

Course on Two-dimensional Bose gases

Lecture 1: Bose-Einstein condensation, interactions and superfluidity

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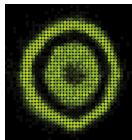
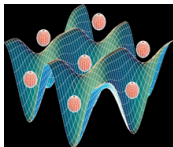
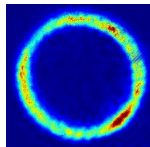
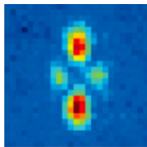


Quantum gases

Quantum gases benefit from a **high degree of control** of their external and internal degrees of freedom:

- control of the **temperature** $1 \text{ nK} - 1 \mu\text{K}$
- **interaction** strength: scattering length a
- confinement **geometry**
- periodic potentials (optical lattices)
- **low dimensional** systems (1D, 2D)
- several internal states, bosons or fermions
- easy optical **detection**

⇒ an ideal system for the study of **superfluid dynamics in 2D**.



Outline of the course

- Lecture 1: Bose-Einstein condensation, interactions and superfluidity
- Lecture 2: Two-dimensional Bose gases (static)
- Lecture 3: Rotating two-dimensional Bose gases

Outline of the lecture

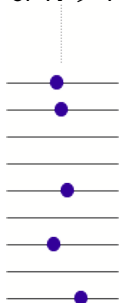
- 1 BEC in non interacting Bose gases
- 2 Interacting Bose gases: GPE
- 3 Hydrodynamics of Bose gases

References for the lecture

- ① F. Dalfovo, S. Giorgini, L. Pitaevskii and S. Stringari, *Theory of Bose-Einstein condensation in trapped gases*, Rev. Mod. Phys. **71**, 463 (1999)
- ② C. J. Pethick and H. Smith, *Bose-Einstein Condensation in Dilute Gases*, Cambridge (2008)
- ③ Lev Pitaevskii and Sandro Stringari, *Bose-Einstein condensation*, Oxford (2003)
- ④ Lev Pitaevskii and Sandro Stringari, *Bose-Einstein Condensation and Superfluidity*, Oxford (2016)
- ⑤ Lectures at Collège de France by Jean Dalibard (in French), academic year 2015-2016

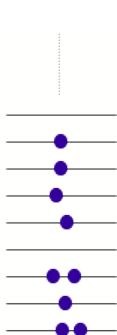
Bosons at low temperature: Bose-Einstein condensation

Bose-Einstein condensation: **saturation** of the number of particles in excited states N' and **macroscopic accumulation** $N_0 \sim N$ of particles in the **ground state** for $T < T_c(N)$ or $N > N_c(T)$

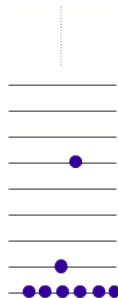


$$T > T_c$$

$$N_0 \ll N$$



$$T \sim T_c$$



$$T < T_c$$

$$N_0 \sim N$$

A mechanism linked to Bose-Einstein statistics:

$$f(E_i) = \frac{1}{e^{\beta(E_i - \mu)} - 1}$$

with $\beta = 1/k_B T$

A mechanism linked to the Bose statistics

Assume single-particle Hamiltonian with **non degenerate ground state**, energy E_0 .

- Bose-Einstein distribution: occupation number $f(E_i) = \frac{1}{e^{\beta(E_i - \mu)} - 1}$, $\beta = 1/k_B T$
- $f > 0 \Rightarrow$ chemical potential $\mu < E_i$ for all $i \Rightarrow \mu < E_0$
 $\Rightarrow f(E_i) < 1/[e^{\beta(E_i - E_0)} - 1]$
- **Saturation** of the number of particles in excited states N' :

$$N' = \sum_{i \neq 0} f(E_i) = \sum_{i \neq 0} \frac{1}{e^{\beta(E_i - \mu)} - 1} < N'_{\max}(T) = \sum_{i \neq 0} \frac{1}{e^{\beta(E_i - E_0)} - 1}$$

- BEC occurs if $N'_{\max}(T)$ is **finite**: $N_0 = N - N' > N - N'_{\max}(T)$ **macroscopic**
- Critical atom number $N > N_c(T) \simeq N'_{\max}(T)$ or temperature $T_c(N)$ defined by $N_c(T_c) = N$

Does Bose-Einstein condensation occur?

- Semi-classical description if level spacing $\ll k_B T$: use the **density of states** $\rho(\varepsilon)$
- The convergence of the sum on N'_i depends on the behavior of $\rho(\varepsilon)$:

$$N_c(T) = \int_{E_0}^{\infty} \rho(\varepsilon) \frac{1}{e^{\beta(\varepsilon - E_0)} - 1} d\varepsilon = \int_0^{\infty} \rho(\varepsilon) \frac{e^{-\beta\varepsilon}}{1 - e^{-\beta\varepsilon}} d\varepsilon$$

- Integral converges near $\varepsilon \rightarrow \infty$ if $\rho(\varepsilon)$ **doesn't increase exponentially**
- Near $\varepsilon \rightarrow 0$, integrand $\sim \rho(\varepsilon)/(\beta\varepsilon) \Rightarrow$ converges if $\rho(\varepsilon) \rightarrow 0$.

Does Bose-Einstein condensation occur?

- An important particular case: **power law density of state**
 $\rho(\varepsilon) \propto (\varepsilon - \varepsilon_0)^q$ with $\varepsilon > \varepsilon_0 \Rightarrow$ converges for $q > 0$.

$$N_c(T) \propto \sum_{n=1}^{\infty} \int_0^{\infty} \varepsilon^q e^{-n\beta\varepsilon} d\varepsilon \propto (k_B T)^{q+1} \sum_{n=1}^{\infty} \frac{1}{n^{q+1}} = (k_B T)^{q+1} g_{q+1}(1)$$

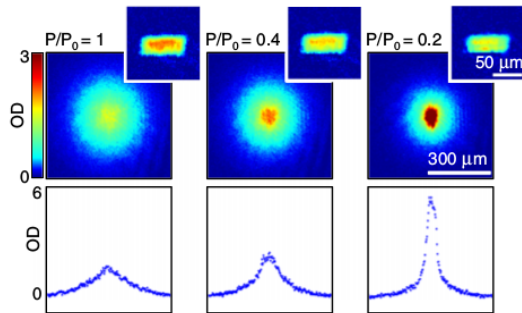
- Fraction of condensed particles:

$$N_c(T_c) = N \quad \Rightarrow \quad \frac{N_0}{N} = 1 - \left(\frac{T}{T_c} \right)^{q+1}$$

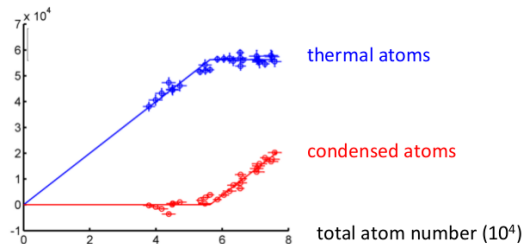
Bose-Einstein condensation in a 3D box

DOS in a box in dimension D : $\rho(E) \propto E^{D/2-1} \Rightarrow$ **only $D = 3$!**

In a **3D box** BEC for $n\lambda_T^3 > 2.6$ with $\lambda_T = \frac{h}{\sqrt{2\pi m k_B T}}$: $N_c \propto T^{3/2}$



measure N_0/N , 3D box potential
 ^{39}K , tunable interactions
 PRL **110**, 200406 (2013)

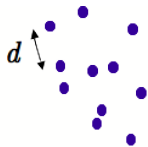


Saturation of thermal particles
 Hadzibabic's group, 2013

Interpretation of critical temperature

Degeneracy criterion: more than one particle per quantum state.

Size of a state: $\lambda_T = \frac{h}{\sqrt{2\pi m k_B T}}$ thermal de Broglie wavelength



$$T > T_c$$

$$\lambda_T \ll d$$



$$T \sim T_c$$

$$\lambda_T \sim d$$



$$T < T_c$$

$$\lambda_T > d$$

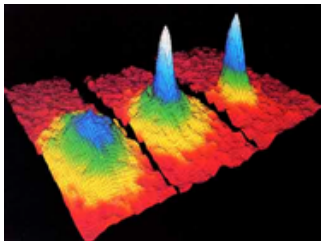
a single wavefunction for
all particles

Bose-Einstein condensation in a harmonic trap

- In a harmonic trap ω_0 in dimension D , $\rho(E) \propto E^{D-1}$
 $\Rightarrow$ power law with $k = D - 1$
- BEC occurs for $D > 1$ i.e. $D = 2$ or $D = 3$ [Bagnato 1987]

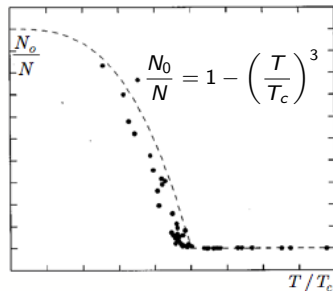
3D harmonic trap:

$$k_B T_c = \hbar \omega_0 N^{1/3} \gg \hbar \omega_0$$



Cornell & Wieman

Anderson et al., Science **269**, 198 (1995)

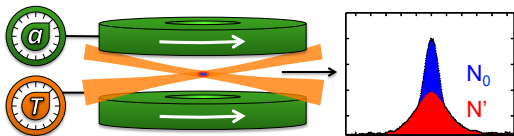


Ensher et al. PRL **77**, 4984 (1996)

Bose-Einstein condensation in a harmonic trap

- Shift in condensation temperature? T_c **lower than expected.**
- Do the population in excited states saturate?

3D harmonic trap:

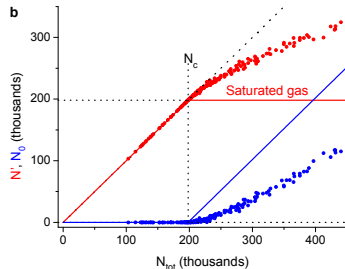


condensation in momentum AND in space

Tammuz et al., PRL **106**, 230401 (2011)

⇒ **interactions play a role** and prevent the density to further increase in the trap center

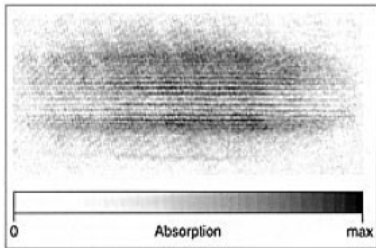
N' doesn't saturate!



Role of interactions

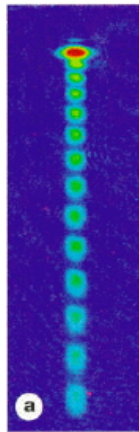
Problem: how much do **interactions** modify this picture of accumulation in a single-particle state? $H = \sum_{i=1}^N h_0^{(i)} + \sum_{i<j} V(r_i - r_j)$

Test: **interferences between condensates**



Andrews et al., Science **275**, 637 (1997)

- the coherence length is the cloud size
- weak interactions (dilute gas)
- a **mean field** description is appropriate



Bloch et al., Nature **403**, 166 (2000)

Role of interactions

How to find the ground state of $H = \sum_{i=1}^N h_0^{(i)} + \sum_{i<j} V(r_i - r_j)$?

- assume weak interactions (dilute gas)
- **mean field** description: assume the **same wavefunction** $\psi(\mathbf{r})$ for all atoms i.e. $|\Psi\rangle = |\psi\rangle_1 \otimes \cdots \otimes |\psi\rangle_N$, $\psi(\mathbf{r})$ to be found
- minimize the **energy functional** $\langle \Psi | H | \Psi \rangle$ to find ψ
- this yields the **Gross-Pitaevskii equation (GPE)** for ψ

$$\left(\underbrace{-\frac{\hbar^2 \nabla^2}{2m}}_{E_{\text{kin}}} + \underbrace{V_{\text{ext}}(\mathbf{r})}_{E_{\text{pot}}} + \underbrace{g|\psi|^2}_{E_{\text{int}}} \right) \psi = \mu \psi$$

$g = \frac{4\pi\hbar^2 a}{m}$ **interaction** coupling constant, we assume here $g > 0$

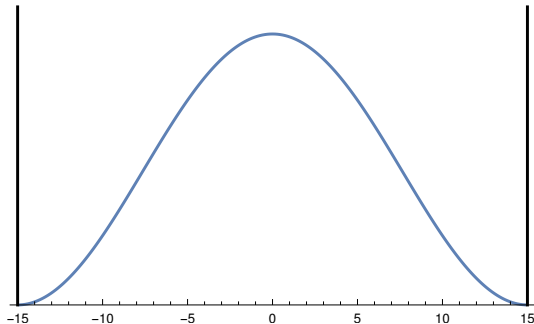
a scattering length

μ chemical potential = cost to add a particle

Solution of GPE in a box

Consider a box potential of size L : $V_{\text{ext}}(\mathbf{r}) = 0 + \text{hard wall b.c.}$

- vanishing interactions (Schrödinger): $-\frac{\hbar^2 \nabla^2 \psi}{2m} = \mu \psi$



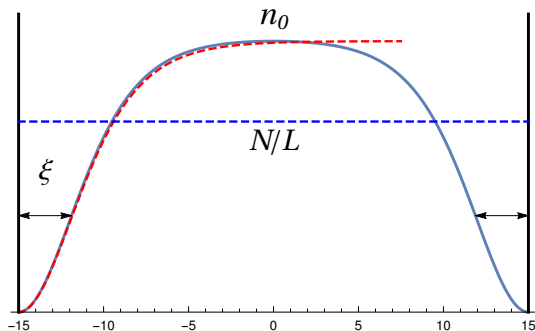
$$\psi(x) \sim \sin(\pi x/L) \text{ ground state of } h^{(0)}$$

$$\Rightarrow \text{density} \propto \sin^2(\pi x/L)$$

Solution of GPE in a box

Consider a box potential of size L : $V_{\text{ext}}(\mathbf{r}) = 0 + \text{hard wall b.c.}$

- increasing interactions: $-\frac{\hbar^2 \nabla^2 \psi}{2m} + g |\psi|^2 \psi = \mu \psi$



density **flattens**

healing length to recover from the edge

Estimation of healing length:

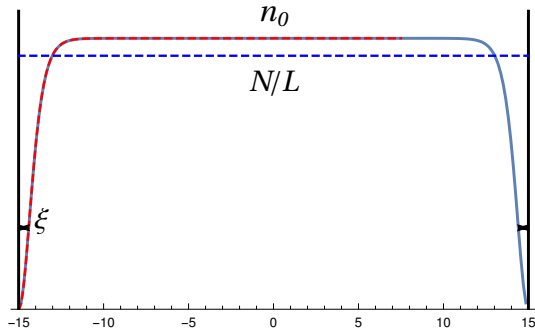
$$\frac{\hbar^2}{2m\xi^2} \simeq \mu$$

$$\Rightarrow \xi = \frac{\hbar}{\sqrt{2m\mu}}$$

Solution of GPE in a box

Consider a box potential of size L : $V_{\text{ext}}(\mathbf{r}) = 0 + \text{hard wall b.c.}$

- large interactions: $g|\psi|^2\psi \simeq \mu\psi \Rightarrow n_0 = \mu/g \simeq N/L$ **nearly uniform gas** except at the edges within the **healing length**



Close to the edge:

$$\psi(x) \simeq \sqrt{n_0} \tanh\left(\frac{x}{\xi\sqrt{2}}\right)$$

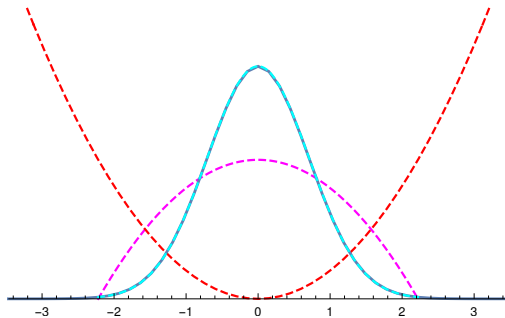
$$\xi = \frac{\hbar}{\sqrt{2m\mu}}$$

Solution of GPE in a harmonic trap

Consider now a harmonic potential $V_{\text{ext}}(\mathbf{r}) = \frac{1}{2}m\omega_0^2 r^2$.

- weak interactions: **Gaussian ground state** of $h^{(0)}$ with size a_0

$$-\frac{\hbar^2 \nabla^2 \psi}{2m} + V_{\text{ext}}(\mathbf{r})\psi = \mu\psi$$



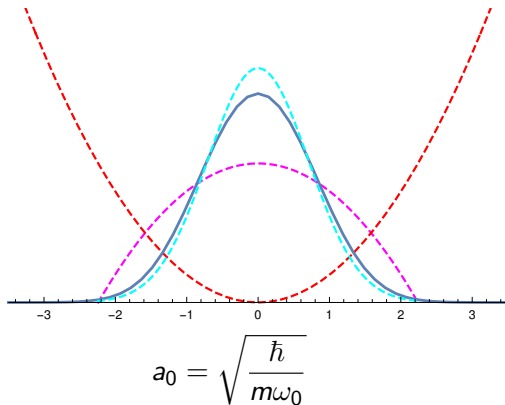
$$a_0 = \sqrt{\frac{\hbar}{m\omega_0}}$$

Solution of GPE in a harmonic trap

Consider now a harmonic potential $V_{\text{ext}}(\mathbf{r}) = \frac{1}{2}m\omega_0^2 r^2$.

- increase interactions: deformed **Gaussian state** with size $> a_0$

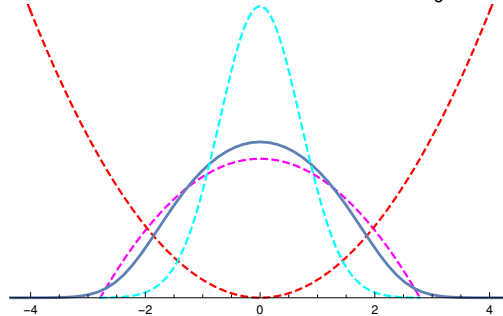
$$-\frac{\hbar^2 \nabla^2 \psi}{2m} + V_{\text{ext}}(\mathbf{r})\psi + g|\psi|^2\psi = \mu\psi$$



Solution of GPE in a harmonic trap

Consider now a harmonic potential $V_{\text{ext}}(\mathbf{r}) = \frac{1}{2}m\omega_0^2 r^2$.

- increase interactions: **non-Gaussian state** with size $\gg a_0$

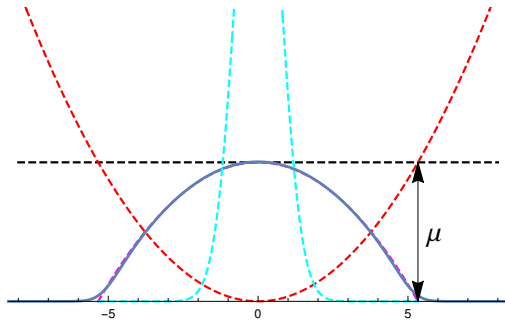


Solution of GPE in a harmonic trap

Consider now a harmonic potential $V_{\text{ext}}(\mathbf{r}) = \frac{1}{2}m\omega_0^2 r^2$.

- large interactions: **Thomas-Fermi** profile of radius R_{TF}

$$V_{\text{ext}}(\mathbf{r})\psi + g|\psi|^2\psi \simeq \mu\psi \Rightarrow n(r) = [\mu - V_{\text{ext}}(\mathbf{r})]/g$$



Inverted parabola of radius $R_{TF} = \frac{1}{\omega} \sqrt{\frac{2\mu}{m}}$

Time-dependent GPE

Study of the dynamics: out of equilibrium dynamics away from the ground state.
Described by the **time-dependent Gross-Pitaevskii equation**

$$-i\hbar\partial_t\psi = -\frac{\hbar^2\nabla^2\psi}{2m} + V_{\text{ext}}(\mathbf{r})\psi + g|\psi|^2\psi$$

Hydrodynamics

Equivalent formulation of GPE with hydrodynamics equations:

$$\psi(\mathbf{r}, t) = \sqrt{n(\mathbf{r}, t)} e^{i\theta(\mathbf{r}, t)}$$

$$(1) \quad \partial_t n + \nabla \cdot (n\mathbf{v}) = 0 \quad \text{continuity equation}$$

$$(2) \quad m\partial_t \mathbf{v} = -\nabla \left(-\frac{\hbar^2}{2m} \frac{\Delta(\sqrt{n})}{\sqrt{n}} + \frac{1}{2} m\mathbf{v}^2 + V_{\text{ext}} + g n \right)$$

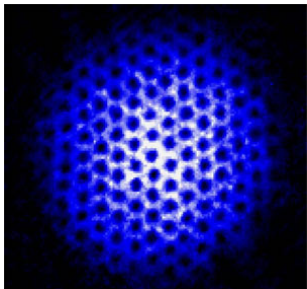
(2) Euler equation

$$\mathbf{v} = \frac{\hbar}{m} \nabla \theta \quad \text{fluid velocity} \Rightarrow \nabla \times \mathbf{v} = 0 \quad \text{irrotational flow}$$

Hydrodynamics and superfluidity

The hydrodynamics equations describe

- The condensate **expansion in a time-of-flight**
- The **collective modes** (breathing mode, quadrupolar mode. . .)
- More generally the **excitations**: phonons, solitons, free particles, quantized vortices
- The formation of **vortices** in a rotating fluid



Cornell's group

A signature of superfluidity: **a vortex lattice** in a rotating condensate.

Homogeneous gas: the Bogolubov spectrum

Consider $V_{\text{ext}} = 0$. What is the small amplitude excitation spectrum around equilibrium ($n_0 = \mu/g$)?

Write $n = n_0 + \delta n$ and linearize for δn and $\mathbf{v}$

$$(1) \quad \partial_t \delta n + n_0 \nabla \cdot (\mathbf{v}) = 0$$

$$(2) \quad m \partial_t \mathbf{v} = -\nabla \left(-\frac{\hbar^2}{2m} \frac{\Delta(\delta n)}{2n_0} + g \delta n \right)$$

Look for a plane wave solution $\delta n = \delta n_0 e^{ikz - i\omega t}$, same for $\mathbf{v}$:

$$(1) \quad -m\omega \delta n + mn_0 \mathbf{k} \cdot (\mathbf{v}) = 0$$

$$(2) \quad -\omega mn_0 \mathbf{v} = -\mathbf{k} \left(\frac{\hbar^2 k^2}{4m} \delta n + gn_0 \delta n \right)$$

$$\Rightarrow \boxed{\omega^2 = \frac{\hbar^2 k^4}{4m^2} + gn_0 \frac{k^2}{m}} \quad \text{with} \quad gn_0 = \mu$$

Bogolubov spectrum

Sound and particles

$$\omega = \sqrt{\frac{\hbar^2 k^4}{4m^2} + \frac{\mu}{m} k^2}$$

Two relevant limits:

- $k \rightarrow 0$: $\omega \simeq kc \Rightarrow$ **sound waves** with the speed of sound

$$c = \sqrt{\frac{\mu}{m}} \quad \text{i.e.} \quad \mu = mc^2$$

- $k \rightarrow \infty$: $\hbar\omega \simeq \mu + \frac{\hbar^2 k^2}{2m} \Rightarrow$ **particle-like excitations** on top of the condensed particles bringing an energy μ

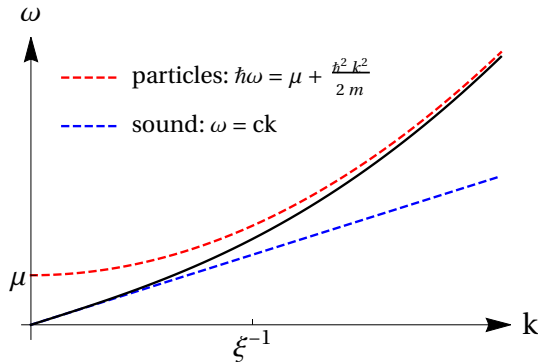
Boundary between the two regimes: $k \simeq \xi^{-1}$ with

$$\xi = \frac{\hbar}{\sqrt{2m\mu}} = \frac{1}{\sqrt{2}} \frac{\hbar}{mc}$$

Bogolubov spectrum

Sound and particles

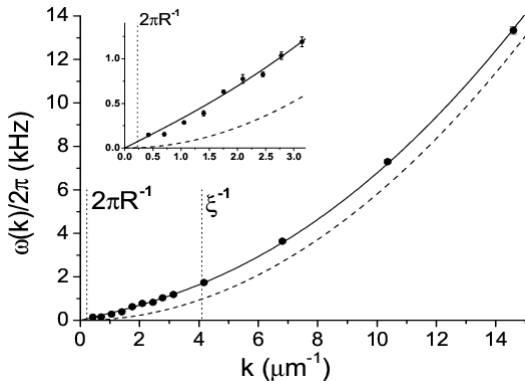
$$\omega = \sqrt{\frac{\hbar^2 k^4}{4m^2} + \frac{\mu}{m} k^2}$$



Bogolubov spectrum

Experimental observation

Selective excitation at (k, ω) with Bragg diffraction



linear spectrum (phonons) at small k :
 $\omega(k) = ck$

quadratic spectrum at large k

Steinhauer et al., PRL **88**, 120407 (2002)

Warning: Linear at small k for **interacting** gases only!

Signatures of superfluidity

(1) Critical velocity

Consequence of Bogolubov dispersion $E(p) \geq cp$: **Landau criterion**

- Consider an **object** of mass M and momentum P dragged into the fluid at a speed $v = P/M$: can its motion be **damped** by creating an **excitation** of momentum p^* in the condensate?

- Momentum and energy conservation:**

Before: $P, E = P^2/2M + 0$

After: $P' + p^*, E = P'^2/2M + E(p^*)$

- From $P' = P - p^*$ it follows that

$$\frac{P \cdot p^*}{M} = v \cdot p^* = E(p^*) + \frac{p^{*2}}{2M} \geq E(p^*) \geq cp^*$$

- Excitations are created only if $v \geq c$: existence of a **critical velocity** (Warning: c vanishes if $g \rightarrow 0$)

Signatures of superfluidity

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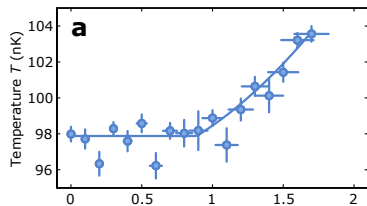
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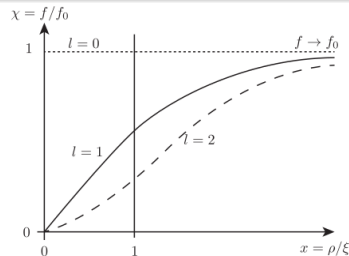


Desbuquois et al.,
Nat. Phys. **8**, 645 (2012)

Signatures of superfluidity

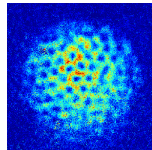
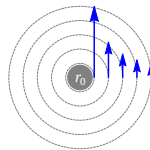
(2) Quantized vortices

- $\nabla \times \mathbf{v} = 0$ unless at positions $\mathbf{r}_\mathbf{v}$ such that $n(\mathbf{r}_\mathbf{v}) = 0$
- Around such a point $\mathbf{r}_\mathbf{v} = 0$, $\psi(\mathbf{r}) \simeq \sqrt{n_0} e^{i\ell\theta}$
 $\Rightarrow$ rotation with $1/r$ velocity field $\mathbf{v} = \frac{\hbar}{m} \frac{\ell}{r} \mathbf{e}_\theta$
- ψ is uniquely defined $\Rightarrow \ell \in \mathbb{Z}$
- $\mathcal{C} = \oint \mathbf{v} \cdot d\mathbf{s} = \ell \frac{h}{M}$, $\ell \in \mathbb{Z}$
 the fluid rotates with a **quantized circulation**
- Size of the hole: $v > c$ for $r < \frac{\hbar}{mc} = \sqrt{2}\xi$
 $\Rightarrow \sim$ **healing length** ξ
- Kinetic energy of a vortex: $E_{\text{kin}} \propto \ell^2$
- Multiply charged vortices $|\ell| > 1$ are unstable
 $\Rightarrow$ Vortices arrange into an **Abrikosov lattice**



vortex wavefunction (modulus)

S. Huber's course, ETHZ



Dynamics of the trapped Bose gas

Collective modes in a harmonic trap

Derivation of **quantized, low energy modes** in a trap (large scale). We start from the hydrodynamics equations:

$$(1) \quad \partial_t n + \nabla \cdot (n \mathbf{v}) = 0$$

$$(2) \quad M \partial_t \mathbf{v} = -\nabla \left(-\frac{\hbar^2}{2M} \frac{\Delta(\sqrt{n})}{\sqrt{n}} + \frac{1}{2} M \mathbf{v}^2 + V(\mathbf{r}) + g n - \mu \right)$$

- Neglect quantum pressure
- Linearize around the Thomas-Fermi solution $n(\mathbf{r}) = [\mu - V(\mathbf{r})] / g$, we get

$$\partial_t^2 \delta n = -\omega^2 \delta n = \nabla \cdot \left[\frac{\mu - V(\mathbf{r})}{M} \nabla \delta n \right] = \nabla \cdot [c^2(\mathbf{r}) \nabla \delta n]$$

- 3D spherical harmonic trap: $V(\mathbf{r}) = \frac{1}{2} m \omega_0^2 r^2$. n_r, ℓ, m **quantum numbers**:

$$\delta n(\mathbf{r}) = P_\ell^{(2n_r)}(r/R) r^\ell Y_{\ell m}(\theta, \phi)$$

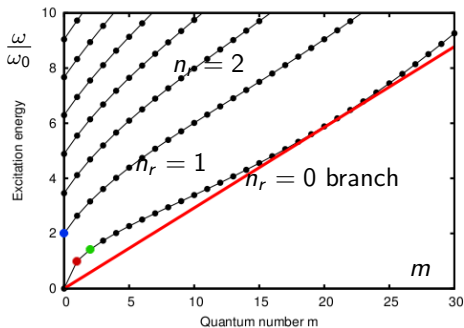
- Get $\omega(n_r, \ell) = \omega_0 [2n_r^2 + 2n_r \ell + 3n_r + \ell]^{1/2}$ [Stringari, PRL **77**, 2360 (1996)]

Dynamics of the trapped Bose gas

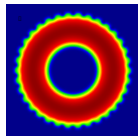
Excitation spectrum and collective modes

- Quantized excitation spectrum
- Example for the isotropic 2D gas: n_r , m are good quantum numbers:

$$\omega(n_r, m) = \omega_0 [2n_r^2 + 2n_r|m| + 2n_r + |m|]^{1/2} \quad [\text{Stringari, PRA } \mathbf{58}, 2385 (1998)]$$
- Full spectrum:



red line: gives the critical velocity, related to surface modes [Anglin, PRL **87**, 240401 (2001)]



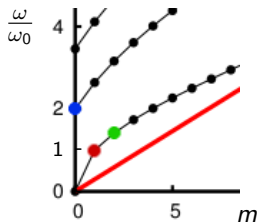
Ex: surface modes at the outer edge of an annular Bose gas
 [Dubessy et al., PRA **86**, 011602 (2012)]

Dynamics of the trapped Bose gas

Excitation spectrum and collective modes

- Quantized excitation spectrum
- Example for the isotropic 2D gas: n_r , m are good quantum numbers:

$$\omega(n_r, m) = \omega_0 [2n_r^2 + 2n_r|m| + 2n_r + |m|]^{1/2}$$

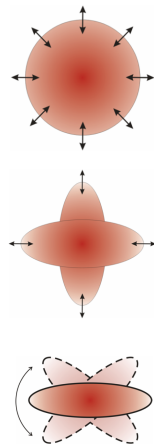


- **dipole** mode $n_r = 0, m = 1$, both superfluid and thermal: centre of mass oscillation

- **monopole** $n_r = 1, m = 0$: superfluid and thermal **signature of the EOS**

- **quadrupole** $n_r = 0, m = \pm 2$ **superfluid only**

- **scissors** for $\omega_x \neq \omega_y$ **superfluid only**

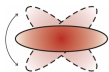


Signatures of superfluidity

(3) Specific collective modes

Specific modes of a superfluid gas: quadrupole mode, **scissors mode**: oscillation of $\langle xy \rangle \propto \theta$ in an **anisotropic** harmonic trap $\omega_x \neq \omega_y \Rightarrow$ Use the scissors mode to characterize a **superfluid** dilute gas

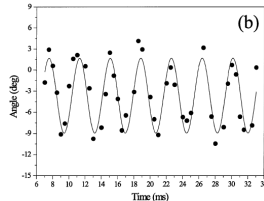
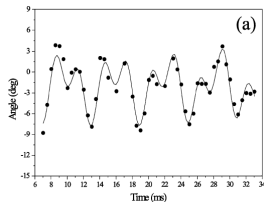
- $T > T_c$: no scissors mode in the thermal phase in the **collisionless** regime, only beat notes of harmonic modes $\omega_{\pm} = \omega_x \pm \omega_y$



- $T < T_c$: scissors mode expected at $\omega_{sc} = \sqrt{\omega_x^2 + \omega_y^2}$ for a **superfluid**

Theory: Guéry-Odelin & Stringari, PRL **83**, 4452 (1999);

Experiment: Maragò et al., PRL **84**, 2056 (2000)



Summary

- BEC occurs below T_c (or above N_c) in a 3D box or in a harmonic trap in 2D or 3D
- The dynamics of the condensate is captured in the mean-field regime by GPE or the hydrodynamics equations
- A weakly interacting BEC is a superfluid
- Superfluidity should be probed dynamically
- Signatures include critical velocity, vortices, collective modes

Next lecture: two-dimensional Bose gases and the BKT transition.