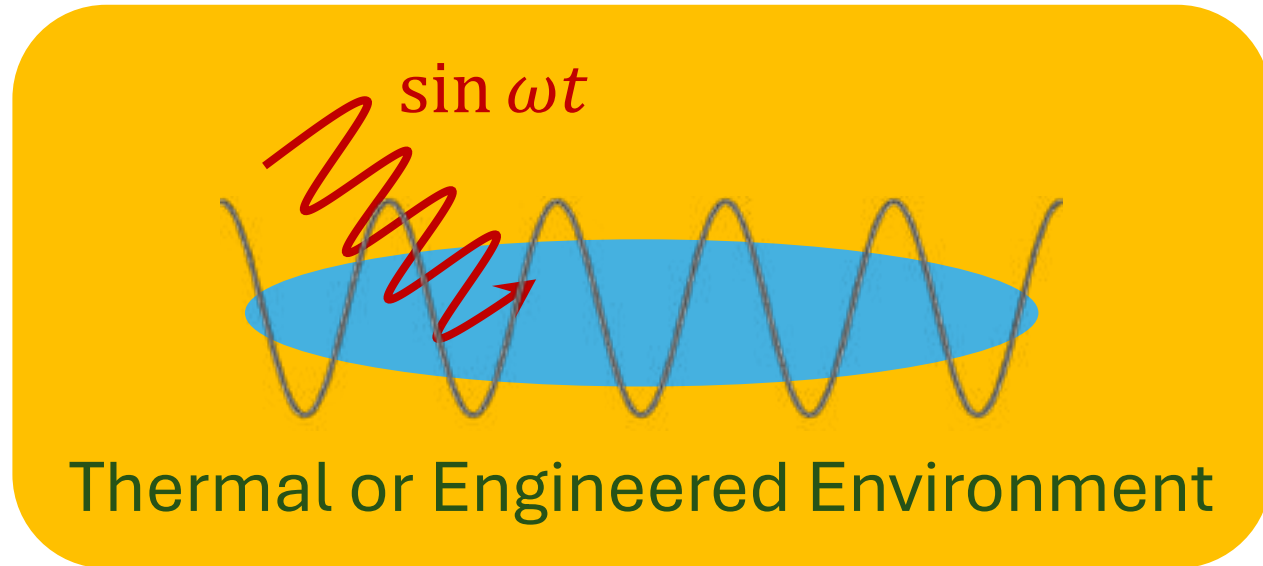


Floquet Engineering of Open Systems



André Eckardt

Technische Universität Berlin

School on Open Quantum Systems

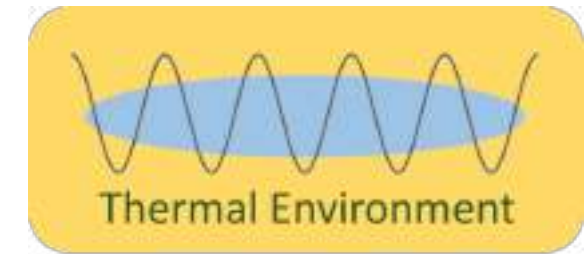
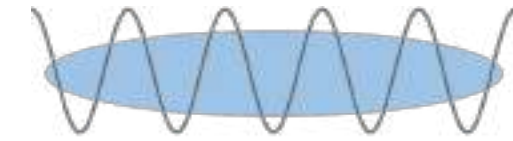
IFT-UNESP, Sao Paulo, August 4-7

Driven-dissipative systems

Thermal equilibrium

Universal concept: **Statistical Mechanics**

$$\langle \hat{O} \rangle = \text{tr}(\hat{\rho} \hat{O}) \quad \hat{\rho} = Z^{-1} e^{-\beta \hat{H}}$$



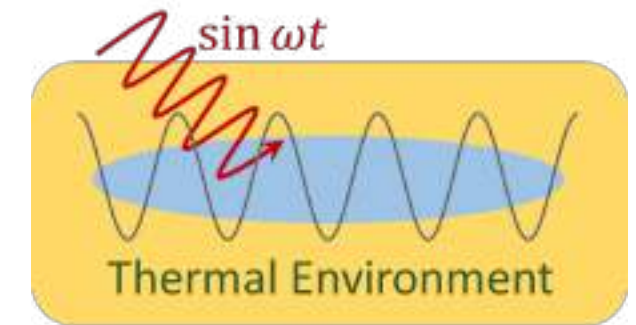
Far from equilibrium

No such universal concept

**Often
a challenge**

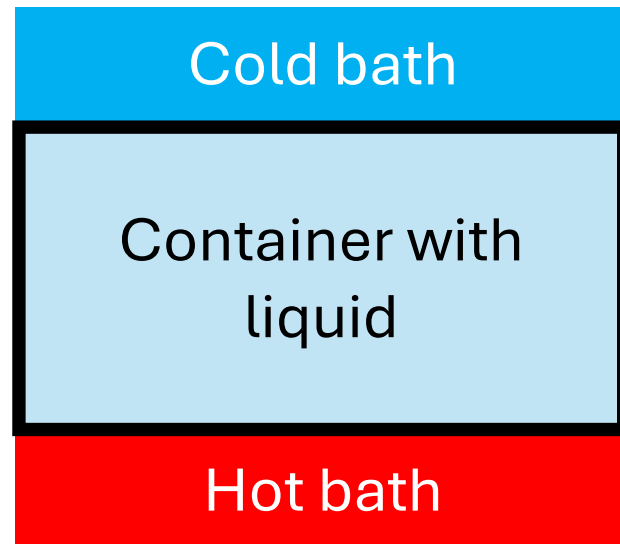
Opportunity

Control states & properties
beyond constraints of equilibrium

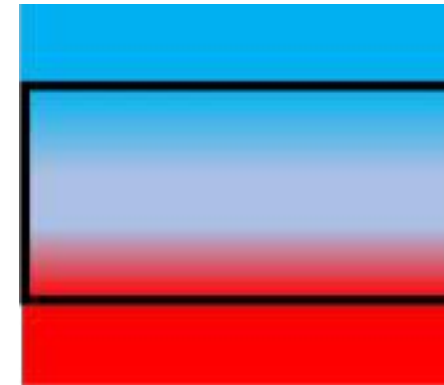


Driven-dissipative systems

Classical example:



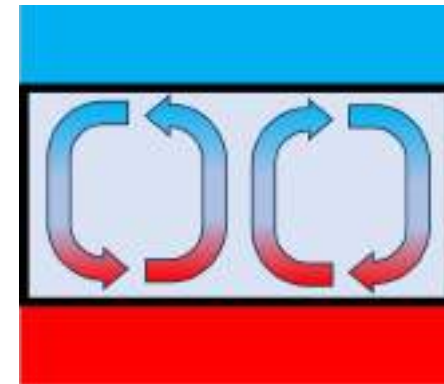
weak
driving



temperature
gradient

Conduction

strong
driving



qualitatively new
behaviour

Convection

Floquet systems

Floquet states (“Bloch states in time”)

$$|\psi_n(t)\rangle = |u_n(t)\rangle e^{-i\varepsilon_n t/\hbar}$$

$\Leftarrow$ quasienergy ε_n
 $\Leftarrow$ $|u_n(t+T)\rangle$ (Floquet mode)

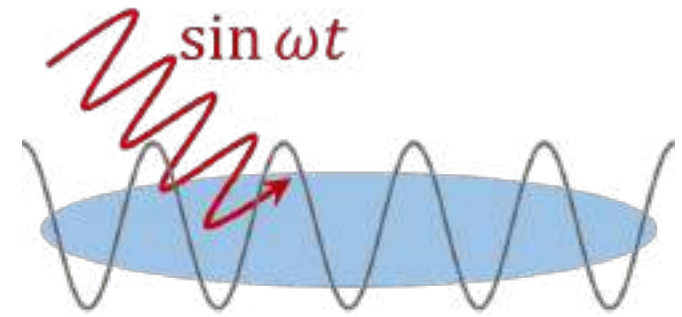
Time-independent **Floquet Hamiltonian**

$$H_F = \sum_n \varepsilon_n |u_n(0)\rangle \langle u_n(0)|$$

Describes stroboscopic time evolution

$$|\psi(\nu T)\rangle = \exp\left(-\frac{i}{\hbar} \nu T H_F\right) |\psi(0)\rangle$$

Control system by engineering H_F ! (?)



$$H(t) = H(t + T) = \sum_{\mu} H_{\mu} e^{i\mu\omega t}$$



—	$H(t) = H(t + T)$
—⊖—	H_F

Floquet systems

Floquet states (“Bloch states in time”)

$$|\psi_n(t)\rangle = |u_n(t)\rangle e^{-i\varepsilon_n t/\hbar} \quad \leftarrow \text{quasienergy } \varepsilon_n$$

$\doteq |u_n(t+T)\rangle$ (Floquet mode)

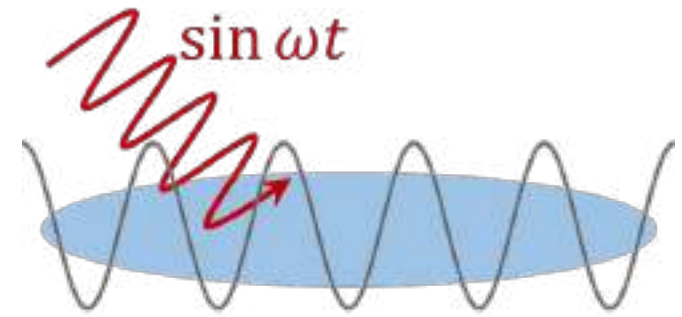
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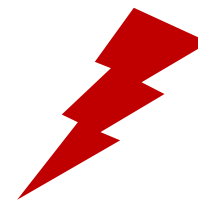
$$H(t) = H(t+T) = \sum_{\mu} H_{\mu} e^{i\mu\omega t}$$

Quasienergy defined modulo $\hbar\omega$ only

$$\varepsilon_{nm} = \varepsilon_n + m\hbar\omega, \quad |u_{nm}\rangle = |u_n\rangle e^{im\omega t}$$

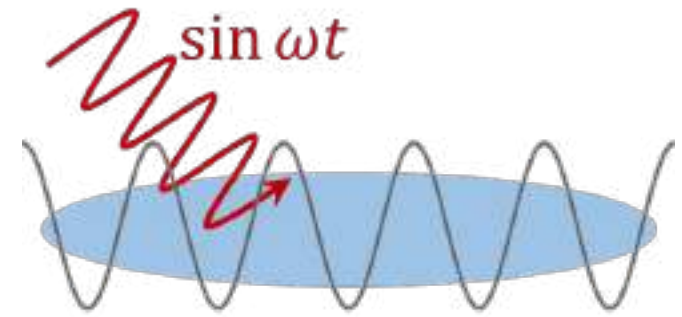
**Many-body Floquet modes $|u_n(0)\rangle$
superpositions of very different energies!**

H_F describes heating (unlike static Hamiltonian)



Not that simple!

Floquet systems



Floquet states (“Bloch states in time”)

$$H(t) = H(t + T) = \sum_{\mu} H_{\mu} e^{i\mu\omega t}$$

$$|\psi_n(t)\rangle = |u_n(t)\rangle e^{-i\varepsilon_n t/\hbar} = |u_{nm}(t)\rangle e^{-i\varepsilon_{nm} t/\hbar}$$

$\stackrel{\text{quasienergy } \varepsilon_n}{\leftarrow}$
 $\stackrel{=}{=} |u_n(t + T)\rangle$ (Floquet mode)

Quasienergy defined modulo $\hbar\omega$ only

$$\varepsilon_{nm} = \varepsilon_n + m\hbar\omega, \quad |u_{nm}\rangle = |u_n\rangle e^{im\omega t}$$

Time-independent **Floquet Hamiltonian**

$$H_F = \sum_n \varepsilon_n |u_n(0)\rangle \langle u_n(0)|$$

**Many-body Floquet modes $|u_n(0)\rangle$
superpositions of very different energies!**

H_F describes heating (unlike static Hamiltonian)

However: Heating can be suppressed on finite time scale:

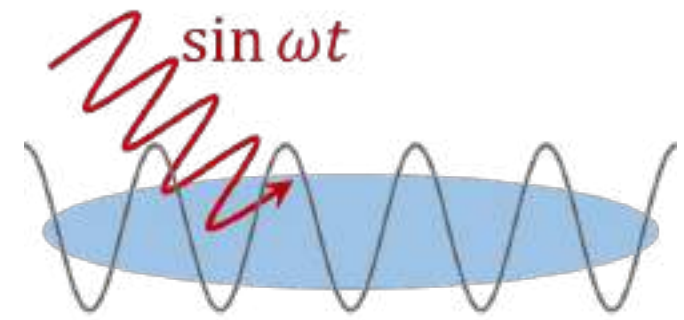
$$|\psi(vT)\rangle \approx e^{-i v T H_{\text{eff}}/\hbar} |\psi(0)\rangle \quad \text{for } t < t_{\text{heating}}$$

H_{eff} regular static many-body Hamiltonian approximating H_F

A.E., Weiss, Holthaus PRL 2005

Floquet systems

Floquet states (“Bloch states in time”)



$$H(t) = H(t + T) = \sum_{\mu} H_{\mu} e^{i\mu\omega t}$$

$$|\psi_n(t)\rangle = |u_n(t)\rangle e^{-i\varepsilon_n t/\hbar} = |u_{nm}(t)\rangle e^{-i\varepsilon_{nm} t/\hbar}$$



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Floquet systems

Floquet states (“Bloch states in time”)

$$|\psi_n(t)\rangle = |u_n(t)\rangle e^{-i\varepsilon_n t/\hbar} = |u_{nm}(t)\rangle e^{-i\varepsilon_{nm} t/\hbar}$$

Eigenvalue problem of **quasienergy operator** Q [Sambe 73]

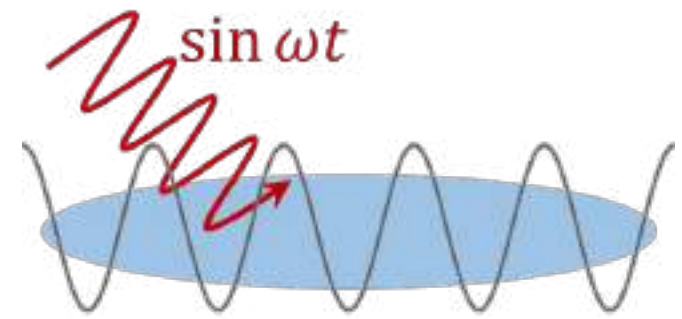
$$\underbrace{[H(t) - i\hbar\partial_t]}_Q |u_{nm}\rangle = \varepsilon_{nm} |u_{nm}\rangle$$

in Floquet space (time-periodic states)

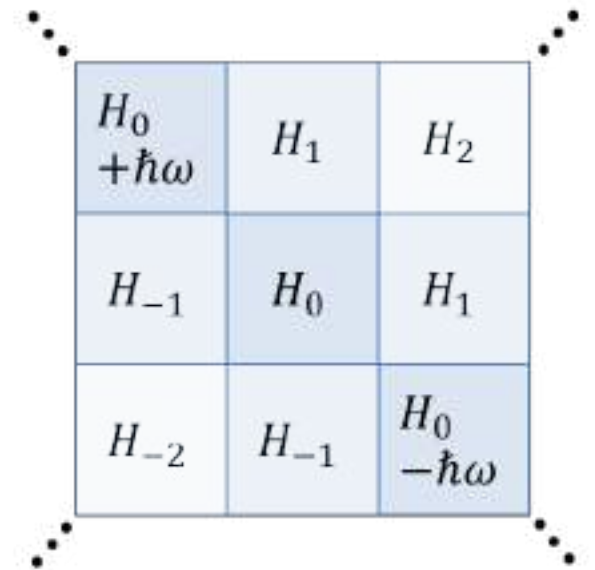
scalar product: $\langle\langle u|v\rangle\rangle = \frac{1}{T} \int_0^T dt \langle u(t)|v(t)\rangle$

basis $|\alpha m\rangle$: $|\alpha\rangle e^{im\omega t}$ (static basis $\{|\alpha\rangle\}$ & $m \in \mathbb{Z}$)

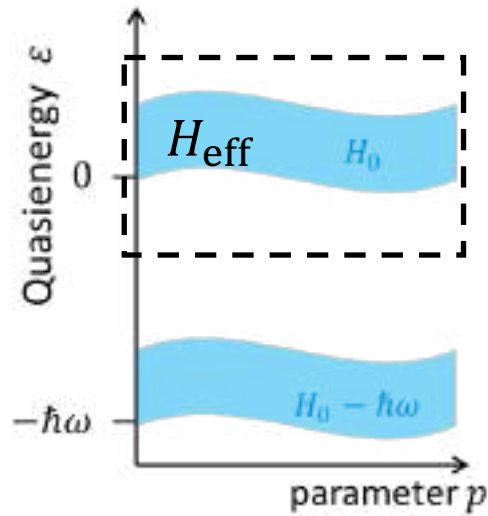
$$\langle\langle \alpha' m' | Q | \alpha m \rangle\rangle = \langle \alpha' | H_{m-m'} | \alpha \rangle + \delta_{m'm} \delta_{\alpha'\alpha} m \hbar \omega$$



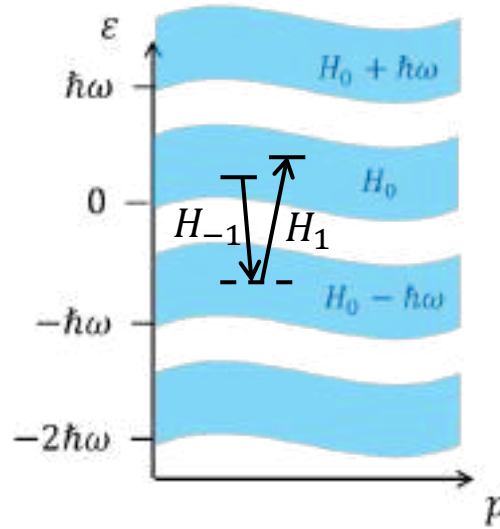
$$H(t) = H(t + T) = \sum_{\mu} H_{\mu} e^{i\mu\omega t}$$



Single particle, finite bandwidth



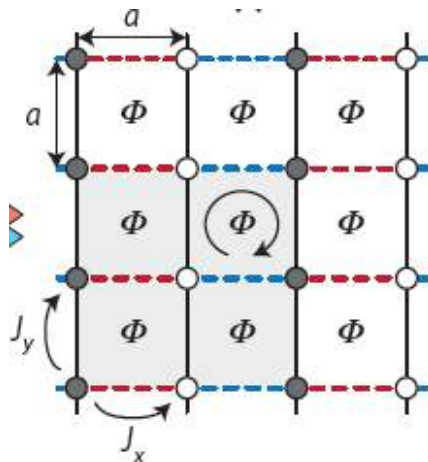
High frequencies



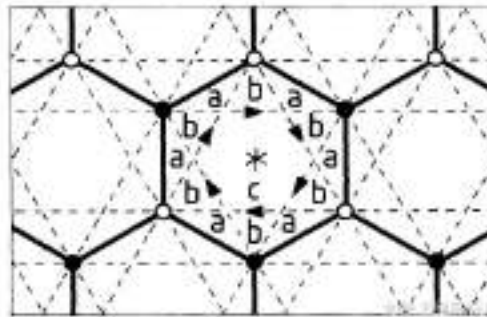
Moderately large freq.

$$H_{\text{eff}} \simeq H_0 = \frac{1}{T} \int_0^T H(t) dt$$

$$H_{\text{eff}} \simeq H_0 + \sum_{m \neq 0} \frac{H_m H_{-m}}{m \hbar \omega} + \dots$$



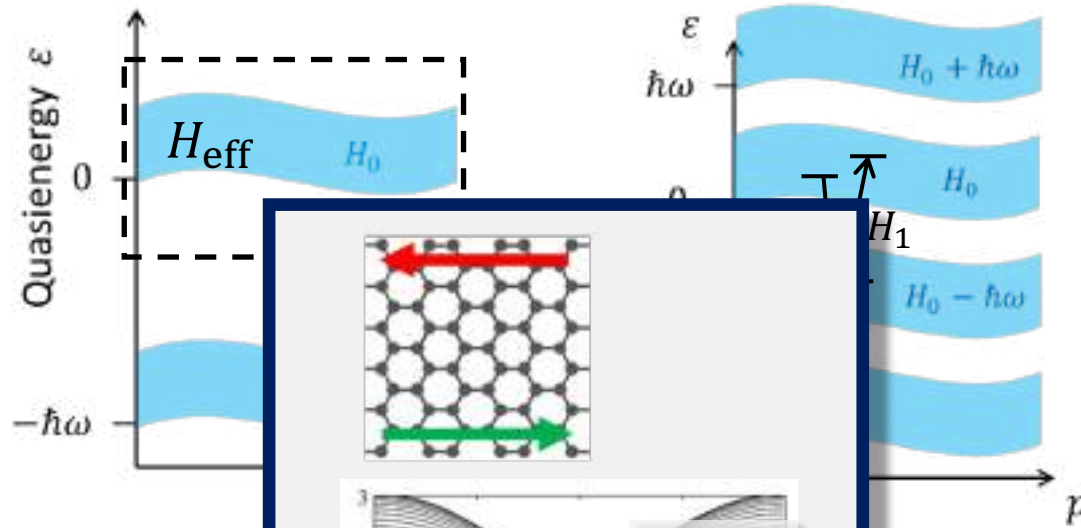
Quantum-gas experiments:
Munich, MIT, Harvard



Quantum-gas experiments:
Zürich, Hamburg, München

$H_0 + \hbar\omega$	H_1	H_2
H_{-1}	H_0	H_1
H_{-2}	H_{-1}	$H_0 - \hbar\omega$

Single particle, finite bandwidth

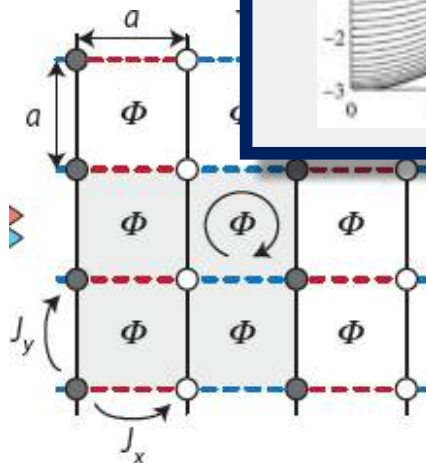


High fr

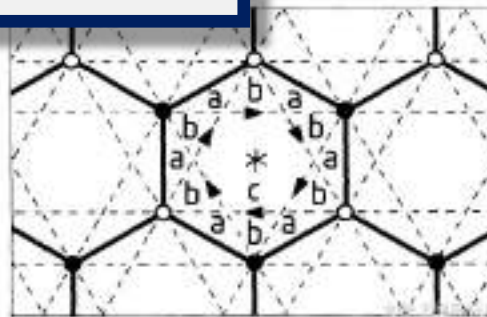
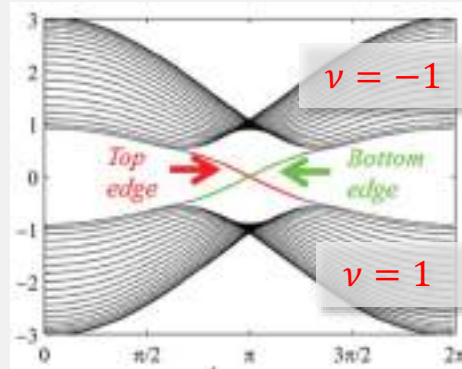
ly large freq.

$$H_{\text{eff}} \simeq H_0 =$$

$$+ \sum_{m \neq 0} \frac{H_m H_{-m}}{m \hbar \omega} + \dots$$



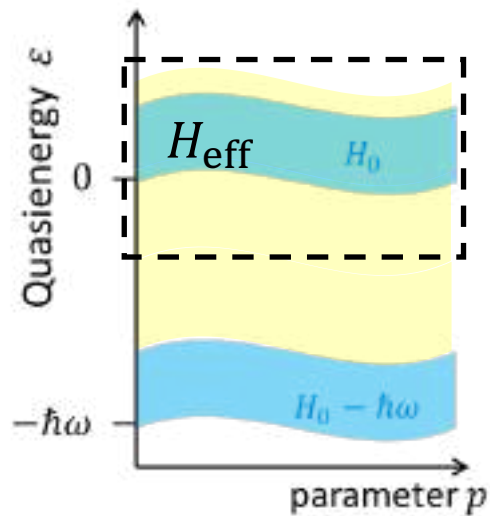
Quantum-gas experiments:
Munich, MIT, Harvard



Quantum-gas experiments:
Zürich, Hamburg, München

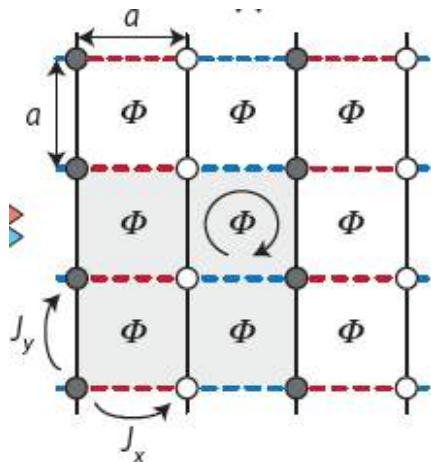
$H_0 + \hbar\omega$	H_1	H_2
H_{-1}	H_0	H_1
H_{-2}	H_{-1}	$H_0 - \hbar\omega$

~~Single particle, finite bandwidth~~

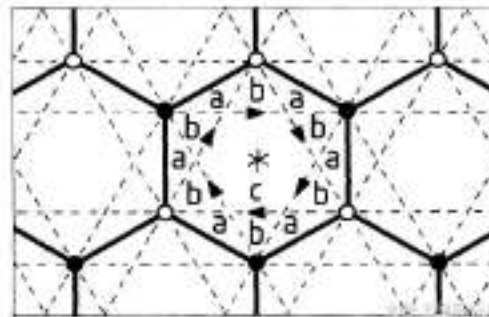


High frequencies

$$H_{\text{eff}} \simeq H_0 = \frac{1}{T} \int_0^T H(t) dt$$

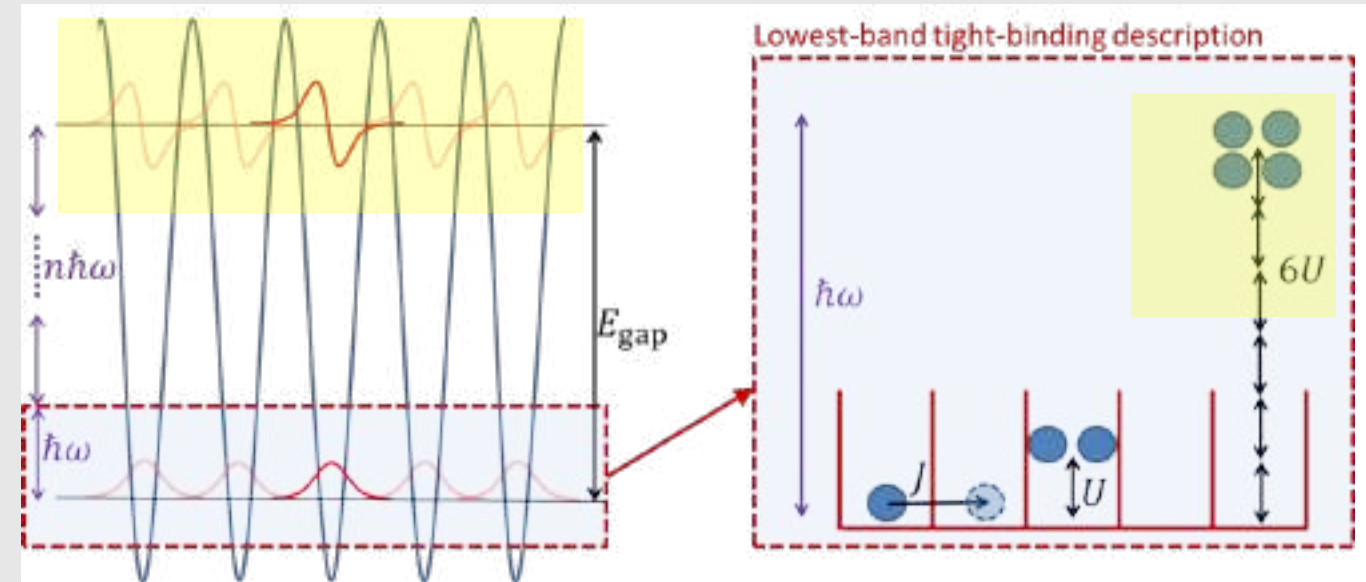


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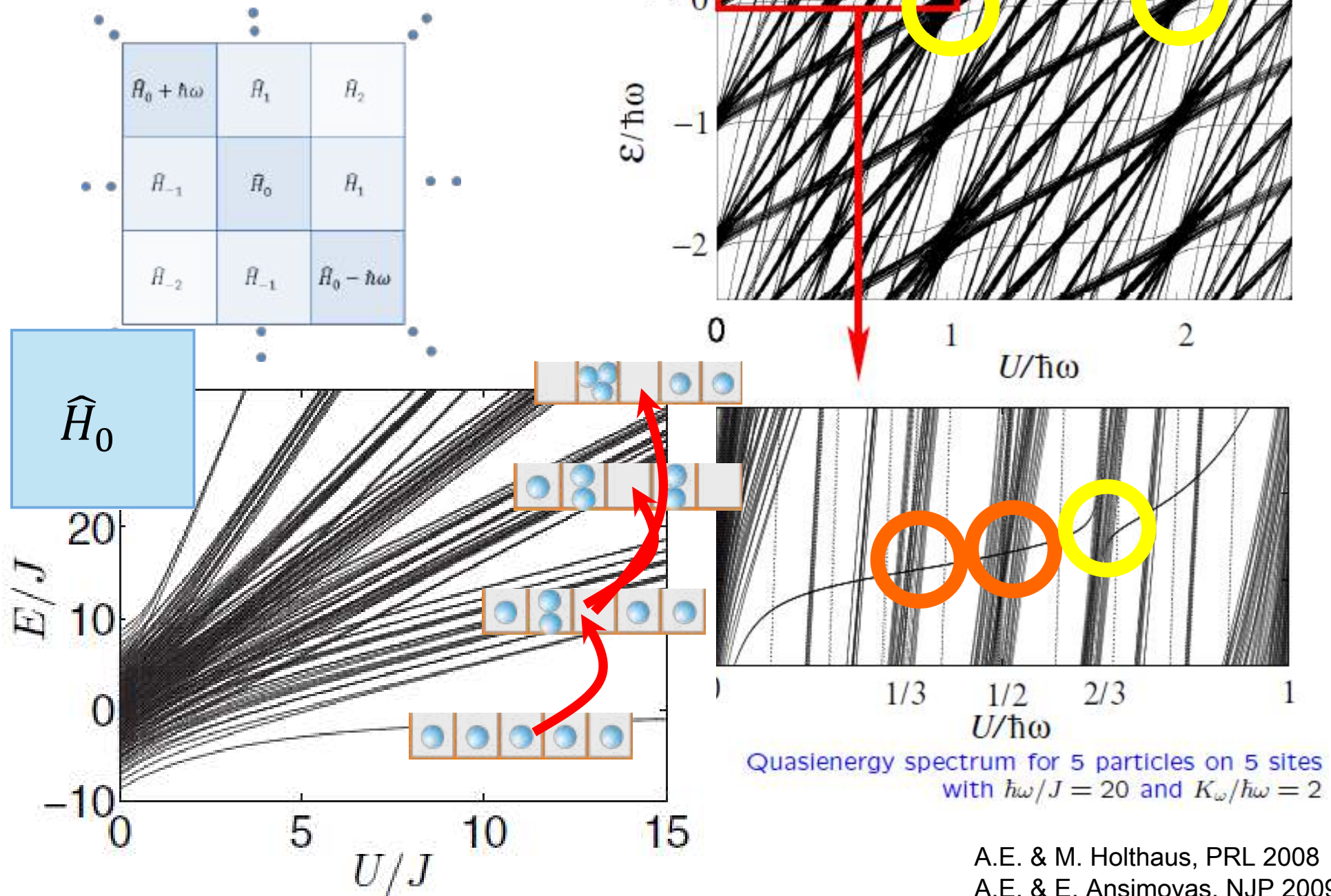
Quantum-gas experiments:
Zürich, Hamburg, München

Heating channels



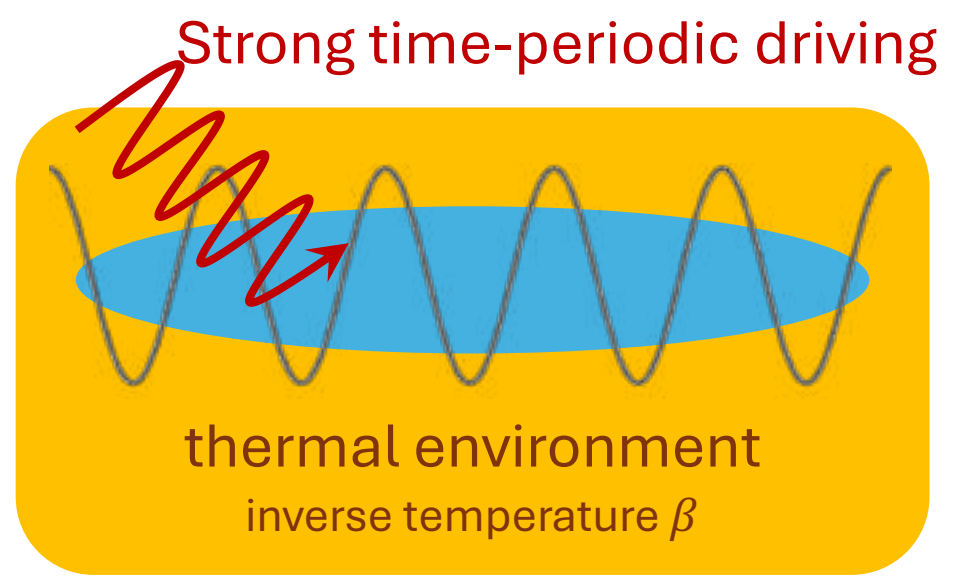
$H_0 + \hbar\omega$	H_1	H_2
H_{-1}	H_0	H_1
H_{-2}	H_{-1}	$H_0 - \hbar\omega$

Example for Floquet heating



Open Floquet systems

$$H_{\text{full}}(t) = H(t) + \gamma H_{\text{SB}} + H_{\text{B}} \\ \cong H(t + T)$$



Weak system-bath coupling

(Floquet-Born-Markov Theory + secular/RWA [Blümel et al. PRA 1991])

$$\dot{\rho} = \frac{1}{i} [H, \rho] + \gamma^2 \mathcal{D}(\rho) \xrightarrow{\gamma \ll \Delta\varepsilon} \rho_{\text{steady}} = \sum_n p_n |u_n\rangle \langle u_n|$$

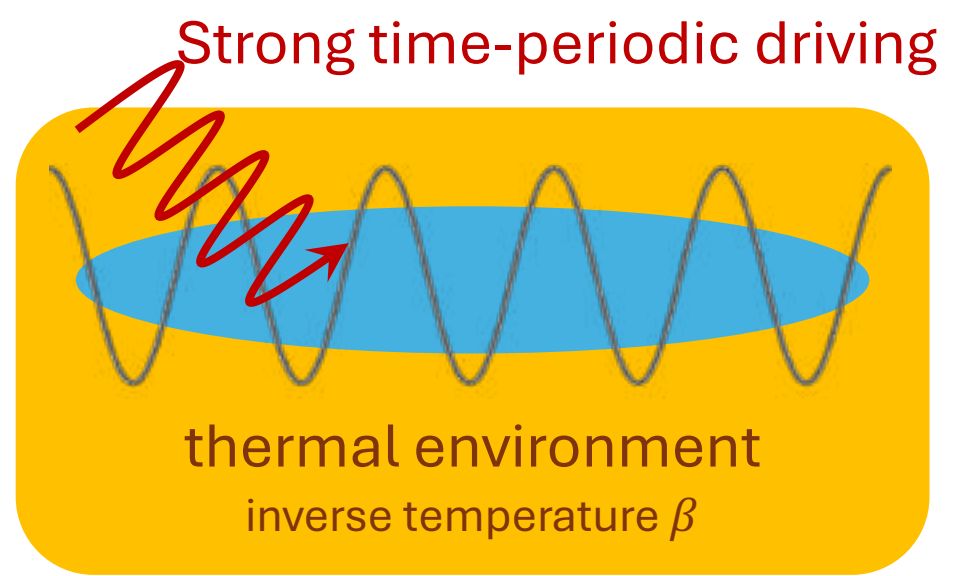
Floquet modes of $H(t)$

p_n determined
by environment

$$\dot{p}_n = \sum_m (R_{nn'} p_{n'} - R_{n'n} p_n) = 0$$

Open Floquet systems

$$H_{\text{full}}(t) = H(t) + \gamma H_{\text{SB}} + H_{\text{B}} \\ \cong H(t + T)$$



Rates from Floquet-Fermi golden rule:

$$R_{n'n} = \sum_{\mu=-\infty}^{\infty} R_{n'n}^{(\mu)} \quad (\text{processes, where } \Delta E_{\text{bath}} = -(\varepsilon_{n'} - \varepsilon_n + \mu\hbar\omega))$$

$$\frac{R_{n'n}}{R_{nn'}} \neq e^{-\beta(\varepsilon_{n'} - \varepsilon_n)}$$

$$\dot{p}_n = \sum_m (R_{nm} p_m - R_{mn} p_n) = 0 \quad \rho_{\text{steady}} = \sum_n p_n |u_n\rangle \langle u_n|$$

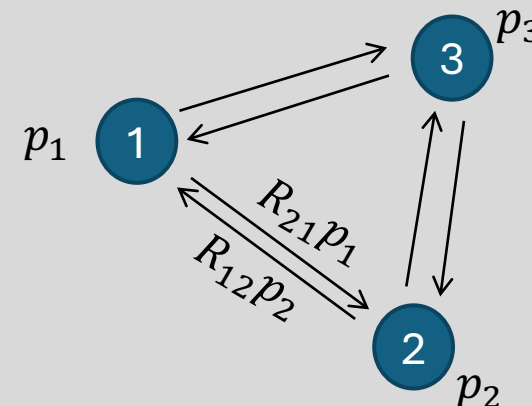
Equilibrium:



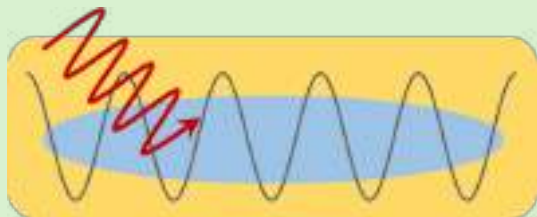
$$\frac{R_{mn}}{R_{nm}} = e^{-\beta(E_m - E_n)}$$

$$p_n \propto e^{-\beta E_n} \text{ (Gibbs state)}$$

$$\text{Detailed balance } J_{nn'} = 0$$



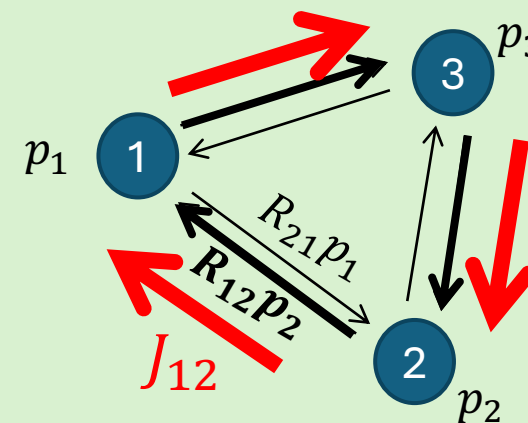
Nonequilibrium steady state (NESS):



$$\frac{R_{mn}}{R_{nm}} \neq e^{-\beta(\epsilon_m - \epsilon_n)}$$

p_n depend on details of environment

$$\text{No detailed balance } J_{nn'} \neq 0$$



$$\dot{p}_n = \sum_m \underbrace{(R_{nn'}p_{n'} - R_{n'n}p_n)}_{J_{nn'} \text{ (net probability current from } n' \text{ to } n)} = 0 \quad \rho_{\text{steady}} = \sum_n p_n |u_n\rangle \langle u_n|$$

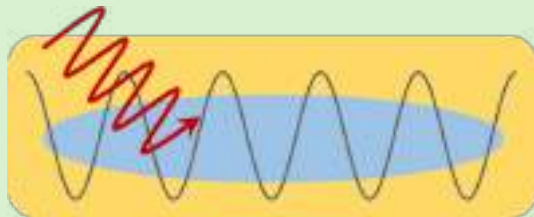
Dynamics & Relaxation

Non-equilibrium Steady State (NESS)



**Robust dissipative
state engineering**

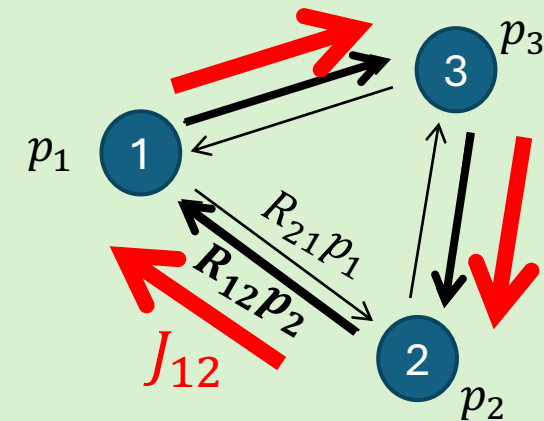
Nonequilibrium steady state (NESS):



p_n depend on details
of environment

$$\frac{R_{mn}}{R_{nm}} \neq e^{-\beta(\varepsilon_m - \varepsilon_n)}$$

No detailed balance $J_{nm} \neq 0$



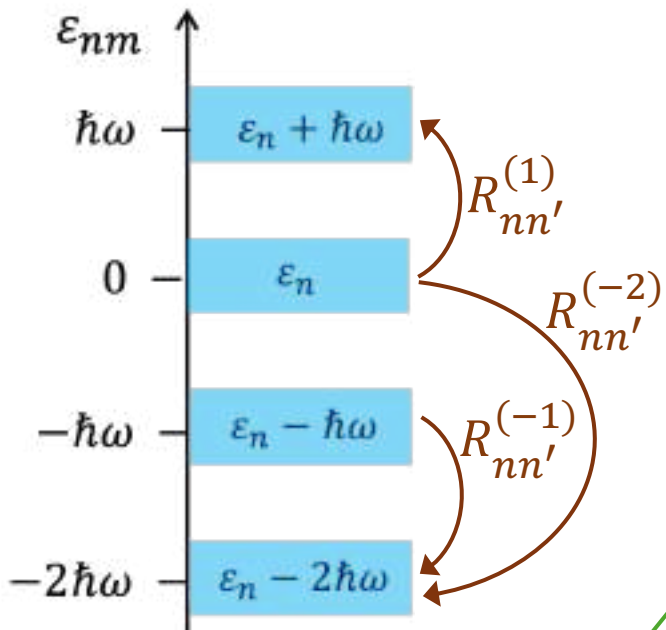
$$\dot{p}_n = \sum_m \underbrace{(R_{nn'}p_{n'} - R_{n'n}p_n)}_{J_{nn'} \text{ (net probability current from } n' \text{ to } n)} = 0 \quad \rho_{\text{steady}} = \sum_n p_n |u_n\rangle \langle u_n|$$

Intuitive picture in Floquet space [L. Wanckel, A.E., arXiv:2604.01291]

Distinguish Floquet copies $|u_{nm}\rangle = |u_n\rangle e^{im\omega t}$ with quasienergies $\varepsilon_{nm} = \varepsilon_n + m\hbar\omega$

Interpretation:

System state n
"Photon" number m



Rewrite: $\rho = \sum_n p_n |u_n\rangle \langle u_n| = \sum_{nm} p_{nm} |u_{nm}\rangle \langle u_{nm}|$

$|u_n\rangle \langle u_n| = |u_{nm}\rangle \langle u_{nm}|$

with $p_n = \sum_m p_{nm}$,

p_{nm} : Interpreted as population of $|u_{nm}\rangle$ (not unique)

$$\dot{p}_{nm} = \sum_{n'm'} \left(R_{(nm)(n'm')} p_{n'm'} - R_{(n'm')(nm)} p_{nm} \right)$$

similar to Floquet
two-time formalism

[HP Breuer, M Holthaus,
Phys. Lett. A **140**, 507 (1989)]

$$R_{(nm)(n'm')} \equiv R_{nn'}^{(m-m')}$$

equivalent to

Looks equilibrium like $\frac{R_{nm,n'm'}}{R_{n'm',nm}} = e^{-\beta(\varepsilon_{nm} - \varepsilon_{n'm'})}$

Gives intuition!

But no steady state $\rho \propto e^{-\beta \varepsilon_{nm}}$
as ε_{nm} not bounded from below!

$$\dot{p}_n = \sum_m \underbrace{(R_{nn'} p_{n'} - R_{n'n} p_n)}_{J_{nn'} \text{ (net probability current from } n' \text{ to } n)} = 0 \quad \rho_{\text{steady}} = \sum_n p_n |u_n\rangle \langle u_n| \quad R_{n'n} = \sum_{\mu=-\infty}^{\infty} R_{n'n}^{(\mu)}$$



Alex Schnell
(TU Berlin)



Christof Weitenberg
(U Dortmund)

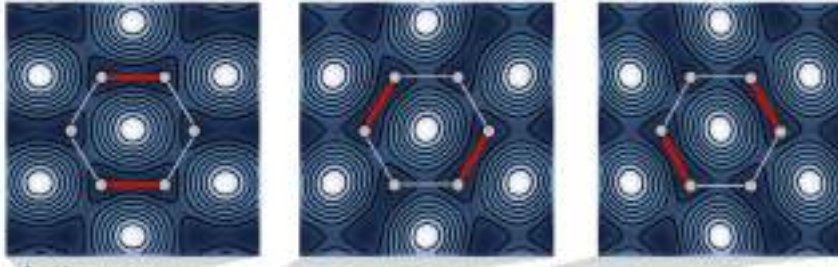
Stabilizing Floquet topological insulators

SciPost Phys. 17, 052 (2024)

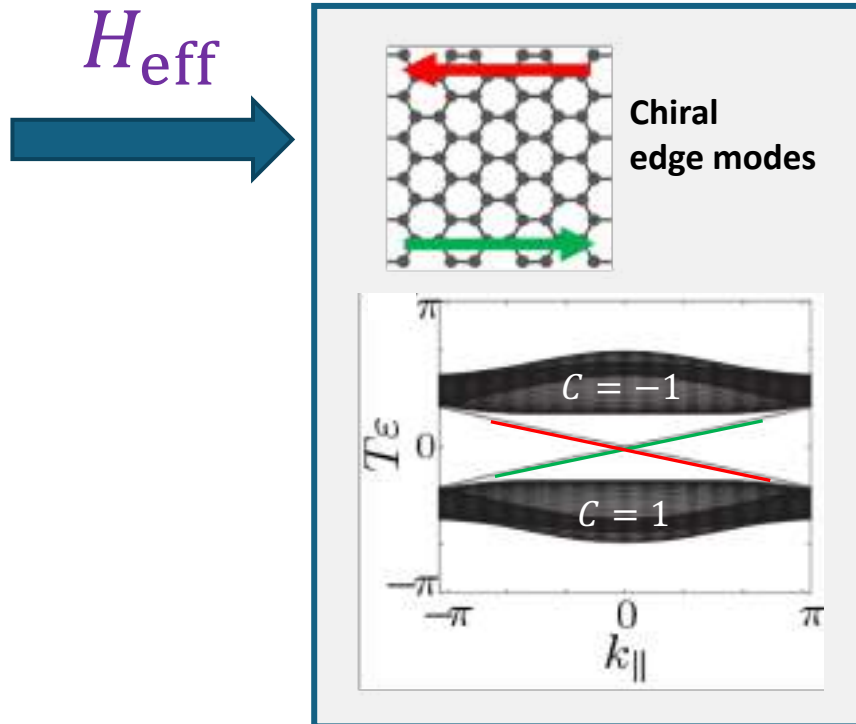
Floquet-topological system:

Theory: Oka & Aoki, PRB 2009, Kitagawa et al. PRB 2010, ...

Quantum gas experiments: Aidelsburger/Bloch, Esslinger, Greiner, Weitenberg/Sengstock, ...



from Wintersperger et al., Nat. Phys. 2020

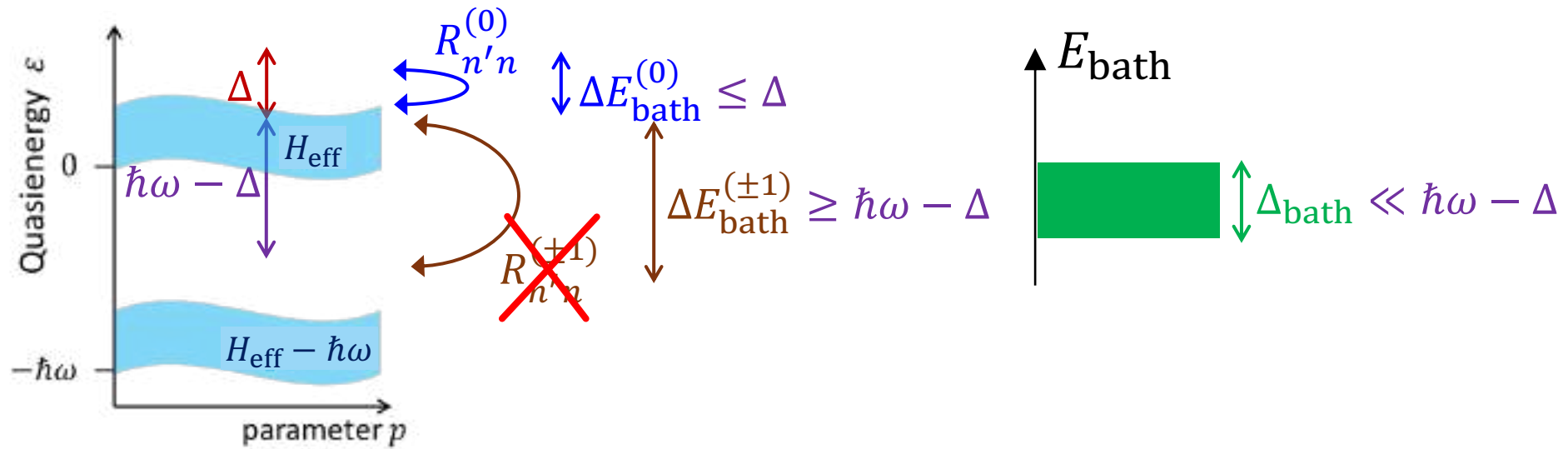


Topological Chern insulator
(integer quantum Hall state):

Fermionic band insulator:
One band filled completely,
other band empty

Goal: Engineer bath, so that

$$\rho_{\text{NESS}} \approx Z^{-1} e^{-\beta H_{\text{eff}}}, \quad \beta E_{\text{gap}}^{\text{eff}} \gg 1$$



Rates from Floquet-Fermi golden rule:

$$R_{mn} = \sum_{\mu} R_{mn}^{(\mu)} \approx R_{mn}^{(0)} \quad \text{with} \quad \Delta E_{\text{bath}}^{(\mu)} = -(\varepsilon_m - \varepsilon_n + \mu \hbar\omega)$$

$$\frac{R_{mn}}{R_{nm}} \neq e^{-\beta(\varepsilon_m - \varepsilon_n)}$$

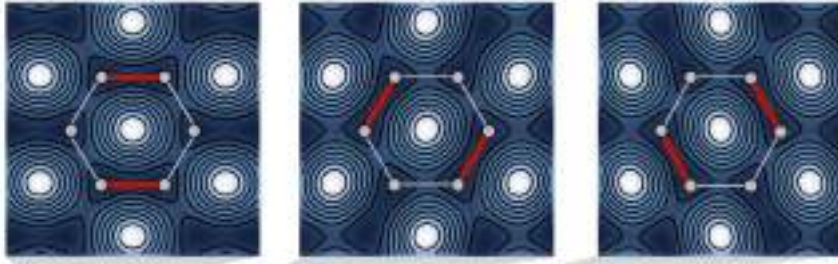
$$\frac{R_{mn}^{(0)}}{R_{nm}^{(0)}} = e^{\beta \Delta E_{\text{bath}}^{(0)}} = e^{-\beta(\varepsilon_m - \varepsilon_n)}$$

$$\Rightarrow p_n \approx Z^{-1} e^{-\beta \varepsilon_n}$$

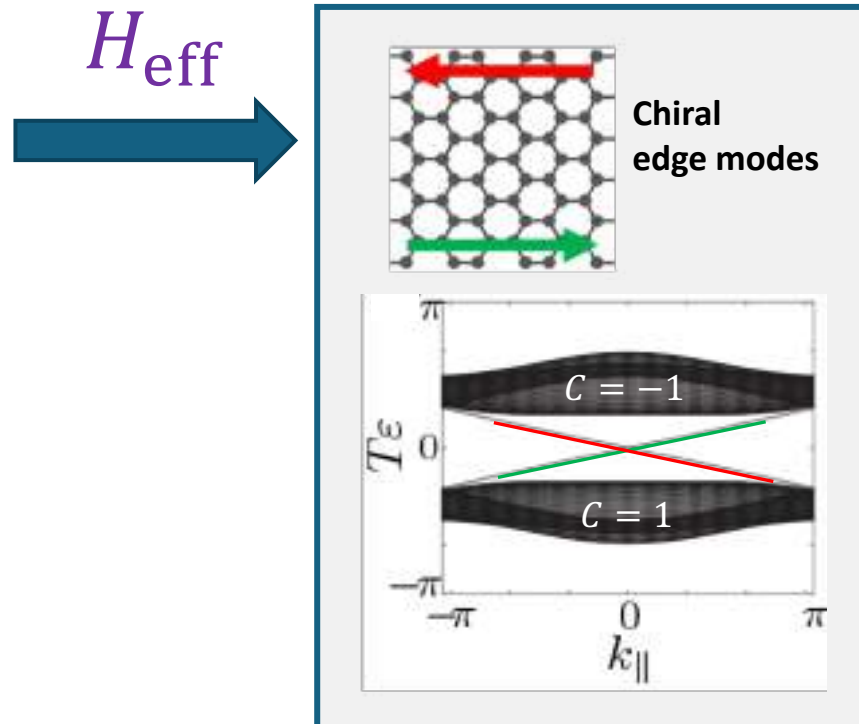
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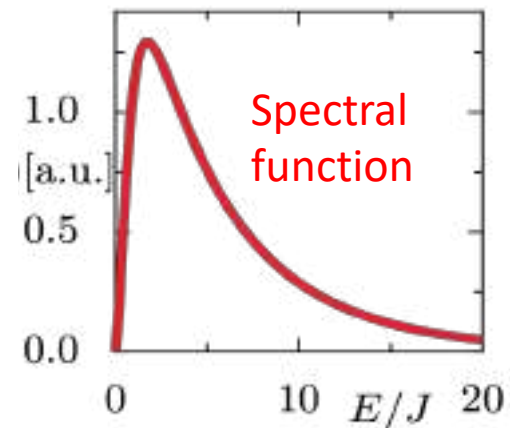
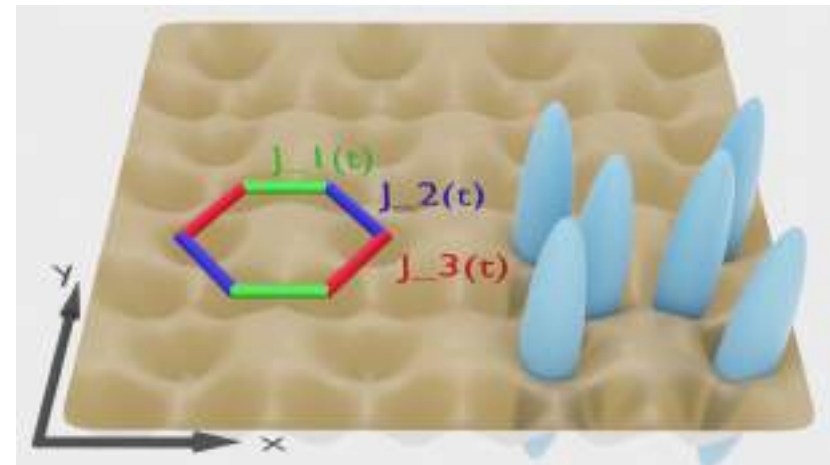
Quantum gas experiments: Aidelsburger/Bloch, Esslinger, Greiner, Weitenberg/Sengstock, ...



from Wintersperger et al., Nat. Phys. 2020



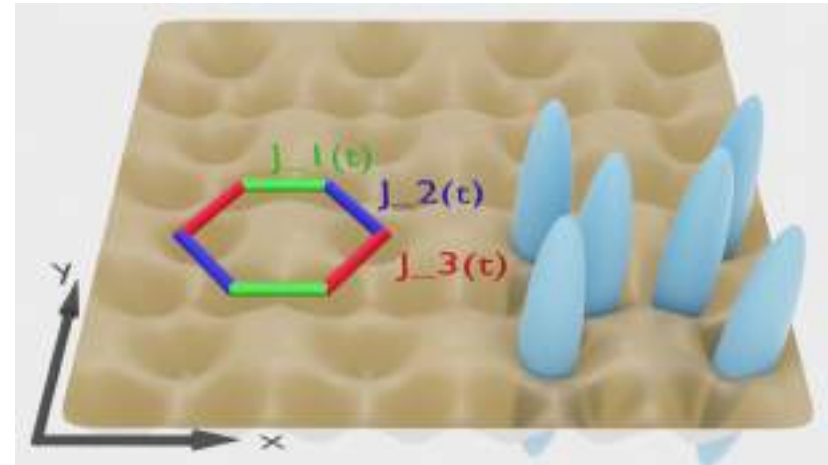
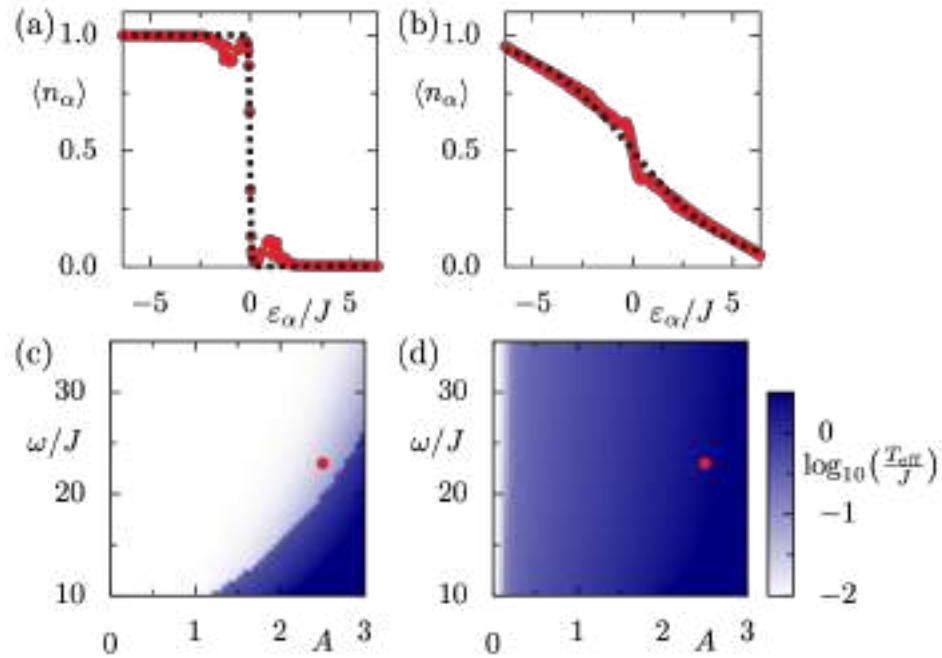
Microscopic model (^6Li - ^{87}Rb)
BEC baths in transverse 1D tubes
(Bogoliubov + Floquet-Born Markov)



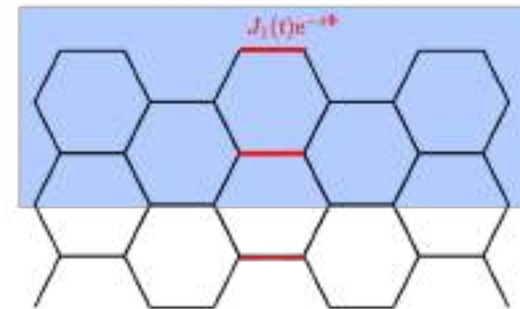
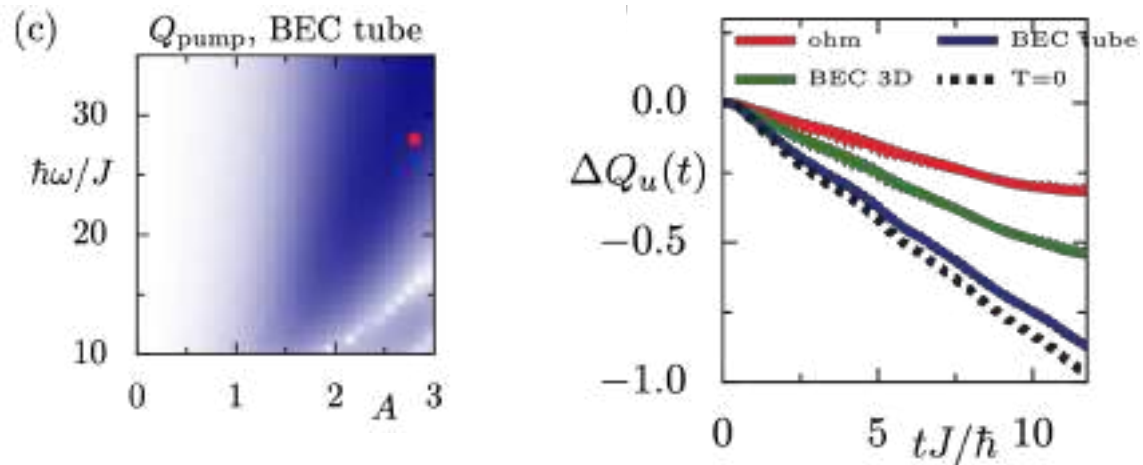
Vertical BEC tubes

Ohmic bath

Microscopic model (^6Li - ^{87}Rb)
 BEC baths in transverse 1D tubes
 (Bogoliubov + Floquet-Born Markov)



Hall response from Charge pumping (16x16 unit cells)



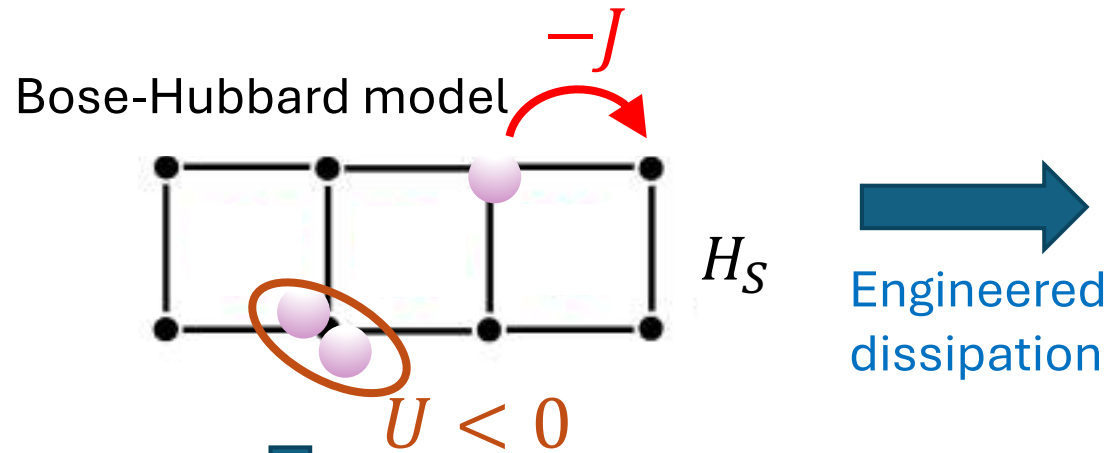


**Francesco
Petiziol
(TU Berlin)**

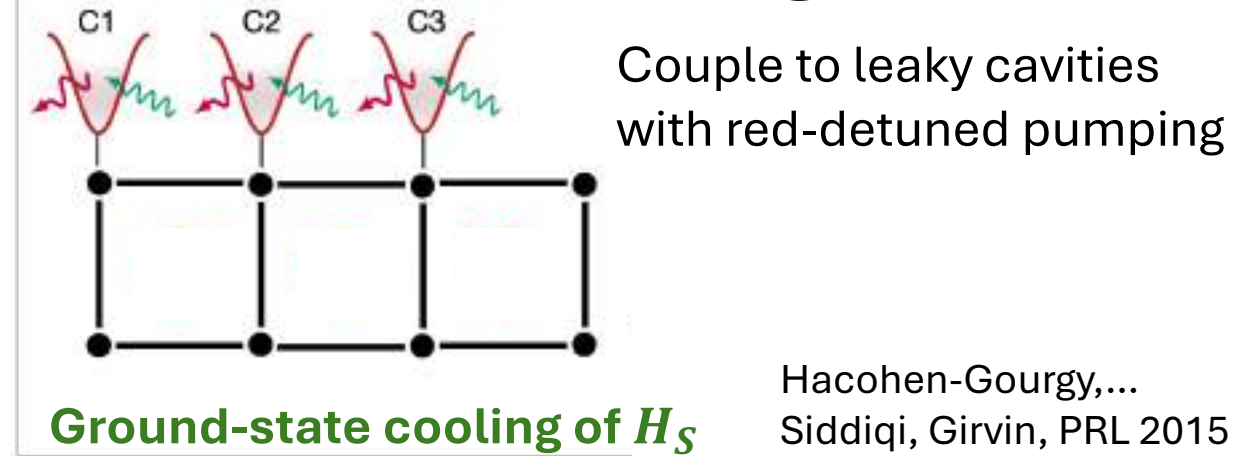
Cooling Floquet systems using cavity-based reservoir engineering

Petiziol, A.E., PRL **129**, 233601 (2022)

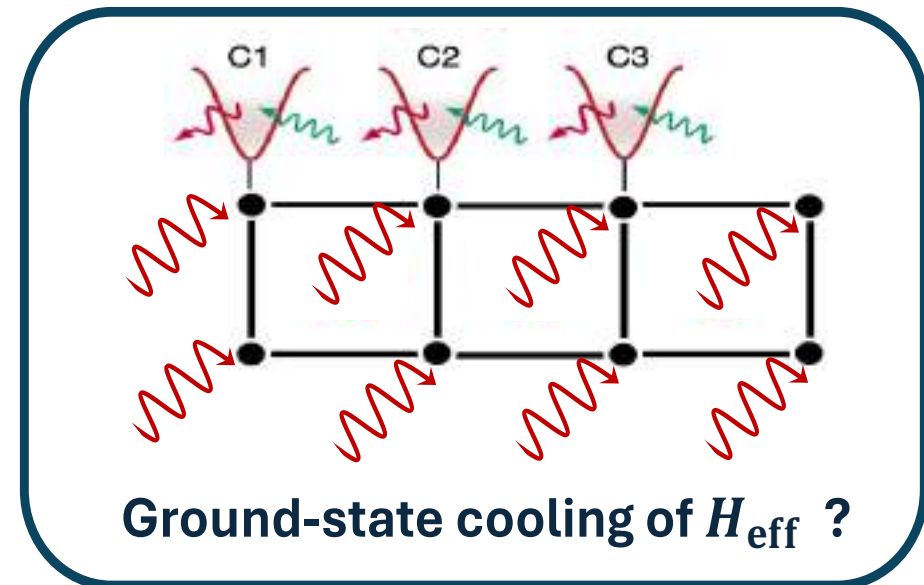
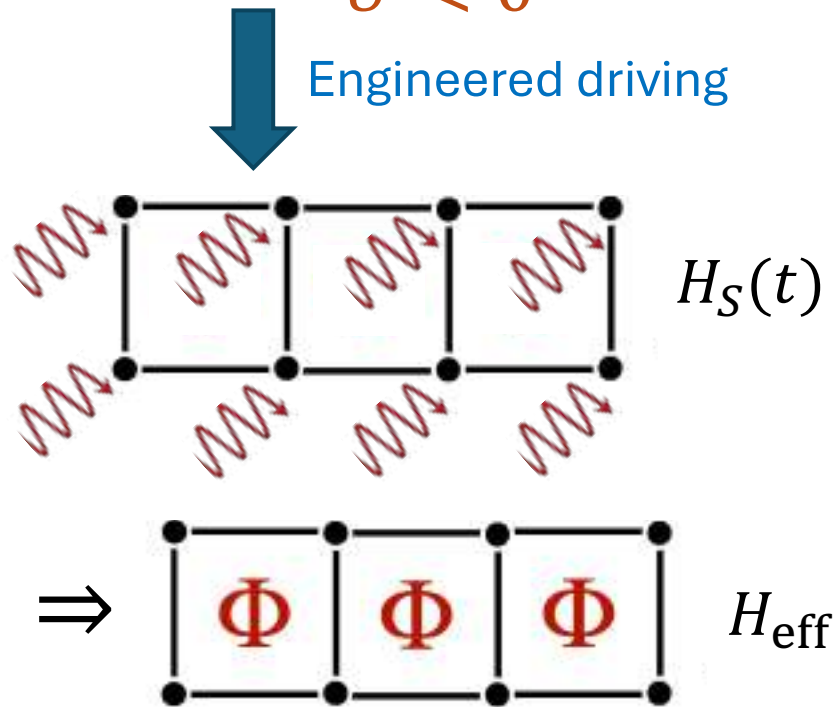
Array of artificial atoms (superconducting circuit)



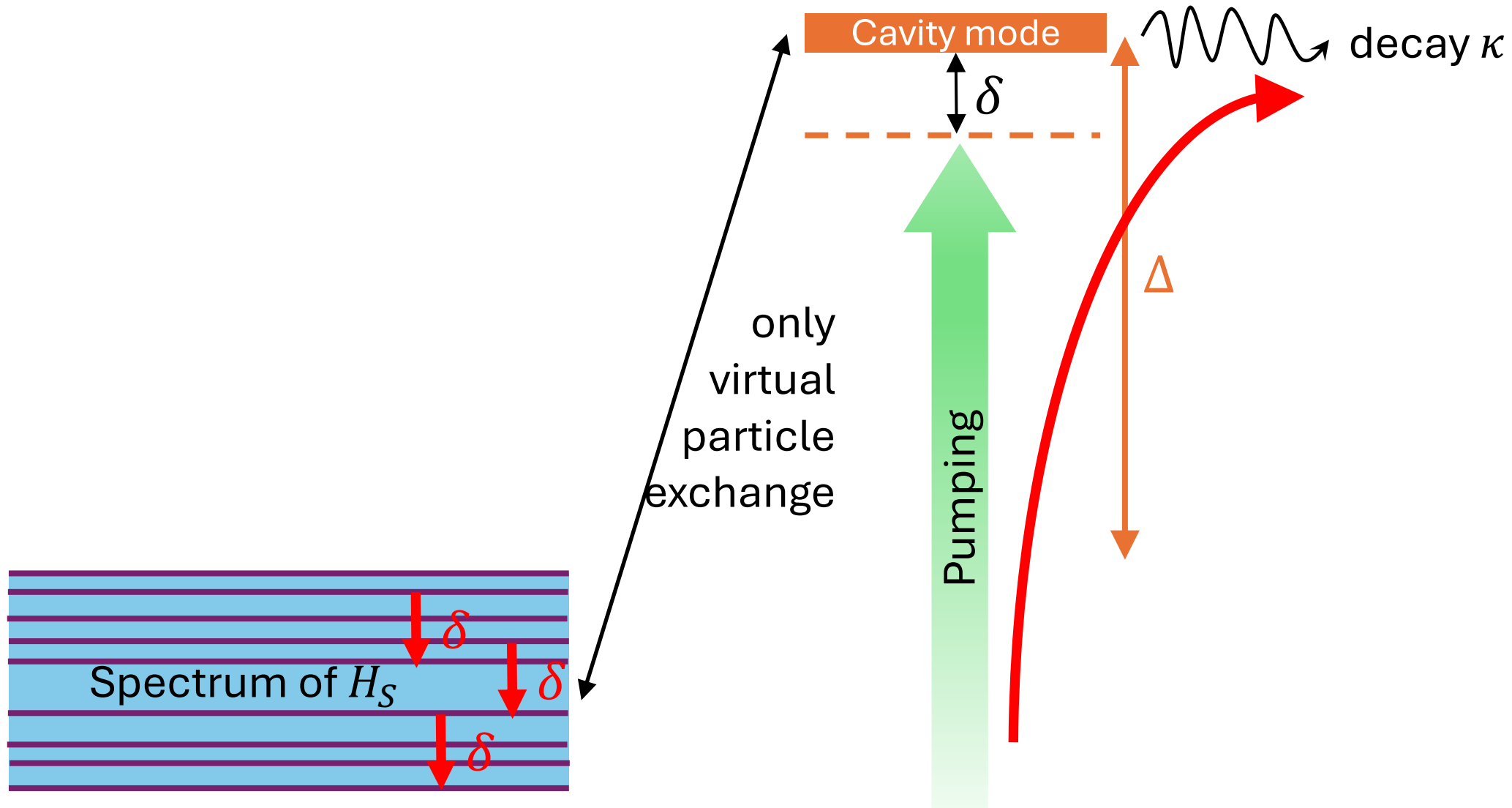
Engineered
dissipation



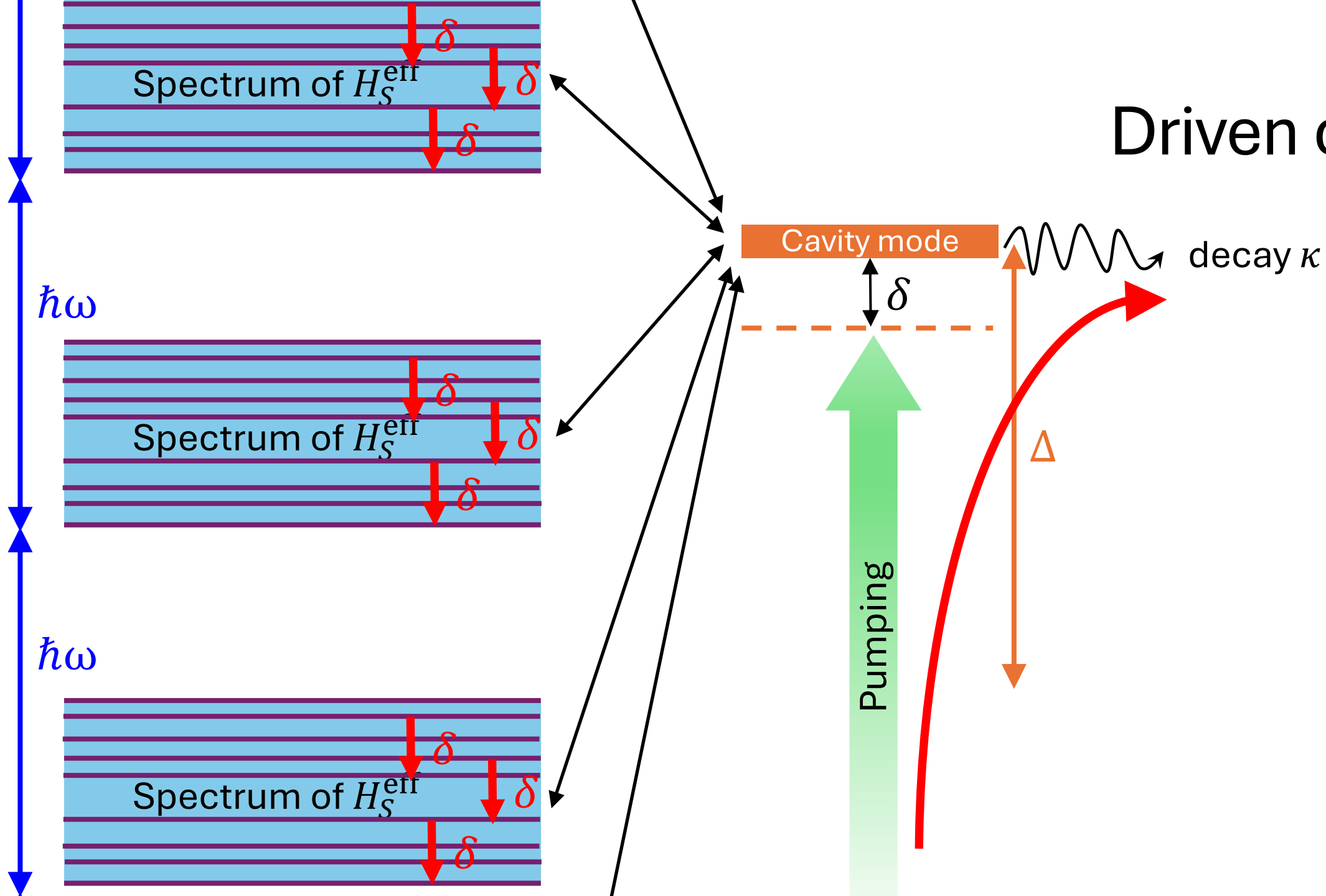
Hacohen-Gourgy,...
Siddiqi, Girvin, PRL 2015



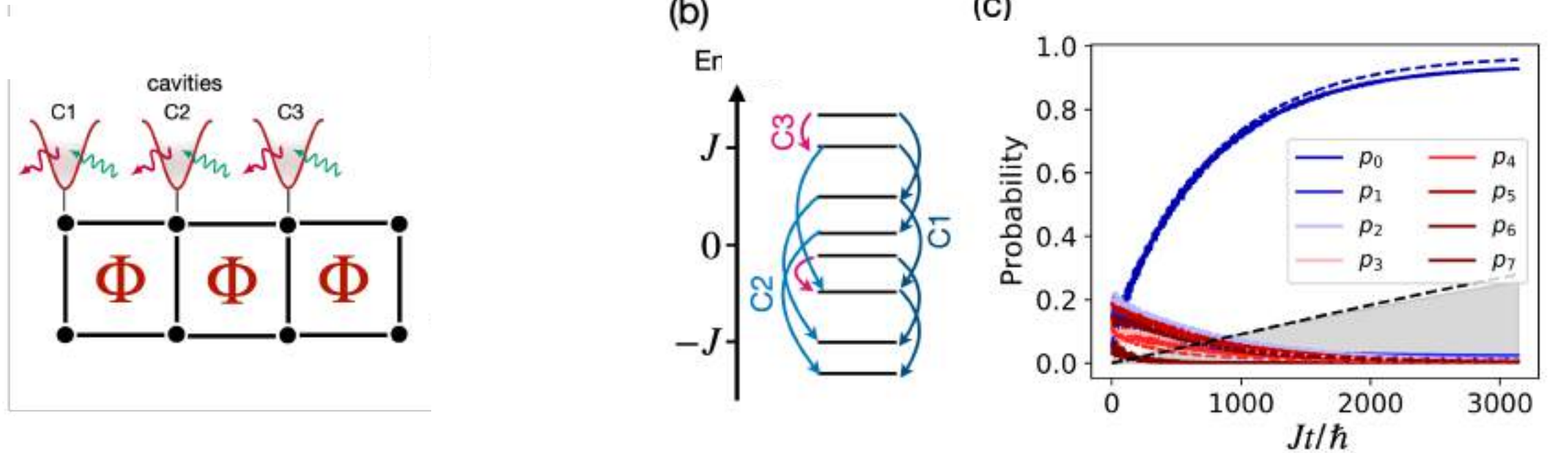
Undriven case



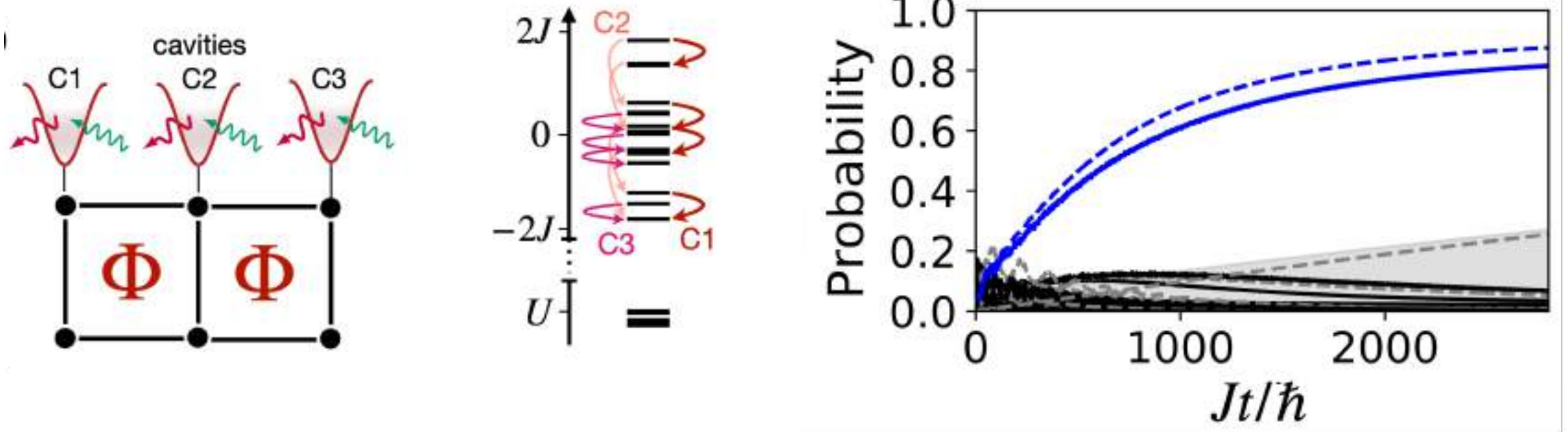
Driven case



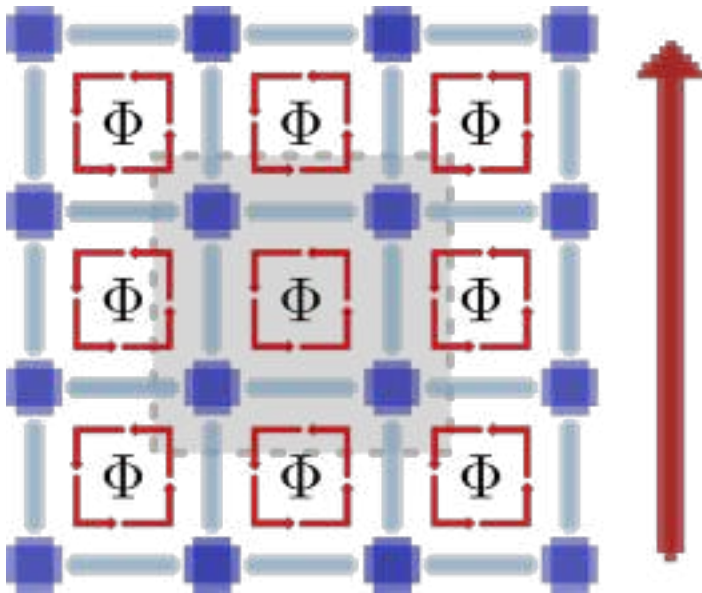
Cooling single particle on 2x4 ladder



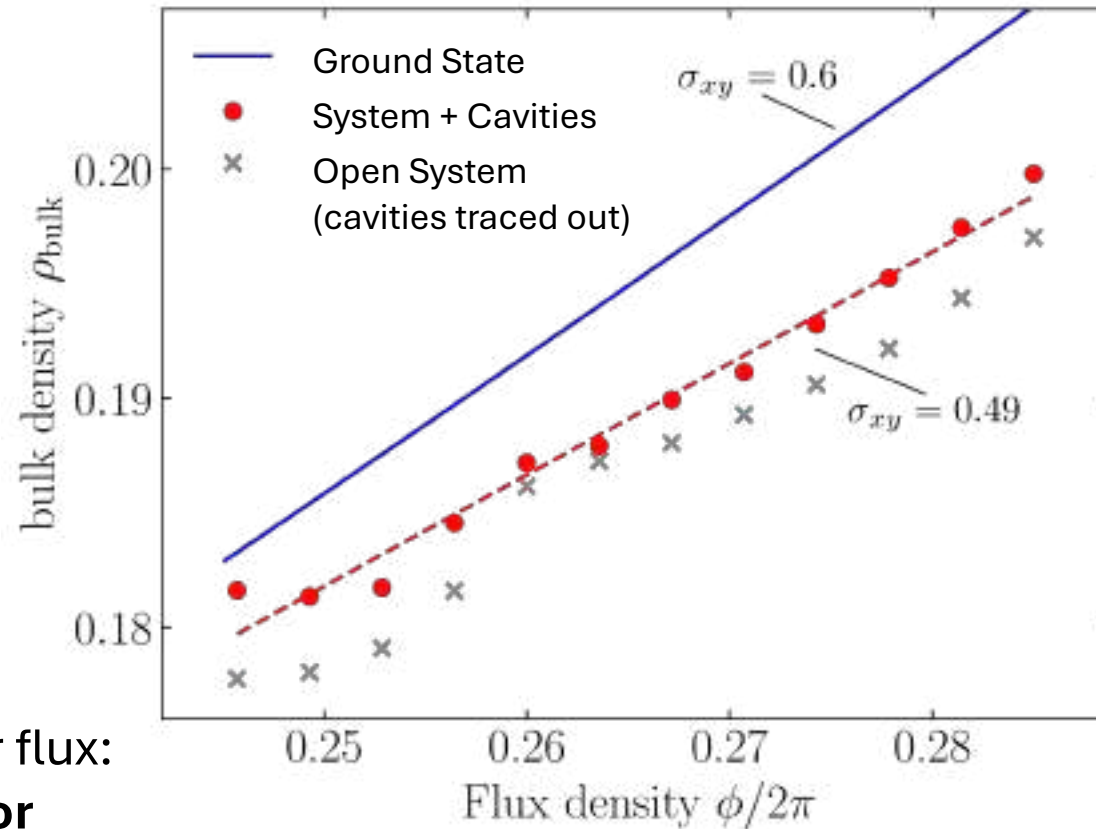
Cooling 2 hard-core bosons on 2x6 ladder



Cooling 2 hard-core bosons 4x4 lattice



Expected ground state at quarter flux:
Baby Fractional-Chern-Insulator
(Laughlin $\nu = 1/2$ -type)

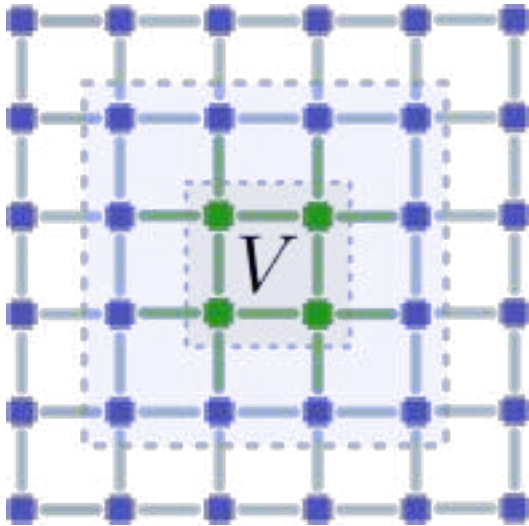


Signature: Streda formula (bulk density = $\nu \times$ Flux density)

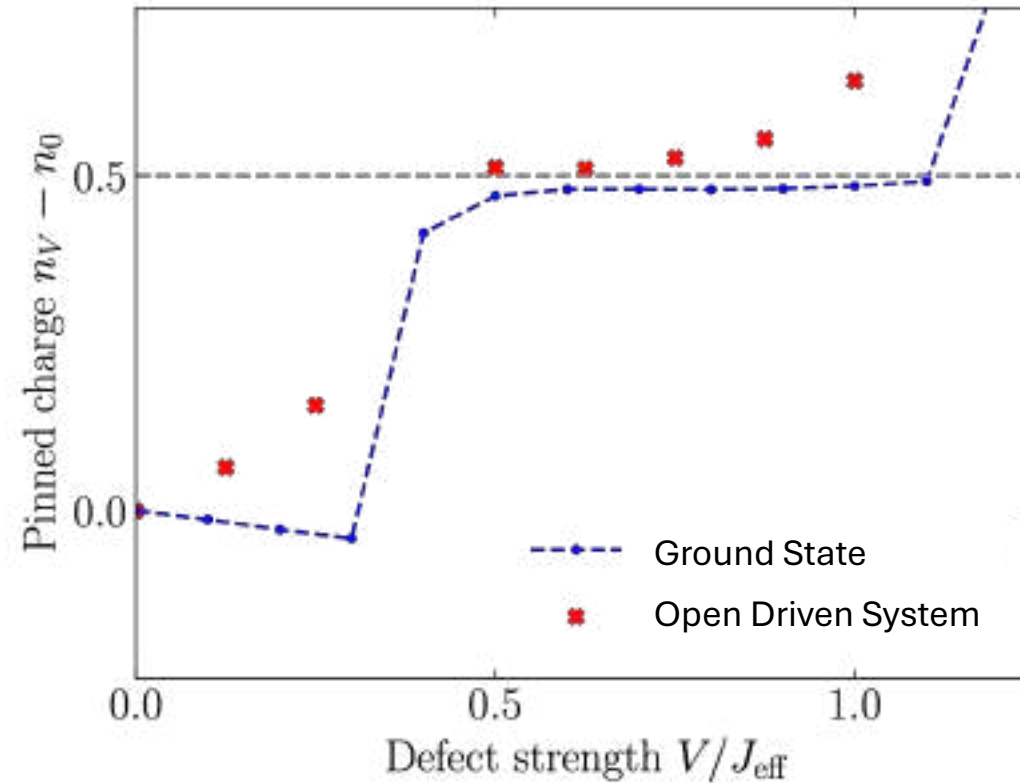


Luis Steinfadt

Cooling 3 hard-core bosons 6x6 lattice



Expected ground state at quarter flux:
Baby Fractional-Chern-Insulator
(Laughlin $\nu = 1/2$ -type)



Signature: Charge fractionalization



Luis Steinfadt



Alexander Schnell
(TU Berlin)



Lingna Wu
(TU Berlin)
now U Hainan

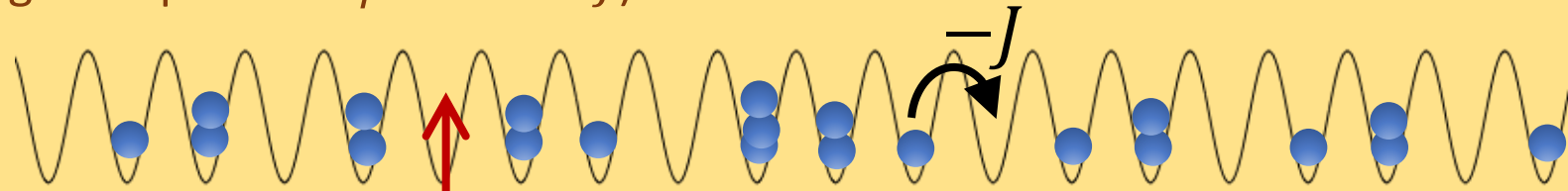


Artur Widera
(Kaiserslautern)

Floquet-heating induced Bose condensation

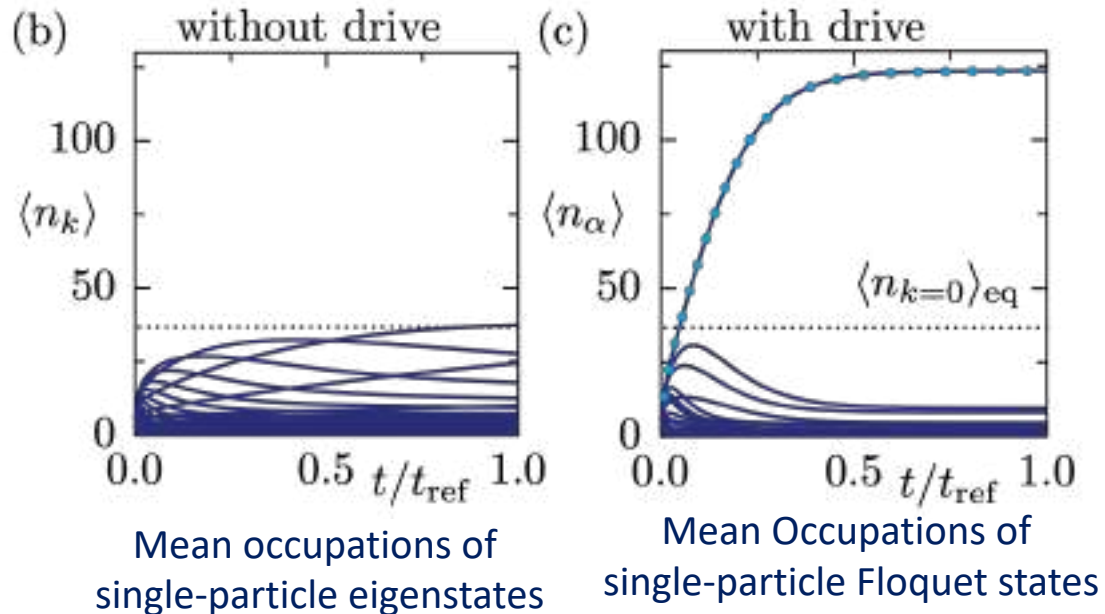
Floquet-heating-induced Bose condensation:

Bath: 3D BEC of interacting ^{87}Rb atoms
(@ high temperature $\beta^{-1} = 2.4 J$)



$A \cos(\omega t)$
Resonant local driving at site ℓ
($\ell = 20, A = J, \hbar\omega = 3/8$ band width)

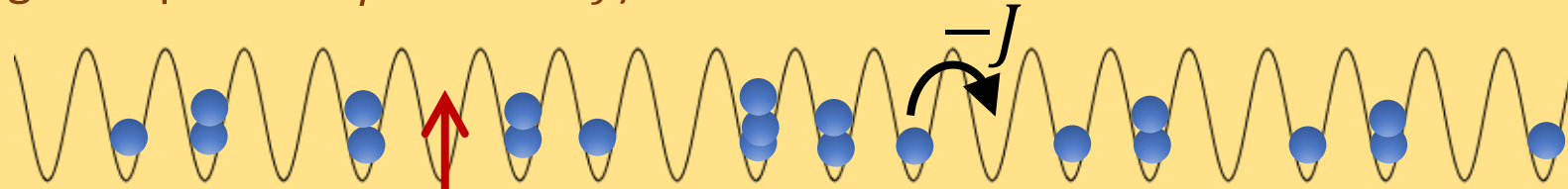
1D system: non-interacting bosonic ^{39}K atoms
in optical lattice (200 particles on 49 sites)



Intuitive explanation?

Floquet-heating-induced Bose condensation:

Bath: 3D BEC of interacting ^{87}Rb atoms
 (@ high temperature $\beta^{-1} = 2.4 J$)



1D system: non-interacting bosonic ^{39}K atoms
 in optical lattice (200 particles on 49 sites)

Resonant local driving at site ℓ
 ($\ell = 20, A = J, \hbar\omega = 3/8$ band width)

Undriven eigenmodes:

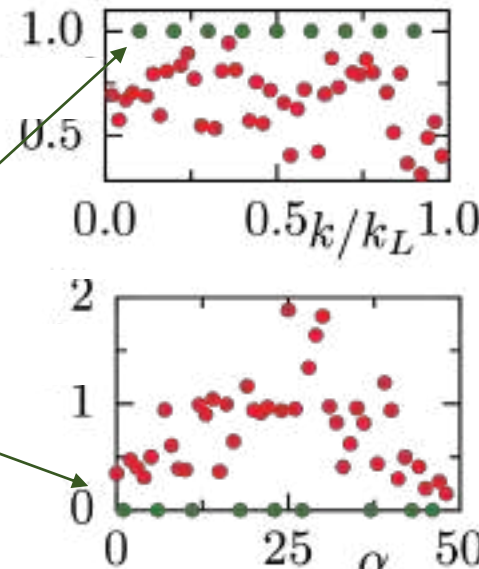
$$\psi_k(i) \sim \sin(ki)$$

$$k = \frac{\pi n}{M+1}; \quad n = 1, 2, \dots, M$$

Modes k_r with $\sin(k_r \ell) = 0$

$$k_r \approx \frac{2\pi n}{\ell} \text{ where } r = 1, \dots, \ell - 1$$

decouple from drive.

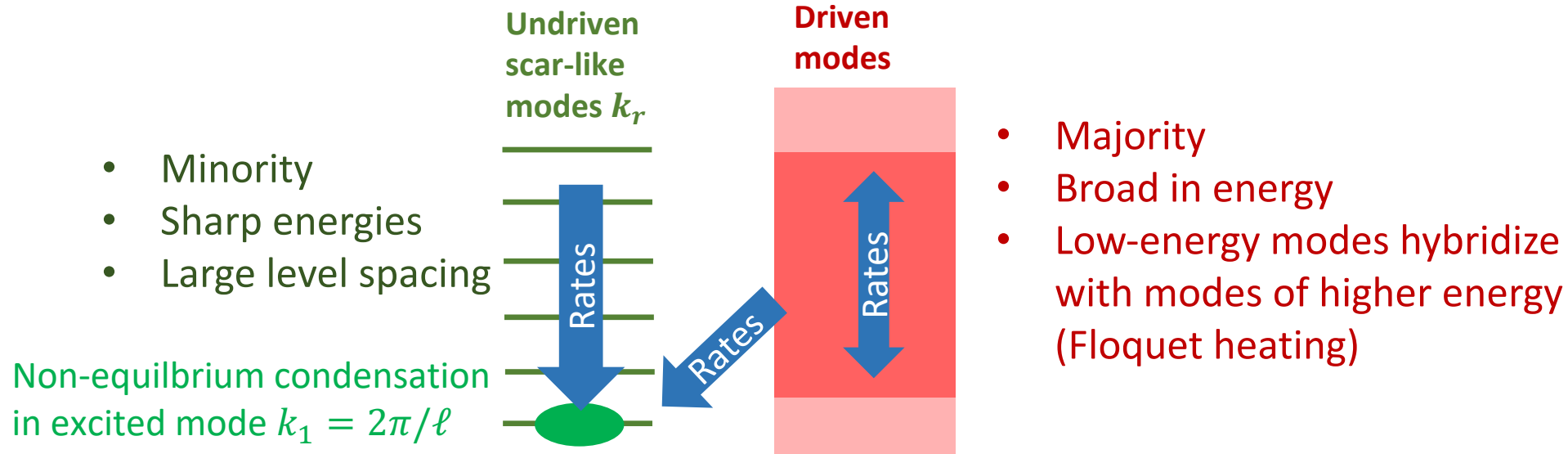


$$\max_{\alpha} |\langle \psi_k | \psi_{\alpha}^{\text{Floquet}} \rangle|^2$$

**Quantum-scar-like
 Floquet modes k_r !**

Energy fluctuations (units J)
 of Floquet modes $|\psi_{\alpha}^{\text{Floquet}}\rangle$

Floquet-heating-induced Bose condensation:



Undriven eigenmodes:

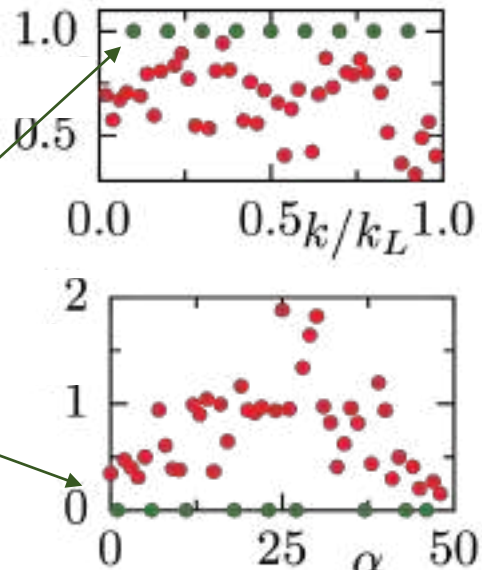
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Modes with $\sin(k\ell) = 0$,

$$k_r \approx \frac{2\pi n}{\ell} \text{ where } r = 1, \dots, \ell - 1$$

decouple from drive.



$$\max_{\alpha} |\langle \psi_k | \psi_{\alpha}^{Floquet} \rangle|^2$$

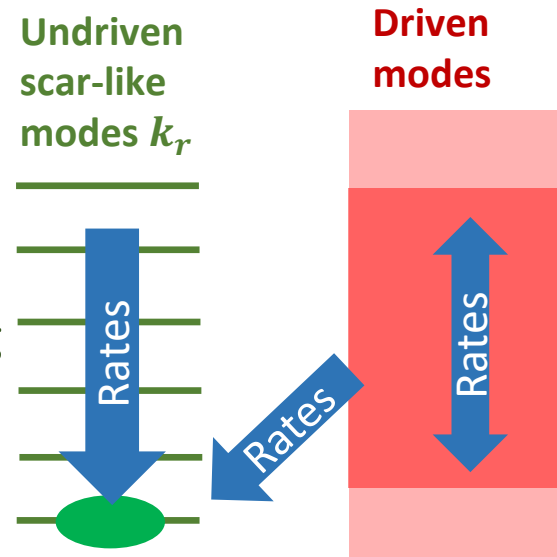
Quantum-scar-like Floquet modes k_r !

Energy fluctuations (units J) of Floquet modes $|\psi_{\alpha}^{Floquet}\rangle$

Floquet-heating-induced Bose condensation:

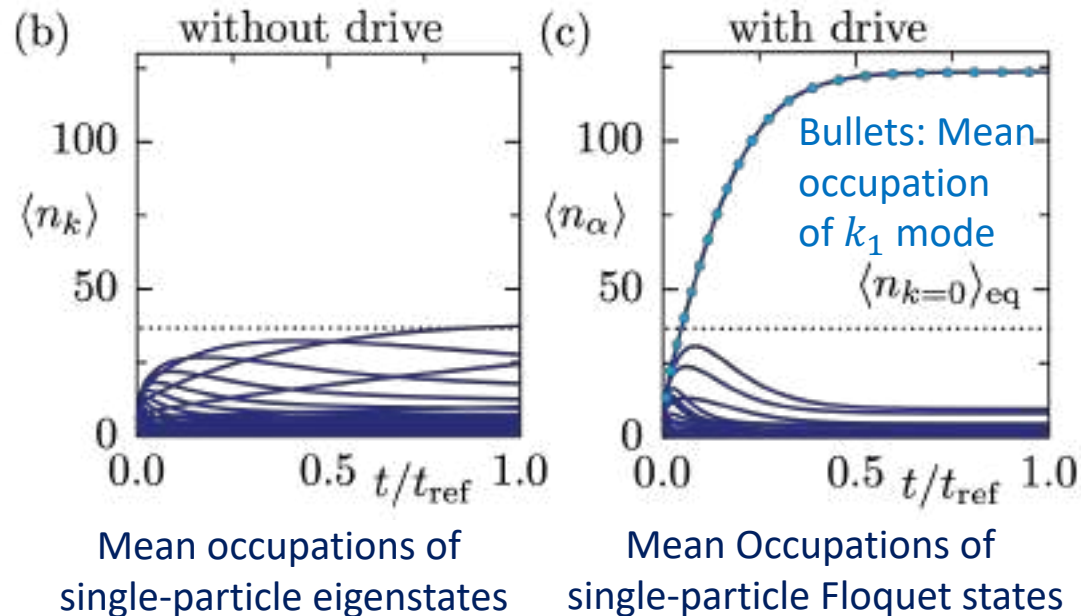
- Minority
- Sharp energies
- Large level spacing

Non-equilibrium condensation
in excited mode $k_1 = 2\pi/\ell$



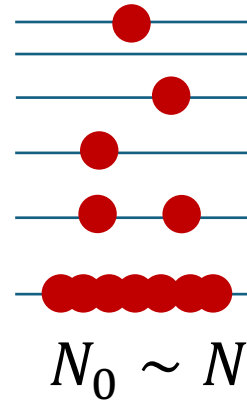
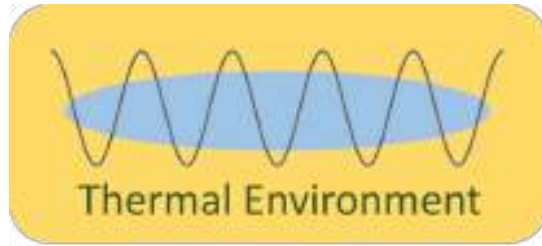
- Majority
- Broad in energy
- Low-energy modes hybridize with modes of higher energy (Floquet heating)

A thermoelectric effect

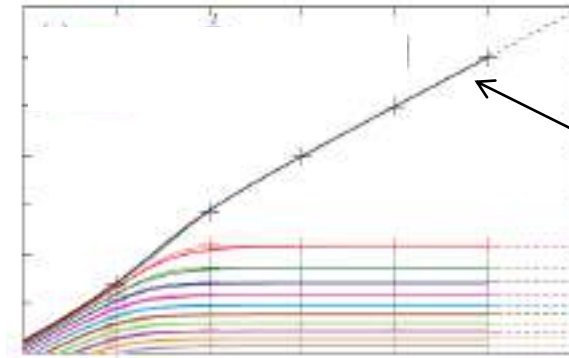


Non-equilibrium Bose-Einstein condensation?

Equilibrium

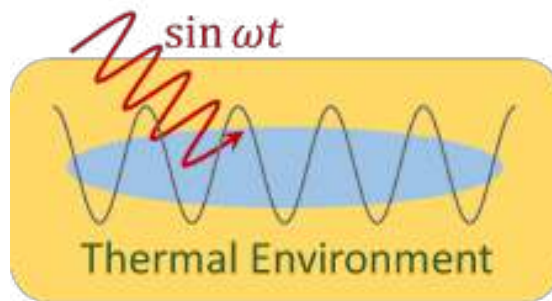


occupations $\langle \hat{n}_i \rangle$

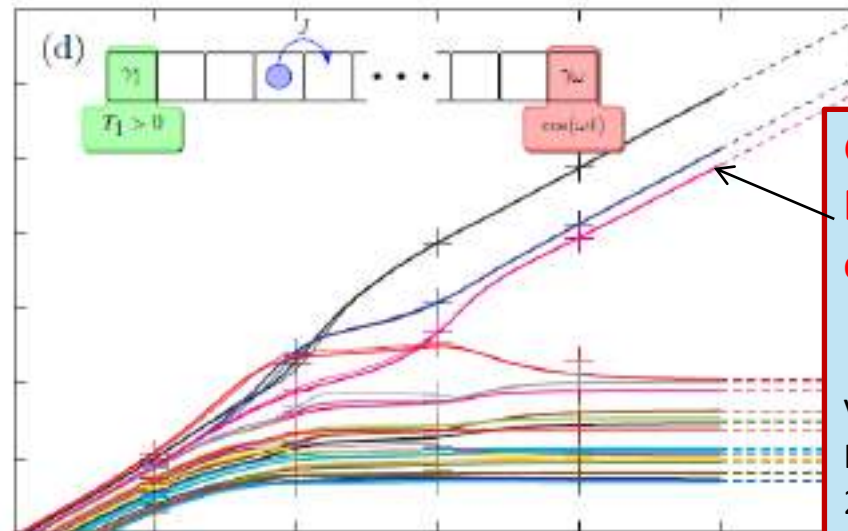


BEC
in ground state

Non-equilibrium steady state



occupations $\langle \hat{n}_\alpha \rangle$



Generic behavior:
**Bose selection
of multiple states
(odd number)**

Vorberg, Wustmann,
Ketzmerick, A.E., PRL
2013

Mean occupations $\bar{n}_i = \langle \hat{n}_i \rangle$

$$\dot{\bar{n}}_i = \sum_j [-R_{ji} \langle (\hat{n}_j + 1) \hat{n}_i \rangle + R_{ij} \langle (\hat{n}_i + 1) \hat{n}_j \rangle]$$

(1) Non-linear kinetic equations: $\langle \hat{n}_j \hat{n}_i \rangle \approx \bar{n}_j \bar{n}_i$

(2) High-density $(1/n)$ -expansion

(2a) Leading order $(\bar{n}_j + 1) \approx \bar{n}_j$

$$\dot{\bar{n}}_i = \underbrace{\bar{n}_i}_{=0} \underbrace{\sum_j (R_{ij} - R_{ji}) \bar{n}_j}_{=0} = 0$$

$$\begin{cases} \bar{n}_i = 0 & i \notin S \\ \sum_{j \in S} (R_{ij} - R_{ji}) \bar{n}_j = 0 & i \in S \end{cases}$$

$\Rightarrow$ **Odd number of selected states**

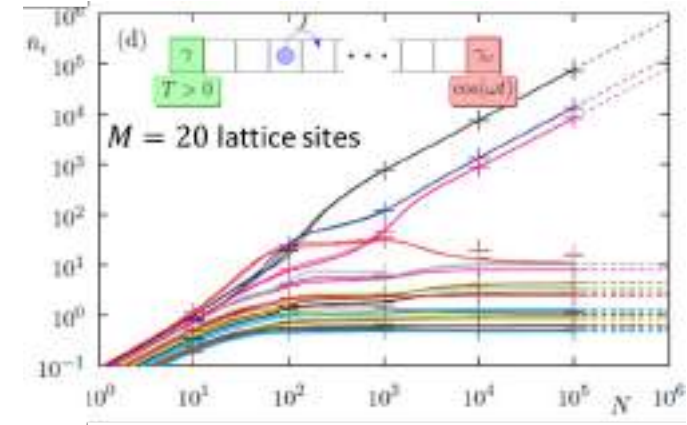
(2b) First correction (Bogoliubov-like approx.)

$$\bar{n}_i = \frac{\sum_{j \in S} R_{ij} \bar{n}_j}{-\sum_{j \in S} (R_{ij} - R_{ji}) \bar{n}_j} \quad i \notin S$$

independent of $N \Rightarrow$ **saturation**

(3) Set S uniquely determined by requirement $\bar{n}_i \geq 0 \quad \forall i$

Theory of non-eq. Bose condensation in open Floquet system!





Lorenz Wanckel
(TU Berlin)

Beyond ultraweak system-bath coupling:

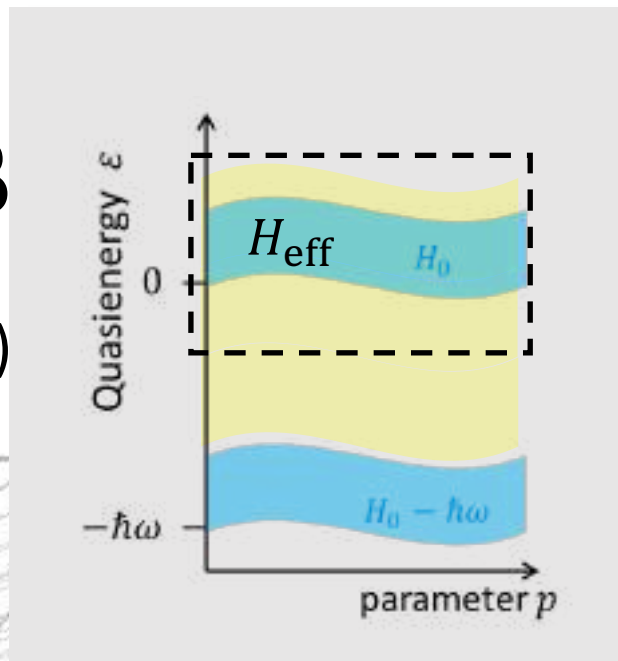
Dissipative Floquet engineering of gapped many-body phases using thermal baths

arXiv:2604.01291

Example: Driven Bose-Hubbard model

Quasienergy spectrum

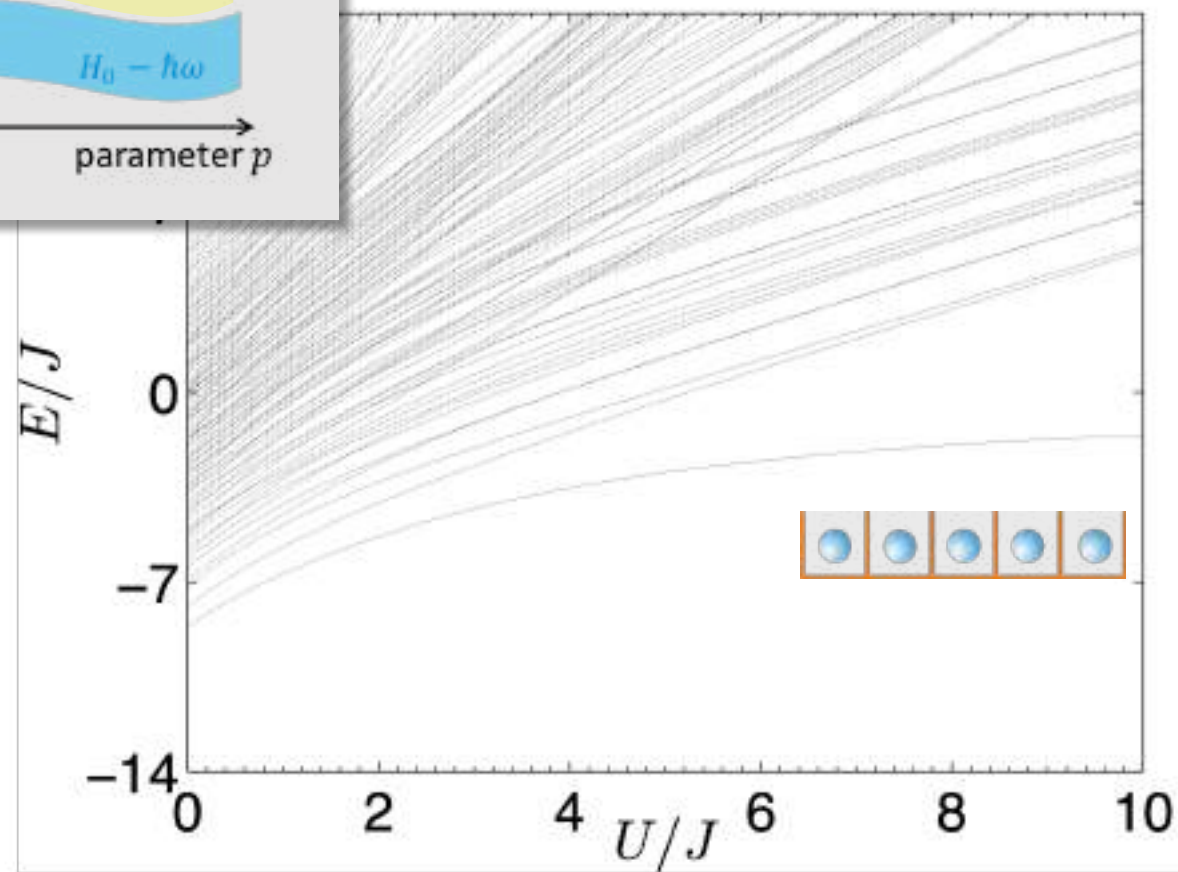
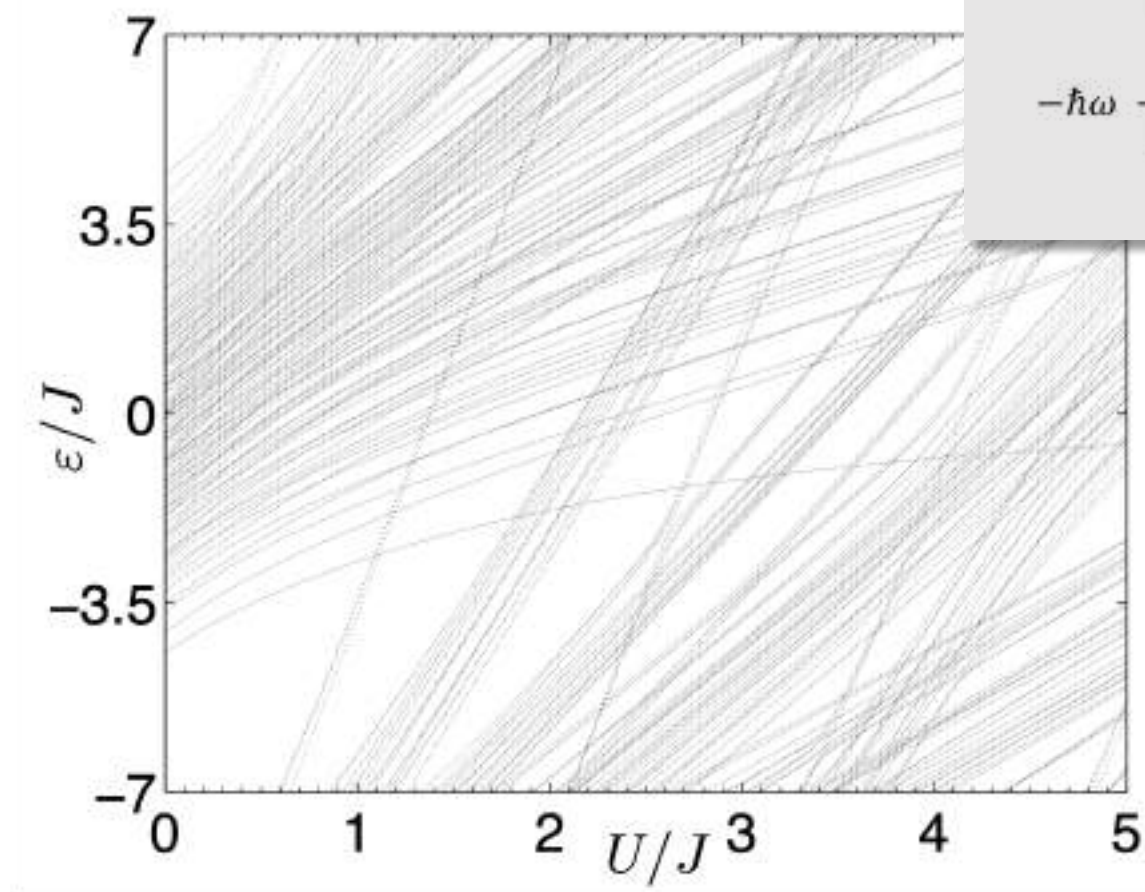
($\hbar\omega = 14 J$, and $J_{\text{eff}} = J/2$)



model

energy spectrum

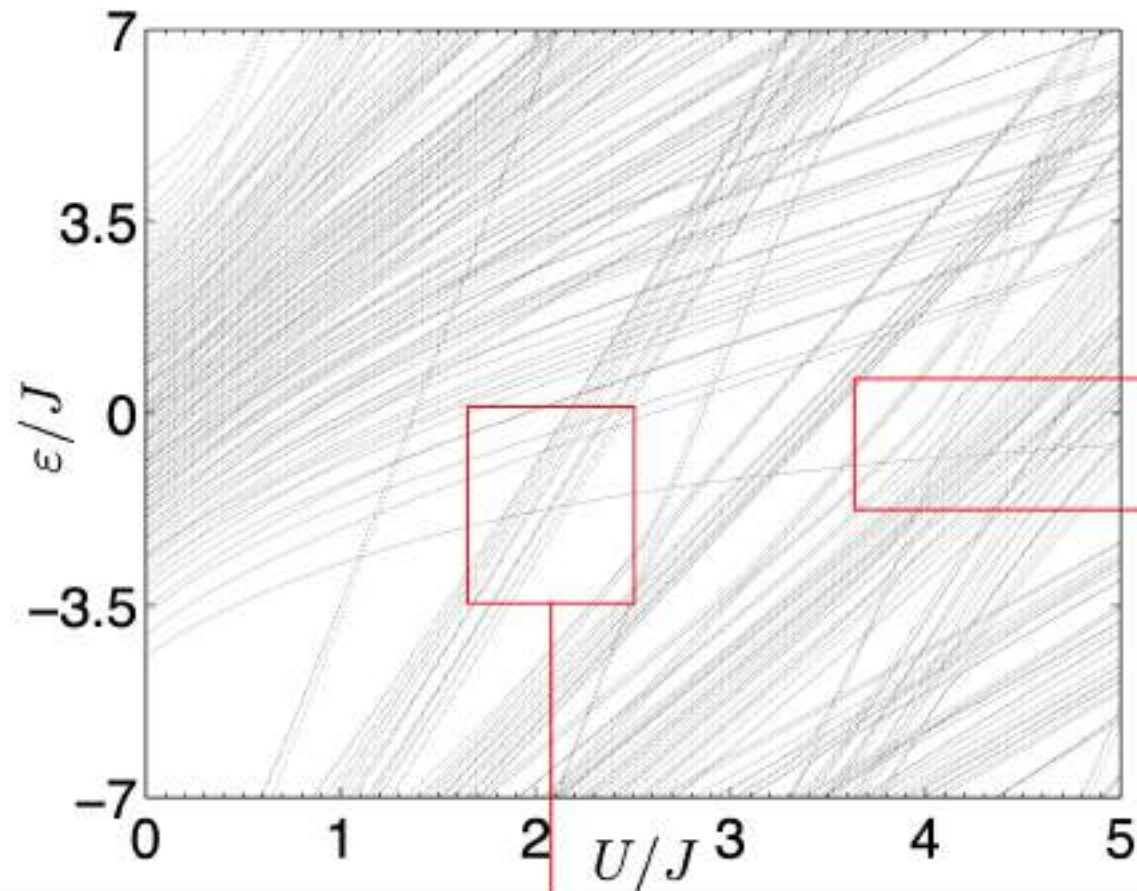
(undriven 5 particles on 5 sites)



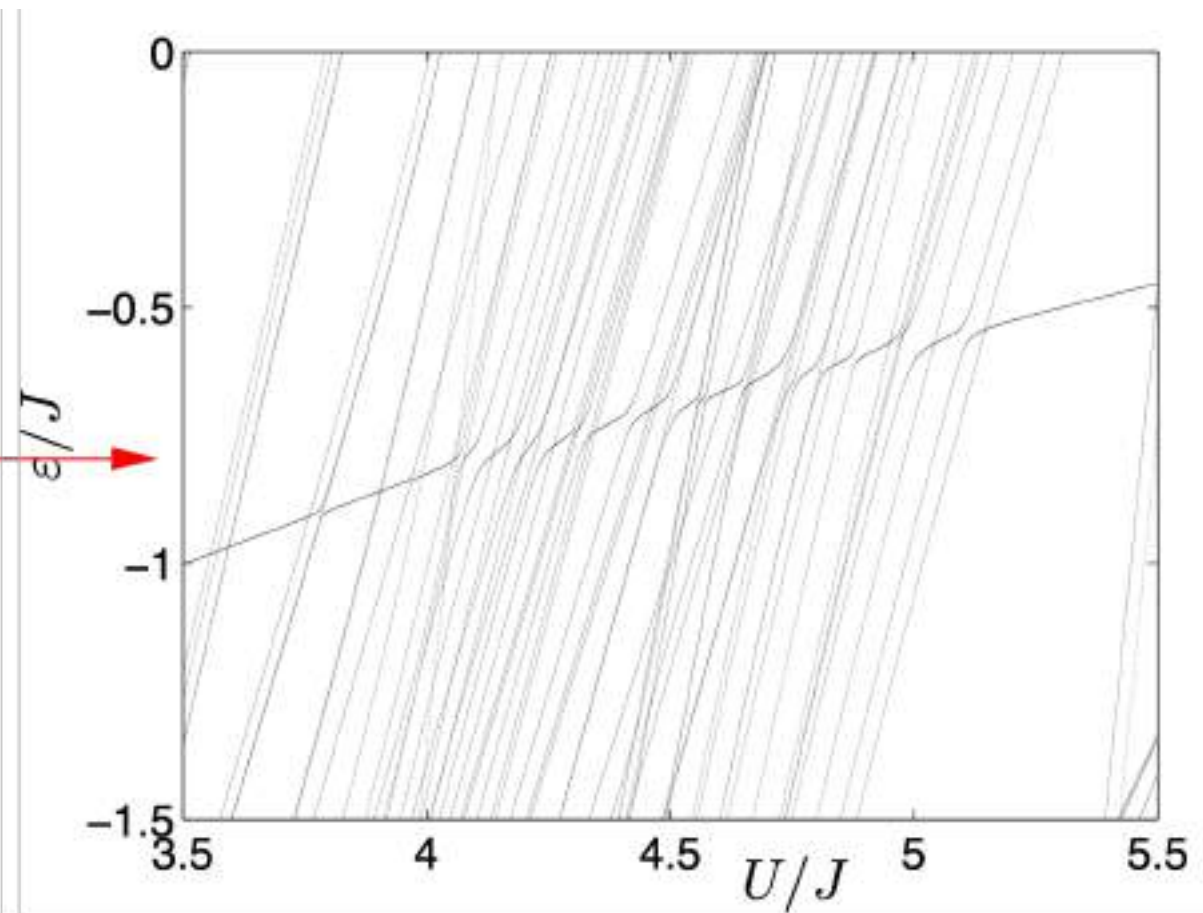
Example: Driven Bose-Hubbard model

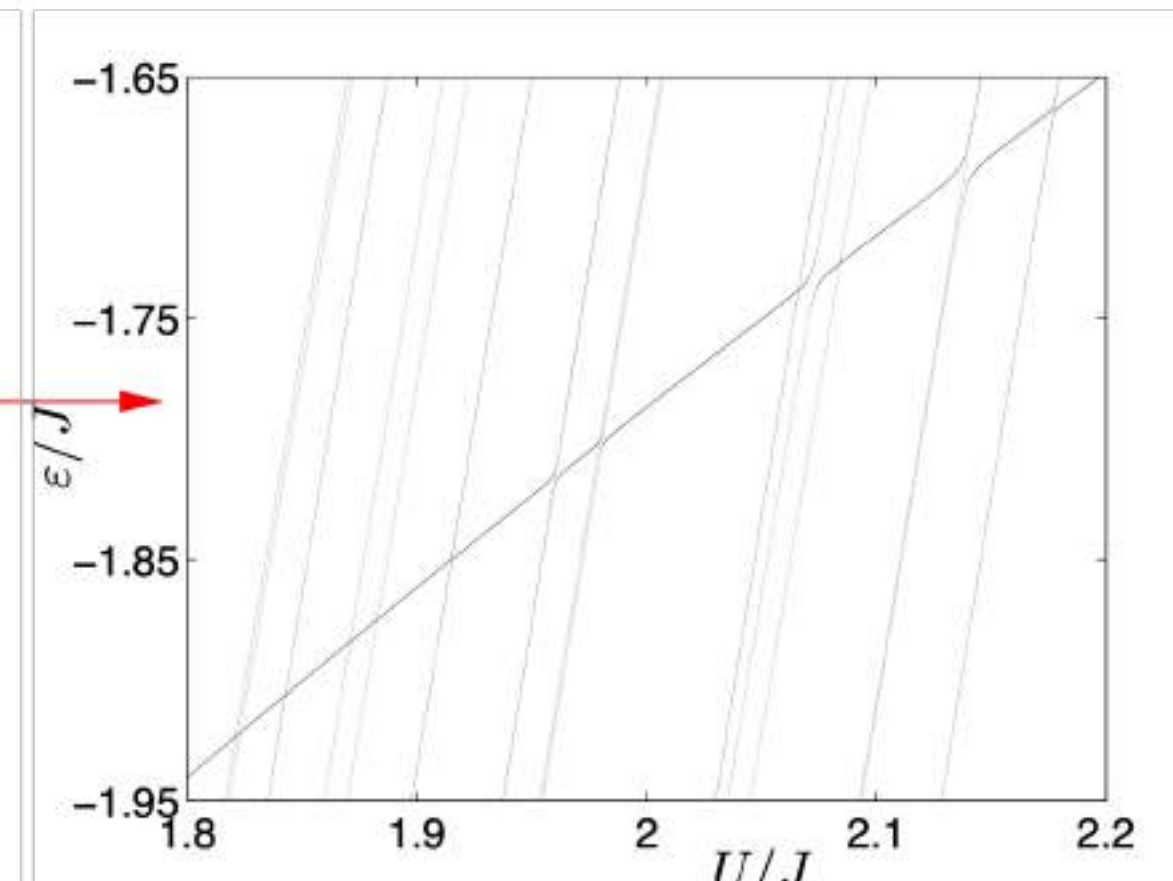
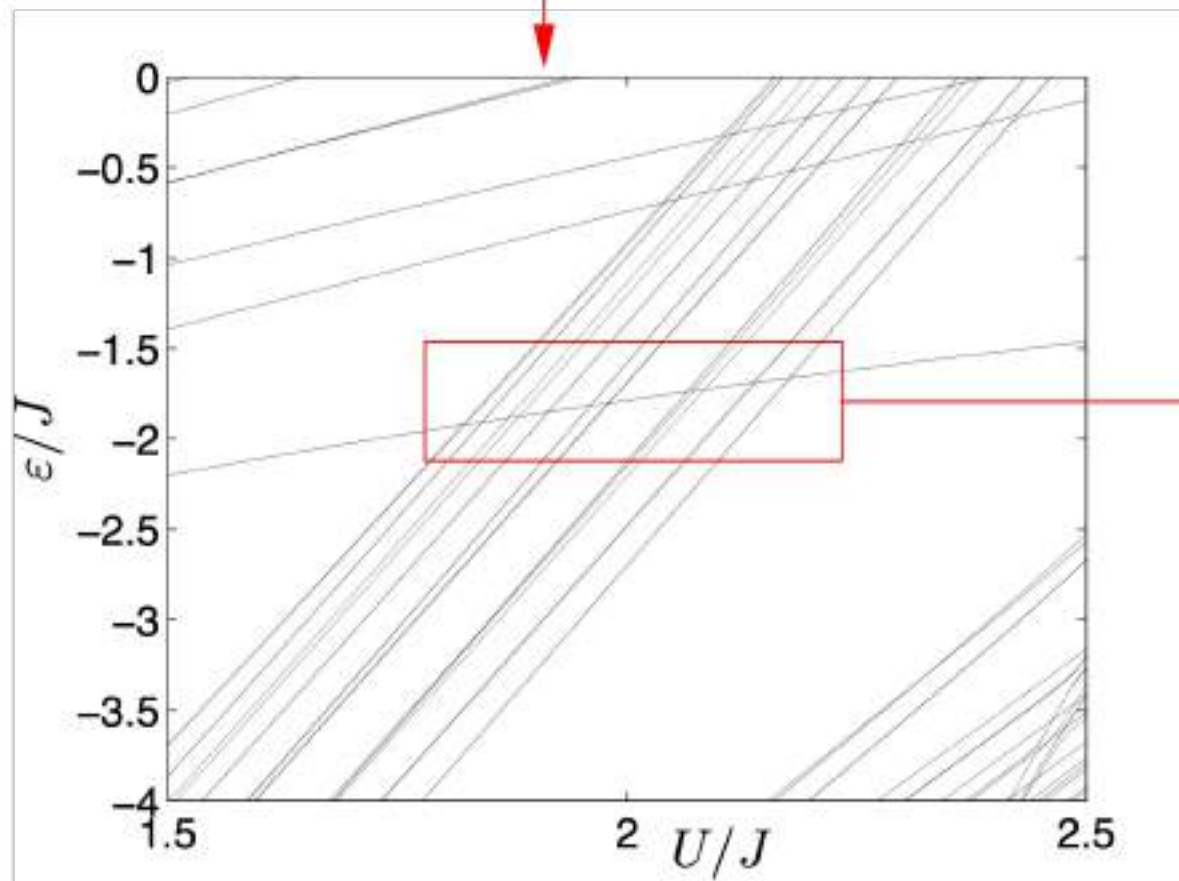
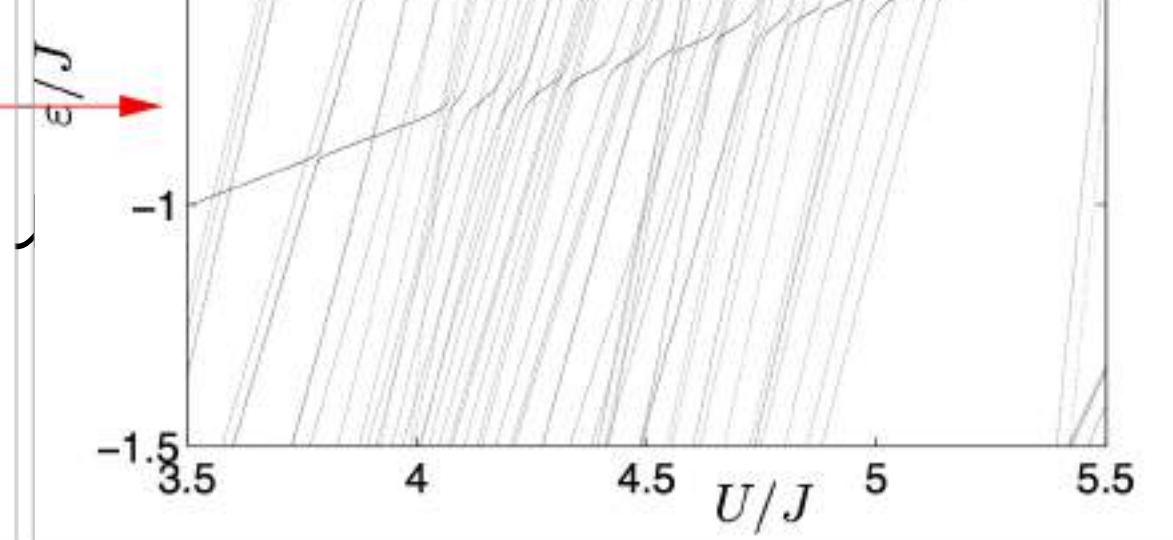
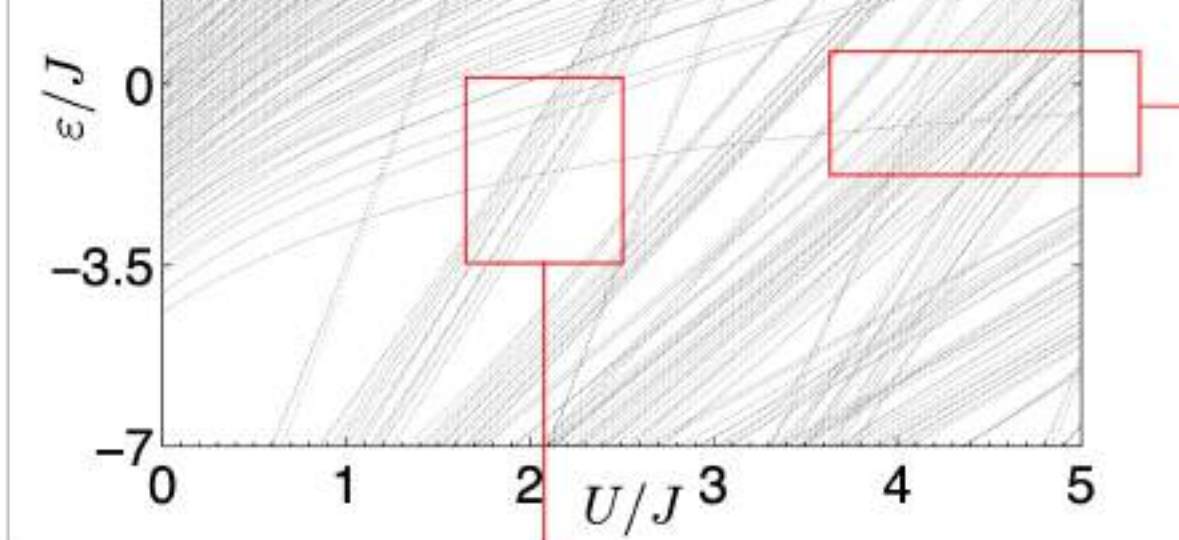
Quasienergy spectrum

($\hbar\omega = 14J$, and $J_{\text{eff}} = J/2$)



Floquet heating indicated by avoided crossings

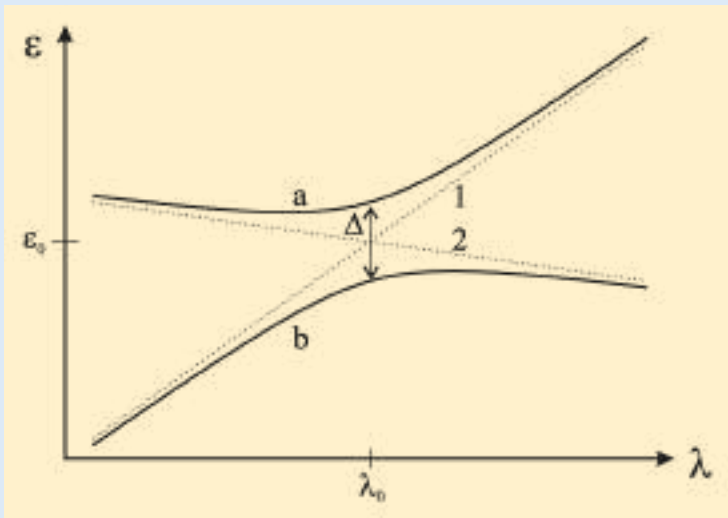




Avoided crossings suppressed by coupling to bath for

$$E_{\text{gap}} \gg \gamma_{SB} \gg \text{Floquet Heating}$$

Theoretical description requires method beyond ultraweak coupling!



$$\rho \approx \begin{cases} p_a |a\rangle \langle a| + p_b |b\rangle \langle b| & \text{for } \gamma_{SB} \ll \Delta \\ p_1 |1\rangle \langle 1| + p_2 |2\rangle \langle 2| & \text{for } \gamma_{SB} \gg \Delta \end{cases}$$

Hohn, Ketzmerick, Kohn PRE 2009

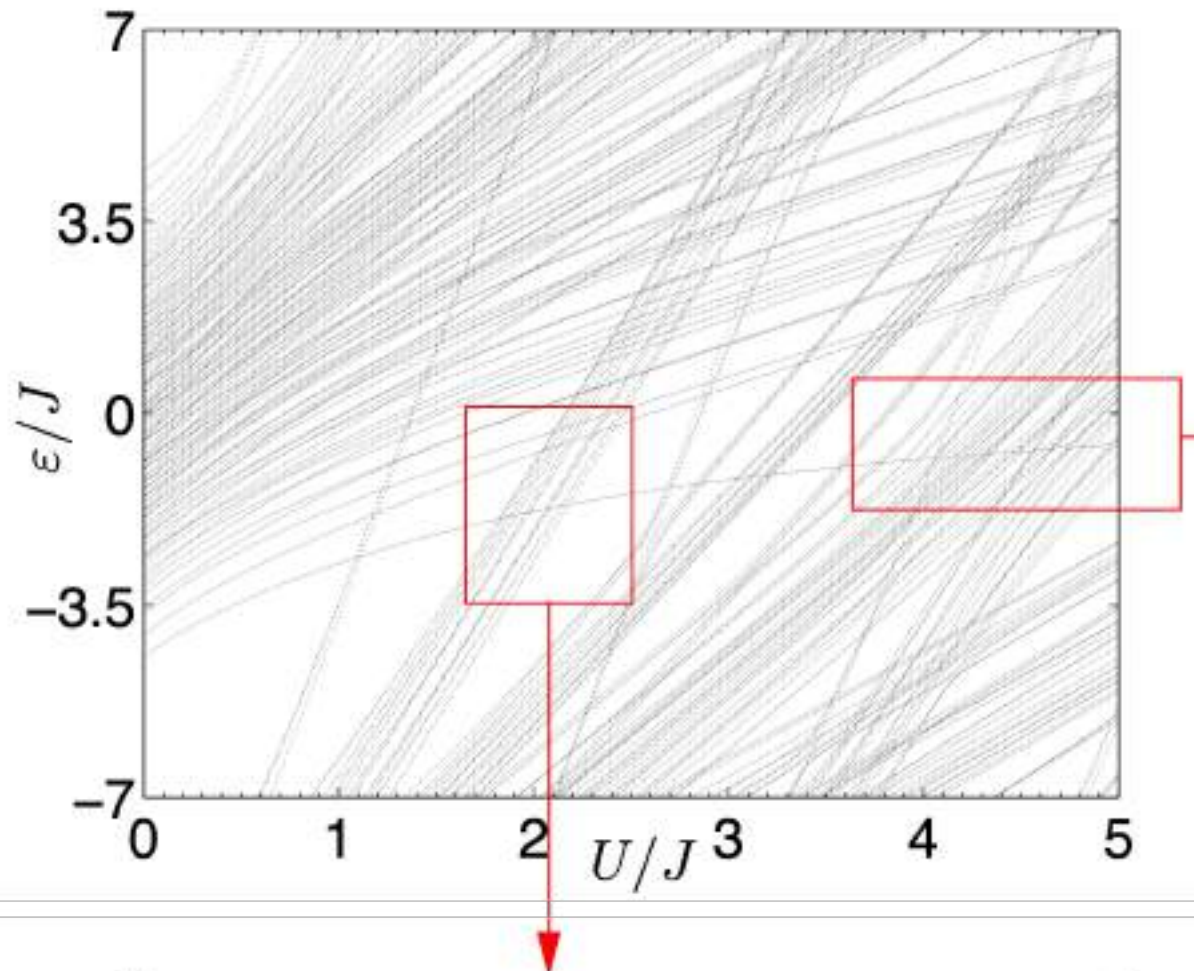
Shirai et al., NJP 2016

Mori Ann. Rev. Cond. Mat. 2023

Example: Driven Bose-Hubbard model

Quasienergy spectrum

($\hbar\omega = 14J$, and $J_{\text{eff}} = J/2$)

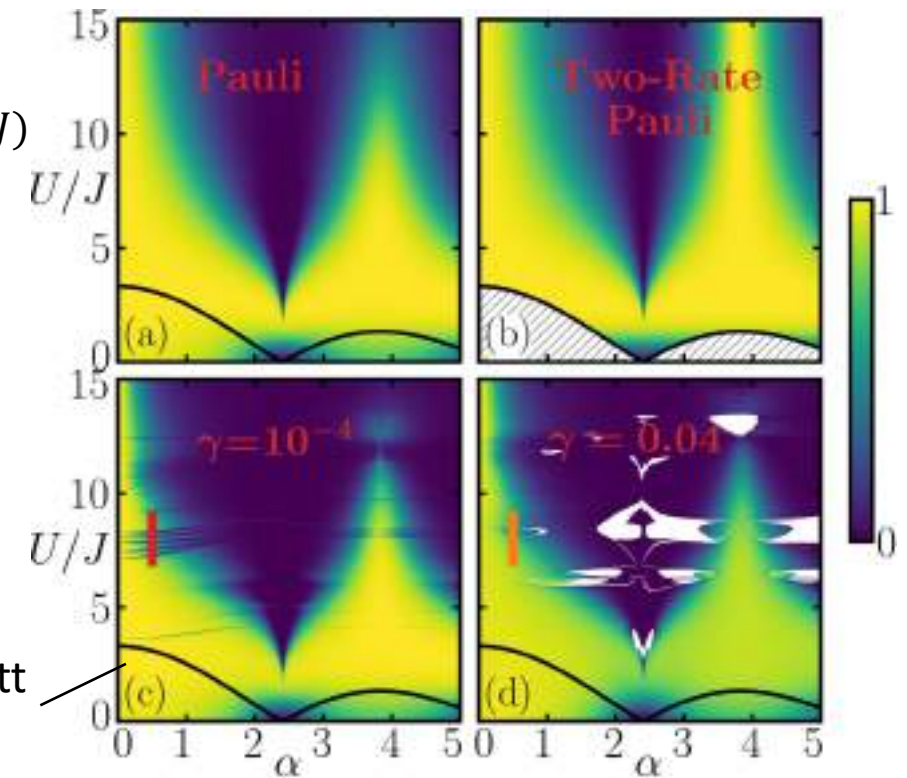


Overlap with effective ground state

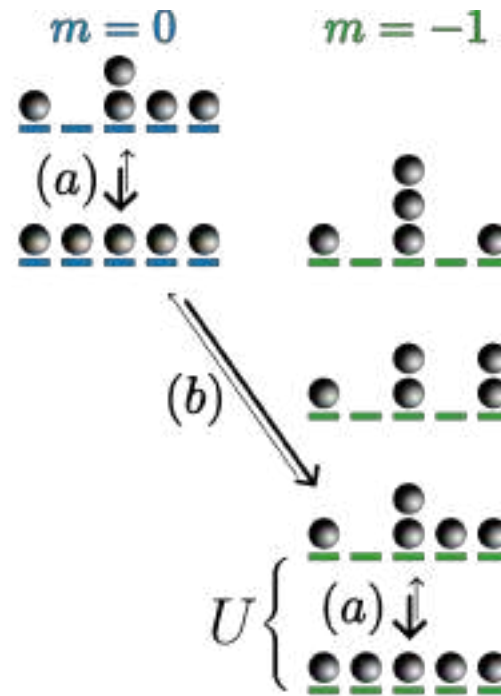
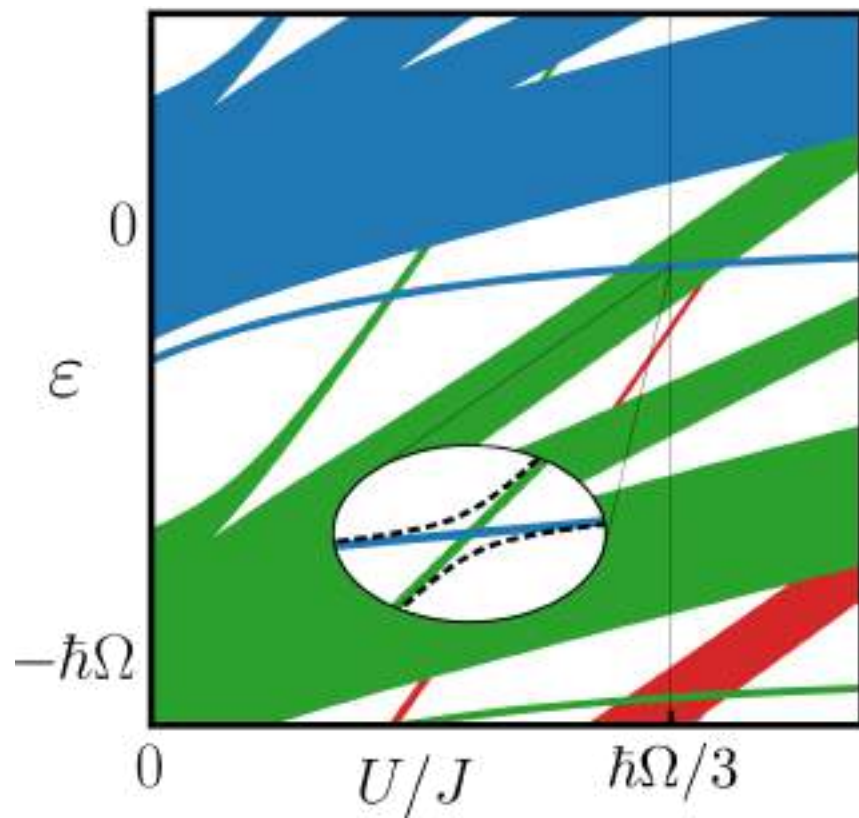
Driven system coupled to thermal bath

$T = 0.1J$
 $E_{\text{cut}} = \max(U, J)$
 $\hbar\omega = 20J$
 $N = M = 4$

Superfluid-Mott transition line

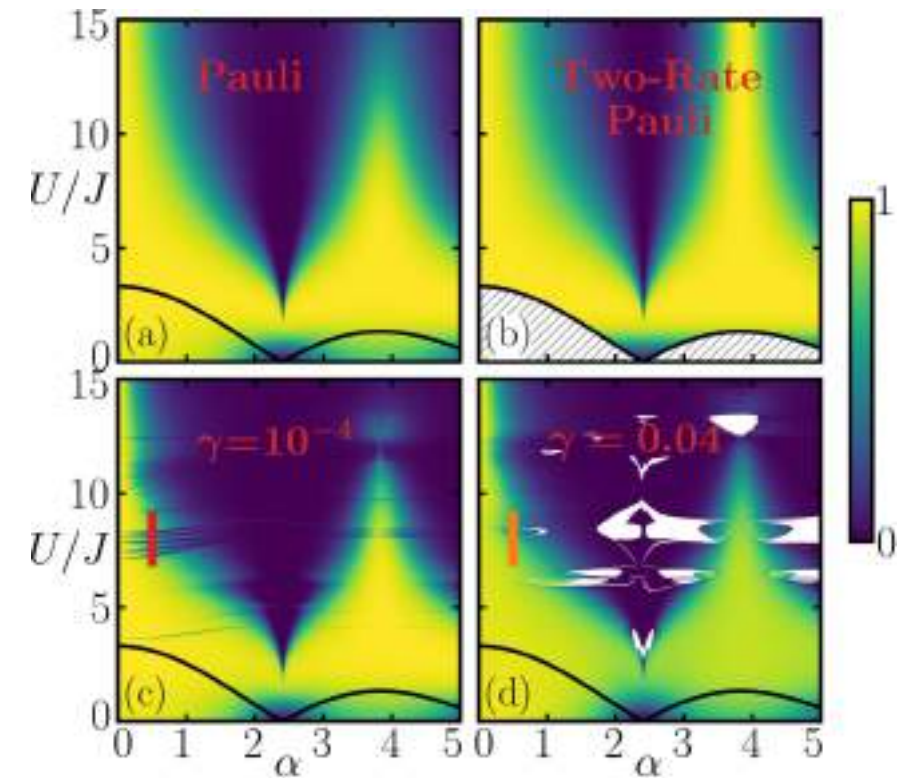


Example: Driven Bose-Hubbard model

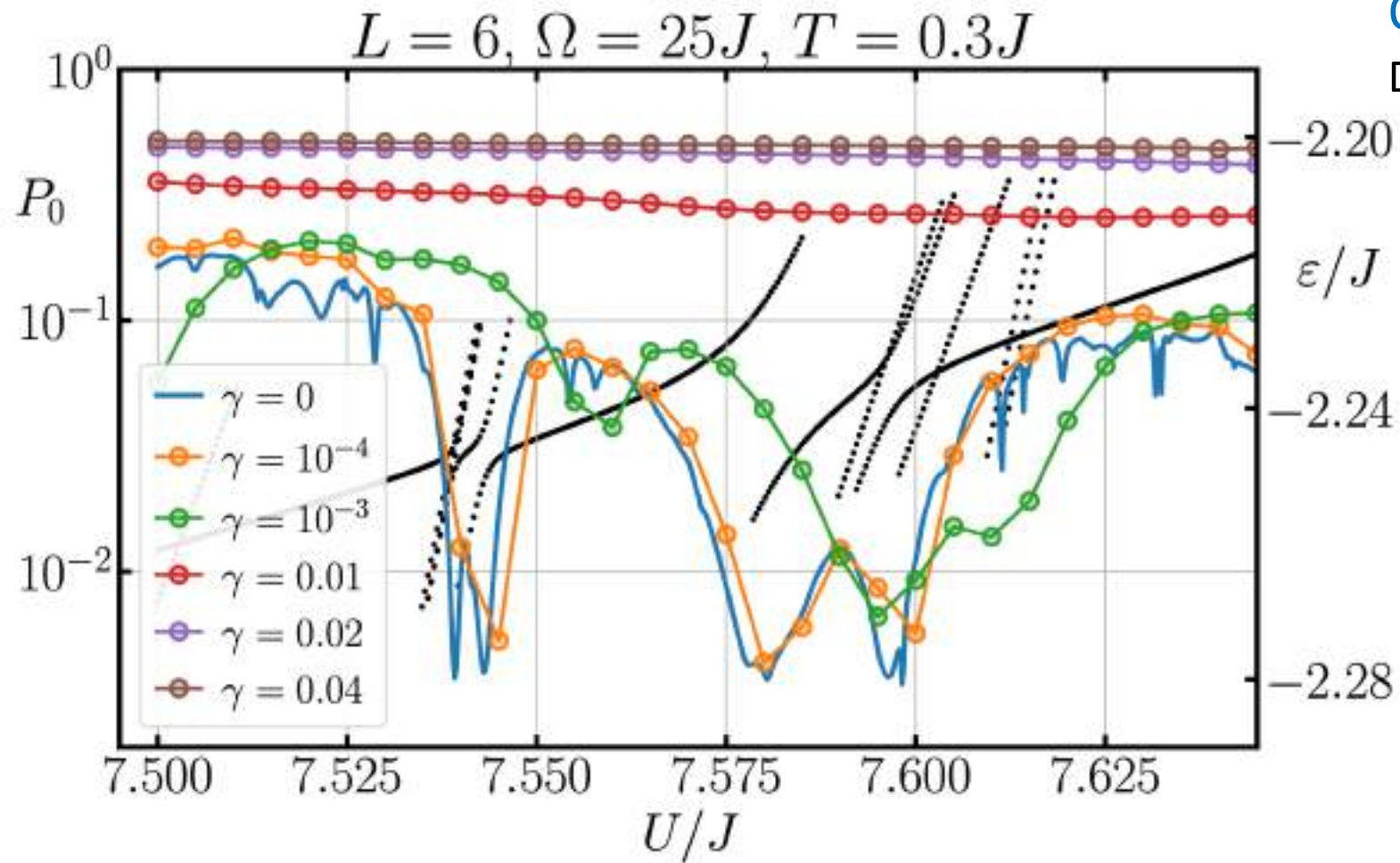


Overlap with effective ground state

Driven system coupled to thermal bath

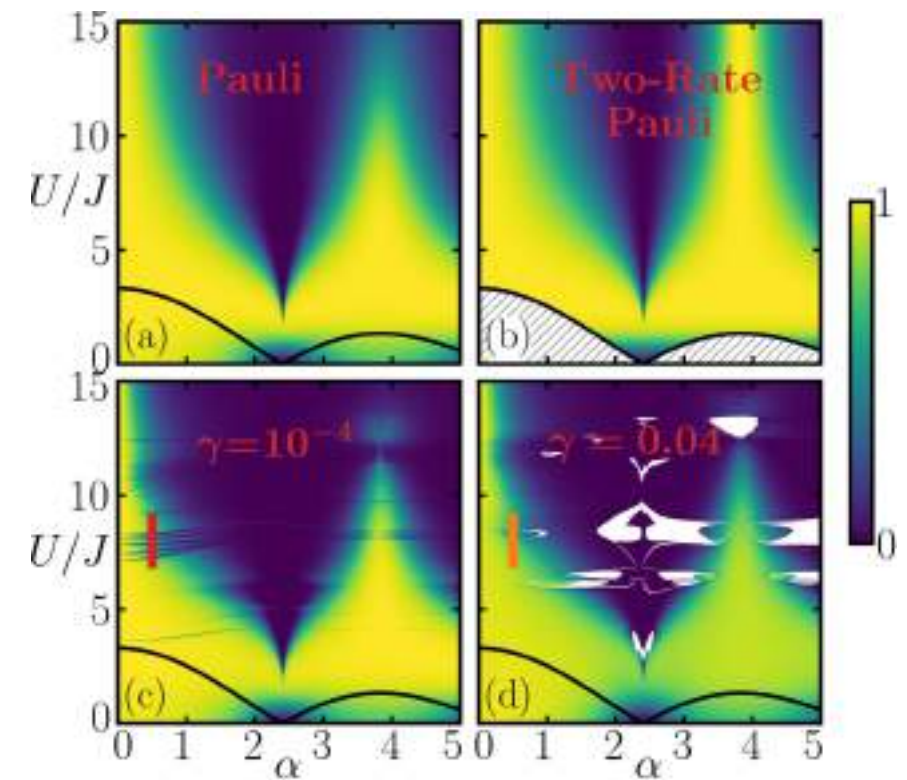


Example: Driven Bose-Hubbard model



Overlap with effective ground state

Driven system coupled to thermal bath



General scheme

$\mathcal{E}_X$: energy change induced by applying operator X to the ground state $|\tilde{u}_0\rangle$ of H_0 .

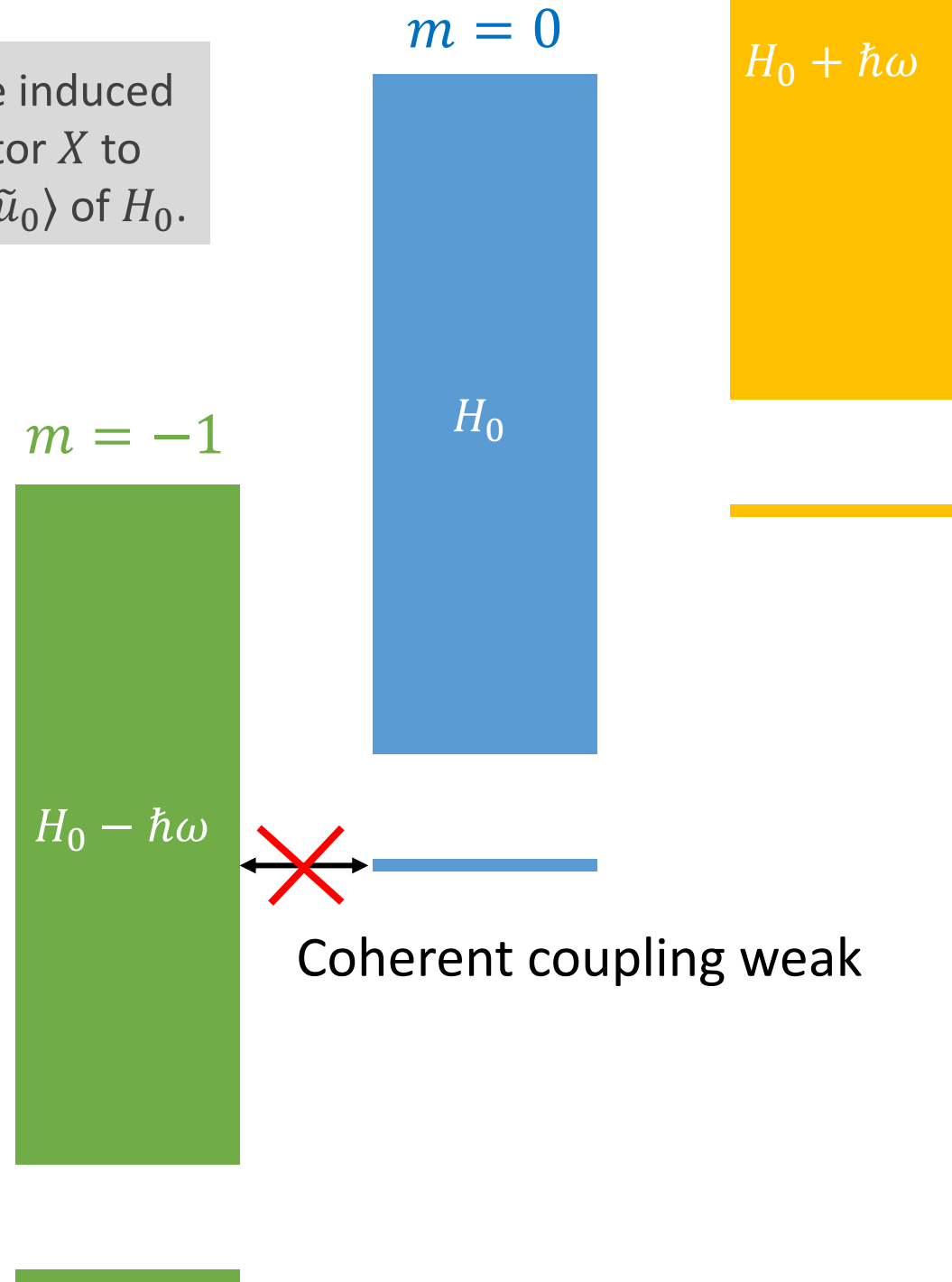
Floquet heating slow: $\mathcal{E}_{H_\mu} \ll \hbar\omega$

General condition for Floquet engineering

Suppress Floquet heating: $\tau_{\text{relax}} \ll \tau_{\text{heating}}$

Coherent resonant excitation suppressed by system-bath coupling

[Hohn, Ketzmerick, Kohn PRE2009; Shirai et al., NJP 2016]



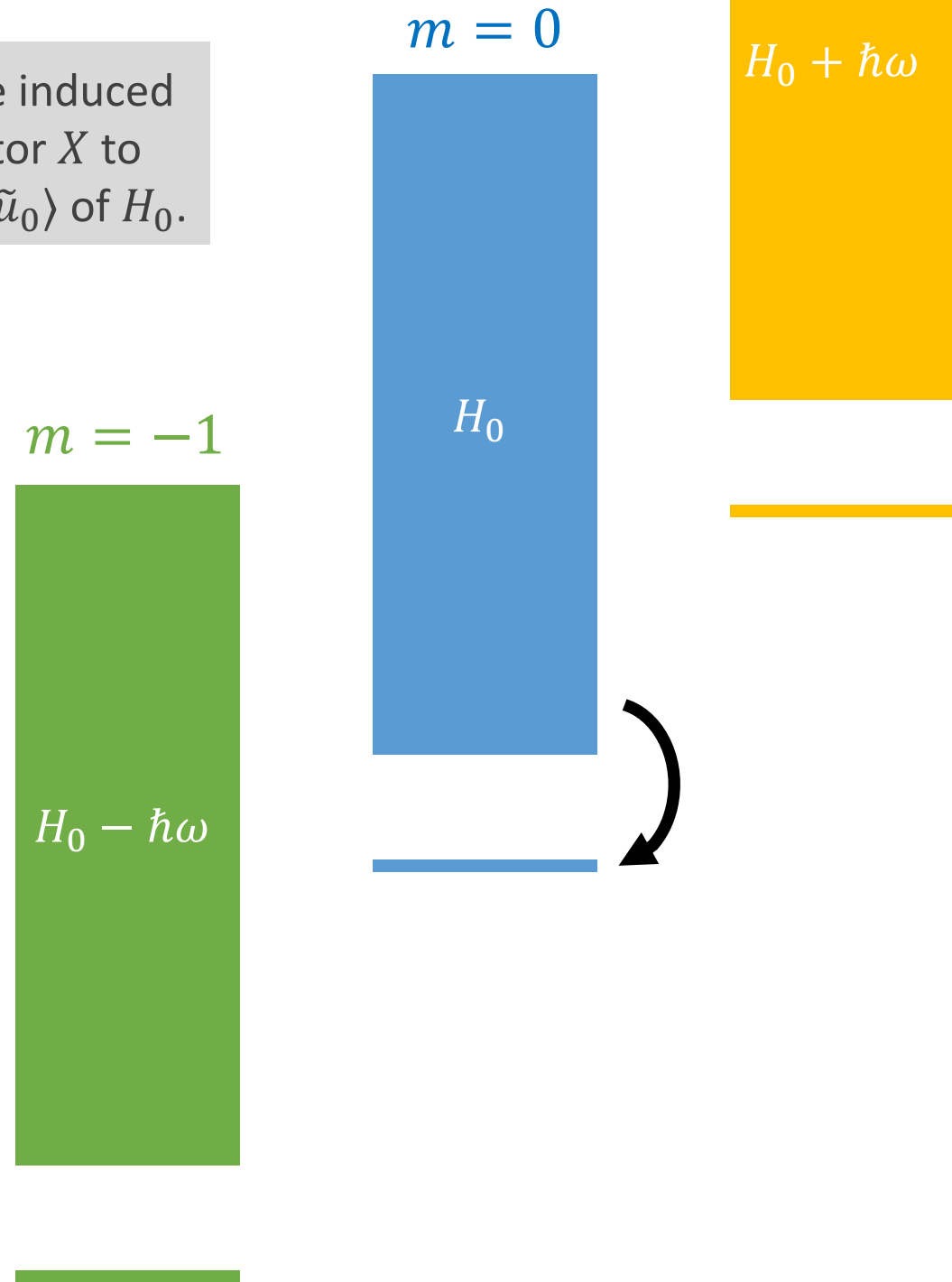
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Floquet heating slow: $\mathcal{E}_{H_\mu} \ll \hbar\omega$

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Allow bath-induced cooling: $E_{\text{gap}} \lesssim \Delta_{\text{bath}}$



General scheme

$\mathcal{E}_X$: energy change induced by applying operator X to the ground state $|\tilde{u}_0\rangle$ of H_0 .

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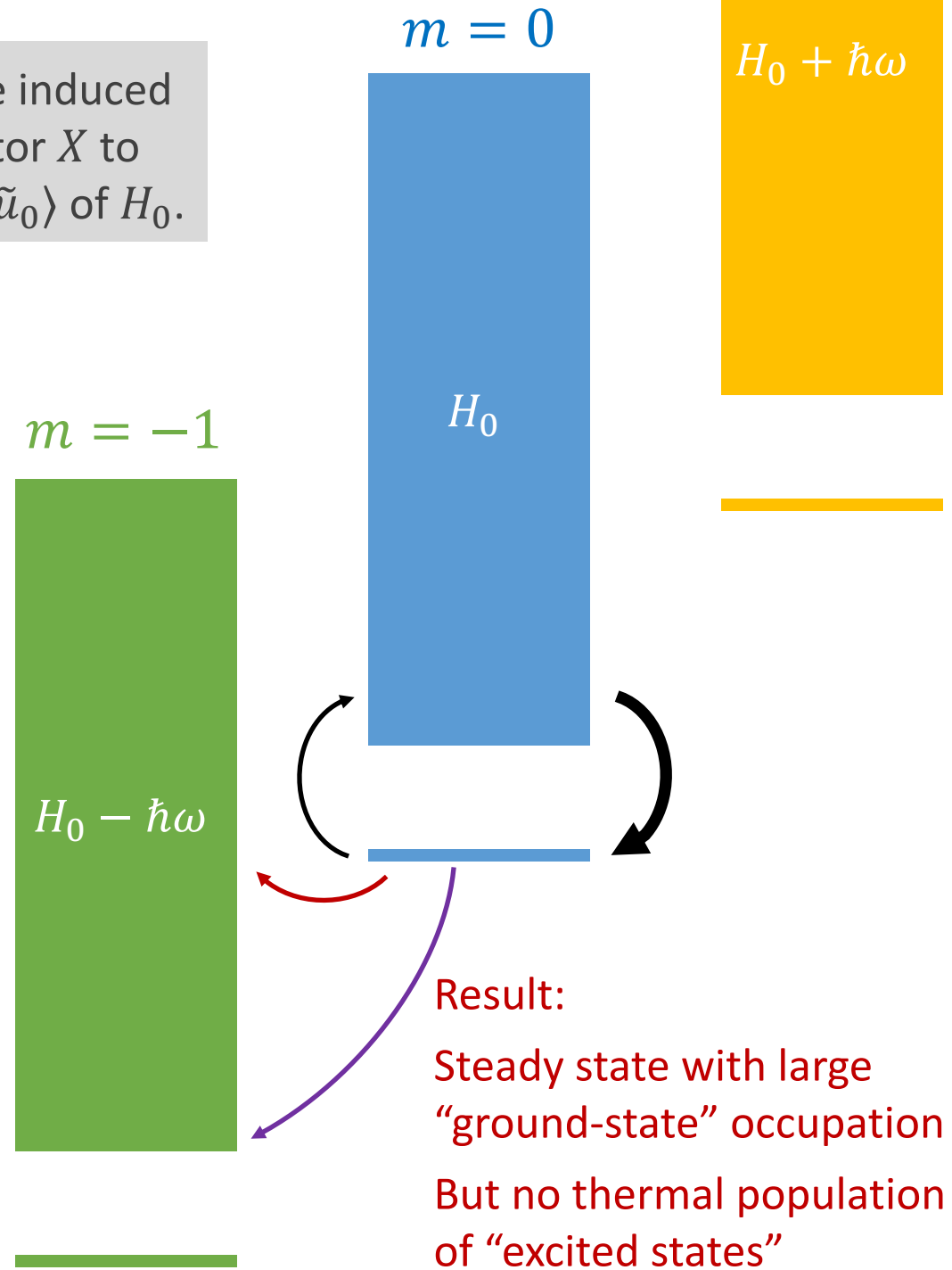
Suppress Floquet heating: $\tau_{\text{relax}} \ll \tau_{\text{heating}}$

Allow bath-induced cooling: $E_{\text{gap}} \lesssim \Delta_{\text{bath}}$

Suppress bath-induced heating:

$$\mathcal{E}_{H_{SB}} \ll E_{\text{gap}}, \quad \beta^{-1} \ll E_{\text{gap}}$$

$$\mathcal{E}_{H_{SB}} \ll \hbar\omega, \quad \Delta_{\text{bath}} \ll \hbar\omega$$



Challenges beyond ultraweak coupling



Alex Schnell

Local approx. to Redfield equation

A. Schnell, PRL 2025

Hard to derive
Unperturbed problem
is full system Hamiltonian

Non-local equation
of motion

Born-Markov-
Redfield

Not consistent with thermal state $\rho_{\text{thermal}} \propto \text{tr}_{\text{Bath}} \{ e^{-\beta H_{\text{tot}}} \}$

Truncated Redfield equation

T. Becker, L.-N. Wu, A.E., PRE 2021

Efficient quantum trajectories for Redfield equation

T. Becker, C. Netzer, A.E., PRL 2023; Becker & A.E. PRE 2025

Not of Lindblad Form

⇒ Not straightforward to unravel in stochastic eq.

Canonically consistent quantum master equation

T. Becker, A. Schnell, J. Thingna, PRL 2022

Methods extending the state space

- Reaction-coordinate/start-to-chain mapping
- Hierarchie of eqs. of motion (HEOM)/pure states (HOPS)
- Influence-Matrix / Process-Tensor approach

$0 + \epsilon$
Ultraweak
coupling

Quantum optical
master equation
of GKSL-type

Coupling
strength

$$\dot{\rho} = -(i/\hbar) [H, \rho] + \mathcal{D}[\rho]$$

1) Determine dissipator!
2) Solve equation!

Both challenges
many-body system
with exponential
large state space



Tobias Becker

Conclusions

Combining driving and dissipation is powerful tool for state preparation.

Prepare effective ground-states of interacting systems

- Suppression of Floquet heating
- No adiabatic state preparation required

Prepare novel non-equilibrium states

- Non-equilibrium high-temperature Bose condensation

Need for efficient theoretical methods beyond ultraweak coupling!

