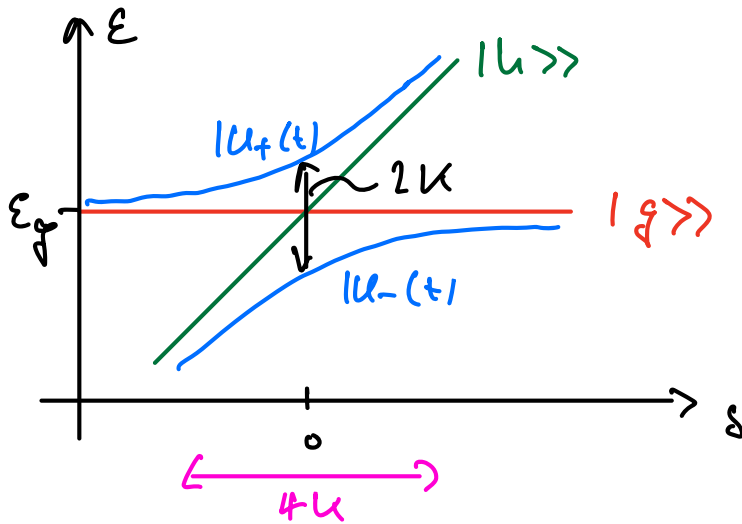


F) Suppression of coherent resonant excitation

Let's assume that we have a weak avoided crossing in the quasienergy spectrum between two unperturbed Floquet states [Hone, Metznerick, Kohn, PRE 2009]



$$Q \approx \delta |h\rangle\langle h| + \underbrace{U}_{>0 \text{ (for simplicity)}} (|h\rangle\langle g| + \text{h.c.})$$

with

$$E_{\pm} = E_g + \frac{s}{2} \pm \underbrace{\sqrt{U^2 + s^2/4}}_{\equiv r \rightarrow U \text{ f. } s \rightarrow 0}$$

$$|u_{\pm}\rangle = \sqrt{\frac{r \pm s/2}{2r}} |g\rangle \pm \sqrt{\frac{r \mp s/2}{2r}} |h\rangle$$

where

$$\sqrt{\frac{r \pm s/2}{2r}} \begin{cases} \xrightarrow{s \rightarrow -\infty} 1 \\ \xrightarrow{s \rightarrow 0} 1/\sqrt{2} \\ \xrightarrow{s \rightarrow \infty} 0 \end{cases}$$

Here $|g\rangle$ and $|h\rangle$ shall correspond to the ground state $|\tilde{g}\rangle$ and a highly excited eigenstate $|\tilde{h}\rangle$ of $H_F^{(HF)}$ with energies $E_h = E_g + m\omega + s$, i.e.

$$|g(t)\rangle = U_F^{HF}(t) |\hat{g}\rangle$$

$$|h(t)\rangle = e^{-i\omega t} U_F^{HF}(t) |\hat{h}\rangle.$$

In the high-frequency regime $|g\rangle$ and $|h\rangle$ are very different, since ω is large. Therefore, the coherent resonant coupling U results from some high-order perturbation theory and is the smallest energy scale in the problem. For such a scenario, we can make a number of assumptions:

The width of the avoided crossing in δ direction is of the order of U and therefore also tiny. The states $|g\rangle$ and $|h\rangle$ therefore hardly vary over this distance and can be assumed δ -independent:

$$|g_\delta(t)\rangle \approx |g_0(t)\rangle \equiv |g(t)\rangle$$

$$|h_\delta(t)\rangle \approx |h_0(t)\rangle \equiv |h(t)\rangle$$

Moreover, since the states $|g\rangle$ and $|h\rangle$ are structurally very different (as they have very different energies), we also have

$$A_{ge}^{(u)} \approx 0 \quad \text{or} \quad \langle g | H_{SE} | e \rangle \approx 0,$$

while $A_{gg}^{(u)}$ and $A_{ee}^{(u)}$ remain relevant.

Similarly, any other state $j \neq g, h$, is either very different from g or from h , so that

$$A_{gj}(\mu) A_{jh}(\mu) \approx 0 \quad \forall j.$$

Near the avoided crossing, the quasienergies vary very little on the small scale $\hbar$, so that we can assume

$$g(\mu + \epsilon_{ij}) \approx g(\mu + \epsilon_j) = \text{const.},$$

which should be fine as long as

$$\hbar T_E \ll 1.$$

The crossing shall be well isolated, so that

$$T_{SE} \ll \Delta E_{\text{other}},$$

where ΔE_{other} is the quasienergy distance from other states. Via the arguments of the secular approximation (see previous chapter) for $T_{SE} \ll \Delta E_{\text{other}}$ the steady state has no coherent superposition between $|g\rangle$ or $|e\rangle$ with other states.

We can now introduce the basis of unperturbed Floquet modes $|k\rangle$

$$\{|k\rangle\} = \{|g\rangle, |u\rangle, \text{other modes}\}$$

and expand the Redfield equation in terms of them.

For the dissipator, we find the same form as for the perturbed basis

$$\langle\alpha|D[S]|\beta\rangle = - \sum_{\eta\nu} \left[\bar{R}_{\alpha\eta, \nu\eta}^{(0)} S_{\nu\beta} - \bar{R}_{\nu\beta, \eta\alpha}^{(0)} S_{\eta\nu} + \text{c.c.} \right].$$

where

$$\bar{R}_{\alpha\beta, \eta\nu}^{(0)} = \sum_{\mu} A_{\alpha\beta}^{(-\mu)} A_{\eta\nu}^{(\mu)} g(\mu + \epsilon_{\eta\nu}).$$

In turn, for the left-hand-side of the equation, we find (where i, j is still the perturbed basis)

$$\begin{aligned} \langle\alpha|\dot{S}|\beta\rangle &= \sum_{i,j} \langle\alpha|(u_i\rangle \dot{S}_{ij} \langle u_j| \\ &\quad + \underbrace{|u_i\rangle}_{(i\epsilon_i + \frac{1}{i}H)|u_i\rangle} S_{ij} \langle u_j| + |u_i\rangle S_{ij} \underbrace{\langle u_j|}_{\langle u_j|(-i\epsilon_j - \frac{1}{j}H)} |\beta\rangle \\ &\quad \text{(as derived earlier)} \\ &= \sum_{i,j} \langle\alpha|u_i\rangle \left(\dot{S}_{ij} + i\epsilon_{ij} S_{ij} \right) \langle u_j|\beta\rangle + \langle\alpha|\frac{1}{i}[H, S]|\beta\rangle, \end{aligned}$$

The last term cancels again with the identical term on the right-hand side, describing the coherent evolution.

Moreover, in the quasi-steady state $\dot{S}_{ij} = 0$, so that we need to consider only

$$\sum_{i,j} \langle \alpha | u_i \rangle i \varepsilon_{ij} S_{ij} \langle u_j | \beta \rangle$$

$$= i 2V \left(\langle \alpha | u_+ \rangle S_+ \langle u_- | \beta \rangle - \langle \alpha | u_- \rangle S_- \langle u_+ | \beta \rangle \right),$$

where we have used

$$\varepsilon_{ij} = \begin{cases} \varepsilon_+ - \varepsilon_- = 2V & , \quad i = +, j = - \\ 0 & , \quad i = j \\ \varepsilon_- - \varepsilon_+ = -2V & , \quad i = -, j = + \end{cases}.$$

Furthermore

$$S_{+-} = S_{-+}^* = \langle u_+ | S | u_- \rangle \quad \left| \begin{array}{l} 1 = |g\rangle\langle g| + |h\rangle\langle h| \\ \text{within the subspace} \end{array} \right.$$

$$= \underbrace{\langle u_+ | g \rangle}_{\alpha} \underbrace{\langle g | S | g \rangle}_{S_{gg}} \underbrace{\langle g | u_- \rangle}_{\beta}$$

$$+ \underbrace{\langle u_+ | g \rangle}_{\alpha} \underbrace{\langle g | S | h \rangle}_{S_{gh}} \underbrace{\langle h | u_- \rangle}_{-\alpha}$$

$$+ \underbrace{\langle u_+ | h \rangle}_{\beta} \underbrace{\langle h | S | g \rangle}_{S_{hg}} \underbrace{\langle g | u_- \rangle}_{\beta}$$

$$+ \underbrace{\langle u_+ | h \rangle}_{\beta} \underbrace{\langle h | S | h \rangle}_{S_{hh}} \underbrace{\langle h | u_- \rangle}_{-\alpha}$$

$$= \alpha \beta (S_{gg} - S_{hh}) + \alpha^2 \underbrace{S_{gh}}_{S_{gh}^*} + \beta^2 \underbrace{S_{hg}}_{S_{gh}^*},$$

where we introduced

$$\alpha = \sqrt{\frac{r+s/2}{2v}} = \langle g|u_+ \rangle = -\langle h|u_- \rangle$$

$$\beta = \sqrt{\frac{r-s/2}{2v}} = \langle h|u_+ \rangle = \langle g|u_- \rangle,$$

with $r = \sqrt{v^2 + s^2/4}$. With that and equating the expression to the dissipator, we finally obtain for the quasi-steady state

$$\langle \alpha | \dot{S} | \beta \rangle =$$

$$i2v \left[\langle \alpha | u_+ \rangle \langle u_- | \beta \rangle \left(\alpha \beta (s_{gg} - s_{hh}) + \alpha^2 s_{gh} + \beta^2 s_{hg} \right) - \langle \alpha | u_- \rangle \langle u_+ | \beta \rangle \left(\alpha \beta (s_{gg} - s_{hh}) + \alpha^2 \underbrace{s_{gh}^*}_{s_{hg}} + \beta^2 \underbrace{s_{hg}^*}_{s_{gh}} \right) \right]$$

$$= \langle \alpha | D[S] | \beta \rangle$$

$$= - \sum_{\eta\nu} \left[\bar{R}_{\alpha\eta, \nu\eta}^{101} s_{\nu\beta} - \bar{R}_{\nu\beta, \eta\alpha}^{101} s_{\eta\nu} + \text{c.c.} \right]$$

Let us now, for the sake of simplicity, neglect cell states, but $|g\rangle$ and $|h\rangle$ and evaluate the equation for $\alpha = g$ and $\beta = h$.

The left-hand-side then simplifies to

$$\langle g | \dot{S} | h \rangle$$

$$= i2v \left[-\alpha^2 (\alpha\beta (S_{gg} - S_{hh}) + \alpha^2 S_{gh} + \beta^2 S_{hg}) \right. \\ \left. - \beta^2 (\alpha\beta (S_{gg} - S_{hh}) + \alpha^2 \underbrace{S_{gh}^*}_{S_{hg}} + \beta^2 \underbrace{S_{hg}^*}_{S_{gh}}) \right] \\ = i2v \left[-(\alpha\beta (S_{gg} - S_{hh}) - \underbrace{(\alpha^4 + \beta^4 + 2\alpha^2\beta^2)}_{(\alpha^2 + \beta^2)^2 = 1}) S_{gh} \right]$$

$$= -i \left[\underbrace{\sqrt{v^2 - \delta^2/4}}_{=K \equiv \frac{1}{2} E_{gap}} (S_{gg} - S_{hh}) + \underbrace{2v}_{=2\sqrt{K^2 + \delta^2/4} \equiv E_{+-}} S_{gh} \right],$$

whereas for the dissipator we obtain

$$\langle g | D[\epsilon] | h \rangle$$

$$= - \sum_{\eta\nu} \left[\underbrace{\bar{R}_{g\eta,\nu\eta}^{(10)}}_{\neq 0} S_{vh} - \underbrace{\bar{R}_{h\eta,\nu\eta}^{(10)}}_{\neq 0} S_{\eta L} + c.c. \right] \\ \quad \quad \quad \downarrow \quad \eta=g, \nu=g \quad \quad \downarrow \quad \nu=h, \eta=g$$

$$= - \underbrace{(\bar{R}_{gg,gg}^{(10)} - R_{hh,gg})}_{\equiv \Gamma = O(\sqrt{\epsilon})} S_{gh}.$$

Here we have introduced the quasienergy gap of the avoided crossing E_{gap} , the S -dependent level splitting $E_{+-} = E_+ - E_- = 2\sqrt{K^2 + \delta^2/4}$, as well as the dissipation parameter Γ , which is of the order of $\sqrt{\epsilon}$.

Equating both expressions, we get

$$S_{gh} = \frac{i\epsilon_{gap}}{\Gamma - i\epsilon_{+}} (S_{gg} - S_{hh})$$

and with that and

$$|S_{gh}| \leq \frac{\epsilon_{gap}}{|\Gamma - i\epsilon_{+}|} |S_{gg} + S_{hh}|$$

Then in the limit

$$\Gamma_{SE} \gg \epsilon_{gap}$$

the density matrix becomes diagonal in the unperturbed basis

$$\begin{pmatrix} S_{gg} & S_{gh} \\ S_{hg} & S_{hh} \end{pmatrix} \longrightarrow \begin{pmatrix} P_g & 0 \\ 0 & P_h \end{pmatrix}.$$

Thus, the dissipation destroys the coherent resonant coupling of the unperturbed states. It can, thus, suppress unwanted Floquet heating, associated with these coherent processes.

Remark:

- The density matrix becomes diagonal also in the limit $\epsilon_{\pm} \gg \epsilon_{gap}$, which corresponds to the limit $|s| \gg |K|$, where the unperturbed states $|g\rangle, |h\rangle$ approach the exact Floquet states $|u_{+}\rangle, |u_{-}\rangle$.