference frame

**Important in spin (hydro)dynamics:**

[F. Becattini, Rept. Prog. Phys. 85, 122301 (2022); W. Florkowski, K. Fukushima, S. Pu, Phys.Lett.B 817 (2021) 136346; J.-H. Gao, G.-L. Ma, S. Pu, Q. Wang, Nucl.Sci.Tech. 31 (2020) 9, 90; B. Friman, A. Jaiswal, E. Speranza, Phys. Rev. C 97, 041901 (2018); D. Montenegro, L. Tinti, G. Torrieri, Phys. Rev. D 96, 056012 (2017), ...]

$$\mathcal{S}^{\alpha, \mu\nu} = \frac{1}{2} \{ \gamma^{\alpha}, \Sigma^{\mu\nu} \}$$

$$\Sigma^{\mu\nu} = \frac{i}{4} [\gamma^{\mu}, \gamma^{\nu}]$$

the canonical relativistic spin current operator for spin-1/2 Dirac fermions

There is well-discussed ambiguity (a pseudo-gauge symmetry) in the definition of the local spin operator.

Can be fixed in interacting theories; example:

[M. Buzzegoli, A. Palermo, Phys.Rev.Lett. 133 (2024) 26, 262301]

# Polarization and rotation of fermions

(“spin polarization = rotation - orbital motion”)

The quark spin density term:

$$\delta_{\Sigma} \mathcal{L}_q = \underbrace{\mu_{\Sigma} \bar{\psi} \gamma^0 \Sigma^{12} \psi}_{\text{spin polarization}}$$

$$\delta_{\Sigma} \mathcal{L}_q = \mu_{\alpha, \mu\nu} \bar{\psi} \mathcal{S}^{\alpha, \mu\nu} \psi$$

for the specific choice of the spin potential:

$$\mu_{\alpha, \mu\nu} = \frac{\mu_{\Sigma}}{2} \delta_{\alpha 0} (\delta_{\mu 1} \delta_{\nu 2} - \delta_{\nu 1} \delta_{\mu 2})$$

Rotation (about z-axis with the angular frequency  $\Omega$ )

$$\delta_{\Omega} \mathcal{L}_q = \underbrace{\Omega \bar{\psi} [i(-x \partial_y + y \partial_x)]}_{\text{orbital rotation}} + \underbrace{\gamma^0 \Sigma^{12}}_{\text{spin polarization}} \psi \quad \text{“}\Omega = \mu_{\Sigma}\text{”}$$

No orbital rotation - no infrared (causality) problem!

Behaves well in the infrared!  
(good thermodynamic limit)

The spin potential  $\mu_{\Sigma}$  is a thermodynamically conjugated quantity to the fermionic spin polarization density  $S_z = \bar{\psi} \gamma^0 \Sigma^{12} \psi$

“The spin potential  $\mu_{\Sigma}$  plays a role for the spin polarization  $S_z$  similar to the role of the baryon chemical potential  $\mu_B$  for the baryon density  $\rho_B$ ”

**N.B. - The spin potential  $\mu_{\Sigma}$  is not a “chemical” potential as spin is not conserved.**

# Setting up the lattice simulations

1. Add the quark spin density term  $\delta_\Sigma \mathcal{L}_q = \mu_\Sigma \bar{\psi} \gamma^0 \Sigma^{12} \psi$   
to the fermionic lattice action of the  $N_f = 2$  QCD

2. Make a Wick rotation to the Euclidean spacetime.

3. The sign-problem: make the spin potential imaginary,  $\mu_\Sigma = i\mu_\Sigma^I$

4. The Euclidean fermionic action becomes real-valued:

$$S_F = \int d^4x \bar{\psi} \left[ \gamma^x D_x + \gamma^y D_y + \gamma^z D_z + \gamma^\tau (D_\tau + i\mu_\Sigma^I \Sigma^{12}) + m \right] \psi$$

→ we need an analytical continuation back to the real world

5. The lattice QCD action:

**Gluons: the renormalization-group improved (Iwasaki) lattice action**

$$S_G = \beta \sum_x \left( c_0 \sum_{\mu < \nu} W_{\mu\nu}^{1 \times 1} + c_1 \sum_{\mu \neq \nu} W_{\mu\nu}^{1 \times 2} \right)$$

**Quarks: clover-improved action for Wilson fermions**

$$S_F = \sum_{f=u,d} \sum_{x_1, x_2} \bar{\psi}^f(x_1) M_{x_1, x_2} \psi^f(x_2)$$

$$\begin{aligned} M_{x_1, x_2} = & \delta_{x_1, x_2} - \\ & - \kappa \left[ \sum_{\mu=x,y,z} \left( (1 - \gamma^\mu) T_{\mu+} + (1 + \gamma^\mu) T_{\mu-} \right) + \right. \\ & + (1 - \gamma^\tau) \exp \left( ia\mu_\Sigma^I \Sigma^{12} \right) T_{\tau+} + \\ & \left. + (1 + \gamma^\tau) \exp \left( -ia\mu_\Sigma^I \Sigma^{12} \right) T_{\tau-} \right] - \\ & - \delta_{x_1, x_2} c_{SW} \kappa \sum_{\mu < \nu} \sigma_{\mu\nu} F_{\mu\nu}, \end{aligned}$$

# Lattice observables

## Confinement

### Polyakov loop

$$L(\mathbf{r}) = \left\langle \frac{1}{3} \text{Tr} \left[ \prod_{\tau=0}^{N_t-1} U_4(\tau, \mathbf{r}) \right] \right\rangle$$

### and its susceptibility

$$\chi_L = N_s^3 \left( \langle |L_{\text{bulk}}|^2 \rangle - \langle |L_{\text{bulk}}| \rangle^2 \right)$$

## Chiral symmetry breaking

### Susceptibility of the chiral condensate

$$\chi_{\bar{\psi}\psi}^{\text{disc}} = \frac{N_f T}{V} \left[ \langle \text{Tr}(M^{-1})^2 \rangle - \langle \text{Tr}(M^{-1}) \rangle^2 \right]$$

(a disconnected part of)

## Effect of the finite spin density on crossover temperatures

$$\frac{T_c^\ell(\mu_\Sigma^{\text{I}})}{T_c^\ell(0)} = 1 + \kappa_\Sigma^\ell \left( \frac{\mu_\Sigma^{\text{I}}}{T_c(0)} \right)^2 + \dots, \quad [\ell = L, \psi] \quad \text{Imaginary spin potential}$$

the curvatures of the confinement ( $\ell = L$ ) and chiral ( $\ell = \psi$ ) crossover transitions

$$\frac{T_c^\ell(\mu_\Sigma)}{T_c(0)} = 1 - \kappa_\Sigma^\ell \left( \frac{\mu_\Sigma}{T_c(0)} \right)^2 + \dots, \quad [\ell = L, \psi] \quad \text{Real spin potential}$$

# Lattice strategy

1. Many temperature points in the crossover region  $T \sim T_c$   
→ check the effect of the spin density on  $T_c$
2. Various meson mass ratios  $m_{PS}/m_V = 0.60, \dots, 0.85$   
→ extrapolate to the physical value  $m_\pi/m_\rho \simeq 0.175$
3. Several lattice extensions in the imaginary time,  $N_\tau = 4, 5, 6$   
→ check relevance of UV lattice cutoff
4. Several spatial extensions,  $N_s = 16, 20, 24$   
→ check the thermodynamic (IR) limit
5. We do not renormalize susceptibilities:  
a renormalization is important for actual values condensates, currents, densities in the presence of the axial chemical potential

[V. V. Braguta, V. A. Goy, E. M. Ilgenfritz, A. Yu. Kotov, A. V. Molochkov, M. Muller-Preussker, and B. Petersson, JHEP 06, 094 (2015);  
V. V. Braguta, E. M. Ilgenfritz, A. Yu. Kotov, B. Petersson, and S. A. Skinderev, Phys. Rev. D 93, 034509 (2016);  
B. B. Brandt, G. Endrődi, E. Garnacho-Velasco, G. Markó, JHEP 09 (2024) 092; G. Endrődi, Prog.Part.Nucl.Phys. 141 (2025) 104153.]

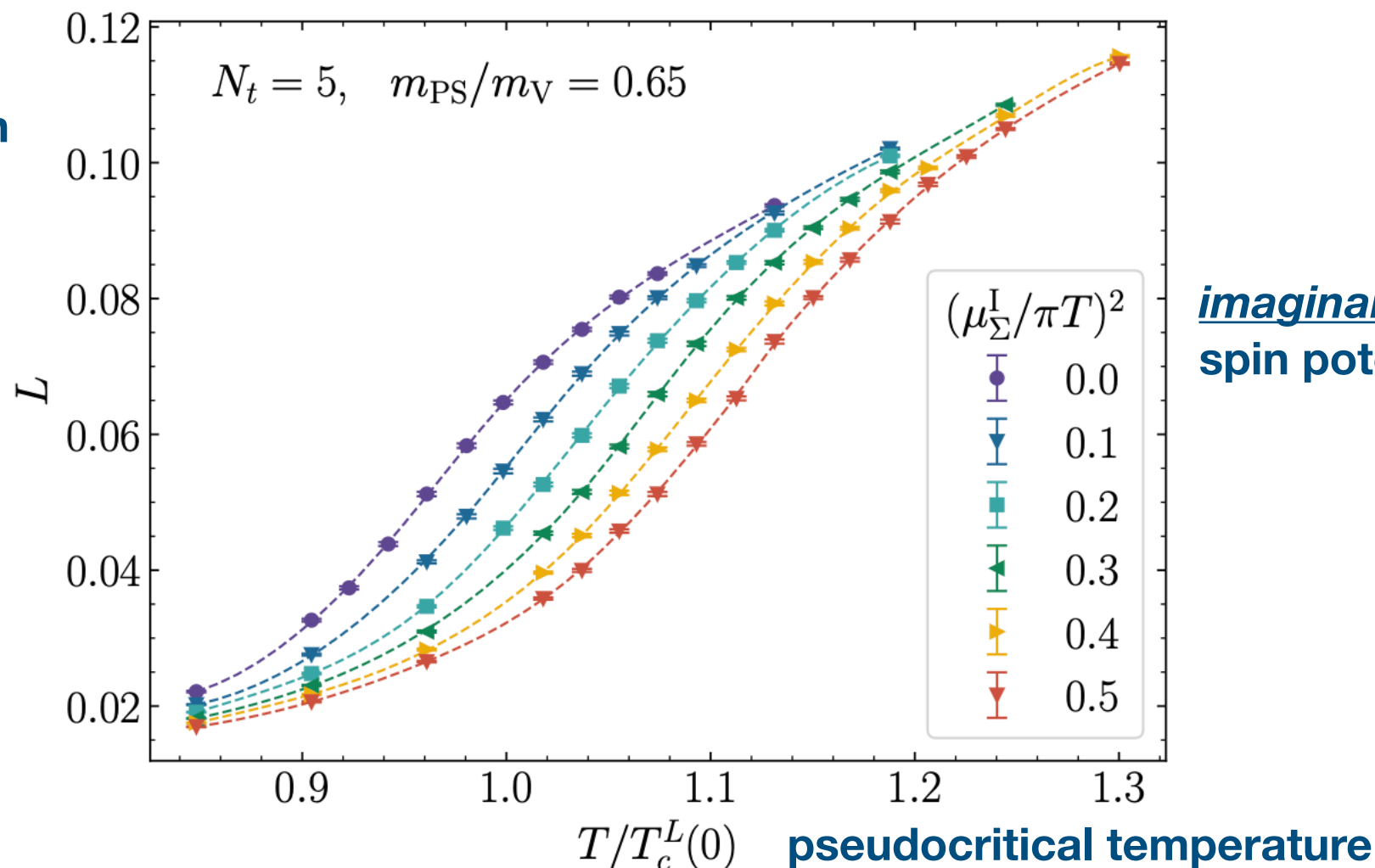
Talks by Gergely Endrodi and Eduardo Garnacho on Tuesday

not essential effect in temperature ratios  $T_c(\mu_\Sigma)/T_c(0)$

# Qualitative effect of polarization of quarks on gluons!

(an example for the deconfinement transition)

the value of  
the expectation  
value of the  
Polyakov loop



An increasing imaginary spin potential:

- leads to a decrease in the value of the Polyakov loop for all studied temperatures
- finite *imaginary* spin density favors confinement and increases the crossover temperature
- finite *real-valued* (= real) spin density favors deconfinement and lowers the crossover temperature

Let's make it quantitative

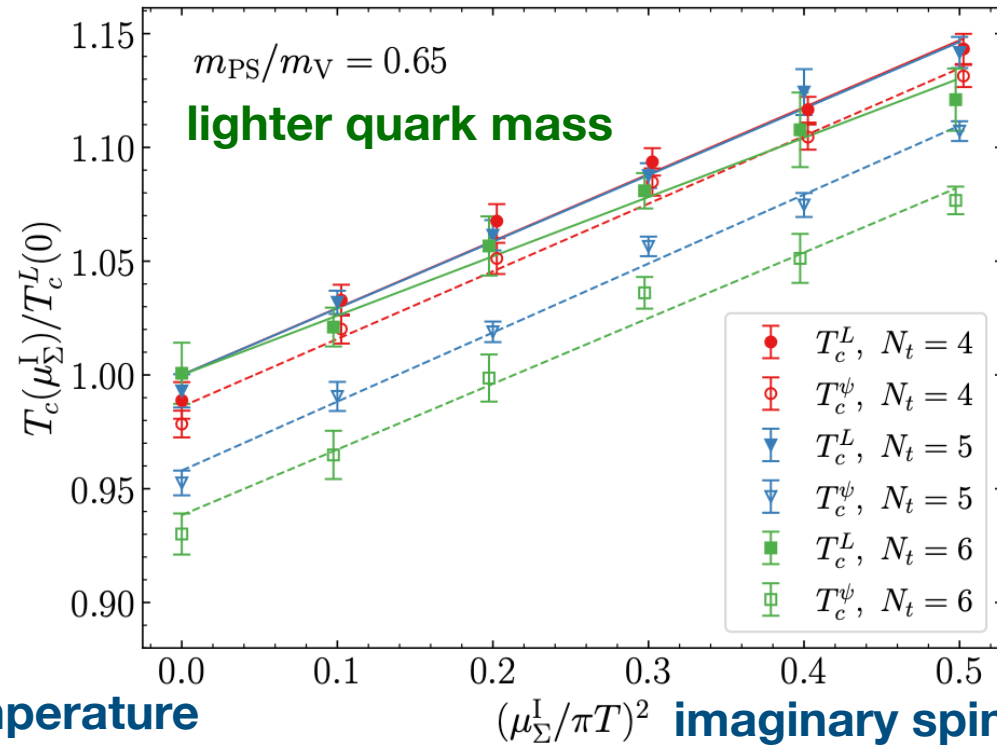
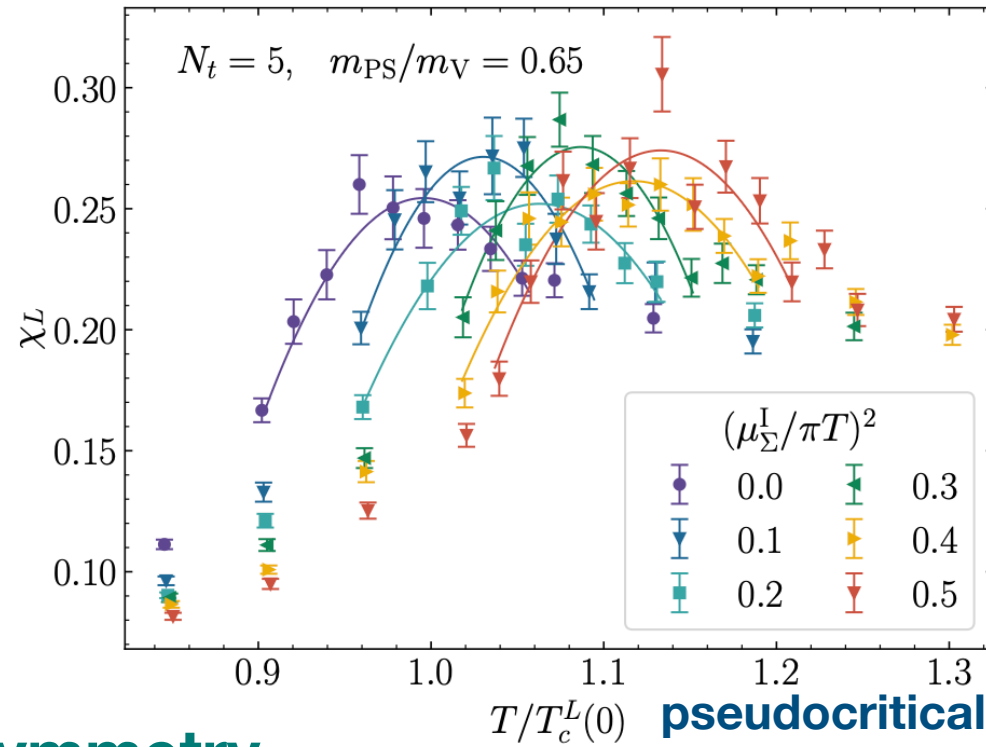
# Quantitative effect

a parabolic dependence of crossover temperatures on the (*imaginary*) spin potential

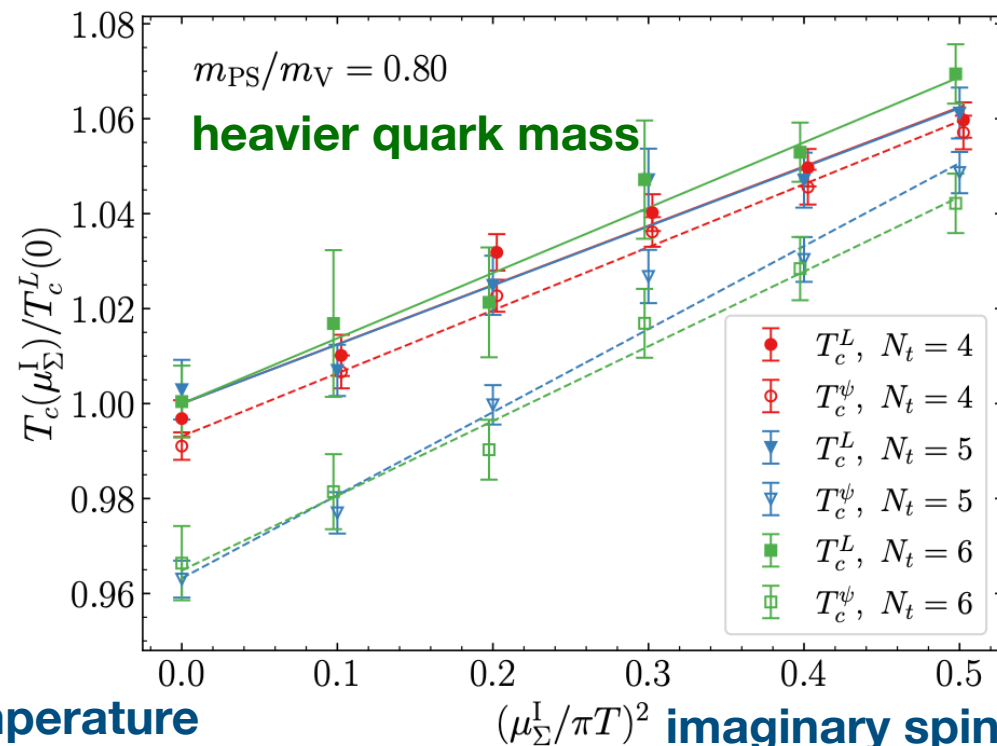
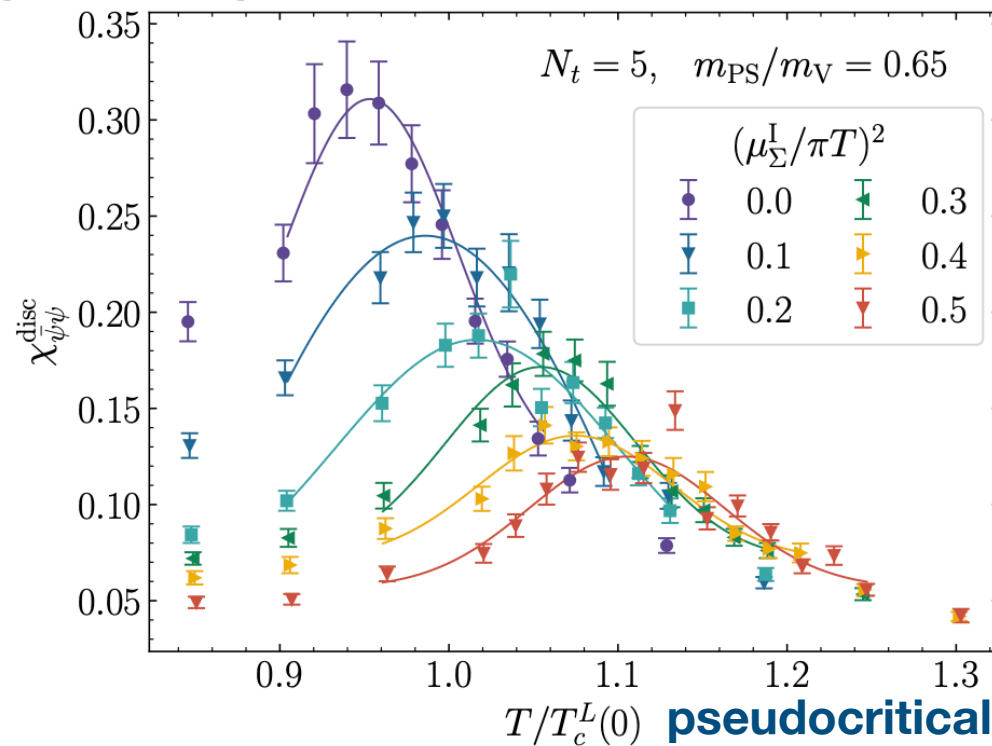
Confinement

Suseptibilities

Crossover temperatures



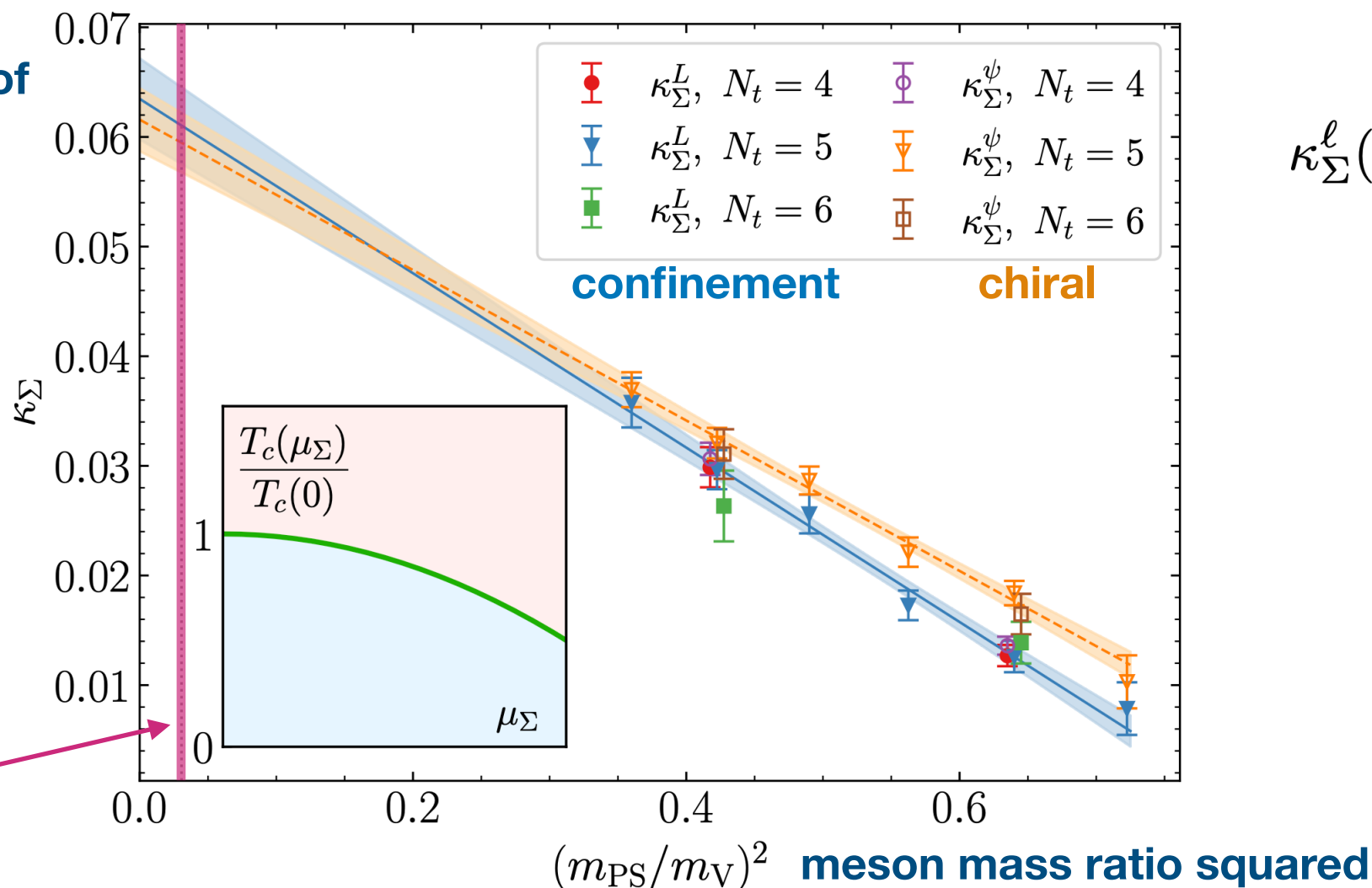
Chiral symmetry



# Extrapolation of the curvatures

the curvatures of the transitions

physical point



$$\kappa_{\Sigma}^{\ell}(\xi) = \varkappa_{\Sigma}^{\ell} + \gamma_{\Sigma}^{\ell} \xi^2$$

$$\xi = \frac{m_{\text{PS}}}{m_{\text{V}}}$$

$$\varkappa_{\Sigma}^L = 0.0635(37)$$

$$\varkappa_{\Sigma}^{\psi} = 0.0616(29)$$

$$\gamma_{\Sigma}^L = -0.0795(67)$$

$$\gamma_{\Sigma}^{\psi} = -0.0686(53)$$

$$\frac{T_c^{\ell}(\mu_{\Sigma}^{\text{I}})}{T_c^{\ell}(0)} = 1 + \kappa_{\Sigma}^{\ell} \left( \frac{\mu_{\Sigma}^{\text{I}}}{T_c(0)} \right)^2 + \dots, \quad [\ell = L, \psi]$$

the curvatures of the confinement ( $\ell = L$ ) and chiral ( $\ell = \psi$ ) crossover transitions

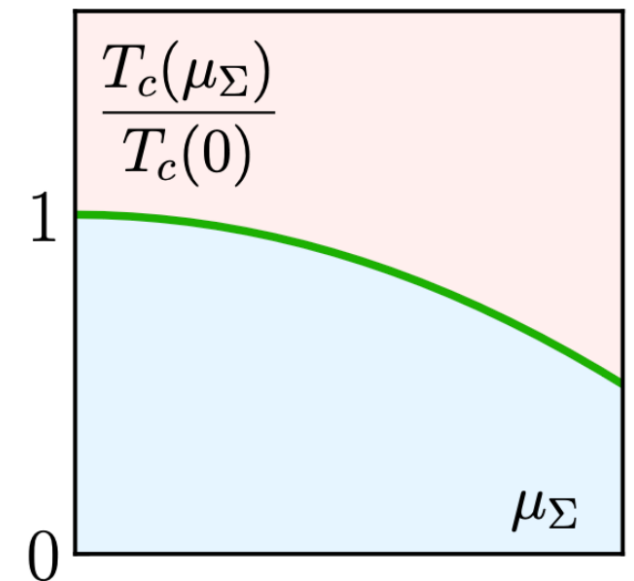
$$\frac{T_c^{\ell}(\mu_{\Sigma})}{T_c(0)} = 1 - \kappa_{\Sigma}^{\ell} \left( \frac{\mu_{\Sigma}}{T_c(0)} \right)^2 + \dots, \quad [\ell = L, \psi]$$

# Curvatures of the confinement and chiral transitions

$$\frac{T_c^\ell(\mu_\Sigma)}{T_c(0)} = 1 - \kappa_\Sigma^\ell \left( \frac{\mu_\Sigma}{T_c(0)} \right)^2 + \dots, \quad [\ell = L, \psi]$$

## Spin-density curvature of the transitions:

$$\begin{aligned} \kappa_\Sigma^L(\text{phys}) &= 0.0610(35) \\ \kappa_\Sigma^\psi(\text{phys}) &= 0.0595(27) \end{aligned} \quad \text{at} \quad \left( \frac{m_{\text{PS}}}{m_V} \right)_{\text{phys}} = 0.175$$



## Compare with the effect of a finite baryonic density in $N_f = 2 + 1$ QCD:

baryon chemical potential

→ quark chemical potential

$$\begin{aligned} T_c(\mu_B)/T_c(0) &= 1 - \kappa_B(\mu_B/T_c)^2 \\ \kappa_B &= 0.0135(20) \end{aligned}$$

$$\begin{aligned} T_c(\mu_B)/T_c(0) &= 1 - \kappa_q(\mu_q/T_c)^2 \\ \mu_B &= 3\mu_q \end{aligned}$$

[C. Bonati, M. D'Elia, M. Mariti, M. Mesiti, F. Negro, Phys.Rev.D 92 (2015) 5, 054503;  
C Bonati, M. D'Elia, F. Negro, F. Sanfilippo, K. Zambello, Phys.Rev.D 98 (2018) 5, 054510;  
S. Borsanyi, Z. Fodor, J. N. Guenther, et al. Phys.Rev.Lett. 125 (2020) 5, 052001;  
H.-T. Ding, O. Kaczmarek, P. Petreczky, M. Sarkar et al., Phys.Rev.D 109 (2024) 11, 114516]

→ get a comparable curvature to the usual quark chemical potential  $\kappa_q = 0.12(2)$

→ Can be captured in effective infrared models

Talk by Pracheta Singha on Linear Sigma model coupled to quarks (on Monday)

[Pacheta Singha, Victor E. Ambrus, Sergiu Busuioc, Aritra Bandyopadhyay and M.Ch., in preparation]

# Conclusions

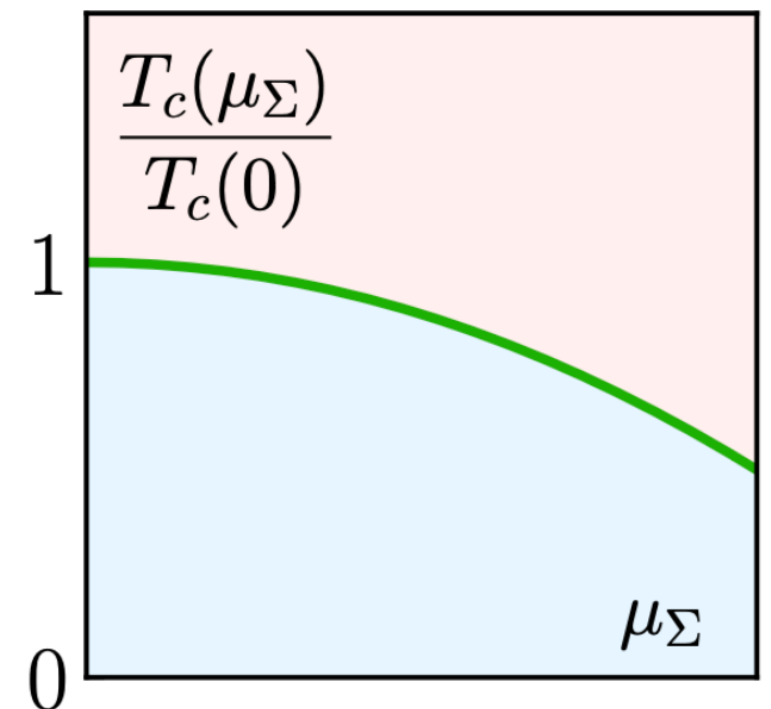
1. Out first principle lattice simulations in lattice QCD show that the confinement and chiral crossover temperatures drop with the increase in the (canonical) quark spin density

2. Spin polarization of quarks affects gluons

3. No problem with pseudogauge symmetry

4. Quantitatively,  $\kappa_{\Sigma}^L \text{ (phys)} = 0.0610(35)$   
 $\kappa_{\Sigma}^{\psi} \text{ (phys)} = 0.0595(27)$

$$\frac{T_c^{\ell}(\mu_{\Sigma})}{T_c(0)} = 1 - \kappa_{\Sigma}^{\ell} \left( \frac{\mu_{\Sigma}}{T_c(0)} \right)^2 + \dots, \quad [\ell = L, \psi]$$



4. The magnitude of the finite-spin curvature is comparable to (a factor of 2 smaller than) the curvature at a finite baryon density

5. No split in the deconfining and chiral transitions is found.

6. Despite the spin density of quarks is not a conserved quantity, the QCD thermodynamics is sensitive the quark spin polarization.