

## Testing infrared confining models beyond fundamental correlation functions

#### Letícia F. Palhares

Departamento de Física Teórica (UERJ, Brazil)



IR-QCD Group @ UERJ: M. Capri, M. Guimaraes, B. Mintz, S. Sorella

C.Mena and LFP, Phys.Rev.D 109 (2024) 9, 094006

J.P.S.Santos and LFP, to appear.









- **Confining models** as an alternative approach to IR QCD
  - Status of Refined Gribov-Zwanziger framework
- Testing IR confining models in observables and phenomenology:
  - The q-qbar-photon vertex and the anomalous magnetic moment: model contraints from an observable?
  - Color SUC via nonperturbative gluon exchange [see talk by J.P.S. Santos on Friday!]

## Motivation: the confinement problem

Fundamental degrees of freedom are not part of the spectrum



#### **Physical spectrum of bound states** dynamically generated at low energies.

[Yang-Mills gauge theories] and QCD:





#### What is the mechanism??

What happens to quarks and gluons in the IR??

How to construct low-energy models with gluon dynamics??

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#### [Glueballs,] baryons and mesons



## Gluon propagator in the infrared

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#### • Finite infrared gluon propagator in Landau gauge:

- early predictions in Dyson-Schwinger studies [Aguilar, Natale (2004); Frasca (2007)]
- High-precision lattice YM results for large systems [Cucchieri, Mendes (2008)]



Also confirmed by other lattice groups: [Bogolubsky et al (2009); Oliveira & Silva (2009)]

- FRG: Cyrol, Fister, Mitter, Pawlowski, Strodthoff (PRD 2016)
- Curci-Ferrari (massive) models: Pelaez, Reinosa, Serreau, Tissier, Wschebor (2015, 2016)
- Gluon condensate from lattice QCD: Boucaud, Pene, Rodriguez-Quintero et al (2001)

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**Quantizing Yang-Mills theories beyond Pert. Theory?** 

#### [Gribov (1978)]

#### **The Gribov problem:**

In the Landau gauge, for instance, the theory assumes the form

$$\int \mathcal{D}A\mathcal{D}\bar{c}\mathcal{D}c\mathcal{D}b \, e^{-S_{YM}+S_{gf}}$$
$$S_{gf} = b^a \partial_\mu A^a_\mu - \bar{c}^a \mathcal{M}^{ab} c^b , \qquad \mathcal{M}^{ab} = -\partial_\mu \left(\delta^{ab} \partial_\mu + g f^{abc} A^c_\mu\right)$$

- Gribov copies  $\rightarrow$  zero eigenvalues of the Faddeev-Popov operator  $\mathcal{M}^{ab}$ .
- Copies cannot be reached by small fluctuations around A = 0(perturbative vacuum)  $\rightarrow$  perturbation theory works!
- Once large enough gauge field amplitudes have to be considered (non-perturbative domain) the copies will show up enforcing the effective breakdown of the Faddeev-Popov procedure.

## Quantizing Yang-Mills theories: the Gribov approach

• Gribov proposed a way to eliminate (infinitesimal) Gribov copies from the integration measure over gauge fields: **the restriction to the (first) Gribov region**  $\Omega$ 

$$\int [DA]\delta(\partial A) \det(\mathcal{M}) e^{-S_{\rm YM}} \longrightarrow \int_{\Omega} [DA]\delta(\partial A) \det(\mathcal{M}) e^{-S_{\rm YM}} \qquad S_{\rm YM} = \frac{1}{4} \int_{x} F^{2}$$

with  $\Omega = \left\{ A^a_{\mu} \; ; \; \partial A^a = 0, \mathcal{M}^{ab} > 0 \right\}$   $\mathcal{M}^{ab} = -\partial_{\mu} \left( \delta^{ab} \partial_{\mu} + f^{abc} A^c_{\mu} \right) = -\partial_{\mu} D^a_{\mu}$  (Faddeev-Popov operator)



quantity  $\sigma(k; A)$  turns out to be a decreasing function entum k. Thus, the no-pole condition becomes

$$\langle \sigma(0;A) \rangle_{1PI} = 1$$
.

4) can be exactly evaluated as

$$\begin{split} A) &= -\frac{g^2}{VD(N^2 - 1)} \int \frac{d^D p}{(2\pi)^D} \int \frac{d^D q}{(2\pi)^D} A^{ab}_{\mu}(-p) \left( \frac{H(A)}{VD(N^2 - 1)} \right) \end{split}$$

he no-pole condition can also be written as

$$\langle H(A) \rangle_{1PI} = VD(N^2 - 1)$$

is known as the Horizon function

$$\sigma(0;A)\rangle_{1PI} = 1 .$$

 $\sigma(0, A)$  can be exactly evaluated as

$$\begin{aligned} \sigma(0,A) &= -\frac{g^2}{VD(N^2 - 1)} \int \frac{d^D p}{(2\pi)^D} \int \frac{d^D q}{(2\pi)^D} \\ &= \frac{H(A)}{VD(N^2 - 1)} \end{aligned}$$

and the no-pole condition can also be written

$$\langle H(A) \rangle_{1PI} = VD(N^2)$$

H(A) is known as the Horizon function

M. A. L. Capri, D. Dudal, M. S. Guimaraes, L. F. Palhares and S. F (2013).

Capri, D. Dudal, M. S. Guimaraes, L. F. Palharddands. P: Solella Phyte<sub>p</sub>Lett. B / 19, 448  
marães (DFTIF/UERJ)  
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0rm: 
$$Z = \int [\mathcal{D}\Phi] \, \delta(\partial A) \, \det \mathcal{M} \, e^{-S_{GZ}}$$
  
cion can also be written as  
 $\langle H(A) \rangle_{1PI} = VD(N^2 - 1)$   
Horizon function  
immaraes, L. F. Palhares and S. P. Sorella, Phys. Lett. B **719**, 448  
Is in Quantum Matter @ SP, July/2025) 7

Marcelo Santos Guimarães (DFT-IF/UERJ)

#### The Refined Gribov-Zwanziger action

• The GZ theory is unstable against the formation of certain dimension 2 condensates, giving rise to a refinement of the effective IR action:

$$S_{\rm YM} \xrightarrow{Gribov} S_{\rm GZ} = S_{\rm YM} + \gamma^4 \mathcal{H}$$

$$\xrightarrow{\text{restriction(UV})} \rightarrow \text{IR)}$$

$$S_{\rm RGZ} = S_{\rm YM} + \gamma^4 \mathcal{H} + \frac{m^2}{2}AA - M^2 \left(\overline{\varphi}\varphi - \overline{\omega}\omega\right)$$

<u>Gap equation for</u> the Gribov param.:

$$\frac{\partial \mathcal{E}(\gamma)}{\partial \gamma} = 0 \Rightarrow \langle H(A) \rangle_{1PI} = VD(N^2 - 1)$$

The parameters M and m are obtained via minimization of an effective potential for:

$$\langle \overline{\varphi}\varphi - \overline{\omega}\omega \rangle \neq 0$$
  $\langle A^2 \rangle \neq 0$ 

• Non-perturbative effects included:  $(\gamma,$ 

$$(M, m) \propto \mathrm{e}^{-\frac{1}{g^2}}$$

[Dudal et al (2008)]

- ✓ (can be cast in a) local and renormalizable action
- reduces to QCD (pure gauge) at high energies?

Gribov parameter in the UV

• The one-loop solution of the gap equation in the GZ theory gives:

$$2Ng^2\gamma^4 = \tilde{\gamma}^4 = \mu^4 e^{\frac{5}{3} - \frac{128\pi^2}{3Ng^2}}$$

• Using the definition of the MSbar YM scale  $\Lambda$  (RG-invariant scale):

$$\frac{\tilde{\gamma}^4}{\Lambda} = e^{5/12} \left[\frac{\Lambda}{\mu}\right]^{\frac{ab_0\pi}{2N}} \qquad \frac{ab_0\pi}{2N} \sim 3.9$$



 $\Lambda = 300 {\rm MeV}$ 

 $\tilde{\gamma}(\mu = 1 \,\text{GeV}) \sim 4 \,\text{MeV}$  $\tilde{\gamma}(\mu = 5 \,\text{GeV}) \sim 0.008 \,\text{MeV}$ 

- (can be cast in a) local and renormalizable action
- reduces to QCD (pure gauge) at high energies
- ✓ consistent with gluon 'confinement'? Confining propagator (no physical propagation; violation of reflection positivity)

Schwinger function (computed directly from the gluon propagator):

$$C(t) = \int_{-\infty}^{\infty} \frac{\mathrm{d}p}{2\pi} D(p^2) \exp(-ipt).$$

Strictly positive if the gluon spectral function is physical:

$$C(t) = \int_0^\infty \mathrm{d}\omega \rho(\omega^2) e^{-\omega t}, \qquad D(p^2) = \int_0^\infty \mathrm{d}\mu \frac{\rho(\mu)}{\mu + p^2}.$$

**Positivity violation for the gluon in lattice data:** 



SU(3) latt.: [Silva et al (2014)]

(can be cast in a) local and renormalizable action

reduces to QCD (pure gauge) at high energies

✓ consistent with gluon 'confinement': confining propagator (no physical propagation; violation of reflection positivity)

✓ consistent with lattice IR results ?



$$\langle A^a_{\mu} A^b_{\nu} \rangle_p = \delta^{ab} \left( \delta_{\mu\nu} - \frac{p_{\mu} p_{\nu}}{p^2} \right) D(p^2)$$
$$D_{\text{fit}}(p^2) = C \frac{p^2 + s}{p^4 + u^2 p^2 + t^2}$$
$$C = 0.56(0.01), \ u = 0.53(0.04) \text{ GeV},$$
$$t = 0.62(0.01) \text{ GeV}^2, \ u = 2.6(0.2) \text{ GeV}^2$$
$$\text{poles:} \ m^2_{\pm} = (0.352 \pm 0.522i) \text{GeV}^2$$
$$D_{\text{RGZ}}(p^2) = \frac{p^2 + M^2}{p^4 + (M^2 + m^2)p^2 + 2g^2 N\gamma}$$

**NB.:** Complex conjugated poles!

(can be cast in a) local and renormalizable action

reduces to QCD (pure gauge) at high energies

✓ consistent with gluon 'confinement': confining propagator (no physical propagation; violation of reflection positivity)

**consistent** with lattice IR results (fitted propagators, promising gh-g vertex)

- (can be cast in a) local and renormalizable action
- reduces to QCD (pure gauge) at high energies

✓ consistent with gluon 'confinement': confining propagator (no physical propagation; violation of reflection positivity)

**consistent with lattice IR results (fitted propagators, promising gh-g vertex)** 

*f* physical spectrum of bound states? Glueballs w/ masses compatible w/ lattice
 [Dudal,Guimaraes,Sorella, PRL(2011), PLB(2014)]
 *f* other applications...

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✓ Exact modified BRST invariance => gauge-parameter independence [Capri et al (2016,2017)]

- (can be cast in a) local and renormalizable action
- reduces to QCD (pure gauge) at high energies

✓ consistent with gluon 'confinement': confining propagator (no physical propagation; violation of reflection positivity)

**consistent with lattice IR results (propagators, ghost-gluon vertex)** 

physical spectrum of bound states? Glueballs w/ masses compatible w/ lattice
 other applications...

Exact modified BRST invariance

[Dudal, Felix, LFP, Rondeau, Vercauteren, EPJC (2019)]
 X no quantitative prediction without fitting lattice data for propagators
 X no general definition of physical operators, unitarity
 X quark confinement properties: linear potential, etc...
 X Minkowski space

- $\checkmark$  (can be cast in a) local and renormalizable action
- ✓ reduces to QCD (pure gauge) at high energies

✓ consistent with gluon 'confinement': confining propagator (no physical propagation; violation of reflection positivity)

✓ consistent with lattice IR results (propagators, ghost-gluon vertex)

✓ physical spectrum of bound states? Glueballs w/ masses compatible w/ lattice
 ✓ other applications...

**√***Exact BRST invariance* 

[Dudal, Felix, LFP, Rondeau, Vercauteren, EPJC (2019)]

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- **X** no quantitative prediction without fitting lattice data for propagators
- **X** no general definition of physical operators, unitarity
- **X** quark confinement properties: linear potential, etc...
- X Minkowski space
- **X** other observables and phenomenological tests?

Does a theory constructed with positivity-violating fundamental DOFs produce physical phenomenology?

## The quark-photon vertex and anomalous mag. mom.

- A different correlation function that is accessible by lattice simulations.
- The soft limit of the F<sub>2</sub> form factor is **gauge independent** and, in non-confined fermions, directly related to an **observable**.

$$\int_{f} \int_{f} e Q_{f} \overline{U}(q_{2}) \left[ \gamma_{\mu} F_{1}(p^{2}) + \frac{p_{\nu} \sigma_{\mu\nu}}{2m} F_{2}(p^{2}) \right] U(q_{1}) \Rightarrow g = 2 \left[ F_{1}(0) + F_{2}(0) \right]$$



[Mena & LFP, PRD (2024)]

[Mena & LFP, PRD (2024)]



#### • Estimating the proton AMM from confining models...

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We adopt the simplest Constituent Quark Model to estimate the effect on the proton A....

$$\mu_p^{CQM} = \left[\frac{4}{3}\mu_u - \frac{1}{3}\mu_d\right] \quad \text{with} \quad \mu_q = Q_q \left(\frac{e}{2m_q}\right) \left(1 + Q_q^2 \left(\frac{\alpha}{2\pi}\right) + C_F \left(\frac{\alpha_s}{\pi}\right)\overline{F}_2(0)\right)$$

• CQM parameters: constituent quark mass fixed to proton mass

$$m_q = 363 \text{ MeV } M_p \rightarrow M_p^{exp} \approx 939 \text{ MeV}$$

 Confining model parameters: dynamically generated gluon mass(es) +

strong coupling in the deep IR

$m_g$	0 MeV	140 MeV	185.64 MeV	600 MeV
$\alpha_s \parallel \lambda_{CF} = 3\alpha_s/4$	0.38    0.091	0.83    0.198	1.00    0.239	3.24    0.773

#### [Mena & LFP, PRD (2024)]

#### **RGZ model**



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#### Estimating the proton AMM from confining models...

- I. Confining models even with complex conjugated poles yield reasonable results;
- 2. Dynamically generated gluon masses can be accomodated if the strong coupling is large enough in the IR (or changing other CQM parameters...)
- 3. Still hard to constrain models, but lattice data may help.
  - CQM parameters: constituent quark mass fixed to proton mass

 $m_q = 363 \text{ MeV } M_p \rightarrow M_p^{exp} \approx 939 \text{ MeV}$ 

 Confining model parameters: dynamically generated gluon mass(es) +

strong coupling in the deep IR



- Color superconductivity mediated by gluons at intermediate to high densities should probably be affected by nonperturbative modifications of the gluon propagator;
- <u>Aims here:</u> [see talk by J.P.S. Santos on Friday!]

Do complex-conjugated poles generate non-physical features in SUC?

How is the SUC gap influence by the presence of massive gluon parameters?

Could one discriminate between the predictions of different IR models?

[Santos & LFP, to appear; Dudal, LFP & Santos, in progress]

Toy model for color SUC wiht confining propagators

For a simple bosons dia RGZ-insp

**IR-modified boson propagators** 

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$$S = \int_{x} \mathscr{L} = \int_{x,y} \left[ \overline{\psi}(x) G_0^{-1}(x,y) \psi(y) - \frac{1}{2} \varphi(x) D^{-1}(x,y) \varphi(y) \right]$$
$$-g \int_{x} \overline{\psi}(x) \psi(x) \varphi(x) ,$$

Dirac (free), finite density =>  $G_0^{-1}(x,y) = \delta(x-y)(i\gamma^{\mu}\partial_{\mu} + \gamma^{0}\mu - m)$ 

Massive	GZ	RGZ
$\frac{1}{l^2 + m_g^2}$	$\frac{l^2}{l^4 + \lambda^4}$	$\frac{l^2 + M_1^2}{l^4 + l^2 M_2^2 + M_3^4}$

Toy model for color SUC with confining propagators

+

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 Integrating out the boson, one arrives at a 4-fermion theory that is convenient to study SUC:

IR-modified boson propagators  

$$S' = \int_{x,y} \left[ \overline{\psi}(x) G_0^{-1}(x,y) \psi(y) + \frac{g^2}{2} \overline{\psi}(x) \psi(x) D(x,y) \overline{\psi}(y) \psi(y) \right]$$

 To describe the di-quark condensate, one can transform to Nambu Gorkov space, with a charge-conjugate spinor defined by:

$$\begin{split} \Psi_C &\equiv C \overline{\Psi}^T , \qquad C = i \gamma^2 \gamma^0 \\ \overline{\psi}(x) \psi(x) \overline{\psi}(y) \psi(y) &= \frac{1}{2} [\overline{\psi}_C(x) \psi_C(x) \overline{\psi}(y) \psi(y) + \overline{\psi}(x) \psi(x) \overline{\psi}_C(y) \psi_C(y)] \\ &= -\frac{1}{2} \operatorname{Tr}[\psi_C(x) \overline{\psi}(y) \psi(y) \overline{\psi}_C(x) + \psi(x) \overline{\psi}_C(y) \psi_C(y) \overline{\psi}(x)] \end{split}$$

Toy model for color SUC with confining propagators

• Introducing the di-quark condensate in mean-field approximation:

$$S'' = \int_{x,y} \left\{ \overline{\psi}(x) G_0^{-1}(x,y) \psi(y) + \frac{1}{2} [\overline{\psi}_C(x) \Phi^+(x,y) \psi(y) + \overline{\psi}(x) \Phi^-(x,y) \psi_C(y)] \right\}$$

$$\Phi^{+}(x,y) \equiv g^{2}D(x,y)\langle \psi_{C}(x)\overline{\psi}(y)\rangle,$$
$$\Phi^{-}(x,y) \equiv g^{2}D(x,y)\langle \psi(x)\overline{\psi}_{C}(y)\rangle.$$

• or, in terms of the inverse propagator matrix in Nambu-Gorkov space:

• Implementing a  

$$S(k) = \begin{pmatrix} G^{+} & F^{-} \\ F^{+} & G^{-} \end{pmatrix} = -\begin{pmatrix} \langle \psi(x)\overline{\psi}(y) \rangle & \langle \psi \\ \langle \psi_{c}(x)\overline{\psi}(y) \rangle & \langle \psi_{c} \rangle \rangle \\ \langle \psi_{c}(x)\overline{\psi}(y) \rangle & \langle \psi_{c} \rangle \rangle \rangle \\ G^{\pm} = (G_{0}^{\pm})^{-1} - \Phi^{\pm}G_{0}^{\pm}\Phi^{\pm})^{-1} \\ S(k) = \begin{pmatrix} G^{+} & & \\ G^{+} & & \\ F^{+} & G^{-} \end{pmatrix} = -\begin{pmatrix} F^{\pm} \equiv -G_{0}^{\pm}\Phi^{\pm}G^{\pm} & \Phi^{+}(x,y) = -g^{2}D(x,y)F^{+}(x,y) \\ & & & \\ F^{\pm} & & & \\ G^{\pm} & & & \\ F^{\pm} & \\ F^{\pm} & \\ F^{\pm} & & \\ F^{\pm}$$

$$\Phi^{+}(p) = g^{2} \frac{T}{V} \sum_{k} D(p-k) G_{0}^{-} \Phi^{+} G^{+}$$

#### **IR-modified boson propagators**

$$\Phi^{\pm}(K) = \pm \Delta(K)\gamma^5,$$

+

(even parity, spin-singlet pairing)

D. Bailin, A. Love, Phys.Rept. 107, 325 (1984)R.D. Pisarski, D.H. Rischke, Phys.Rev. D60, 094013 (1999)

Results for the SUC gap for confining-type props.



Results for the SUC gap



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## Toy model for color SUC with confining propagators

 Infrared-safe inspired running suppresses the SUC gap in the Yukawa toy model:



# Final comments

- Dynamical gluon mass generation should occur in IR YM theories.
- The **Gribov problem** is present and should profoundly affect the IR regime of gauge-fixed non-Abelian gauge theories.
- The **RGZ framework** represents a consistent scenario to study the non-perturbative IR physics and has provided *interesting results for correlation functions in the gluon sector fitting lattice propagators*.
- The q-qbar-photon may be calculated on the lattice and offers a window to observables like the anomalous magnetic moment (possibility of parameter and/or model constraining)
- Color SUC is also sensitive to the nonperturbative gluon mass and IR models yield physical results, with in general a suppression of the value of the gap in the toy model studied.
- Construct low energy effective models with nonperturbative gluons and quarks!

#### Thank you for your attention!



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# **BACKUP SLIDES**

Gribov parameter in the UV

• The one-loop solution of the gap equation in the GZ theory gives:

$$2Ng^2\gamma^4 = \tilde{\gamma}^4 = \mu^4 e^{\frac{5}{3} - \frac{128\pi^2}{3Ng^2}}$$

• Using the definition of the MSbar YM scale  $\Lambda$  (RG-invariant scale):

$$\frac{\tilde{\gamma}^4}{\Lambda} = e^{5/12} \left[\frac{\Lambda}{\mu}\right]^{\frac{ab_0\pi}{2N}} \qquad \frac{ab_0\pi}{2N} \sim 3.9$$



 $\Lambda = 300 \mathrm{MeV}$ 

 $\tilde{\gamma}(\mu = 1 \,\text{GeV}) \sim 4 \,\text{MeV}$  $\tilde{\gamma}(\mu = 5 \,\text{GeV}) \sim 0.008 \,\text{MeV}$ 

#### BRST-invariant (R)GZ framework in a nutshell

• A gauge-invariant gluon field: [Dell'Antonio & Zwanziger ('89), van Ball ('92), Lavelle & McMullan ('96)]

$$f_{A}[u] \equiv \min_{\{u\}} \operatorname{Tr} \int d^{d}x \, A^{u}_{\mu} A^{u}_{\mu} \qquad \qquad A^{h}_{\mu} = \left(\delta_{\mu\nu} - \frac{\partial_{\mu}\partial_{\nu}}{\partial^{2}}\right) \phi_{\nu}$$
$$A^{u}_{\mu} = u^{\dagger} A_{\mu} u + \frac{i}{g} u^{\dagger} \partial_{\mu} u \qquad \qquad \phi_{\nu} = A_{\nu} - ig \left[\frac{1}{\partial^{2}} \partial A, A_{\nu}\right] + \frac{ig}{2} \left[\frac{1}{\partial^{2}} \partial A, \partial_{\nu} \frac{1}{\partial^{2}} \partial A\right] + \mathcal{O}(A^{3}) \,.$$

• Localization is possible through the introduction of a Stueckelberg field  $\xi^{a}$ :

$$A^{h}_{\mu} = (A^{h})^{a}_{\mu}T^{a} = h^{\dagger}A^{a}_{\mu}T^{a}h + \frac{i}{g}h^{\dagger}\partial_{\mu}h, \qquad h = e^{ig\,\xi^{a}T^{a}}$$

• The BRST-invariant Gribov region and condensates: [Capri et al (2016,2017)]

 $\Omega = \{A^{\mathfrak{a}}_{\mu}; \ \vartheta_{\mu}A^{\mathfrak{a}}_{\mu} = \mathfrak{i}\alpha b^{\mathfrak{a}}, \qquad \mathcal{M}^{\mathfrak{a}b}(A^{\mathfrak{h}}) = -\vartheta_{\mu}D^{\mathfrak{a}b}_{\mu}(A^{\mathfrak{h}}) > 0\} \ \text{(ex. in Linear Cov. Gauges)}$ 

$$\begin{split} \left\langle A^{a}_{\mu}(p)A^{b}_{\nu}(-p)\right\rangle &= \frac{p^{2}+M^{2}}{p^{4}+(M^{2}+m^{2})p^{2}+M^{2}m^{2}+\lambda^{4}}\mathcal{P}_{\mu\nu}(p)\delta^{ab}+\frac{\alpha_{g}}{p^{2}}L_{\mu\nu}\delta^{ab} \\ \left\langle \bar{\phi}^{ab}_{\mu}\phi^{ab}_{\mu}\right\rangle & \left\langle A^{h,a}_{\mu}A^{h,a}_{\mu}\right\rangle \end{split}$$

$$\begin{split} sA^a_\mu &= -D^{ab}_\mu c^b \ ,\\ sc^a &= \frac{g}{2} f^{abc} c^b c^c \ , \qquad s\bar{c}^a = ib^a \\ sb^a &= 0 \ ,\\ s\phi^{ab}_\mu &= 0 \ , \qquad s\omega^{ab}_\mu = 0 \ ,\\ s\bar{\omega}^{ab}_\mu &= 0 \ , \qquad s\bar{\phi}^{ab}_\mu = 0 \ ,\\ s\epsilon^a &= 0 \ , \qquad s(A^h)^a_\mu = 0 \ ,\\ sh^{ij} &= -igc^a (T^a)^{ik} h^{kj} \ . \end{split}$$

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• To explicitly calculate the values of the condensates in RGZ, one should construct an effective potential for the composite operators:

$$\Sigma[\cdots,\tau,Q] = S + \tau \left(\frac{1}{2}A^{h,a}_{\mu}A^{h,a}_{\mu} + Q \left(\bar{\varphi}^{ac}_{\mu}\varphi^{ac}_{\mu}\right) \stackrel{\text{observed}}{\longrightarrow} \Gamma[O_A, O_{\varphi}] \stackrel{\text{with the set of the set$$

• For composite operators (mass dimension 2 or higher) a lot of complications appear!

 In the non-BRST-invariant formulation of RGZ, there could be many more condensates and the full effective potential calculation was never achieved.
 [cf. Dudal, Sorella & Vandersickel (2011)]

**Quantizing Yang-Mills theory** 

$$S_{YM} = \frac{1}{4} \int dx F^a_{\mu\nu} F^a_{\mu\nu},$$
  
$$F^a_{\mu\nu} = \partial_\mu A^a_\nu - \partial_\nu A^a_\mu + g f^{abc} A^b_\mu A^c_\nu$$

• The quantum theory may be formulated in a path-integral approach:

$$\int \mathcal{D}A \, e^{-S_{YM}}$$

• Gauge reduncancy must be properly fixed to work with these dofs:

$$A_{\mu} \to U A_{\mu} U^{\dagger} - U \partial_{\mu} U^{\dagger}$$

We only know how to do it perturbatively!

**Quantizing Yang-Mills theory** perturbatively

#### Faddeev-Popov procedure:

 The procedure amounts to disentangle the gauge redundancy from the integral measure

$$\int \mathcal{D}A \, e^{-S_{YM}} \to \int \mathcal{D}\Omega \int \mathcal{D}A \, \delta[G(A)] \det \mathcal{M}e^{-S_{YM}}$$

supposing we can write

$$\int \mathcal{D}\Omega \,\delta[G(A)] \det \mathcal{M} = \mathbb{1}$$

with the Faddeev-Popov operator

$$\mathcal{M}^{ab}(A) = \frac{\delta G^a[A^{(g)}]}{\delta \Omega^b} \bigg|_{\Omega=0}$$

## Quantizing Yang-Mills theories: the Gribov approach

• Gribov proposed a way to eliminate (infinitesimal) Gribov copies from the integration measure over gauge fields: **the restriction to the (first) Gribov region**  $\Omega$ 

$$\int [DA]\delta(\partial A) \det(\mathcal{M}) e^{-S_{\rm YM}} \longrightarrow \int_{\Omega} [DA]\delta(\partial A) \det(\mathcal{M}) e^{-S_{\rm YM}} \qquad S_{\rm YM} = \frac{1}{4} \int_{x} F^{2}$$

with  $\Omega = \left\{ A^a_{\mu} \; ; \; \partial A^a = 0, \mathcal{M}^{ab} > 0 \right\}$   $\mathcal{M}^{ab} = -\partial_{\mu} \left( \delta^{ab} \partial_{\mu} + f^{abc} A^c_{\mu} \right) = -\partial_{\mu} D^a_{\mu}$  (Faddeev-Popov operator)



 The FP operator is related to the ghost 2-point function:

$$\mathcal{G}^{ab}(k;A) = \langle k | c^a \bar{c}^b | k \rangle = \langle k | \left( \mathcal{M}^{ab} \right)^{-1} | k \rangle$$

positivity of  $\mathcal{M}^{ab} \longleftrightarrow$  **No-pole condition** for the ghost prop. [Gribov (1978)]

## A checklist for RGZ

← | →

(can be cast in a) local and renormalizable action

reduces to QCD at high energies

# ✓ gluon confinement: confining propagator (no physical propagation; violation of reflection positivity)

✓ consistent with lattice IR results

#### ✓ physical spectrum of bound states ??

Glueball masses are obtained by computing two-point correlation functions of composite operators with the appropriate quantum numbers and casting them in the form of a Källén-Lehmann spectral representation.

$J^{PC}$	confining gluon propagator
$0^{++}$	2.27
$2^{++}$	2.34
$0^{-+}$	2.51
$2^{-+}$	2.64

[Dudal,Guimaraes,Sorella, PRL(2011), PLB(2014)]

-Lattice: (1) Y. Chen *et al.* PRD **73**, 014516 (2006) -Flux tube model: M. Iwasaki *et al.* PRD **68**, 074007 (2003). -Hamiltonian QCD: A. P. Szczepaniak and E. S. Swanson, PLB **577**, 61 (2003). -AdS/CFT: K. Ghoroku, K. Kubo, T. Taminato and F. Toyoda, arXiv:1111.7032. -AdS/CFT: H. Boschi-Filho, N. R. F. Braga JHEP 0305, 009 (2003)

$J^{PC}$	Lattice	Flux tube model	Hamiltonian QCD	ADS/CFT
$0^{++}$	1.71	1.68	1.98	1.21
$2^{++}$	2.39	2.69	2.42	2.18
$0^{-+}$	2.56	2.57	2.22	3.05
$2^{-+}$	3.04	_	_	_

#### RGZ: Correct hierarchy of masses